

Chapter 24

Special Topics

24.1 Rule Based π -Institutions

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be a base algebraic system.

An **F-rule** is a pair $\langle P, \rho \rangle$, where $P \cup \{\rho\} : (\mathbf{SEN}^b)^\omega \rightarrow \mathbf{SEN}^b$ is a finite set of natural transformations in N^b . If $P = \emptyset$, then $\langle \emptyset, \rho \rangle$ is called an **F-axiom** and it is ordinarily identified with ρ .

Let $R = \langle P, \rho \rangle$ be an **F-rule**, $\Sigma \in |\mathbf{Sign}^b|$ and $\Phi \cup \{\phi\} \subseteq \mathbf{SEN}^b(\Sigma)$. We say ϕ **R-follows from** Φ , written $\Phi \rightarrow_\Sigma^R \phi$, if there exists $\vec{\chi} \in \mathbf{SEN}^b(\Sigma)$, such that

$$P_\Sigma(\vec{\chi}) \subseteq \Phi \quad \text{and} \quad \rho_\Sigma(\vec{\chi}) = \phi.$$

Consider, now, a set \mathcal{R} of **F-rules**. For all $\Sigma \in |\mathbf{Sign}^b|$ and all $\Phi \cup \{\phi\} \subseteq \mathbf{SEN}^b(\Sigma)$, we say ϕ is **\mathcal{R} -provable from** Φ , written $\phi \in C_\Sigma^\mathcal{R}(\Phi)$ or $\Phi \vdash_\Sigma^\mathcal{R} \phi$, if there exists a sequence

$$\phi_0, \phi_1, \phi_2, \dots, \phi_{n-1}, \phi_n$$

in $\mathbf{SEN}^b(\Sigma)$, such that $\phi_n = \phi$ and, for all $i \leq n$,

- $\phi_i \in \Phi$ or
- ϕ_i **R-follows from** $\{\phi_0, \phi_1, \dots, \phi_{i-1}\}$, for some $R \in \mathcal{R}$.

A sequence $\phi_0, \phi_1, \dots, \phi_n$ witnessing $\Phi \vdash_\Sigma^\mathcal{R} \phi$ is called an **\mathcal{R} -proof** of ϕ from Φ .

We show that $C^\mathcal{R}$, as defined here, is indeed a closure system on the base algebraic system \mathbf{F} .

Proposition 1778 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathcal{R} a collection of **F-rules**. Then $C^\mathcal{R} = \{C_\Sigma^\mathcal{R}\}_{\Sigma \in |\mathbf{Sign}^b|}$ is a closure system on \mathbf{F} .*

Proof: Let $\Sigma \in |\mathbf{Sign}^b|$, $\Phi \cup \Psi \cup \{\phi\} \subseteq \mathbf{SEN}^b(\Sigma)$.

- (i) If $\phi \in \Phi$, then ϕ is an \mathcal{R} -proof of ϕ from Φ . So $\phi \in C_\Sigma^\mathcal{R}(\Phi)$ and $C^\mathcal{R}$ is inflationary.
- (ii) If $\Phi \subseteq \Psi$ and $\phi \in C_\Sigma^\mathcal{R}(\Phi)$, then, there exists an \mathcal{R} -proof of ϕ from Φ . The same sequence is then an \mathcal{R} -proof of ϕ from Ψ . So $\phi \in C_\Sigma^\mathcal{R}(\Psi)$ and $C^\mathcal{R}$ is monotone.
- (iii) Suppose $\phi \in C_\Sigma^\mathcal{R}(C_\Sigma^\mathcal{R}(\Phi))$. Then, there exists an \mathcal{R} -proof of ϕ from $C_\Sigma^\mathcal{R}(\Phi)$, say

$$\phi_0, \phi_1, \dots, \phi_{n-1}, \phi_n = \phi.$$

Then, for each $\phi_i \in C_\Sigma^\mathcal{R}(\Phi)$, there exists an \mathcal{R} -proof of ϕ_i from Φ . For each such ϕ_i , we insert its \mathcal{R} -proof from Φ in its place in the sequence. The new sequence is an \mathcal{R} -proof of ϕ from Φ . Thus, we get that $\phi \in C_\Sigma^\mathcal{R}(\Phi)$ and $C^\mathcal{R}$ is also idempotent.

- (iv) Finally, it remains to show structurality. Let $\Sigma, \Sigma' \in |\mathbf{Sign}^b|$, $f \in \mathbf{Sign}^b(\Sigma, \Sigma')$ and $\Phi \cup \{\phi\} \subseteq \text{SEN}^b(\Sigma)$, such that $\phi \in C_{\Sigma}^{\mathcal{R}}(\Phi)$. Let $\phi_0, \phi_1, \dots, \phi_{n-1}, \phi_n = \phi$ be an \mathcal{R} -proof of ϕ from Φ . We consider the sequence

$$\text{SEN}^b(f)(\phi_0), \text{SEN}^b(f)(\phi_1), \dots, \text{SEN}^b(f)(\phi_{n-1}), \text{SEN}^b(f)(\phi_n).$$

Then $\text{SEN}^b(f)(\phi_n) = \text{SEN}^b(f)(\phi)$ and, moreover, for all $i \leq n$, if $\phi_i \in \Phi$, then $\text{SEN}^b(f)(\phi_i) \in \text{SEN}^b(f)(\Phi)$, and, if ϕ_i \mathcal{R} -follows from $\{\phi_0, \phi_1, \dots, \phi_{i-1}\}$, for some $R \in \mathcal{R}$, then $\text{SEN}^b(f)(\phi_i)$ \mathcal{R} -follows from $\{\text{SEN}^b(f)(\phi_0), \text{SEN}^b(f)(\phi_1), \dots, \text{SEN}^b(f)(\phi_{i-1})\}$ because of the naturality of R . So, the displayed sequence is an \mathcal{R} -proof of $\text{SEN}^b(f)(\phi)$ from $\text{SEN}^b(f)(\Phi)$ and $C^{\mathcal{R}}$ is also structural.

We conclude that $C^{\mathcal{R}}$ is a closure system on \mathbf{F} . ■

We denote by $\mathcal{I}^{\mathcal{R}} = \langle \mathbf{F}, C^{\mathcal{R}} \rangle$ the π -institution corresponding to $C^{\mathcal{R}}$.

In general, given a π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$, we say that \mathcal{I} is **rule based** if there exists a collection \mathcal{R} of \mathbf{F} -rules, such that $C = C^{\mathcal{R}}$.

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, \mathcal{R} a collection of \mathbf{F} -rules, $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, with $\mathbf{A} = \langle \mathbf{Sign}, \text{SEN}, N \rangle$, an \mathbf{F} -algebraic system and $T \in \text{SenFam}(\mathcal{A})$. We say that T is **closed under \mathcal{R}** or is **\mathcal{R} -closed** if, for all $R = \langle P, \rho \rangle \in \mathcal{R}$, all $\Sigma \in |\mathbf{Sign}^b|$ and all $\tilde{\chi} \in \text{SEN}(\Sigma)$,

$$P_{\Sigma}^{\mathbf{A}}(\tilde{\chi}) \subseteq T_{\Sigma} \quad \text{implies} \quad \rho_{\Sigma}^{\mathbf{A}}(\tilde{\chi}) \in T_{\Sigma}.$$

This terminology allows the following elegant characterization of $\mathcal{I}^{\mathcal{R}}$ -filter families of \mathcal{A} .

Proposition 1779 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, \mathcal{R} a collection of \mathbf{F} -rules, $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, with $\mathbf{A} = \langle \mathbf{Sign}, \text{SEN}, N \rangle$, an \mathbf{F} -algebraic system and $T \in \text{SenFam}(\mathcal{A})$. Then $T \in \text{FiFam}^{\mathcal{I}^{\mathcal{R}}}(\mathcal{A})$ if and only if T is \mathcal{R} -closed.*

Proof: Assume, first, that $T \in \text{FiFam}^{\mathcal{I}^{\mathcal{R}}}(\mathcal{A})$, $R = \langle P, \rho \rangle \in \mathcal{R}$ and, using surjectivity of $\langle F, \alpha \rangle$, let $\Sigma \in |\mathbf{Sign}^b|$ and $\tilde{\chi} \in \text{SEN}^b(\Sigma)$, such that

$$P_{F(\Sigma)}^{\mathbf{A}}(\alpha_{\Sigma}(\tilde{\chi})) \subseteq T_{F(\Sigma)}.$$

Then we get $\alpha_{\Sigma}(P_{\Sigma}(\tilde{\chi})) \subseteq T_{F(\Sigma)}$. Since, by the definition of $C^{\mathcal{I}^{\mathcal{R}}}$, $\rho_{\Sigma}(\tilde{\chi}) \in C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}}(P_{\Sigma}(\tilde{\chi}))$ and, by hypothesis, $T \in \text{FiFam}^{\mathcal{I}^{\mathcal{R}}}(\mathcal{A})$, we get $\alpha_{\Sigma}(\rho_{\Sigma}(\tilde{\chi})) \in T_{F(\Sigma)}$ or, equivalently, $\rho_{F(\Sigma)}^{\mathbf{A}}(\alpha_{\Sigma}(\tilde{\chi})) \in T_{F(\Sigma)}$. Thus, T is \mathcal{R} -closed.

Suppose, conversely, that $T \in \text{SenFam}(\mathcal{A})$ is \mathcal{R} -closed. Let $\Sigma \in |\mathbf{Sign}^b|$ and $\Phi \cup \{\phi\} \subseteq \text{SEN}^b(\Sigma)$, such that $\phi \in C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}}(\Phi)$ and consider $\Sigma' \in |\mathbf{Sign}^b|$ and $f \in \mathbf{Sign}^b(\Sigma, \Sigma')$, such that

$$\alpha_{\Sigma'}(\text{SEN}^b(f)(\Phi)) \subseteq T_{F(\Sigma')}.$$

Since $\phi \in C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}}(\Phi)$, there exists an \mathcal{R} -proof of ϕ from Φ , say

$$\phi_0, \phi_1, \dots, \phi_{n-1}, \phi_n = \phi.$$

We prove by induction on $i \leq n$ that, every member of the sequence

$$\alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_0)), \alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_1)), \dots, \\ \alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_{n-1})), \alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_n))$$

belongs to $T_{F(\Sigma')}$. The case $i = n$, will yield the desired conclusion.

First, if $\phi_i \in \Phi$, then $\alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_i)) \in \alpha_{\Sigma'}(\text{SEN}^b(f)(\Phi)) \subseteq T_{F(\Sigma')}$, where the latter inclusion holds by hypothesis.

Suppose, on the other hand, that ϕ_i \mathcal{R} -follows from $\{\phi_0, \phi_1, \dots, \phi_{i-1}\}$, for some $R = \langle P, \rho \rangle \in \mathcal{R}$. Thus, there exists $\vec{\chi} \in \text{SEN}^b(\Sigma)$, such that

$$P_{\Sigma}(\vec{\chi}) \subseteq \{\phi_0, \phi_1, \dots, \phi_{i-1}\} \quad \text{and} \quad \rho_{\Sigma}(\vec{\chi}) = \phi_i.$$

But then

$$\begin{aligned} P_{F(\Sigma')}^A(\alpha_{\Sigma'}(\text{SEN}^b(f)(\vec{\chi}))) &= \alpha_{\Sigma'}(\text{SEN}^b(f)(P_{\Sigma}(\vec{\chi}))) \\ &\subseteq \alpha_{\Sigma'}(\text{SEN}^b(f)(\{\phi_0, \dots, \phi_{i-1}\})) \\ &\subseteq T_{F(\Sigma')}, \end{aligned}$$

where the last inclusion follows by the induction hypothesis, and, hence, since T is \mathcal{R} -closed, we get that $\alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_i)) = \alpha_{\Sigma'}(\text{SEN}^b(f)(\rho_{\Sigma}(\vec{\chi}))) = \rho_{F(\Sigma')}^A(\alpha_{\Sigma'}(\text{SEN}^b(f)(\vec{\chi}))) \in T_{F(\Sigma')}$. This concludes the induction step and shows that, for all $i \leq n$, $\alpha_{\Sigma'}(\text{SEN}^b(f)(\phi_i)) \in T_{F(\Sigma')}$. \blacksquare

In addition, we can characterize $\mathcal{I}^{\mathcal{R}}$ -filter families generated by a given sentence family as follows.

Proposition 1780 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, \mathcal{R} a collection of \mathbf{F} -rules, $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, with $\mathbf{A} = \langle \mathbf{Sign}, \text{SEN}, N \rangle$, an \mathbf{F} -algebraic system and $X \in \text{SenFam}(\mathcal{A})$. Then, for all $\Sigma \in |\mathbf{Sign}|$,*

$$C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}, \mathcal{A}}(X) = \{\phi \in \text{SEN}(\Sigma) : X_{\Sigma} \vdash_{\Sigma}^{\mathcal{R}} \phi\}.$$

Proof: Define $T = \{T_{\Sigma}\}_{\Sigma \in |\mathbf{Sign}|}$, by letting, for all $\Sigma \in |\mathbf{Sign}|$,

$$T_{\Sigma} = \{\phi \in \text{SEN}(\Sigma) : X_{\Sigma} \vdash_{\Sigma}^{\mathcal{R}} \phi\}.$$

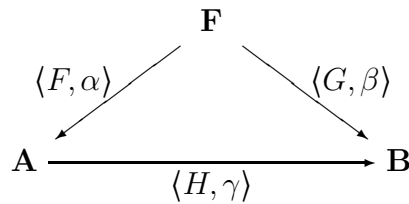
It is not difficult to see that $X \leq T$ and T is \mathcal{R} -closed. Thus, by Proposition 1779, $C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}, \mathcal{A}}(X) \leq T$. On the other hand, if $T' \in \text{SenFam}(\mathcal{I})$ contains X and is \mathcal{R} -closed, then $T \leq T'$. Therefore, we conclude that $T \leq C_{\Sigma}^{\mathcal{I}^{\mathcal{R}}, \mathcal{A}}(X)$. Equality now follows. \blacksquare

24.2 Operators on Classes of Matrix Families

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be a base algebraic system. Recall that an \mathbf{F} -algebraic system is a pair $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, where $\mathbf{A} = \langle \mathbf{Sign}, \mathbf{SEN}, N \rangle$ is an N^b -algebraic system and $\langle F, \alpha \rangle : \mathbf{F} \rightarrow \mathbf{A}$ is a surjective N^b -algebraic system morphism. Recall, also, that an \mathbf{F} -matrix family is a pair $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, where \mathcal{A} is an \mathbf{F} -algebraic system and $T \in \text{SenFam}(\mathbf{A})$ is a sentence family on \mathbf{A} .

We define now some class operators on classes of \mathbf{F} -matrix families, i.e., operators that, given, as input a class of \mathbf{F} -matrix families, produce a new class of \mathbf{F} -matrix families.

Given \mathbf{F} -algebraic systems $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$ and $\mathcal{B} = \langle \mathbf{B}, \langle G, \beta \rangle \rangle$, and \mathbf{F} -matrix families $\mathfrak{A} = \langle \mathcal{A}, T \rangle$ and $\mathfrak{B} = \langle \mathcal{B}, T' \rangle$, we say that \mathfrak{B} is a **morphic image** of \mathfrak{A} and write $\mathfrak{B} \in \mathbf{M}(\mathfrak{A})$, if there exists a surjective morphism $\langle H, \gamma \rangle : \mathcal{A} \rightarrow \mathcal{B}$ (that is, such that $\langle G, \beta \rangle = \langle H, \gamma \rangle \circ \langle F, \alpha \rangle$)



such that

$$\gamma^{-1}(T') = T.$$

In this case, we call \mathfrak{A} an **inverse morphic image** or a **morphic preimage** of \mathfrak{B} and write $\mathfrak{A} \in \mathbf{M}^{-1}(\mathfrak{B})$.

Given a class \mathbf{M} of \mathbf{F} -matrix families, we write $\mathfrak{B} \in \mathbf{M}(\mathbf{M})$ if there exists $\mathfrak{A} \in \mathbf{M}$, such that $\mathfrak{B} \in \mathbf{M}(\mathfrak{A})$.

Similarly, we write $\mathfrak{A} \in \mathbf{M}^{-1}(\mathbf{M})$ if there exists $\mathfrak{B} \in \mathbf{M}$, such that $\mathfrak{A} \in \mathbf{M}^{-1}(\mathfrak{B})$.

It is not difficult to show that both \mathbf{M} and \mathbf{M}^{-1} are closure operators on the collection of all \mathbf{F} -matrix families.

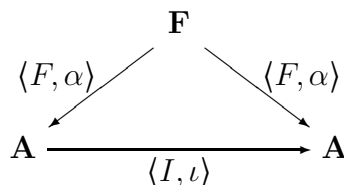
Lemma 1781 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\mathbf{M}, \mathbf{M}^{-1} : \mathcal{P}(\text{MatFam}(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}(\mathbf{F}))$$

are closure operators on $\text{MatFam}(\mathbf{F})$.

Proof: We prove the statement for \mathbf{M} in detail. The proof for \mathbf{M}^{-1} is similar.

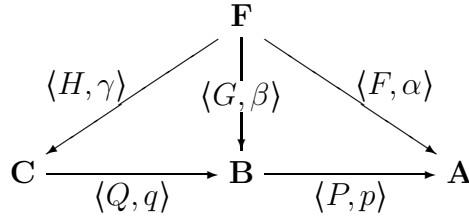
Suppose, first, that \mathbf{M} is a class of \mathbf{F} -matrix families and $\mathfrak{A} \in \mathbf{M}$. Then, the diagram



where $\langle I, \iota \rangle : \mathcal{A} \rightarrow \mathcal{A}$ is the identity morphism, shows that $\mathfrak{A} \in \mathbb{M}(\mathbb{M})$. Therefore, \mathbb{M} is inflationary.

Monotonicity is obvious, since, if \mathbb{M}, \mathbb{N} are classes of \mathbf{F} -matrix families, such that $\mathbb{M} \subseteq \mathbb{N}$, and $\mathfrak{A} \in \mathbb{M}(\mathbb{M})$, then, by definition, $\mathfrak{A} \in \mathbb{M}(\mathfrak{B})$, with $\mathfrak{B} \in \mathbb{M}$. But then, since $\mathbb{M} \subseteq \mathbb{N}$, $\mathfrak{A} \in \mathbb{M}(\mathfrak{B})$, with $\mathfrak{B} \in \mathbb{N}$ and, again, by definition, $\mathfrak{A} \in \mathbb{M}(\mathbb{N})$. Thus, we have $\mathbb{M}(\mathbb{M}) \subseteq \mathbb{M}(\mathbb{N})$.

Finally, assume that \mathbb{M} is a class of \mathbf{F} -matrix families and $\mathfrak{A} \in \mathbb{M}(\mathbb{M}(\mathbb{M}))$. Then, there exists $\mathfrak{B} \in \mathbb{M}(\mathbb{M})$, such that $\mathfrak{A} \in \mathbb{M}(\mathfrak{B})$. Furthermore, there exists $\mathfrak{C} \in \mathbb{M}$, such that $\mathfrak{B} \in \mathbb{M}(\mathfrak{C})$. But these two statements combined reveal the existence of the following diagram, in which the two small triangles commute.



As a result, the big triangle also commutes and this ensures that $\mathfrak{A} \in \mathbb{M}(\mathfrak{C})$, which yields $\mathfrak{A} \in \mathbb{M}(\mathbb{M})$. ■

Next, we introduce another class operator on classes of \mathbf{F} -matrix families.

Let $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$ be an \mathbf{F} -algebraic system and $\mathfrak{A}^i = \langle \mathcal{A}, T^i \rangle$, $i \in I$, a collection of \mathbf{F} -matrix families, all over \mathcal{A} . Define the **intersection** of the \mathfrak{A}^i , $i \in I$, as the \mathbf{F} -matrix family, with the same underlying \mathbf{F} -algebraic system \mathcal{A} and with filter family the intersection of the T^i 's; more formally

$$\bigcap_{i \in I} \mathfrak{A}^i = \langle \mathcal{A}, \bigcap_{i \in I} T^i \rangle.$$

Given a class \mathbb{M} of \mathbf{F} -matrix families and an \mathbf{F} -matrix family \mathfrak{B} , we write $\mathfrak{B} \in \text{III}(\mathbb{M})$ if \mathfrak{B} is the intersection of members of \mathbb{M} , i.e., $\mathfrak{B} = \bigcap_{i \in I} \mathfrak{A}^i$, with $\mathfrak{A}^i \in \mathbb{M}$, for all $i \in I$.

Again, it is not difficult to show that **III** is a closure operator on the collection of \mathbf{F} -matrix families.

Lemma 1782 *Let $\mathbf{F} = \langle \text{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\text{III} : \mathcal{P}(\text{MatFam}(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}(\mathbf{F}))$$

is a closure operator on $\text{MatFam}(\mathbf{F})$.

Proof: To show inflationarity, notice that, trivially, for all $\mathfrak{A} \in \mathbb{M}$, $\mathfrak{A} = \bigcap \{\mathfrak{A}\}$, whence $\mathfrak{A} \in \text{III}(\mathbb{M})$.

Monotonicity is straightforward, since, if $\mathbb{M} \subseteq \mathbb{N}$ and $\mathfrak{A} \in \text{III}(\mathbb{M})$, then $\mathfrak{A} = \bigcap_{i \in I} \mathfrak{A}^i$, with $\mathfrak{A}^i \in \mathbb{M}$, for all $i \in I$, and, hence, $\mathfrak{A} = \bigcap_{i \in I} \mathfrak{A}^i$, with $\mathfrak{A}^i \in \mathbb{N}$, for all $i \in I$. So $\mathfrak{A} \in \text{III}(\mathbb{N})$.

Finally, for transitivity, if $\mathfrak{A} \in \text{III}(\text{III}(\mathbf{M}))$, then $\mathfrak{A} = \bigcap_{i \in I} \mathfrak{A}^i$, where $\mathfrak{A}^i \in \text{III}(\mathbf{M})$, for all $i \in I$. Thus, for all $i \in I$, $\mathfrak{A}^i = \bigcap_{j \in J_i} \mathfrak{A}^{ij}$, where $\mathfrak{A}^{ij} \in \mathbf{M}$, for all $j \in J_i$. Therefore, we get

$$\mathfrak{A} = \bigcap_{i \in I} \mathfrak{A}^i = \bigcap_{i \in I} \bigcap_{j \in J_i} \mathfrak{A}^{ij},$$

where $\mathfrak{A}^{ij} \in \mathbf{M}$, for all $i \in I$, $j \in J_i$, and, hence, $\mathfrak{A} \in \text{III}(\mathbf{M})$. \blacksquare

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a class of \mathbf{F} -matrix families. Recall the closure system $C^{\mathbf{M}} : \mathcal{P}\text{SEN}^b \rightarrow \mathcal{P}\text{SEN}^b$ on \mathbf{F} generated by \mathbf{M} . It is defined, for all $\Sigma \in |\mathbf{Sign}^b|$ and all $\Phi \cup \{\phi\} \subseteq \text{SEN}^b(\Sigma)$, by $\phi \in C_{\Sigma}^{\mathbf{M}}(\Phi)$ if and only if, for all $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \mathbf{M}$, all $\Sigma' \in |\mathbf{Sign}^b|$, all $f \in \mathbf{Sign}^b(\Sigma, \Sigma')$,

$$\alpha_{\Sigma'}(\text{SEN}^b(f)(\Phi)) \subseteq T_{F(\Sigma')} \quad \text{implies} \quad \alpha_{\Sigma'}(\text{SEN}^b(f)(\phi)) \in T_{F(\Sigma')}.$$

$\mathcal{I}^{\mathbf{M}} = \langle \mathbf{F}, C^{\mathbf{M}} \rangle$ denotes the corresponding π -institution generated by \mathbf{M} .

Now, given a π -institution \mathcal{I} , one can consider its matrix family models, i.e., those \mathbf{F} -matrix families \mathfrak{A} , such that

$$\mathcal{I} \leq \mathcal{I}^{\mathfrak{A}}.$$

Doing this for the specific π -institution $\mathcal{I}^{\mathbf{M}}$, generated by the class \mathbf{M} of \mathbf{F} -matrix families, we consider the class $\text{MatFam}(\mathcal{I}^{\mathbf{M}})$ of $\mathcal{I}^{\mathbf{M}}$ -matrix families. Clearly, since, for every $\mathfrak{A} \in \mathbf{M}$, $C^{\mathbf{M}} \leq C^{\mathfrak{A}}$,

$$\mathbf{M} \subseteq \text{MatFam}(\mathcal{I}^{\mathbf{M}}).$$

In the spirit of many classical problems in universal algebraic logic, the following question naturally arises:

Characterize $\text{MathFam}(\mathcal{I}^{\mathbf{M}})$, i.e., find a list of operators on classes of \mathbf{F} -matrix families so that, when applied to \mathbf{M} consecutively, they generate the class $\text{MatFam}(\mathcal{I}^{\mathbf{M}})$.

Our goal here is to show that the list of operators that are needed consists of MIIIIM^{-1} , i.e., that, given any class \mathbf{M} of \mathbf{F} -matrix families, we have

$$\text{MatFam}(\mathcal{I}^{\mathbf{M}}) = \text{MIIIIM}^{-1}(\mathbf{M}).$$

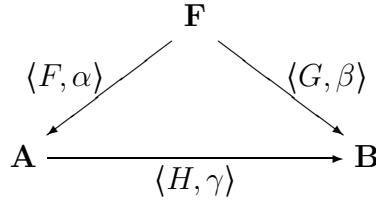
We start by showing that applying each of the three operators to classes of matrix family models of a π -institution \mathcal{I} always results in classes of the same character.

Proposition 1783 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} .*

- (a) $\mathbf{M}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I})$;
 (b) $\mathbf{III}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I})$;
 (c) $\mathbf{M}^{-1}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I})$.

Proof:

- (a) Let $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \text{MatFam}(\mathcal{I})$ and consider a surjective morphism $\langle H, \gamma \rangle : \mathfrak{A} \rightarrow \mathfrak{B}$, where $\mathfrak{B} = \langle \mathcal{B}, T' \rangle$, as in the diagram.

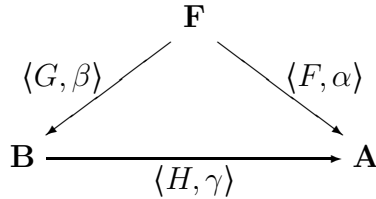


We now have

$$\beta^{-1}(T') = \alpha^{-1}(\gamma^{-1}(T')) = \alpha^{-1}(T) \in \text{ThFam}(\mathcal{I}),$$

where the last membership follows by the hypothesis and Lemma 51. Thus, again by Lemma 51, we get that $T' \in \text{FiFam}^{\mathcal{I}}(\mathcal{B})$ and, hence, $\mathfrak{B} \in \text{MatFam}(\mathcal{I})$.

- (b) Suppose, next, that $\mathfrak{A}^i = \langle \mathcal{A}, T^i \rangle$, $i \in I$, are \mathcal{I} -matrix families. Then $T^i \in \text{FiFam}^{\mathcal{I}}(\mathcal{A})$, for all $i \in I$. Since the collection $\text{FiFam}^{\mathcal{I}}(\mathcal{A})$ forms a closure system on \mathcal{A} , it follows that $\bigcap_{i \in I} T^i \in \text{FiFam}^{\mathcal{I}}(\mathcal{A})$. Thus, we get that $\bigcap_{i \in I} \mathfrak{A}^i \in \text{MatFam}(\mathcal{I})$. So $\text{MatFam}(\mathcal{I})$ is closed under \mathbf{III} .
- (c) Let $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \text{MatFam}(\mathcal{I})$ and consider a surjective morphism $\langle H, \gamma \rangle : \mathfrak{B} \rightarrow \mathfrak{A}$, where $\mathfrak{B} = \langle \mathcal{B}, T' \rangle$, as in the diagram.



We now have

$$\beta^{-1}(T') = \beta^{-1}(\gamma^{-1}(T)) = \alpha^{-1}(T) \in \text{ThFam}(\mathcal{I}),$$

where the last membership follows by the hypothesis and Lemma 51. Thus, again by Lemma 51, we get that $T' \in \text{FiFam}^{\mathcal{I}}(\mathcal{B})$ and, hence, $\mathfrak{B} \in \text{MatFam}(\mathcal{I})$. ■

Proposition 1783 gives

Corollary 1784 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Then*

$$\text{MIIM}^{-1}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I}).$$

Proof: We have, using Proposition 1783,

$$\begin{aligned} \text{MIIM}^{-1}(\text{MatFam}(\mathcal{I})) &\subseteq \text{MII}(\text{MatFam}(\mathcal{I})) \\ &\subseteq \text{M}(\text{MatFam}(\mathcal{I})) \\ &\subseteq \text{MatFam}(\mathcal{I}). \end{aligned}$$

■

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Recall that a Lindenbaum \mathcal{I} -matrix family is an \mathcal{I} -matrix family of the form $\langle \mathcal{F}, T \rangle$, where $\mathcal{F} = \langle \mathbf{F}, \langle I, \iota \rangle \rangle$ and $T \in \text{ThFam}(\mathcal{I})$. We show, next, that the class of all \mathcal{I} -matrix families can be obtained by applying the M operator on the class of all Lindenbaum matrix families, i.e., $\text{MatFam}(\mathcal{I}) = \text{M}(\text{LMatFam}(\mathcal{I}))$.

Lemma 1785 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Then*

$$\text{MatFam}(\mathcal{I}) = \text{M}(\text{LMatFam}(\mathcal{I})).$$

Proof: First, observe that, since $\text{LMatFam}(\mathcal{I}) \subseteq \text{MatFam}(\mathcal{I})$, we have, by Proposition 1783,

$$\text{M}(\text{LMatFam}(\mathcal{I})) \subseteq \text{M}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I}).$$

Suppose, conversely, that $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \text{MatFam}(\mathcal{I})$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$. Then, we have, by Lemma 51, $\alpha^{-1}(T) \in \text{ThFam}(\mathcal{I})$. Hence, $\langle \mathcal{F}, \alpha^{-1}(T) \rangle \in \text{LMatFam}(\mathcal{I})$. Now, it suffices to consider the surjective morphism $\langle \mathcal{F}, \alpha \rangle : \langle \mathcal{F}, \alpha^{-1}(T) \rangle \rightarrow \mathfrak{A}$

$$\begin{array}{ccc} & \mathbf{F} & \\ \langle I, \iota \rangle \swarrow & & \searrow \langle F, \alpha \rangle \\ \mathbf{F} & \xrightarrow{\langle F, \alpha \rangle} & \mathbf{A} \end{array}$$

to conclude that $\mathfrak{A} \in \text{M}(\text{LMatFam}(\mathcal{I}))$. Therefore, we obtain $\text{MatFam}(\mathcal{I}) \subseteq \text{M}(\text{LMatFam}(\mathcal{I}))$. ■

Now, to complete our task, we turn again to the specific π -institution \mathcal{I}^{M} , generated by a given class M of \mathbf{F} -matrix families. We show that all its Lindenbaum matrix families, i.e., all matrix families of the form $\langle \mathcal{F}, T \rangle$, where $T \in \text{ThFam}(\mathcal{I}^{\text{M}})$, can be obtained by applying the operator MIIM^{-1} on the class M .

Lemma 1786 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of \mathbf{F} -matrix families. Then*

$$\mathbf{LMatFam}(\mathcal{I}^{\mathbf{M}}) \subseteq \mathbf{IIIM}^{-1}(\mathbf{M}).$$

Proof: Let $\mathfrak{F} = \langle \mathcal{F}, T \rangle \in \mathbf{LMatFam}(\mathcal{I}^{\mathbf{M}})$, i.e., $T \in \mathbf{ThFam}(\mathcal{I}^{\mathbf{M}})$. Thus, there exist $\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle \in \mathbf{M}$, with $\mathcal{A} = \langle \mathbf{A}^i, \langle F^i, \alpha^i \rangle \rangle$, $i \in I$, such that

$$T = \bigcap_{i \in I} (\alpha^i)^{-1}(T^i).$$

Consider the collection $\mathfrak{F}^i = \langle \mathcal{F}, (\alpha^i)^{-1}(T^i) \rangle$, $i \in I$. Taking into account the surjective morphisms $\langle F^i, \alpha^i \rangle : \mathfrak{F}^i \rightarrow \mathfrak{A}^i$, $i \in I$, and the fact that $\mathfrak{A}^i \in \mathbf{M}$, we conclude that $\mathfrak{F}^i \in \mathbf{M}^{-1}(\mathbf{M})$, for all $i \in I$. Finally, observing that $\mathfrak{F} = \bigcap_{i \in I} \mathfrak{F}^i$, we get that $\mathfrak{F} \in \mathbf{IIIM}^{-1}(\mathbf{M})$. Therefore, $\mathbf{LMatFam}(\mathcal{I}^{\mathbf{M}}) \subseteq \mathbf{IIIM}^{-1}(\mathbf{M})$. ■

Now we are ready to provide the promised characterization of $\mathbf{MatFam}(\mathcal{I}^{\mathbf{M}})$ in terms of \mathbf{M} and the class operators \mathbf{M} , \mathbf{II} and \mathbf{M}^{-1} , introduced in this section.

Theorem 1787 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of \mathbf{F} -matrix families. Then*

$$\mathbf{MatFam}(\mathcal{I}^{\mathbf{M}}) = \mathbf{MIIIM}^{-1}(\mathbf{M}).$$

Proof: First, since $\mathbf{M} \subseteq \mathbf{MatFam}(\mathcal{I}^{\mathbf{M}})$, we have, using Corollary 1784,

$$\mathbf{MIIIM}^{-1}(\mathbf{M}) \subseteq \mathbf{MIIIM}^{-1}(\mathbf{MatFam}(\mathcal{I}^{\mathbf{M}})) \subseteq \mathbf{MatFam}(\mathcal{I}^{\mathbf{M}}).$$

Conversely, let $\mathfrak{A} \in \mathbf{MatFam}(\mathcal{I}^{\mathbf{M}})$. Then, by Lemmas 1785 and 1786,

$$\mathfrak{A} \in \mathbf{M}(\mathbf{LMatFam}(\mathcal{I}^{\mathbf{M}})) \subseteq \mathbf{MIIIM}^{-1}(\mathbf{M}).$$

Therefore, $\mathbf{MatFam}(\mathcal{I}^{\mathbf{M}}) \subseteq \mathbf{MIIIM}^{-1}(\mathbf{M})$. ■

As a consequence of this characterization, we can also show that the operator \mathbf{MIIIM}^{-1} is a closure operator on classes of \mathbf{F} -matrix families and, moreover, given any such class \mathbf{M} , applying the operator to the class results in the smallest class of \mathbf{F} -matrix systems that contains \mathbf{M} and is closed under the operations \mathbf{M} , \mathbf{II} and \mathbf{M}^{-1} .

Theorem 1788 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of \mathbf{F} -matrix families.*

- (a) $\mathbf{MIIIM}^{-1} : \mathcal{P}(\mathbf{MatFam}(\mathbf{F})) \rightarrow \mathcal{P}(\mathbf{MatFam}(\mathbf{F}))$ is a closure operator;
- (b) $\mathbf{MIIIM}^{-1}(\mathbf{M})$ is the smallest class of \mathbf{F} -matrix families containing \mathbf{M} and closed under the operators \mathbf{M} , \mathbf{II} and \mathbf{M}^{-1} .

Proof:

- (a) Inflationarity and monotonicity follow from the corresponding properties of the three operators, which were established in Lemmas 1781 and 1782. For idempotency, we have

$$\begin{aligned}
 \text{MIIIM}^{-1}(\text{MIIIM}^{-1}(\mathbf{M})) &= \text{MIIIM}^{-1}(\text{MatFam}(\mathcal{I}^{\mathbf{M}})) \\
 &\quad (\text{by Theorem 1787}) \\
 &\subseteq \text{MatFam}(\mathcal{I}^{\mathbf{M}}) \\
 &\quad (\text{by Corollary 1784}) \\
 &= \text{MIIIM}^{-1}(\mathbf{M}). \\
 &\quad (\text{again by Theorem 1787})
 \end{aligned}$$

- (b) By Part (a), $\mathbf{M} \subseteq \text{MIIIM}^{-1}(\mathbf{M})$. Moreover, if $\mathbf{O} \in \{\mathbf{M}, \text{II}, \text{M}^{-1}\}$, then

$$\begin{aligned}
 \mathbf{O}(\text{MIIIM}^{-1}(\mathbf{M})) &= \mathbf{O}(\text{MatFam}(\mathcal{I}^{\mathbf{M}})) \quad (\text{by Theorem 1787}) \\
 &\subseteq \text{MatFam}(\mathcal{I}^{\mathbf{M}}) \quad (\text{by Corollary 1784}) \\
 &= \text{MIIIM}^{-1}(\mathbf{M}). \quad (\text{by Theorem 1787})
 \end{aligned}$$

Hence, $\text{MIIIM}^{-1}(\mathbf{M})$ is closed under all three operators. If \mathbf{N} is a class of \mathbf{F} -matrix families such that $\mathbf{M} \subseteq \mathbf{N}$ and \mathbf{N} closed under the three operators, then, clearly, $\text{MIIIM}^{-1}(\mathbf{M}) \subseteq \text{MIIIM}^{-1}(\mathbf{N}) = \mathbf{N}$. Therefore, $\text{MIIIM}^{-1}(\mathbf{M})$ is the smallest class satisfying these properties. ■

24.3 Classes of Reduced Matrix Families

Let $\mathbf{F} = \langle \text{Sign}^{\flat}, \text{SEN}^{\flat}, N^{\flat} \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Recall that $\text{LMatFam}^*(\mathcal{I})$ is the class of all reduced Lindenbaum \mathcal{I} -matrix families, i.e., all \mathbf{F} -matrix families of the form $\langle \mathcal{F}^{\Omega(T)}, T/\Omega(T) \rangle$, where $\mathcal{F} = \langle \mathbf{F}, \langle I, \iota \rangle \rangle$ and $T \in \text{ThFam}(\mathcal{I})$, and that \mathcal{I} is complete with respect to $\text{LMatFam}^*(\mathcal{I})$.

Recall, also, that $\text{MatFam}^*(\mathcal{I})$ is the collection of all reduced \mathcal{I} -matrix families, i.e., \mathbf{F} -matrix families of the form $\langle \mathcal{A}, T \rangle$, where \mathcal{A} is an \mathbf{F} -algebraic system and $T \in \text{FiFam}^{\mathcal{I}}(\mathcal{A})$, such that $\Omega^{\mathcal{A}}(T) = \Delta^{\mathcal{A}}$. Moreover, \mathcal{I} is also complete with respect to $\text{MatFam}^*(\mathcal{I})$.

Our first goal is to show that the class $\text{MatFam}^*(\mathcal{I})$ is, in fact, the class generated by applying the morphic image operator \mathbf{M} , introduced in the previous section, on the class $\text{LMatFam}^*(\mathcal{I})$.

We prove, first, that the operator

$$\mathbf{M} : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F})),$$

i.e., the operator \mathbf{M} , introduced in Section 24.2, restricted to reduced \mathbf{F} -matrix families, is also a closure operator.

Proposition 1789 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\mathbf{M} : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$$

is a closure operator on $\text{MatFam}^(\mathbf{F})$.*

Proof: Since we know, by Lemma 1781, that \mathbf{M} is inflationary, monotone and idempotent, it suffices to show that it is well-defined, i.e., that, when applied to collections of reduced \mathbf{F} -matrix families, it produces collections of the same kind. In turn, it suffices to show that, given a reduced \mathbf{F} -matrix family $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, an \mathbf{F} -matrix family $\mathfrak{A}' = \langle \mathcal{A}', T' \rangle$, with $\mathcal{A}' = \langle \mathbf{A}', \langle F', \alpha' \rangle \rangle$, and a strict surjective morphism $\langle H, \gamma \rangle : \mathfrak{A} \rightarrow \mathfrak{A}'$, then \mathfrak{A}' is also reduced.

$$\begin{array}{ccc} & \mathbf{F} & \\ \langle F, \alpha \rangle \swarrow & & \searrow \langle F', \alpha' \rangle \\ \mathbf{A} & \xrightarrow{\langle H, \gamma \rangle} & \mathbf{A}' \end{array}$$

Taking into account the surjectivity of $\langle F', \alpha' \rangle$, we reason as follows. For all $\Sigma \in |\mathbf{Sign}^b|$ and all $\phi, \psi \in \mathbf{SEN}^b(\Sigma)$, we have

$$\begin{aligned} & \langle \alpha'_\Sigma(\phi), \alpha'_\Sigma(\psi) \rangle \in \Omega_{F'(\Sigma)}^{\mathcal{A}'}(T') \\ & \text{iff } \langle \gamma_{F(\Sigma)}(\alpha_\Sigma(\phi)), \gamma_{F(\Sigma)}(\alpha_\Sigma(\psi)) \rangle \in \Omega_{G(F(\Sigma))}^{\mathcal{A}'}(T') \\ & \text{iff } \langle \alpha_\Sigma(\phi), \alpha_\Sigma(\psi) \rangle \in \gamma_{F(\Sigma)}^{-1}(\Omega_{G(F(\Sigma))}^{\mathcal{A}'}(T')) \\ & \text{iff } \langle \alpha_\Sigma(\phi), \alpha_\Sigma(\psi) \rangle \in \Omega_{F(\Sigma)}^{\mathcal{A}}(\gamma^{-1}(T')) \\ & \text{iff } \langle \alpha_\Sigma(\phi), \alpha_\Sigma(\psi) \rangle \in \Omega_{F(\Sigma)}^{\mathcal{A}}(T) \\ & \text{iff } \langle \alpha_\Sigma(\phi), \alpha_\Sigma(\psi) \rangle \in \Delta_{F(\Sigma)}^{\mathcal{A}}(T) \\ & \text{iff } \alpha_\Sigma(\phi) = \alpha_\Sigma(\psi) \\ & \text{implies } \gamma_{F(\Sigma)}(\alpha_\Sigma(\phi)) = \gamma_{F(\Sigma)}(\alpha_\Sigma(\psi)) \\ & \text{iff } \alpha'_\Sigma(\phi) = \alpha'_\Sigma(\psi). \end{aligned}$$

Therefore $\Omega^{\mathcal{A}'}(T') = \Delta^{\mathcal{A}'}$ and, hence \mathfrak{A}' is also reduced. \blacksquare

Next, we show that, given π -institution \mathcal{I} , the class $\text{MatFam}^*(\mathcal{I})$ is obtained by applying the operator \mathbf{M} on the class $\text{LMatFam}^*(\mathcal{I})$.

Proposition 1790 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Then*

$$\text{MatFam}^*(\mathcal{I}) = \mathbf{M}(\text{LMatFam}^*(\mathcal{I})).$$

Proof: The inclusion $\mathbf{M}(\text{LMatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I})$ is obtained by observing that $\text{LMatFam}^*(\mathcal{I}) \subseteq \text{MatFam}^*(\mathcal{I})$ and applying \mathbf{M} :

$$\begin{aligned} \mathbf{M}(\text{LMatFam}^*(\mathcal{I})) & \subseteq \mathbf{M}(\text{MatFam}^*(\mathcal{I})) \quad (\text{Lemma 1781}) \\ & \subseteq \text{MatFam}^*(\mathcal{I}). \quad (\text{Propositions 1783 and 1789}) \end{aligned}$$

Suppose, conversely, that $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, is a reduced \mathcal{I} -matrix family. Let $\theta = \text{Ker}(\langle F, \alpha \rangle)$ and consider the commutative diagram

$$\begin{array}{ccc}
 & \mathbf{F} & \\
 \langle I, \pi^\theta \rangle \swarrow & & \searrow \langle F, \alpha \rangle \\
 \mathbf{F}^\theta & \xrightarrow{\langle F, \alpha^\theta \rangle} & \mathbf{A}
 \end{array}$$

where, for all $\Sigma \in |\mathbf{Sign}^b|$ and all $\phi \in \text{SEN}^b(\Sigma)$,

$$\alpha_\Sigma^\theta(\phi/\theta_\Sigma) = \alpha_\Sigma(\phi).$$

It now suffices to show that $\mathfrak{F} := \langle \mathcal{F}^\theta, \alpha^{-1}(T)/\theta \rangle \in \text{LMatFam}^*(\mathcal{I})$. First, note that since $\mathfrak{A} \in \text{MatFam}^*(\mathcal{I}) \subseteq \text{MatFam}(\mathcal{I})$, then

$$\mathfrak{F} \in \mathbb{M}^{-1}(\text{MatFam}(\mathcal{I})) \subseteq \text{MatFam}(\mathcal{I}),$$

by Proposition 1783. So it suffices to show that $\Omega^{\mathcal{F}^\theta}(\alpha^{-1}(T)/\theta) = \Delta^{\mathcal{F}^\theta}$. We have

$$\begin{aligned}
 \Omega^{\mathcal{F}^\theta}(\alpha^{-1}(T)/\theta) &= \Omega^{\mathcal{F}^\theta}((\alpha^\theta)^{-1}(T)) \\
 &= (\alpha^\theta)^{-1}(\Omega^{\mathcal{A}}(T)) \\
 &= (\alpha^\theta)^{-1}(\Delta^{\mathcal{A}}) \\
 &= \text{Ker}(\langle F, \alpha^\theta \rangle) = \Delta^{\mathcal{F}^\theta}.
 \end{aligned}$$

Now we conclude that $\mathfrak{A} \in \mathbb{M}(\text{LMatFam}^*(\mathcal{I}))$. ■

Consider, again, a base algebraic system $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ and a collection \mathbb{M} of reduce \mathbf{F} -matrix families. We pose now a problem similar to that posed in Section 24.2, but for classes of reduced matrix families.

Characterize the class $\text{MatFam}^*(\mathcal{I})$, i.e., find a list of operators on classes of reduced \mathbf{F} -matrix families so that, when applied to \mathbb{M} consecutively, they generate the class $\text{MatFam}^*(\mathcal{I}^{\mathbb{M}})$.

Unlike the operator \mathbb{M} that, when applied to reduced matrix families yields reduced matrix families, the other two operators that we considered in Section 24.2, namely \mathbb{III} and \mathbb{M}^{-1} , do not share this property. So to “localize” them to reduced matrix families, we must take the output classes of \mathbf{F} -matrix families that they produce and “reduce” them so that the output produced becomes a collection of reduced \mathbf{F} -matrix families. According to this scheme, we consider the following operators, induced by the operators \mathbb{III} and \mathbb{M}^{-1} on class of matrix families, introduced in Section 24.2.

- $\mathbb{III}^* : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$ is given, by setting, for all $\mathbb{M} \subseteq \text{MatFam}^*(\mathbf{F})$,

$$\mathbb{III}^*(\mathbb{M}) = \{\mathfrak{A}^* : \mathfrak{A} \in \mathbb{III}(\mathbb{M})\};$$

- $\mathbb{M}^{-1*} : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$ is given, by setting, for all $\mathbb{M} \subseteq \text{MatFam}^*(\mathbf{F})$,

$$\mathbb{M}^{-1*}(\mathbb{M}) = \{\mathfrak{A}^* : \mathfrak{A} \in \mathbb{M}^{-1}(\mathbb{M})\}.$$

It is not very difficult to prove that both III^* and \mathbb{M}^{-1*} are closure operators on the class of reduced \mathbf{F} -matrix families.

Proposition 1791 *Let $\mathbf{F} = \langle \text{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\text{III}^* : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$$

is a closure operator on $\text{MatFam}^(\mathbf{F})$.*

Proof: Let $\mathbb{M} \subseteq \text{MatFam}^*(\mathbf{F})$ and $\mathfrak{A} \in \mathbb{M}$. Then, by Proposition 1782, $\mathfrak{A} \in \text{III}(\mathbb{M})$ and, as \mathfrak{A} is reduced, we get $\mathfrak{A} \in \text{III}^*(\mathbb{M})$. Thus, III^* is inflationary.

Suppose, next, that $\mathbb{M} \subseteq \mathbb{N} \subseteq \text{MatFam}^*(\mathbf{F})$ and $\mathfrak{A} \in \text{III}^*(\mathbb{M})$. Then $\mathfrak{A} = (\bigcap_{i \in I} \mathfrak{A}^i)^*$, with $\mathfrak{A}^i \in \mathbb{M}$, for all $i \in I$. But then, since $\mathbb{M} \subseteq \mathbb{N}$, $\mathfrak{A} = (\bigcap_{i \in I} \mathfrak{A}^i)^*$, with $\mathfrak{A}^i \in \mathbb{N}$, for all $i \in I$, and, hence, $\mathfrak{A} \in \text{III}^*(\mathbb{N})$. Therefore III^* is also monotone.

Suppose, finally, that $\mathbb{M} \subseteq \text{MatFam}^*(\mathbf{F})$ and that $\mathfrak{A} \in \text{III}^*(\text{III}^*(\mathbb{M}))$. Then $\mathfrak{A} = (\bigcap_{i \in I} \mathfrak{A}^i)^*$, where $\mathfrak{A}^i \in \text{III}^*(\mathbb{M})$. Hence, for all $i \in I$, $\mathfrak{A}^i = (\bigcap_{j \in J_i} \mathfrak{A}^{ij})^*$, where $\mathfrak{A}^{ij} \in \mathbb{M}$, for all $i \in I$ and all $j \in J_i$. Now note the following:

- For every $i \in I$, for $\bigcap_{j \in J_i} \mathfrak{A}^{ij}$ to be defined, we must have $\mathfrak{A}^{ij} = \langle \mathcal{A}^i, T^{ij} \rangle$, for all $j \in J_i$.
- For $\bigcap_{i \in I} \mathfrak{A}^i = \bigcap_{i \in I} (\bigcap_{j \in J_i} \mathfrak{A}^{ij})^*$ to be defined, we must have, for all $i \in I$, $\mathcal{A}^i = \mathcal{A}$, for some \mathbf{F} -algebraic system \mathcal{A} , and, moreover, for all $i \in I$, $\Omega^{\mathcal{A}}(\bigcap_{j \in J_i} T^{ij}) = \theta$, for some $\theta \in \text{ConSys}(\mathcal{A})$.

Under these restrictions, it is easy to show that

$$\langle I, \pi \rangle : \langle \mathcal{A}, \bigcap_{i \in I} \bigcap_{j \in J_i} T^{ij} \rangle \rightarrow \mathcal{A}^\theta / \Omega^{\mathcal{A}^\theta} \left(\bigcap_{i \in I} \left(\bigcap_{j \in J_i} T^{ij} \right) / \theta \right)$$

defined, for all $\Sigma \in |\text{Sign}|$ and all $\phi \in \text{SEN}(\Sigma)$, by

$$\pi_\Sigma(\phi) = (\phi / \theta_\Sigma) / \Omega_\Sigma^{\mathcal{A}^\theta} \left(\bigcap_{i \in I} \left(\bigcap_{j \in J_i} T^{ij} \right) / \theta \right),$$

is a strict surjective matrix morphism, with kernel

$$\text{Ker}(\langle I, \pi \rangle) = \Omega^{\mathcal{A}} \left(\bigcap_{i \in I} \bigcap_{j \in J_i} T^{ij} \right).$$

Therefore, we get an isomorphism

$$\mathfrak{A} / \Omega^{\mathcal{A}} \left(\bigcap_{i \in I} \bigcap_{j \in J_i} T^{ij} \right) \cong (\mathfrak{A}^\theta) / \Omega^{\mathcal{A}^\theta} \left(\bigcap_{i \in I} \left(\bigcap_{j \in J_i} T^{ij} \right) / \theta \right).$$

We conclude that $\mathfrak{A} \in \text{III}^*(\mathbb{M})$ and, therefore, III^* is also idempotent. \blacksquare

To show that \mathbb{M}^{-1*} is a closure operator, we employ a lemma to the effect that, given a class \mathbb{M} of reduced \mathbf{F} -matrix families, $\mathbb{M}^{-1*}(\mathbb{M}) \subseteq \mathbb{M}^{-1}(\mathbb{M})$.

Lemma 1792 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. For every \mathbf{F} -matrix family $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, every reduced \mathbf{F} -matrix family $\mathfrak{A}' = \langle \mathcal{A}', T' \rangle$ and strict surjective morphism $\langle H, \gamma \rangle : \mathfrak{A} \rightarrow \mathfrak{A}'$, there exists a strict surjective morphism $\langle H, \gamma^* \rangle : \mathfrak{A}^* \rightarrow \mathfrak{A}'$, such that the following triangle commutes,*

$$\begin{array}{ccc} \mathfrak{A} & \xrightarrow{\langle H, \gamma \rangle} & \mathfrak{A}' \\ & \searrow \langle I, \pi \rangle & \nearrow \langle H, \gamma^* \rangle \\ & & \mathfrak{A}^* \end{array}$$

where $\langle I, \pi \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^*$ is the quotient morphism.

Proof: We define $\gamma^* : \mathbf{SEN}^* \rightarrow \mathbf{SEN}' \circ H$ by setting, for all $\Sigma \in |\mathbf{Sign}|$, and all $\phi \in \mathbf{SEN}(\Sigma)$,

$$\gamma_{\Sigma}^*(\phi^*) = \gamma_{\Sigma}(\phi).$$

This makes sense, since, for all $\Sigma \in |\mathbf{Sign}|$ and all $\phi, \psi \in \mathbf{SEN}(\Sigma)$, such that $\phi^* = \psi^*$, we have $\langle \phi, \psi \rangle \in \Omega_{\Sigma}^{\mathcal{A}}(T) = \Omega_{\Sigma}^{\mathcal{A}}(\gamma^{-1}(T'))$, whence $\langle \phi, \psi \rangle \in \gamma_{\Sigma}^{-1}(\Omega_{H(\Sigma)}^{\mathcal{A}'}(T'))$ and, hence, $\langle \gamma_{\Sigma}(\phi), \gamma_{\Sigma}(\psi) \rangle \in \Delta_{H(\Sigma)}^{\mathcal{A}'}$, i.e., $\gamma_{\Sigma}(\phi) = \gamma_{\Sigma}(\psi)$.

Moreover, $\gamma : \mathbf{SEN}^* \rightarrow \mathbf{SEN} \circ H$ is a natural transformation, since, for all $\Sigma, \Sigma' \in |\mathbf{Sign}|$, all $f \in \mathbf{Sign}(\Sigma, \Sigma')$ and all $\phi \in \mathbf{SEN}(\Sigma)$,

$$\begin{array}{ccc} \mathbf{SEN}^*(\Sigma) & \xrightarrow{\gamma_{\Sigma}^*} & \mathbf{SEN}'(H(\Sigma)) \\ \mathbf{SEN}^*(f) \downarrow & & \downarrow \mathbf{SEN}'(H(f)) \\ \mathbf{SEN}^*(\Sigma') & \xrightarrow{\gamma_{\Sigma'}^*} & \mathbf{SEN}'(H(\Sigma')) \end{array}$$

$$\begin{aligned} \mathbf{SEN}'(H(f))(\gamma_{\Sigma}^*(\phi^*)) &= \mathbf{SEN}'(H(f))(\gamma_{\Sigma}(\phi)) \\ &= \gamma_{\Sigma'}(\mathbf{SEN}(f)(\phi)) \\ &= \gamma_{\Sigma'}^*(\mathbf{SEN}(f)(\phi)^*) \\ &= \gamma_{\Sigma'}^*(\mathbf{SEN}^*(g)(\phi^*)). \end{aligned}$$

Further, the triangle commutes, by the definition of $\langle H, \gamma^* \rangle$ and, finally, $\langle H, \gamma^* \rangle : \mathfrak{A}^* \rightarrow \mathfrak{A}'$ is strict since $\pi^{-1}((\gamma^*)^{-1}(T')) = \gamma^{-1}(T') = T$ and, therefore, $(\gamma^*)^{-1}(T') = \pi(T) = T^*$. \blacksquare

Now, we show \mathbf{M}^{-1*} is a closure operator.

Proposition 1793 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\mathbf{M}^{-1*} : \mathcal{P}(\mathbf{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\mathbf{MatFam}^*(\mathbf{F}))$$

is a closure operator on $\mathbf{MatFam}^(\mathbf{F})$.*

Proof: Suppose, first, that $\mathbf{M} \subseteq \text{MatFam}^*(\mathbf{F})$ and $\mathfrak{A} \in \mathbf{M}$. Then, we have, by Proposition 1781, $\mathfrak{A} \in \mathbb{M}^{-1}(\mathbf{M})$ and, since \mathfrak{A} is reduced, we get $\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbf{M})$. So \mathbb{M}^{-1*} is inflationary.

Suppose, next, that $\mathbf{M} \subseteq \mathbf{N} \subseteq \text{MatFam}^*(\mathbf{F})$ and $\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbf{M})$. Then $\mathfrak{A} = \mathfrak{B}^*$, with $\mathfrak{B} \in \mathbb{M}^{-1}(\mathbf{M})$. Thus, by Proposition 1781, we get $\mathfrak{A} = \mathfrak{B}^*$, with $\mathfrak{B} \in \mathbb{M}^{-1}(\mathbf{N})$. We conclude that $\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbf{N})$ and, therefore, \mathbb{M}^{-1*} is also monotone.

Finally, suppose that $\mathbf{M} \subseteq \text{MatFam}^*(\mathbf{F})$ and that $\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbb{M}^{-1*}(\mathbf{M}))$. Then, using Lemma 1792, we get

$$\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbb{M}^{-1*}(\mathbf{M})) \subseteq \mathbb{M}^{-1}(\mathbb{M}^{-1*}(\mathbf{M})) \subseteq \mathbb{M}^{-1}(\mathbb{M}^{-1}(\mathbf{M})) \subseteq \mathbb{M}^{-1}(\mathbf{M}),$$

and, since \mathfrak{A} is reduced, we get $\mathfrak{A} \in \mathbb{M}^{-1*}(\mathbf{M})$. Therefore \mathbb{M}^{-1*} is also idempotent. \blacksquare

We need one more operator on reduced classes of \mathbf{F} -matrix families.

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. We define

$$\overleftarrow{\mathbb{I}\mathbb{I}}^* : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$$

by setting, for all $\mathbf{M} \subseteq \text{MatFam}^*(\mathbf{F})$,

$$\overleftarrow{\mathbb{I}\mathbb{I}}^*(\mathbf{M}) = (\mathbb{I}\mathbb{I}\mathbf{M}^{-1}(\mathbf{M}))^*.$$

Note that this operator dominates both $\mathbb{I}\mathbb{I}^*$ and \mathbb{M}^{-1*} .

Proposition 1794 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. Then, for all $\mathbf{M} \subseteq \text{MatFam}^*(\mathbf{F})$,*

$$\mathbb{I}\mathbb{I}^*(\mathbf{M}) \subseteq \overleftarrow{\mathbb{I}\mathbb{I}}^*(\mathbf{M}) \quad \text{and} \quad \mathbb{M}^{-1*}(\mathbf{M}) \subseteq \overleftarrow{\mathbb{I}\mathbb{I}}^*(\mathbf{M}).$$

Proof: The proofs of both statements are parallel. We have

$$\begin{aligned} \mathbb{I}\mathbb{I}^*(\mathbf{M}) &= (\mathbb{I}\mathbb{I}(\mathbf{M}))^* & \mathbb{M}^{-1}(\mathbf{M}) &= (\mathbb{M}^{-1}(\mathbf{M}))^* \\ &\subseteq (\mathbb{I}\mathbb{I}\mathbf{M}^{-1}(\mathbf{M}))^* & &\subseteq (\mathbb{I}\mathbb{I}\mathbf{M}^{-1}(\mathbf{M}))^* \\ &= \overleftarrow{\mathbb{I}\mathbb{I}}^*(\mathbf{M}) & &= \overleftarrow{\mathbb{I}\mathbb{I}}^*(\mathbf{M}) \end{aligned}$$

where the inclusions follow from Lemmas 1781 and 1782, respectively. \blacksquare

Our next goal is to show that the list of operators that are needed to obtain the class of all reduced $\mathcal{I}^{\mathbf{M}}$ -matrix families from a class \mathbf{M} of reduced \mathbf{F} -matrix families generating a closure operator $C^{\mathbf{M}}$ (of a π -institution $\mathcal{I}^{\mathbf{M}} = \langle \mathbf{F}, C^{\mathbf{M}} \rangle$) consists of $\overleftarrow{\mathbb{M}\mathbb{I}\mathbb{I}}^*$, i.e., that, given any class \mathbf{M} of reduced \mathbf{F} -matrix families, we have

$$\text{MatFam}^*(\mathcal{I}^{\mathbf{M}}) = \overleftarrow{\mathbb{M}\mathbb{I}\mathbb{I}}^*(\mathbf{M}).$$

We start by showing that applying each of these operators to classes of reduced matrix family models of a π -institution \mathcal{I} always results in classes of the same character. This forms an analog of Proposition 1783.

Proposition 1795 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} .*

$$(a) \quad \mathbf{M}(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I});$$

$$(b) \quad \overleftarrow{\mathbf{III}}^*(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I}).$$

Proof:

(a) We have

$$\begin{aligned} \mathbf{M}(\text{MatFam}^*(\mathcal{I})) &\subseteq \mathbf{M}(\text{MatFam}(\mathcal{I})) \cap \mathbf{M}(\text{MatFam}^*(\mathbf{F})) \\ &\quad (\text{Proposition 1781}) \\ &\subseteq \text{MatFam}(\mathcal{I}) \cap \text{MatFam}^*(\mathbf{F}) \\ &\quad (\text{Propositions 1783 and 1789}) \\ &= \text{MatFam}^*(\mathcal{I}). \quad (\text{Definition}) \end{aligned}$$

(b) Similarly,

$$\begin{aligned} \overleftarrow{\mathbf{III}}^*(\text{MatFam}^*(\mathcal{I})) &= (\mathbf{IIIM}^{-1}(\text{MatFam}^*(\mathcal{I})))^* \\ &\subseteq (\mathbf{IIIM}^{-1}(\text{MatFam}(\mathcal{I})))^* \\ &\quad (\text{Lemmas 1781 and 1782}) \\ &\subseteq (\text{MatFam}(\mathcal{I}))^* \\ &\quad (\text{Proposition 1783}) \\ &= \text{MatFam}^*(\mathcal{I}). \end{aligned}$$

■

Proposition 1795, together with Proposition 1783, give the following

Corollary 1796 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} . Then*

$$\overleftarrow{\mathbf{MIII}}^*(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I}).$$

Proof: We have, using Propositions 1783 and 1795,

$$\begin{aligned} \overleftarrow{\mathbf{MIII}}^*(\text{MatFam}^*(\mathcal{I})) &\subseteq \mathbf{M}(\text{MatFam}^*(\mathcal{I})) \\ &\subseteq \text{MatFam}^*(\mathcal{I}). \end{aligned}$$

■

In order to establish our final result, we must show that, given a class \mathbf{M} of reduced \mathbf{F} -matrix families, all reduced Lindenbaum matrix families of the π -institution $\mathcal{I}^{\mathbf{M}}$, i.e., all matrix families of the form $\langle \mathcal{F}/\Omega(T), T/\Omega(T) \rangle$, where $T \in \text{ThFam}(\mathcal{I}^{\mathbf{M}})$, can be obtained by applying the operator $\overleftarrow{\mathbf{MIII}}^*$ on the class \mathbf{M} .

Lemma 1797 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of reduced \mathbf{F} -matrix families. Then*

$$\text{LMatFam}^*(\mathcal{I}^{\mathbf{M}}) \subseteq \overleftarrow{\mathbb{M}}^*(\mathbf{M}).$$

Proof: we have

$$\begin{aligned} \text{LMatFam}^*(\mathcal{I}^{\mathbf{M}}) &= (\text{LMatFam}(\mathcal{I}^{\mathbf{M}}))^* \quad (\text{Definition}) \\ &\subseteq (\mathbb{M}\mathbb{M}^{-1}(\mathbf{M}))^* \quad (\text{Lemma 1786}) \\ &= \overleftarrow{\mathbb{M}}^*(\mathbf{M}). \quad (\text{Definition}) \end{aligned}$$

■

Now we provide the promised characterization of $\text{MatFam}^*(\mathcal{I}^{\mathbf{M}})$ in terms of the class \mathbf{M} of reduced \mathbf{F} -matrix families and the class operators \mathbb{M} and $\overleftarrow{\mathbb{M}}^*$.

Theorem 1798 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of reduced \mathbf{F} -matrix families. Then*

$$\text{MatFam}^*(\mathcal{I}^{\mathbf{M}}) = \mathbb{M}\overleftarrow{\mathbb{M}}^*(\mathbf{M}).$$

Proof: First, since $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I}^{\mathbf{M}})$, we have, using Corollary 1796,

$$\mathbb{M}\overleftarrow{\mathbb{M}}^*(\mathbf{M}) \subseteq \mathbb{M}\overleftarrow{\mathbb{M}}^*(\text{MatFam}^*(\mathcal{I}^{\mathbf{M}})) \subseteq \text{MatFam}^*(\mathcal{I}^{\mathbf{M}}).$$

Conversely, let $\mathfrak{A} \in \text{MatFam}^*(\mathcal{I}^{\mathbf{M}})$. Then, by Proposition 1790 and Lemma 1797,

$$\mathfrak{A} \in \mathbb{M}(\text{LMatFam}^*(\mathcal{I}^{\mathbf{M}})) \subseteq \overleftarrow{\mathbb{M}}^*(\mathbf{M}).$$

Therefore, $\text{MatFam}^*(\mathcal{I}^{\mathbf{M}}) \subseteq \mathbb{M}\overleftarrow{\mathbb{M}}^*(\mathbf{M})$, and equality follows. ■

As a consequence of this characterization, we can also show that the operator $\overleftarrow{\mathbb{M}}^*$ is a closure operator on classes of reduced \mathbf{F} -matrix families and, moreover, given any such class \mathbf{M} , applying the operator to the class results in the smallest class of reduced \mathbf{F} -matrix systems that contains \mathbf{M} and is closed under the operations \mathbb{M} , $\overleftarrow{\mathbb{M}}^*$.

Theorem 1799 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a collection of reduced \mathbf{F} -matrix families.*

(a) $\overleftarrow{\mathbb{M}}^* : \mathcal{P}(\text{MatFam}^*(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}^*(\mathbf{F}))$ is a closure operator;

(b) $\overleftarrow{\mathbb{M}}^*(\mathbf{M})$ is the smallest class of \mathbf{F} -matrix families containing \mathbf{M} and closed under the operators \mathbb{M} and $\overleftarrow{\mathbb{M}}^*$.

Proof:

- (a) Inflationarity and monotonicity follow from the corresponding properties of the operators \mathbb{M} and \mathbb{III} , which were established in Lemmas 1781 and 1782. For idempotency, we have

$$\begin{aligned} \mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M})) &= \mathbb{M}\overleftarrow{\mathbb{III}}^*(\text{MatFam}^*(\mathcal{I}^{\mathbb{M}})) \\ &\quad (\text{by Theorem 1798}) \\ &\subseteq \text{MatFam}^*(\mathcal{I}^{\mathbb{M}}) \\ &\quad (\text{by Corollary 1796}) \\ &= \mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M}). \\ &\quad (\text{again by Theorem 1798}) \end{aligned}$$

- (b) By Part (a), $\mathbb{M} \subseteq \mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M})$. Moreover, if $\mathbb{O} \in \{\mathbb{M}, \overleftarrow{\mathbb{III}}^*\}$, then

$$\begin{aligned} \mathbb{O}(\mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M})) &= \mathbb{O}(\text{MatFam}^*(\mathcal{I}^{\mathbb{M}})) \quad (\text{by Theorem 1798}) \\ &\subseteq \text{MatFam}^*(\mathcal{I}^{\mathbb{M}}) \quad (\text{by Corollary 1796}) \\ &= \mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M}). \quad (\text{by Theorem 1798}) \end{aligned}$$

Hence, $\mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M})$ is closed under both operators. If \mathbb{N} is a class of reduced \mathbf{F} -matrix families such that $\mathbb{M} \subseteq \mathbb{N}$ and \mathbb{N} closed under both operators, then, clearly, $\mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M}) \subseteq \mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{N}) = \mathbb{N}$. Therefore, $\mathbb{M}\overleftarrow{\mathbb{III}}^*(\mathbb{M})$ is the smallest class satisfying these properties. ■

24.4 Protoclasses of Matrix Families

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. A class of \mathbf{F} -matrix families \mathbb{M} is called a **protoclass** if it is the class of all reduced \mathcal{I} -matrix families for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$ based on \mathbf{F} .

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{A}^i = \langle \mathbf{A}^i, \langle F^i, \alpha^i \rangle \rangle$, $i \in I$, a collection of \mathbf{F} -algebraic systems and $\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle$ a collection of \mathbf{F} -matrix families. We say that an \mathbf{F} -matrix family $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, is a **subdirect intersection** of the collection \mathfrak{A}^i , $i \in I$, if there exist surjective morphisms

$$\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i, \quad i \in I,$$

such that:

- $T = \bigcap_{i \in I} (\gamma^i)^{-1}(T^i)$;
- $\bigcap_{i \in I} \text{Ker}(\langle H^i, \gamma^i \rangle) = \Delta^{\mathcal{A}}$.

Let \mathbf{M} be a class of \mathbf{F} -matrix families. Given an \mathbf{F} -matrix family \mathfrak{A} , we write $\mathfrak{A} \in \overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\mathbf{M})$ to denote the fact that \mathfrak{A} is a subdirect intersection of members of \mathbf{M} .

It is not difficult to see that $\overset{\triangleleft}{\mathbb{I}\mathbb{I}}$ is a closure operator on classes of \mathbf{F} -matrix families.

Lemma 1800 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system. Then*

$$\overset{\triangleleft}{\mathbb{I}\mathbb{I}} : \mathcal{P}(\text{MatFam}(\mathbf{F})) \rightarrow \mathcal{P}(\text{MatFam}(\mathbf{F}))$$

is a closure operator on $\text{MatFam}(\mathbf{F})$.

Proof: Assume, first, that $\mathbf{M} \subseteq \text{MatFam}(\mathbf{F})$ and $\mathfrak{A} \in \mathbf{M}$. Then $\langle I, \iota \rangle : \mathfrak{A} \rightarrow \mathfrak{A}$ is a subdirect intersection morphism and, therefore, since $\mathfrak{A} \in \mathbf{M}$, we get $\mathfrak{A} \in \overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\mathbf{M})$. Therefore $\overset{\triangleleft}{\mathbb{I}\mathbb{I}}$ is inflationary.

Suppose, next, that $\mathbf{M} \subseteq \mathbf{N} \subseteq \text{MatFam}(\mathbf{F})$. Let $\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i$, $i \in I$, be a collection of subdirect intersection morphisms, with $\mathfrak{A}^i \in \mathbf{M}$, for all $i \in I$. Since, then, $\mathfrak{A}^i \in \mathbf{N}$, for all $i \in I$, the same collection of morphisms witnesses that $\mathfrak{A} \in \overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\mathbf{N})$. Therefore, $\overset{\triangleleft}{\mathbb{I}\mathbb{I}}$ is also monotone.

Finally, assume that $\mathfrak{A} \in \overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\mathbf{M}))$, where $\mathbf{M} \subseteq \text{MatFam}(\mathbf{F})$. Thus, there exists a collection of subdirect intersection morphisms

$$\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i, \quad i \in I,$$

where $\mathfrak{A}^i \in \overset{\triangleleft}{\mathbb{I}\mathbb{I}}(\mathbf{M})$, for all $i \in I$. It now follows that, for each $i \in I$, there exists a collection of subdirect intersection morphisms

$$\langle H^{ij}, \gamma^{ij} \rangle : \mathfrak{A}^i \rightarrow \mathfrak{A}^{ij}, \quad j \in J_i,$$

where $\mathfrak{A}^{ij} \in \mathbf{M}$, for all $i \in I$ and all $j \in J_i$. We look at the collection

$$\langle H^{ij}, \gamma^{ij} \rangle \circ \langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^{ij}, \quad i \in I, j \in J_i,$$

with $\mathfrak{A}^{ij} \in \mathbf{M}$, for all $i \in I, j \in J_i$. We have

- For filter family intersections,

$$\begin{aligned} \bigcap_{i \in I} \bigcap_{j \in J_i} (\gamma^i)^{-1} ((\gamma^{ij})^{-1} (T^{ij})) &= \bigcap_{i \in I} (\gamma^i)^{-1} (\bigcap_{j \in J_i} (\gamma^{ij})^{-1} (T^{ij})) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1} (T^i) \\ &= T. \end{aligned}$$

- Similarly, for kernels,

$$\begin{aligned} \bigcap_{i \in I} \bigcap_{j \in J_i} \text{Ker}(\langle H^{ij}, \gamma^{ij} \rangle \circ \langle H^i, \gamma^i \rangle) &= \bigcap_{i \in I} \bigcap_{j \in J_i} (\gamma^i)^{-1} ((\gamma^{ij})^{-1} (\Delta^{\mathcal{A}^{ij}})) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1} (\bigcap_{j \in J_i} \text{Ker}(\langle H^{ij}, \gamma^{ij} \rangle)) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1} (\Delta^{\mathcal{A}^i}) \\ &= \bigcap_{i \in I} \text{Ker}(\langle H^i, \gamma^i \rangle) \\ &= \Delta^{\mathcal{A}}. \end{aligned}$$

Therefore, $\langle H^{ij}, \gamma^{ij} \rangle \circ \langle H^i, \gamma^i \rangle$, $i \in I$, $j \in J_i$, is also a collection of subdirect intersection morphisms, and, hence $\mathfrak{A} \in \overset{\triangleleft}{\text{III}}(\mathbf{M})$. We conclude that $\overset{\triangleleft}{\text{III}}$ is also idempotent. ■

In general, given a class \mathbf{M} of reduced \mathbf{F} -matrix families, its closures under both operators III and \mathbf{M}^{-1*} are included in its closure under $\overset{\triangleleft}{\text{III}}$.

Proposition 1801 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system and \mathbf{M} a class of reduced \mathbf{F} -matrix families. Then*

$$\text{III}(\mathbf{M}) \subseteq \overset{\triangleleft}{\text{III}}(\mathbf{M}) \quad \text{and} \quad \mathbf{M}^{-1*}(\mathbf{M}) \subseteq \overset{\triangleleft}{\text{III}}(\mathbf{M}).$$

Proof: Assume, first, that $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \text{III}(\mathbf{M})$. Thus, there exists a collection $\mathfrak{A}^i = \langle \mathcal{A}, T^i \rangle \in \mathbf{M}$, such that

$$T = \bigcap_{i \in I} T^i.$$

Consider the family of surjective morphisms

$$\langle I, \iota \rangle : \langle \mathcal{A}, T \rangle \rightarrow \langle \mathcal{A}, T^i \rangle, \quad i \in I.$$

We have

- $T = \bigcap_{i \in I} T^i = \bigcap_{i \in I} \iota^{-1}(T^i)$, by hypothesis;
- $\bigcap_{i \in I} \text{Ker}(\langle I, \iota \rangle) = \bigcap_{i \in I} \Delta^{\mathcal{A}} = \Delta^{\mathcal{A}}$.

Therefore, since $\mathfrak{A}^i \in \mathbf{M}$, for all $i \in I$, $\mathfrak{A} \in \overset{\triangleleft}{\text{III}}(\mathbf{M})$.

Assume, next, that $\mathfrak{A}^* = \langle \mathcal{A}/\Omega^{\mathcal{A}}(T), T/\Omega^{\mathcal{A}}(T) \rangle \in \mathbf{M}^{-1*}(\mathbf{M})$, where $\langle H, \gamma \rangle : \mathfrak{A} \rightarrow \mathfrak{A}'$ is a strict surjective morphism, with $\mathfrak{A}' = \langle \mathcal{A}', T' \rangle \in \mathbf{M}$. Since $\mathbf{M} \subseteq \text{MatFam}^*(\mathbf{F})$, there exists a factorization

$$\begin{array}{ccc} \mathfrak{A} & \xrightarrow{\langle H, \gamma \rangle} & \mathfrak{A}' \\ & \searrow \langle I, \pi \rangle & \swarrow \langle H, \gamma^* \rangle \\ & \mathfrak{A}^* & \end{array}$$

Moreover, we have

- $\pi^{-1}(\gamma^{*-1}(T')) = \gamma^{-1}(T') = T$, whence $\gamma^{*-1}(T') = T/\Omega^{\mathcal{A}}(T)$;
- $\text{Ker}(\langle H, \gamma^* \rangle) = \Delta^{\mathcal{A}^*}$ holds, since, for all $\Sigma \in |\mathbf{Sign}|$ and all $\phi, \psi \in \text{SEN}(\Sigma)$,

$$\begin{aligned} \langle \phi, \psi \rangle \in \text{Ker}_{\Sigma}(\langle H, \gamma^* \rangle) & \text{ iff } \gamma_{\Sigma}^*(\phi/\Omega_{\Sigma}^{\mathcal{A}}(T)) = \gamma_{\Sigma}^*(\psi/\Omega_{\Sigma}^{\mathcal{A}}(T)) \\ & \text{ iff } \gamma_{\Sigma}(\phi) = \gamma_{\Sigma}(\psi) \\ & \text{ iff } \langle \phi, \psi \rangle \in \gamma_{\Sigma}^{-1}(\Omega_{H(\Sigma)}^{\mathcal{A}'}(T')) \\ & \text{ iff } \langle \phi, \psi \rangle \in \Omega_{\Sigma}^{\mathcal{A}}(\gamma^{-1}(T')) \\ & \text{ iff } \langle \phi, \psi \rangle \in \Omega_{\Sigma}^{\mathcal{A}}(T). \end{aligned}$$

Therefore, $\mathfrak{A}^* \in \overset{\triangleleft}{\text{III}}(\mathbf{M})$. ■

Another useful feature of the operator $\overset{\triangleleft}{\text{III}}$ is that among model classes of matrix families, it characterizes those that are protoclasses.

Theorem 1802 *Let $\mathbf{F} = \langle \text{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system and $\mathbf{M} = \text{MatFam}^*(\mathcal{I})$ a class of reduced \mathbf{F} -matrix families. Then \mathbf{M} is a protoclass if and only if $\overset{\triangleleft}{\text{III}}(\mathbf{M}) \subseteq \mathbf{M}$.*

Proof: Suppose, first, that $\mathbf{M} = \text{MatFam}^*(\mathcal{I})$, with \mathcal{I} protoalgebraic and let $\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^{i'}$, $i \in I$, be a collection of subdirect intersection morphisms. Then, clearly,

$$\begin{aligned} \mathfrak{A} &\in \text{IIIM}^{-1}(\mathbf{M}) \quad (\text{Definition of } \overset{\triangleleft}{\text{III}}) \\ &\subseteq \text{IIIM}^{-1}(\text{MatFam}^*(\mathcal{I})) \quad (\text{Lemmas 1781 and 1782}) \\ &\subseteq \text{MatFam}(\mathcal{I}). \quad (\text{Proposition 1783}) \end{aligned}$$

It suffices now to show that \mathcal{A} is reduced. We have

$$\begin{aligned} \Omega^{\mathcal{A}}(T) &= \Omega^{\mathcal{A}}(\bigcap_{i \in I} (\gamma^i)^{-1}(T^{i'}) \quad (\text{Subdirect Intersection}) \\ &= \bigcap_{i \in I} \Omega^{\mathcal{A}}((\gamma^i)^{-1}(T^{i'})) \quad (\mathcal{I} \text{ protoalgebraic}) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1}(\Omega^{\mathcal{A}^{i'}}(T^{i'})) \quad (\langle H^i, \gamma^i \rangle \text{ surjective}) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1}(\Delta^{\mathcal{A}^{i'}}) \quad (\mathfrak{A}^{i'} \text{ reduced}) \\ &= \bigcap_{i \in I} \text{Ker}(\langle H^i, \gamma^i \rangle) \\ &= \Delta^{\mathcal{A}}. \quad (\text{Subdirect Intersection}) \end{aligned}$$

Since $\mathfrak{A} \in \text{MatFam}(\mathcal{I})$ and \mathfrak{A} is reduced, we conclude that $\mathfrak{A} \in \text{MatFam}^*(\mathcal{I})$. So $\overset{\triangleleft}{\text{III}}(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I})$.

Suppose, conversely, that $\overset{\triangleleft}{\text{III}}(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I})$ and let $T, T' \in \text{ThFam}(\mathcal{I})$, with $T \leq T'$. We set

$$\mathfrak{F} := \langle \mathcal{F}/(\Omega(T) \cap \Omega(T')), (T \cap T')/(\Omega(T) \cap \Omega(T')) \rangle$$

and consider the surjective natural projection morphisms

$$\begin{aligned} \langle I, \pi \rangle : \mathfrak{F} &\rightarrow \langle \mathcal{F}/\Omega(T), T/\Omega(T) \rangle \\ \langle I, \pi' \rangle : \mathfrak{F} &\rightarrow \langle \mathcal{F}/\Omega(T'), T'/\Omega(T') \rangle. \end{aligned}$$

We observe that

- As far as filter families, we have

$$\begin{aligned} &(T \cap T')/(\Omega(T) \cap \Omega(T')) \\ &= T/(\Omega(T) \cap \Omega(T')) \cap T'/(\Omega(T) \cap \Omega(T')) \\ &= \pi^{-1}(T/\Omega(T')) \cap \pi'^{-1}(T'/\Omega(T')); \end{aligned}$$

- As far as kernels, we get

$$\begin{aligned} & \text{Ker}(\langle I, \pi \rangle) \cap \text{Ker}(\langle I, \pi' \rangle) \\ &= \Omega(T)/(\Omega(T) \cap \Omega(T')) \cap \Omega(T')/(\Omega(T) \cap \Omega(T')) \\ &= (\Omega(T) \cap \Omega(T'))/(\Omega(T) \cap \Omega(T')) = \Delta^{\mathfrak{F}}. \end{aligned}$$

Therefore,

$$\mathfrak{F} \in \overset{\triangleleft}{\text{III}}(\text{MatFam}^*(\mathcal{I})) \subseteq \text{MatFam}^*(\mathcal{I}).$$

Hence $\Omega(T) = \Omega(T \cap T') = \Omega(T) \cap \Omega(T')$, which implies that $\Omega(T) \leq \Omega(T')$. Thus, Ω is monotone on theory families and, hence, \mathcal{I} is protoalgebraic. ■

Finally, we work to obtain expressions for the protoclass $\text{MatFam}^*(\mathcal{I})$ based on a reduced class \mathbf{M} of generating \mathbf{F} -matrix families for \mathcal{I} .

We show, first, that if \mathbf{M} is a class of reduced models of a protoalgebraic π -institution, then its closure under $\overset{\triangleleft}{\text{III}}$ is included in its closure under $\overset{\leftarrow}{\text{III}}^*$.

Proposition 1803 *Let $\mathbf{F} = \langle \text{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a protoalgebraic π -institution based on \mathbf{F} and $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I})$ a class of reduced \mathcal{I} -matrix families. Then*

$$\overset{\triangleleft}{\text{III}}(\mathbf{M}) \subseteq \overset{\leftarrow}{\text{III}}^*(\mathbf{M}).$$

Proof: Let $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \overset{\triangleleft}{\text{III}}(\mathbf{M})$. Then, there exists a collection of subdirect intersection morphisms

$$\langle H^i, \gamma^i \rangle : \langle \mathcal{A}, T \rangle \rightarrow \langle \mathcal{A}^i, T^i \rangle, \quad i \in I,$$

where $\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle \in \mathbf{M}$, for all $i \in I$. By using the same morphisms,

$$\langle H^i, \gamma^i \rangle : \langle \mathcal{A}, (\gamma^i)^{-1}(T^i) \rangle \rightarrow \mathfrak{A}^i, \quad i \in I,$$

which have now become strict and surjective, we get that, for all $i \in I$, $\langle \mathcal{A}, (\gamma^i)^{-1}(T^i) \rangle \in \mathbf{M}^{-1}(\mathbf{M})$. Moreover, since, by the definition of a subdirect intersection, $\mathfrak{A} = \langle \mathcal{A}, T \rangle = \langle \mathcal{A}, \bigcap_{i \in I} (\gamma^i)^{-1}(T^i) \rangle$, we get that $\mathfrak{A} \in \text{III}\mathbf{M}^{-1}(\mathbf{M})$. Now, by Theorem 1802, \mathfrak{A} is reduced, whence $\mathfrak{A} \in (\text{III}\mathbf{M}^{-1}(\mathbf{M}))^* = \overset{\leftarrow}{\text{III}}^*(\mathbf{M})$. ■

Next, it is shown that, if \mathbf{M} is a class of reduced models of a protoalgebraic π -institution, then its closure under $\overset{\leftarrow}{\text{III}}^*$ is included in its closure under the operator $\overset{\triangleleft}{\text{III}}\mathbf{M}^{-1*}$.

Proposition 1804 *Let $\mathbf{F} = \langle \text{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a protoalgebraic π -institution based on \mathbf{F} and $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I})$ a class of reduced \mathcal{I} -matrix families. Then*

$$\overset{\leftarrow}{\text{III}}^*(\mathbf{M}) \subseteq \overset{\triangleleft}{\text{III}}\mathbf{M}^{-1*}(\mathbf{M}).$$

Proof: Suppose that $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I})$, for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$ and let $\mathfrak{A}^* = \langle \mathcal{A}/\Omega^{\mathcal{A}}(T), T/\Omega^{\mathcal{A}}(T) \rangle \in \overleftarrow{\text{III}}^*(\mathbf{M})$, where $\mathfrak{A} = \langle \mathcal{A}, T \rangle = \langle \mathcal{A}, \bigcap_{i \in I} T^i \rangle$ is such that there exist strict surjective morphisms

$$\langle H^i, \gamma^i \rangle : \langle \mathcal{A}, T^i \rangle \rightarrow \langle \mathcal{A}^i, T^i \rangle, \quad i \in I,$$

with $\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle \in \mathbf{M}$, for all $i \in I$. The key now is to look at the collection of the projection morphisms

$$\langle I, \pi^i \rangle : \mathfrak{A}^* \rightarrow \langle \mathcal{A}/\Omega^{\mathcal{A}}(T^i), T^i/\Omega^{\mathcal{A}}(T^i) \rangle, \quad i \in I,$$

where, for all $\Sigma \in |\mathbf{Sign}|$ and all $\phi \in \text{SEN}(\Sigma)$,

$$\pi_{\Sigma}^i(\phi/\Omega_{\Sigma}^{\mathcal{A}}(\bigcap_{i \in I} T^i)) = \phi/\Omega_{\Sigma}^{\mathcal{A}}(T^i).$$

Since $\langle H^i, \gamma^i \rangle$ is strict and surjective, we have that $\langle \mathcal{A}, T^i \rangle \in \mathbf{M}^{-1}(\mathbf{M})$, for all $i \in I$. Thus, $\langle \mathcal{A}/\Omega^{\mathcal{A}}(T^i), T^i/\Omega^{\mathcal{A}}(T^i) \rangle \in \mathbf{M}^{-1*}(\mathbf{M})$. Therefore, to complete the proof, it suffices to show that the collection $\langle I, \pi^i \rangle, i \in I$, constitutes a collection of subdirect intersection morphisms. This is not difficult to verify. We have

- $\bigcap_{i \in I} (\pi^i)^{-1}(T^i/\Omega^{\mathcal{A}}(T^i)) = \bigcap_{i \in I} T^i/\Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i) = (\bigcap_{i \in I} T^i)/\Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i)$;
- For kernels,

$$\begin{aligned} \bigcap_{i \in I} \text{Ker}(\langle I, \pi^i \rangle) &= \bigcap_{i \in I} \Omega^{\mathcal{A}}(T^i)/\Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i) \\ &= \Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i)/\Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i) \quad (\mathcal{I} \text{ protoalgebraic}) \\ &= \Delta_{\mathcal{A}/\Omega^{\mathcal{A}}}(\bigcap_{i \in I} T^i). \end{aligned}$$

Now we have $\mathfrak{A}^* \in \overset{\triangleleft}{\text{III}}\mathbf{M}^{-1}(\mathbf{M})$. ■

We are now able to obtain, under protoalgebraicity, some equivalent expressions for the operator $\overleftarrow{\text{III}}^*$, which, based on Theorem 1798, will allow us to provide characterizations for the class $\text{MatFam}^*(\mathcal{I})$, in case \mathcal{I} is protoalgebraic.

Theorem 1805 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system and $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I})$, for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$ based on \mathbf{F} . Then*

$$\overset{\triangleleft}{\text{III}}(\mathbf{M}) = \overleftarrow{\text{III}}^*(\mathbf{M}) = \overset{\triangleleft}{\text{III}}\mathbf{M}^{-1*}(\mathbf{M}).$$

Proof: Suppose $\mathbf{M} \subseteq \text{MatFam}^*(\mathcal{I})$, for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$. Then, we have

$$\begin{aligned} \overset{\triangleleft}{\text{III}}(\mathbf{M}) &\subseteq \overleftarrow{\text{III}}^*(\mathbf{M}) \quad (\text{Proposition 1803}) \\ &\subseteq \overset{\triangleleft}{\text{III}}\mathbf{M}^{-1*}(\mathbf{M}) \quad (\text{Proposition 1804}) \\ &\subseteq \overset{\triangleleft}{\text{III}}(\overset{\triangleleft}{\text{III}}(\mathbf{M})) \quad (\text{Proposition 1801}) \\ &= \overset{\triangleleft}{\text{III}}(\mathbf{M}). \quad (\text{Lemma 1800}) \end{aligned}$$

The conclusion follows. ■

Finally, we get the following characterization of $\text{MatFam}^*(\mathcal{I}^M)$ in terms of closure operators on M , under the hypothesis that M is a subclass of a protoalgebraic \mathbf{F} -matrix families.

Theorem 1806 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system and $M \subseteq \text{MatFam}^*(\mathcal{I})$, for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$ based on \mathbf{F} . Then*

$$\text{MatFam}^*(\mathcal{I}^M) = \text{MIII}^{\triangleleft}(M).$$

Proof: Suppose $M \subseteq \text{MatFam}^*(\mathcal{I})$, for a protoalgebraic π -institution $\mathcal{I} = \langle \mathbf{F}, C \rangle$. Then,

$$\begin{aligned} \text{MatFam}^*(\mathcal{I}^M) &= \text{MIII}^{\leftarrow*}(M) \quad (\text{Theorem 1798}) \\ &= \text{MIII}^{\triangleleft}(M). \quad (\text{Theorem 1805}) \end{aligned}$$

■

24.5 Irreducibility

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{I} = \langle \mathbf{F}, C \rangle$ a π -institution based on \mathbf{F} , $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, with $\mathbf{A} = \langle \mathbf{Sign}, \text{SEN}, N \rangle$, an \mathbf{F} -algebraic system and $\mathfrak{A} = \langle \mathcal{A}, X \rangle \in \text{MatFam}(\mathcal{I})$.

An \mathcal{I} -filter family $T \in \text{FiFam}^{\mathcal{I}}(\mathfrak{A})$ is **completely meet irreducible in** $\text{FiFam}^{\mathcal{I}}(\mathfrak{A})$ if, for all $\{T^i : i \in I\} \subseteq \text{FiFam}^{\mathcal{I}}(\mathfrak{A})$,

$$T = \bigcap_{i \in I} T^i \quad \text{implies} \quad T = T^i, \quad \text{for some } i \in I.$$

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \text{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{A}^i = \langle \mathbf{A}^i, \langle F^i, \alpha^i \rangle \rangle$, $i \in I$, a collection of \mathbf{F} -algebraic systems and $\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle$ a collection of \mathbf{F} -matrix families. Recall that an \mathbf{F} -matrix family $\mathfrak{A} = \langle \mathcal{A}, T \rangle$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, is a **subdirect intersection** of the collection \mathfrak{A}^i , $i \in I$, if there exist surjective morphisms

$$\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i, \quad i \in I,$$

such that $T = \bigcap_{i \in I} (\gamma^i)^{-1}(T^i)$ and $\bigcap_{i \in I} \text{Ker}(\langle H^i, \gamma^i \rangle) = \Delta^{\mathcal{A}}$. This subdirect intersection is called a **special subdirect intersection** if $H^i : \mathbf{Sign} \rightarrow \mathbf{Sign}^i$ is an isomorphism, for all $i \in I$.

It turns out that \mathbf{F} -matrix families are representable as subdirect intersections if and only they are representable as special subdirect intersections.

Proposition 1807 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$, with $\mathbf{A} = \langle \mathbf{Sign}, \mathbf{SEN}, N \rangle$, an \mathbf{F} -algebraic system and $\mathfrak{A} = \langle \mathcal{A}, T \rangle$ an \mathbf{F} -matrix family. Then $\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i$, $i \in I$, is a collection of subdirect intersection morphisms if and only if*

$$\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \langle \mathcal{A}/\text{Ker}(\langle H^i, \gamma^i \rangle), (\gamma^i)^{-1}(T^i)/\text{Ker}(\langle H^i, \gamma^i \rangle) \rangle, \quad i \in I,$$

is a collection of special subdirect intersection morphisms.

Proof: Suppose, first, that $\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i$, $i \in I$, is a subdirect intersection representation of \mathfrak{A} . For convenience, denote $\theta^i = \text{Ker}(\langle H^i, \gamma^i \rangle)$, $i \in I$. Note that there exist algebraic system morphisms $\langle H^i, \hat{\gamma}^i \rangle : \mathcal{A}^{\theta^i} \rightarrow \mathcal{A}^i$, such that

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\langle H^i, \gamma^i \rangle} & \mathcal{A}^i \\ & \searrow \langle I, \pi^i \rangle & \nearrow \langle H^i, \hat{\gamma}^i \rangle \\ & \mathcal{A}^{\theta^i} & \end{array}$$

$$\langle H^i, \gamma^i \rangle = \langle H^i, \hat{\gamma}^i \rangle \circ \langle I, \pi^i \rangle,$$

where $\langle I, \pi^i \rangle : \mathcal{A} \rightarrow \mathcal{A}^{\theta^i}$, $i \in I$, are the quotient morphisms. Moreover, these morphisms are well-defined \mathbf{F} -matrix family morphisms, since, for all $i \in I$, we have, on the one hand, $T \leq (\gamma^i)^{-1}(T^i) = (\pi^i)^{-1}((\gamma^i)^{-1}(T^i)/\theta^i)$, and, on the other, $(\pi^i)^{-1}((\gamma^i)^{-1}(T^i)/\theta^i) = (\hat{\gamma}^i)^{-1}(T^i) = (\pi^i)^{-1}((\hat{\gamma}^i)^{-1}(T^i))$ and, hence, by the surjectivity of $\langle I, \pi^i \rangle$, $(\gamma^i)^{-1}(T^i)/\theta^i = (\hat{\gamma}^i)^{-1}(T^i)$. Now we compute:

- For the filter families:

$$\begin{aligned} & \bigcap_{i \in I} (\pi^i)^{-1}((\gamma^i)^{-1}(T^i)/\theta^i) \\ &= \bigcap_{i \in I} (\pi^i)^{-1}(\pi^i((\gamma^i)^{-1}(T^i))) \\ &= \bigcap_{i \in I} (\gamma^i)^{-1}(T^i) \\ & \quad (\theta^i \text{ compatible with } (\gamma^i)^{-1}(T^i)) \\ &= T. \quad (\text{by hypothesis}) \end{aligned}$$

- For the kernels

$$\begin{aligned} \bigcap_{i \in I} \text{Ker}(\langle I, \pi^i \rangle) &= \bigcap_{i \in I} \theta^i \\ &= \Delta^{\mathcal{A}}. \quad (\text{by hypothesis}) \end{aligned}$$

Therefore,

$$\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \langle \mathcal{A}/\text{Ker}(\langle H^i, \gamma^i \rangle), (\gamma^i)^{-1}(T^i)/\text{Ker}(\langle H^i, \gamma^i \rangle) \rangle, \quad i \in I,$$

is a collection of special subdirect intersection morphisms. ■

Special subdirect intersections of reduced matrix families have a characterization similar to the one applicable for subdirect products of reduced matrixed.

Proposition 1808 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system, $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle$ be an \mathbf{F} -algebraic system and $\mathfrak{A} = \langle \mathcal{A}, T \rangle$ an \mathbf{F} -matrix family. \mathfrak{A} is a special subdirect intersection of the system $\{\mathfrak{A}^i = \langle \mathcal{A}^i, T^i \rangle : i \in I\}$ of reduced \mathbf{F} -matrix families if and only if, there exists a corresponding system of sentence families $\{T^i : i \in I\} \subseteq \text{SenFam}(\mathcal{A})$, such that:*

- (i) $\bigcap_{i \in I} T^i = T$;
- (ii) $\mathfrak{A}/T^i \cong \mathfrak{A}^i$, for all $i \in I$.

Proof: Suppose, first, that $\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i$, $i \in I$, is a collection of special subdirect intersection morphisms. Define $T^i = (\gamma^i)^{-1}(T^i)$, $i \in I$. Then, we have

- $\bigcap_{i \in I} T^i = \bigcap_{i \in I} (\gamma^i)^{-1}(T^i) = T$;
- Noting that

$$\begin{aligned} \Omega^{\mathcal{A}}(T^i) &= \Omega^{\mathcal{A}}((\gamma^i)^{-1}(T^i)) \quad (\text{definition of } T^i) \\ &= (\gamma^i)^{-1}(\Omega^{\mathcal{A}^i}(T^i)) \quad (\text{Proposition 24}) \\ &= (\gamma^i)^{-1}(\Delta^{\mathcal{A}^i}) \quad (\mathfrak{A}^i \text{ reduced}) \\ &= \text{Ker}(\langle H^i, \gamma^i \rangle), \quad (\text{set theory}) \end{aligned}$$

we obtain

$$\begin{aligned} \mathfrak{A}/T^i &= \langle \mathcal{A}/\Omega^{\mathcal{A}}(T^i), T^i/\Omega^{\mathcal{A}}(T^i) \rangle \\ &= \langle \mathcal{A}/\text{Ker}(\langle H^i, \gamma^i \rangle), (\gamma^i)^{-1}(T^i)/\text{Ker}(\langle H^i, \gamma^i \rangle) \rangle \\ &\cong \mathfrak{A}^i, \end{aligned}$$

where the last isomorphism is established by the morphism $\langle H^i, \hat{\gamma}^i \rangle : \mathcal{A}/\text{Ker}(\langle H^i, \gamma^i \rangle) \rightarrow \mathcal{A}^i$, given in Proposition 1807.

Thus, (i) and (ii) of the statement hold.

Assume, conversely, that there exists a system $\{T^i : i \in I\} \subseteq \text{SenFam}(\mathcal{A})$ satisfying (i) and (ii). Consider $\langle I, \pi^i \rangle : \mathcal{A} \rightarrow \mathcal{A}/\Omega^{\mathcal{A}}(T^i)$, $i \in I$. This forms a well-defined system of \mathbf{F} -matrix family morphisms

$$\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}/T^i, \quad i \in I.$$

Since, by hypothesis, $\mathfrak{A}/T^i \cong \mathfrak{A}^i$, for all $i \in I$, it suffices to show that the above system of morphisms constitutes a subdirect intersection. We indeed have

- $\bigcap_{i \in I} (\pi^i)^{-1}(T^i/\Omega^{\mathcal{A}}(T^i)) = \bigcap_{i \in I} T^i = T$;
- $\bigcap_{i \in I} \text{Ker}(\langle I, \pi^i \rangle) = \bigcap_{i \in I} \Omega^{\mathcal{A}}(T^i) \leq \Omega^{\mathcal{A}}(\bigcap_{i \in I} T^i) = \Omega^{\mathcal{A}}(T) = \Delta^{\mathcal{A}}$.

So $\{\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}/T^i : i \in I\}$ is a system of special subdirect intersection morphisms. \blacksquare

Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, N^b \rangle$ be an algebraic system, \mathbf{M} a class of reduced \mathbf{F} -matrix families and $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \mathbf{M}$, with $\mathcal{A} = \langle \mathbf{A}, \langle F, \alpha \rangle \rangle$.

The \mathbf{F} -matrix family $\mathfrak{A} \in \mathbf{M}$ is called **subdirectly irreducible relative to \mathbf{M}** if, for every subdirect intersection

$$\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i, \quad i \in I,$$

with $\mathfrak{A}^i \in \mathbf{M}$, for all $i \in I$, there exists $i \in I$, such that

$$(i) \quad T = (\gamma^i)^{-1}(T^i) \text{ and}$$

$$(ii) \quad \text{Ker}(\langle H^i, \gamma^i \rangle) = \Delta^{\mathcal{A}}.$$

We write $\mathbf{M}^{\mathfrak{s}}$ for the class of all relatively subdirectly irreducible members of \mathbf{M} .

If $\mathcal{I} = \langle \mathbf{F}, C \rangle$ is a π -institution based on \mathbf{F} and $\mathbf{M} = \text{MatFam}^*(\mathcal{I})$ is the class of all reduced \mathcal{I} -matrix families, then a subdirectly irreducible \mathfrak{A} relative to \mathbf{M} is also called **subdirectly irreducible relative to \mathcal{I}** .

It turns out that relative subdirect irreducibility and complete meet irreducibility have a close relationship. To detail the relationship, we need an additional operator on classes of \mathbf{F} -matrix families.

Proposition 1809 *Let $\mathbf{F} = \langle \mathbf{Sign}^b, \mathbf{SEN}^b, \mathbf{IN}^b \rangle$ be an algebraic system and \mathbf{M} a class of reduced \mathbf{F} -matrix families closed under reduced inverse morphic images, i.e., such that $\mathbf{M}^{-1*}(\mathbf{M}) \subseteq \mathbf{M}$. Then an \mathbf{F} -matrix family $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \mathbf{M}$ is subdirectly irreducible relative to \mathbf{M} if and only if T is completely meet irreducible in $\mathcal{X} = \{X \in \text{SenFam}(\mathcal{A}) : \mathfrak{A}/X \in \mathbf{M}\}$.*

Proof: Suppose, first, that $\mathfrak{A} = \langle \mathcal{A}, T \rangle \in \mathbf{M}^{\mathfrak{s}}$ and let $\{X^i : i \in I\} \subseteq \mathcal{X}$, such that $T = \bigcap_{i \in I} X^i$. Then, by Proposition 1807,

$$\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}/X^i, \quad i \in I,$$

constitutes a special subdirect intersection. Moreover, since $X^i \in \mathcal{X}$, for all $i \in I$, we have that $\mathfrak{A}/X^i \in \mathbf{M}$, for all $i \in I$. By hypothesis, there exists an $i \in I$, such that $T = (\pi^i)^{-1}(X^i/\Omega^{\mathcal{A}}(X^i)) = X^i$. We conclude that T is completely meet irreducible in \mathcal{X} .

Assume, conversely, that T is completely meet irreducible in \mathcal{X} and let

$$\langle H^i, \gamma^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}^i, \quad i \in I,$$

be a system of subdirect intersection morphisms, with $\mathfrak{A}^i \in \mathbf{M}$, for all $i \in I$. By Proposition 1807,

$$\langle I, \pi^i \rangle : \mathfrak{A} \rightarrow \mathfrak{A}/(\gamma^i)^{-1}(T^i), \quad i \in I,$$

is a collection of special subdirect intersection morphisms. Moreover, $\langle H^i, \hat{\gamma}^i \rangle : \mathfrak{A}/(\gamma^i)^{-1}(T^i) \rightarrow \mathfrak{A}^i$, $i \in I$, are strict surjective morphisms and $\mathfrak{A}/(\gamma^i)^{-1}(T^i)$ is reduced. Thus, since $\mathfrak{A}^i \in \mathbf{M}$, for all $i \in I$ and $\mathbf{M}^{-1*}(\mathbf{M}) \subseteq \mathbf{M}$, we get that $\mathfrak{A}/(\gamma^i)^{-1}(T^i) \in \mathbf{M}$, for all $i \in I$. This shows that $(\gamma^i)^{-1}(T^i) \in \mathcal{X}$, for all $i \in I$. But, by the subdirect intersection property, $T = \bigcap_{i \in I} (\gamma^i)^{-1}(T^i)$, whence, by hypothesis, there exists $i \in I$, such that $T = (\gamma^i)^{-1}(T^i)$. Moreover, $\text{Ker}(\langle H^i, \hat{\gamma}^i \rangle) = (\gamma^i)^{-1}(\Delta^{\mathcal{A}^i}) = (\gamma^i)^{-1}(\Omega^{\mathcal{A}^i}(T^i)) = \Omega^{\mathcal{A}}((\gamma^i)^{-1}(T^i)) = \Omega^{\mathcal{A}}(T) = \Delta^{\mathcal{A}}$. Therefore, \mathfrak{A} is subdirectly irreducible relative to \mathbf{M} . ■

