# Advanced Computational Complexity

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- Standard Conventions
- Representing Objects as Strings
- Decision Problems/Languages
- Big-Oh Notation

#### Subsection 1

Standard Conventions

## Standard Notational Conventions

- Let  $\mathbb{Z} = \{0, \pm 1, \pm 2, \ldots\}$  denote the set of integers.
- Let  $\mathbb{N}$  denote the set of natural numbers (i.e., nonnegative integers).
- A number denoted by one of the letters  $i, j, k, \ell, m, n$  is always assumed to be an integer.
- If  $n \ge 1$ , then [n] denotes the set  $\{1, \ldots, n\}$ .
- For a real number x, we denote by:
  - $\lceil x \rceil$  the smallest  $n \in \mathbb{Z}$ , such that  $n \ge x$ ;
  - $\lfloor x \rfloor$  the largest  $n \in \mathbb{Z}$ , such that  $n \leq x$ .
- If a real number is used in a context requiring an integer, the operator
   is implied.

## Standard Notational Conventions (Cont'd)

- We denote by log x the logarithm of x to the base 2.
- We say that a condition P(n) holds for sufficiently large n if, there exists some number N, such that P(n) holds, for every n > N.
- We use expressions such as  $\sum_{i} f(i)$  (as opposed to, say,  $\sum_{i=1}^{n} f(i)$ ) when the range of values *i* takes is obvious from the context.
- If u is a string,  $u_i$  denotes the value of the *i*-th symbol of u.
- If u is a vector,  $u_i$  denotes the value of the *i*-th coordinate of u.

### Strings

- Let S be a finite set (of symbols).
- A string over the alphabet *S* is a finite ordered tuple of elements from *S*.
- We will typically consider strings over the binary alphabet  $\{0,1\}$ .
- For any integer n ≥ 0, we denote by S<sup>n</sup> the set of length-n strings over S (S<sup>0</sup> denotes the singleton consisting of the empty tuple).
- We denote by  $S^*$  the set of all strings,

$$S^* = \bigcup_{n\geq 0} S^n.$$

### Concatenation and Length

• If x and y are strings, then we denote their **concatenation** (the tuple that contains first the elements of x and then the elements of y) by

 $x \circ y$  or simply xy.

• If x is a string and  $k \ge 1$  is a natural number, then

### x<sup>k</sup>

denotes the concatenation of k copies of x.

- For example,  $1^k$  denotes the string consisting of k ones.
- The **length** of a string x is denoted by |x|.

### Distributions

• If S is a distribution, then we use

$$x \in_R S$$

to say that x is a random variable that is distributed according to S.

- If S is a set, then x ∈<sub>R</sub> S is used to denote that x is distributed uniformly over the members of S.
- We denote by  $U_n$  the uniform distribution over  $\{0,1\}^n$ .

# Dot Product and Inner Product

For two length-n strings x, y ∈ {0,1}<sup>n</sup>, we denote their dot product modulo 2 by

$$x \odot y$$
.

That is,

$$x \odot y = \sum_i x_i y_i \pmod{2}.$$

 The inner product of two *n*-dimensional real or complex vectors u, v is denoted by

$$\langle \mathbf{u}, \mathbf{v} \rangle$$
.

- For any object x, we use LX (not to be confused with the floor operator Lx) to denote the representation of x as a string.
- This is detailed in the following slides.

#### Subsection 2

#### Representing Objects as Strings

# Representation of Input Objects

- Our main computational task is computing a function.
- We focus on functions whose inputs and outputs are finite strings of bits, i.e., members of  $\{0,1\}^*$ .
- Considering only functions that operate on bit strings is not a real restriction.
- Simple encodings can be used to represent general objects as strings of bits.
- In this way, one can represent, e.g.:
  - Integers;
  - Pairs of integers;
  - Graphs;
  - Vectors;
  - Matrices;

### Examples of Representation

- We can represent an integer as a string using its binary expansion.
- E.g., 34 is represented as 100010.
- A graph can be represented as its adjacency matrix.
- That is, an *n* vertex graph *G* is represented by an *n* × *n* 0/1-valued matrix *A*, such that

$$A_{i,j}=1$$
 iff the edge  $\overline{ij}$  is present in  $G$ .

- We will avoid dealing explicitly low-level representation issues.
- We use  $\lfloor X \rfloor$  to denote some canonical binary representation of x.
- Often ∟ 」 is dropped and x is used for both the object and its representation.

## Representing Pairs and Tuples

- We use  $\langle x, y \rangle$  to denote the ordered pair consisting of x and y.
- A canonical representation for  $\langle x, y \rangle$  can be obtained from the representations of x and y.

Example: We can first encode  $\langle x, y \rangle$  as the string

 $\lfloor X \rfloor \# \lfloor Y \rfloor,$ 

over the alphabet  $\{0, 1, \#\}$ .

Then, use the mapping  $0\mapsto 00,1\mapsto 11,\#\mapsto 01$  to convert this representation into a string of bits.

- To reduce notational clutter, instead of  $\lfloor \langle x, y \rangle \rfloor$ , we use  $\langle x, y \rangle$  to also denote the representation of this pair as a binary string.
- Similarly, we use ⟨x, y, z⟩ to denote both the ordered triple consisting of x, y, z and its representation ∟⟨x, y, z⟩.
- We adopt similar conventions for other representations.

## Functions with Nonstring Inputs or Outputs

- The idea of representation allows us to talk about computing functions whose inputs are not strings.
- E.g., functions that take natural numbers as inputs.
- We implicitly identify any function *f* whose domain and range are not strings with the function

$$g: \{0,1\}^* \to \{0,1\}^*$$

that:

- Receives a representation of an object x as input;
- Outputs the representation of f(x).
- Using the representation of pairs and tuples, we can also talk about computing functions that have more than one input or output.

#### Subsection 3

#### Decision Problems/Languages

### Languages or Decision Problems

- A special case of functions mapping strings to strings is the case of Boolean functions, whose output is a single bit.
- We identify such a function f with the subset

 $L_f = \{x \in \{0,1\}^* : f(x) = 1\} \subseteq \{0,1\}^*.$ 

- We call such sets languages or decision problems.
- We identify the corresponding computational problems.
  - Compute *f* :

Given x, compute f(x).

 Decide the language L<sub>f</sub>: Given x, decide whether x ∈ L<sub>f</sub>.

## Example: Independent Set

- Let G be a graph.
- An **independent set** in *G* is a subset of the set of vertices, such that no edge in *G* joins any two of them.
- A computational problem consists, given a graph G, of finding a maximum sized independent set.
- The corresponding language is:

• An algorithm to solve this language will tell us, on input a graph G and a number k, whether there exists an independent set in G of size at least k.

#### Subsection 4

**Big-Oh Notation** 

# Computational Efficiency of Algorithms

- We will typically measure the computational efficiency of an algorithm as the number of basic operations it performs as a function of its input length.
- The efficiency of an algorithm can be captured by a function T from the set  $\mathbb{N}$  of natural numbers to itself, such that T(n) is equal to the maximum number of basic operations that the algorithm performs on inputs of length n.
- This function *T* is sometimes overly dependent on the low-level details of our definition of a basic operation.

Example: The addition algorithm will take about three times more operations if it uses addition of single digit binary numbers as a basic operation, as opposed to decimal numbers.

• To ignore these low-level details in our measurements, we introduce the big-Oh notation.

# **Big-Oh Notation**

#### Definition (Big-Oh Notation)

- If f, g are two functions from  $\mathbb{N}$  to  $\mathbb{N}$ , then we say:
  - (1) f = O(g) if there exists a constant c, such that  $f(n) \le c \cdot g(n)$ , for every sufficiently large n;

(2) 
$$f = \Omega(g)$$
 if  $g = O(f)$ ;

(3) 
$$f = \Theta(g)$$
 if  $f = O(g)$  and  $g = O(f)$ ;

(4) f = o(g) if, for every  $\epsilon > 0$ ,  $f(n) \le \epsilon \cdot g(n)$ , for every sufficiently large n;

(5) 
$$f = \omega(g)$$
 if  $g = o(f)$ .

To emphasize the input parameter, we often write f(n) = O(g(n)) instead of f = O(g), and use similar notation for  $o, \Omega, \omega$  and  $\Theta$ .

#### Examples

1. Let  $f(n) = 100n \log n$  and  $g(n) = n^2$ . Then we have the relations

$$f = O(g), \quad g = \Omega(f), \quad f = o(g), \quad g = \omega(f).$$

2. Let 
$$f(n) = 100n^2 + 24n + 2\log n$$
 and  $g(n) = n^2$ .  
Then  $f = O(g)$ . In this case, we often write  $f(n) = O(n^2)$ .  
We also have  $g = O(f)$ .  
As a result,  $f = \Theta(g)$  and  $g = \Theta(f)$ .

# Examples (Cont'd)

3. Let f(n) = min {n, 10<sup>6</sup>} and g(n) = 1, for every n. Then f = O(g). We write f = O(1). Similarly, if h is a function that tends to infinity with n (i.e., for every c, it holds h(n) > c for n sufficiently large), then we write h = ω(1).
4. Let f(n) = 2<sup>n</sup> and g(n) = n<sup>c</sup>, for some c ∈ IN. Then g = o(f). We write 2<sup>n</sup> = n<sup>ω(1)</sup>. Similarly, we write

$$h(n)=n^{O(1)}$$

to denote that h is bounded from above by some polynomial.

I.e., there exist a number c > 0, such that, for sufficiently large n,  $h(n) \le n^c$ .

Another notation for this is h(n) = poly(n).