

Introduction to Algebraic Geometry

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1 Smooth Points and Dimension

- Smooth and Singular Points
- Algebraic Characterization of the Dimension of a Variety

Subsection 1

Smooth and Singular Points

The Tangent Space to a Hypersurface at a Point

- Let V be an irreducible affine hypersurface,

$$V = V(f) = \{(x_1, \dots, x_n) \in \mathbb{A}_k^n : f(x_1, \dots, x_n) = 0\},$$

where $f \in k[x_1, \dots, x_n]$ is an irreducible nonconstant polynomial.

Definition (Tangent Space)

Let $P = (a_1, \dots, a_n) \in V$. The **tangent space to the hypersurface V at the point P** is defined by

$$T_P(V) := \left\{ (x_1, \dots, x_n) \in \mathbb{A}_k^n : \sum_{i=1}^n \frac{\partial f}{\partial x_i}(P)(x_i - a_i) = 0 \right\},$$

where $\frac{\partial f}{\partial x_i}$ denotes the (formal) derivative of f with respect to x_i .

- The space $T_P(V)$ is an affine subspace of \mathbb{A}_k^n , and $P \in T_P(V)$.
- $T_P(V)$ is often given the structure of a vector space, with origin at P .

Tangent Space is “Space of Tangents”

Lemma

Let $L \subseteq \mathbb{A}_k^n$ be an affine line through the point P . Then P is a multiple root of $f|_L$ if and only if $L \subseteq T_P(V)$.

- The line L has a parametrization

$$L: \quad x_i = a_i + b_i t,$$

where:

- $P = (a_1, \dots, a_n)$;
- (b_1, \dots, b_n) is a vector in the direction of L .

Let

$$g := f|_L.$$

Then

$$g(t) = f(a_1 + b_1 t, \dots, a_n + b_n t).$$

Tangent Space is “Space of Tangents” (Cont'd)

- By hypothesis, $P = (a_1, \dots, a_n) \in V$.

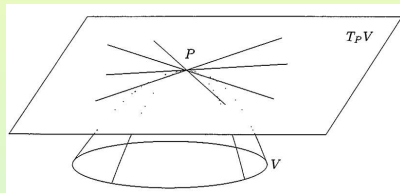
So

$$g(0) = f(P) = 0.$$

So g has a multiple root at 0 if and only if

$$\begin{aligned} \frac{\partial g}{\partial t}(0) = 0 & \text{ iff } \sum_{i=1}^n b_i \frac{\partial f}{\partial x_i}(P) = 0 \\ & \text{ iff } L \subseteq T_P(V), \end{aligned}$$

by the equation for $T_P(V)$.



Smooth (Regular) Points

Definition (Smooth (Regular) Point)

P is called a **smooth** (or **regular**) point of the hypersurface V if there exists some i , such that

$$\frac{\partial f}{\partial x_i}(P) \neq 0.$$

Otherwise P is called a **singular point** (or a **singularity**) of V .

- This definition gives us the following characterizations of smooth and singular points on affine hypersurfaces.

P is a smooth point of V iff $T_P V$ is an affine hyperplane.

P is a singular point of V iff $T_P V = \mathbb{A}_k^n$.

Smoothness of General Points

Proposition

For an irreducible affine hypersurface $V \subseteq \mathbb{A}_k^n$, the set

$$V_{\text{smooth}} := \{P \in V : P \text{ is a smooth point of } V\}$$

is open and dense in V .

- The set $V_{\text{sing}} := V \setminus V_{\text{smooth}}$ is given by

$$V_{\text{sing}} = V \left(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right) \subseteq \mathbb{A}_k^n.$$

Since this is obviously a closed subset, V_{smooth} is open.

We are assuming that V is irreducible.

So show that V_{smooth} is dense, we must show that $V_{\text{sing}} \neq V$.

Smoothness of General Points (Cont'd)

Claim: $V_{\text{sing}} \neq V$.

Suppose, to the contrary, that $V_{\text{sing}} = V$.

Then all derivatives $\frac{\partial f}{\partial x_i}$ vanish on V .

That is, $\frac{\partial f}{\partial x_i} \in (f)$.

But the degree of $\frac{\partial f}{\partial x_i}$ is less than the degree of f .

This implies that $\frac{\partial f}{\partial x_i} = 0$.

- Assume, first, $\text{char}(k) = 0$.

It already follows that f is constant, a contradiction.

- Assume, next, that $\text{char}(k) = p > 0$.

Then it follows that f is constant or is a polynomial in x_i^p .

But we assume that the ground field k is algebraically closed.

So this implies that $f = g^p$.

This contradicts the irreducibility of f .

Real and Complex Manifolds

- Let $k = \mathbb{R}$ or \mathbb{C} .
- Let P be a smooth point of a hypersurface $V \subseteq \mathbb{A}_k^n$.
- Assume that $\frac{\partial f}{\partial x_1}(P) \neq 0$.
- Consider the map

$$p: \begin{array}{ccc} \mathbb{A}_k^n & \rightarrow & \mathbb{A}_k^n; \\ (x_1, \dots, x_n) & \mapsto & (f(x_1, \dots, x_n), x_2, \dots, x_n). \end{array}$$

- At the point P , p has an invertible Jacobian matrix $\left(\frac{\partial p_i}{\partial x_j}\right)_{ij}$.
- By the Inverse Function Theorem, there are neighborhoods $U \subseteq \mathbb{A}_k^n$ of P and $W \subseteq \mathbb{A}_k^n$ of $p(P)$ (in the usual analytic topology), such that $p: U \rightarrow W$ is diffeomorphic (or biholomorphic if $k = \mathbb{C}$).

Real and Complex Manifolds (Cont'd)

- In terms of the coordinates y_1, \dots, y_n of the image space \mathbb{A}_k^n ,

$$\rho(V) \cap W = V(y_1) \cap W.$$

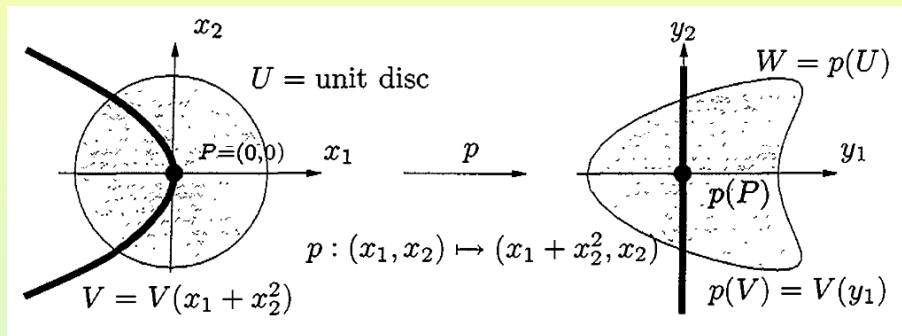
- Thus, V is:
 - Locally diffeomorphic to an open subset of \mathbb{R}^{n-1} ; or
 - Locally biholomorphic to an open subset of \mathbb{C}^{n-1} .
- This defines the structure of a manifold on the neighborhood

$$V \cap U$$

of P in V .

- The functions x_2, \dots, x_n can be used as local coordinates for V in the neighborhood $V \cap U$ of P .

Example



Linear Part of a Polynomial at a Point

- Consider an arbitrary irreducible affine variety $V \subseteq \mathbb{A}_k^n$.
- Let $f \in k[x_1, \dots, x_n]$ be a polynomial.
- Let $P = (a_1, \dots, a_n)$ be a point.
- The **linear part of f at $P = (a_1, \dots, a_n)$** is defined by

$$f_P^{(\ell)} := \sum_{i=1}^n \frac{\partial f}{\partial x_i}(P)(x_i - a_i).$$

Tangent Space to an Irreducible Variety at a Point

Definition (Tangent Space)

The **tangent space to an irreducible variety V at a point $P \in V$** is defined by the following intersection of hyperplanes:

$$T_P V := \bigcap_{f \in I(V)} V(f_P^{(\ell)}) \subseteq \mathbb{A}_k^n.$$

- This is an affine subspace of \mathbb{A}_k^n containing P .
- If V is a hypersurface, then this is the same as the tangent space considered previously.

Dimension

Definition (Dimension)

For an irreducible affine variety V , we define the **dimension** of V by

$$\dim V = \min \{ \dim T_P V : P \in V \}.$$

- By definition, we have, for all $P \in V$,

$$\dim T_P V \geq \dim V.$$

- We show that $\dim V$ is equal to the dimension of the tangent space $\dim T_P V$, for a general point P on V .

Upper Semicontinuity of Dimension

Proposition

The function $V \rightarrow \mathbb{N}; P \mapsto \dim T_P V$, is upper semicontinuous in the Zariski topology, i.e., for all $r \in \mathbb{N}$, the set

$$S_r(V) := \{P \in V : \dim T_P V \geq r\}$$

is closed.

- Suppose that g_1, \dots, g_m generate the ideal $I(V)$.

For every $f \in I(V)$, the linear part $f_P^{(\ell)}$ can be written as a linear combination of $g_{i,P}^{(\ell)}$.

It follows that

$$T_P V = \bigcap_{i=1}^m V(g_{i,P}^{(\ell)}) \subseteq \mathbb{A}_k^n.$$

Upper Semicontinuity of Dimension (Cont'd)

- Now we have

$$\dim T_P V = n - \text{rank} \left(\frac{\partial g_i}{\partial x_j}(P) \right)_{ij}.$$

Hence,

$$P \in S_r(V) \quad \text{iff} \quad \text{rank} \left(\frac{\partial g_i}{\partial x_j}(P) \right)_{ij} \leq n - r.$$

The latter is satisfied if and only if all $(n - r + 1) \times (n - r + 1)$ minors of $\left(\frac{\partial g_i}{\partial x_j} \right)_{ij}$ vanish.

But these minors are polynomial functions.

Therefore, $S_r(V)$ is closed.

Dimension of V and Dimension of $T_P V$

Proposition

Let $r = \dim(V)$ be an irreducible affine variety. Then there is an open dense subset $V_0 \subseteq V$, such that

$$\dim T_P V = \dim V, \quad \text{for all } P \in V_0.$$

- Let $r = \dim(V)$.

By definition of dimension,

$$S_r(V) = V \quad \text{and} \quad S_{r+1}(V) \neq V.$$

By the proposition, $S_{r+1}(V)$ is closed.

So we can take

$$V_0 := V \setminus S_{r+1}(V).$$

V_0 is open and nonempty.

Smooth (Regular) Points of a Variety

Definition (Smooth (Regular) Point)

For an irreducible affine variety V , a point $P \in V$ is a **smooth (regular) point** of V if

$$\dim T_P V = \dim V.$$

Otherwise, P is a **singular point**.

- For a hypersurface $V(f)$ in \mathbb{A}_k^n , we have

$$\frac{\partial f}{\partial x_i}(P) \neq 0, \text{ for some } i, \quad \text{iff} \quad \text{rank} \left(\frac{\partial f}{\partial x_i}(P) \right)_i = 1.$$

- Thus, a hypersurface V in \mathbb{A}_k^n has dimension $\dim V = n - 1$.

Codimension

Definition (Codimension)

The **codimension** of an irreducible affine variety V in \mathbb{A}_k^n is defined by

$$\text{codim } V = n - \dim V.$$

- Suppose that $V \subseteq \mathbb{A}_k^n$ is defined by r polynomials f_1, \dots, f_r .
- By the proposition, the codimension is given by the rank of the matrix

$$\left(\frac{\partial f_i}{\partial x_j} \right)_{ij}$$

at a smooth point P .

- It follows that $\text{codim } V \leq r$.
- Thus, to define an irreducible affine variety of codimension r requires at least r equations.
- In fact this is also the case if V is reducible.

Complex Varieties as Manifolds

- In the case $k = \mathbb{C}$, an irreducible affine variety V of dimension $n - r$ can be considered as a complex manifold of the same dimension.
- Let P be a smooth point of V .
- By definition, there are functions

$$f_1, \dots, f_r \in I(V),$$

with linearly independent linear parts at P .

- So the matrix

$$\left(\frac{\partial f_i}{\partial x_j}(P) \right)_{i,j=1,\dots,r}$$

is nonsingular.

Complex Varieties as Manifolds (Cont'd)

- By the Inverse Function Theorem, the map

$$\begin{aligned} \rho: \quad \mathbb{A}_k^n &\rightarrow \mathbb{A}_k^n; \\ (x_1, \dots, x_n) &\mapsto (f_1(x_1, \dots, x_n), \dots, f_r(x_1, \dots, x_n), x_{r+1}, \dots, x_n) \end{aligned}$$

induces a diffeomorphism between a neighborhood of P and a neighborhood of $\rho(P)$.

- We can take

$$x_{r+1}, \dots, x_n$$

to be local coordinates for V in a neighborhood of P .

Arbitrary Affine Varieties

- Let V be an arbitrary affine variety.
- Suppose V has a decomposition into irreducible components,

$$V = V_1 \cup \cdots \cup V_\ell.$$

Definition (Smooth (Regular) Point)

A point $P \in V$ is a **smooth (regular) point** of V if:

- (1) P lies on exactly one irreducible component V_i of V ;
- (2) P is a smooth point of V_i .

Definition (Dimension)

The **dimension** of V is defined to be the maximum of the dimensions of the irreducible components V_i .

Subsection 2

Algebraic Characterization of the Dimension of a Variety

Transcendence Basis and Transcendence Degree

- Let V be an irreducible affine variety defined over k .
- Let K be the quotient field of the coordinate ring of V .
- By Noether normalization, there is a (not necessarily unique) field K_t , such that:
 - $k \subseteq K_t \subseteq K$;
 - K_t/k is purely transcendental;
 - K/K_t is algebraic.
- Thus for some integer n , the field K_t is isomorphic to a field of rational functions in n variables over k , i.e., $K_t \cong k(x_1, \dots, x_n)$.

Transcendence Basis and Transcendence Degree (Cont'd)

- If $K/k(\alpha_1, \dots, \alpha_m)$ is an algebraic extension, we say that $\alpha_1, \dots, \alpha_m$ **span** the transcendental part of K .
- If additionally $\alpha_1, \dots, \alpha_m$ are algebraically independent, then we call the set $\{\alpha_1, \dots, \alpha_m\}$ a **transcendence basis** of K over k .
- There always exists a transcendence basis.
- Moreover, any two transcendence bases have the same number of elements.
- This number is called the **transcendence degree**, $\text{trdeg}_k K$ of K over the field k .

Dimension and Transcendence Degree

- We will show that the dimension of a variety is equal to the transcendence degree of its function field.
- Consider the case of an irreducible hypersurface $V \subseteq \mathbb{A}_k^n$.
- Let f be an irreducible equation for V .
- The coordinate ring of V is given by

$$k[V] = k[x_1, \dots, x_n]/(f).$$

- After possible renumbering, we can assume that f contains the variable x_1 .
- Then we have

$$k(V) = k(x_2, \dots, x_n)[x_1]/(f).$$

- So x_2, \dots, x_n form a transcendence basis of $k(V)$.
- So we have $\text{trdeg}_k k(V) = n - 1 = \dim V$.
- We will show that this equality is true for algebraic varieties in general.

The Maximal Ideal m_P of $\mathcal{O}_{V,P}$

- In the following the tangent space $T_P V$ is considered as a vector space with origin at P .
- Recall the construction of the maximal ideal m_P in the local ring $\mathcal{O}_{V,P}$.
- The maximal ideal of P in \mathbb{A}_k^n is given by

$$M_P = \{f \in k[x_1, \dots, x_n] : f(P) = 0\} \subseteq k[x_1, \dots, x_n].$$

- This gives us a maximal ideal in the quotient,

$$\overline{M}_P = M_P / I(V) \subseteq k[V].$$

- Localization gives us the maximal ideal m_P in $\mathcal{O}_{V,P}$,

$$m_P = \left\{ \frac{f}{g} \in k(V) : f(P) = 0, g(P) \neq 0 \right\} \subseteq \mathcal{O}_{V,P} \subseteq k(V).$$

Algebraic Form of $T_P V$

Theorem

There is an isomorphism of vector spaces

$$T_P V \cong (m_P/m_P^2)^* := \text{Hom}_k(m_P/m_P^2, k),$$

which is natural in the sense of being independent of choice of basis.

- For simplicity we assume that P is the origin, $(0, \dots, 0)$.

This can always be achieved by a translation.

Under this hypothesis, $M_P = (x_1, \dots, x_n)$.

Let $(k^n)^*$ be the dual space of k^n .

The coordinates x_1, \dots, x_n are linear forms on k^n .

So we can consider them as a basis of $(k^n)^*$.

Algebraic Form of $T_P V$ (Cont'd)

- For all $f \in k[x_1, \dots, x_n]$, we have a linear function

$$f_P^{(\ell)} = \sum_{i=1}^n \frac{\partial f}{\partial x_i}(0) x_i \in (k^n)^*.$$

So we obtain a linear map

$$\begin{aligned} d: M_P &\rightarrow (k^n)^*; \\ f &\mapsto f_P^{(\ell)}. \end{aligned}$$

Algebraic Form of $T_P V$ (Cont'd)

Claim: $d : M_P \rightarrow (k^n)^*$ is surjective and $\ker d = M_P^2$.

- We have $d(x_i) = x_i$.
Moreover, the x_i form a basis for $(k^n)^*$.
So d is surjective.
- For $f(P) \in M_P$, we have

$$f_P^{(\ell)} = 0 \text{ iff all terms of } f \text{ have order at least 2.}$$

That is, $f_P^{(\ell)} = 0$ iff $f \in M_P^2$.

So the kernel is M_P^2 .

Thus, d induces an isomorphism

$$M_P / M_P^2 \cong (k^n)^*.$$

Algebraic Form of $T_P V$ (Cont'd)

- Now let $V \subseteq \mathbb{A}_k^n$ be an affine variety.

The inclusion $T_P V \subseteq k^n$ corresponds to a surjection $(k^n)^* \rightarrow (T_P V)^*$, given by restriction of linear forms on k^n to $T_P V$.

Thus, the composition

$$D: M_P/M_P^2 \rightarrow (k^n)^* \rightarrow (T_P V)^*$$

is also surjective.

Claim: $\ker(D) = M_P^2 + I(V)$.

This can be seen by the following sequence of equivalences.

$$\begin{aligned} f \in \ker D & \text{ iff } f_P^{(\ell)}|_{T_P V} = 0 \\ & \text{ iff } f_P^{(\ell)} = \sum a_i g_{i,P}^{(\ell)}, \text{ for some } g_i \in I(V), a_i \in k, \\ & \text{ iff } f - \sum a_i g_i \in M_P^2, \text{ for some } g_i \in I(V), a_i \in k, \\ & \text{ iff } f \in M_P^2 + I(V). \end{aligned}$$

Algebraic Form of $T_P V$ (Cont'd)

- The last claim gives

$$\overline{M}_P / \overline{M}_P^2 \cong M_P / (M_P^2 + I(V)) \cong (T_P V)^*.$$

It now suffices to show that

$$\overline{M}_P / \overline{M}_P^2 \cong m_P / m_P^2.$$

Then the result will follow by duality.

The inclusion $\overline{M}_P \subseteq m_P$ induces an injection

$$\varphi: \overline{M}_P / \overline{M}_P^2 \rightarrow m_P / m_P^2.$$

We show that φ is surjective.

Take $\frac{f}{g} \in m_P$. Then $c := g(0) \neq 0$. Moreover,

$$\frac{f}{c} - \frac{f}{g} = f \left(\frac{1}{c} - \frac{1}{g} \right) \in m_P^2.$$

So $\varphi\left(\frac{f}{c}\right) = \frac{f}{g} \in m_P / m_P^2$.

Local Dependence of $T_P V$

Corollary

The tangent space $T_P V$ depends only on a neighborhood of P in V . That is, if $f : V \dashrightarrow W$ is a birational map which maps a neighborhood V_0 of P isomorphically to a neighborhood W_0 of $Q = f(P)$, then there is an isomorphism

$$T_P V = T_Q W.$$

- Let $f : V \dashrightarrow W$ be birational and $f(P) = Q$.

Then we have an isomorphism

$$f^* : k(W) = k(W_0) \rightarrow k(V) = k(V_0),$$

which maps functions regular at Q to functions regular at P .

Local Dependence of $T_P V$ (Cont'd)

- We consider the isomorphism

$$f^* : k(W) = k(W_0) \rightarrow k(V) = k(V_0).$$

Clearly, functions vanishing at P map to functions vanishing at Q .

So m_Q is mapped to m_P .

Thus, f^* induces an isomorphism

$$\begin{array}{ccc} \overline{f^*} : & m_Q/m_Q^2 & \rightarrow & m_P/m_P^2 \\ & \parallel & & \parallel \\ & (T_Q W)^* & & (T_P V)^* \end{array}$$

Corollary

If V and W are birationally equivalent varieties, then $\dim V = \dim W$.

Differentials

Definition (Differential)

Let $f : V \rightarrow W$ be a morphism with $f(P) = Q$.

Dualizing the map

$$\overline{f^*} : m_Q/m_Q^2 \rightarrow m_P/m_P^2$$

gives a homomorphism

$$df(P) : T_P V \rightarrow T_Q W.$$

It is called the **differential of f at P** .

- In the real and complex cases, this coincides with the usual differentials defined in analysis.

Dimension and Transcendence Degree

Theorem

If V is an irreducible affine variety, then

$$\dim V = \text{trdeg}_k k(V).$$

- V is birationally equivalent to an affine hypersurface.

This hypersurface has:

- The same dimension as V ;
- Isomorphic function field.

So the result follows from the proof for hypersurfaces, given previously.

Set of Smooth Points

Corollary

For every affine variety V , the set of smooth points is an open dense subset of V .

- This can be inferred directly using the definition of regular points.
- Alternatively, one can reduce to the case of a hypersurface.

Smooth Points of Quasi-Projective Varieties

Definition (Smooth or Regular Point)

Let V be a quasi-projective variety. A point $P \in V$ is a **smooth** (or **regular**) **point** of V if there is an affine neighborhood $U \subseteq V$ of P , such that P is a smooth point of U .

- This holds for all affine neighborhoods containing P .
- Thus, the set of smooth points is again an open dense subset.

Dimension of Quasi-Projective Varieties

- We define the *dimension* of a quasi-projective variety.
- Suppose that V is an *irreducible* projective variety in \mathbb{P}_k^n .
- Consider its standard affine covering

$$V = V_0 \cup \cdots \cup V_n.$$

- We may assume that V is not contained in any hyperplane $H_i \subseteq \mathbb{P}_k^n$.
- Then, it follows easily that all the V_i have the same dimension.
- We call this the **dimension of V** .
- If V is *reducible*, then, we define the **dimension of V** to be the maximum of the dimensions of the components.

Krull Dimension of a Variety

- Every variety is birationally equivalent to an affine variety.
- So in the following V is always an irreducible affine variety.
- V is a Noetherian topological space.
- That is, every chain

$$V = V_0 \supsetneq V_1 \supsetneq V_2 \supsetneq \cdots \supsetneq V_\ell \neq \emptyset$$

of irreducible closed sets has finite length ℓ .

Definition (Krull Dimension)

The **Krull dimension** of V , denoted $\text{krdim}(V)$, is the supremum of the lengths of all descending chains of the form

$$V = V_0 \supsetneq V_1 \supsetneq V_2 \supsetneq \cdots \supsetneq V_\ell \neq \emptyset.$$

Krull Dimension of a Ring

Definition (Krull Dimension)

Let A be a ring.

- (1) The **height**, $\text{ht}(I)$, of a prime ideal I is the supremum over the lengths ℓ of all chains of prime ideals of the form

$$I_0 \subsetneq I_1 \subsetneq I_2 \subsetneq \cdots \subsetneq I_\ell = I.$$

- (2) The **Krull dimension** of A , denoted $\dim A$, is the supremum over the heights $\text{ht}(I)$ of all prime ideals $I \neq A$.

Krull Dimension of Varieties and Rings

- Consider a descending chain of irreducible closed sets

$$V = V_0 \supsetneq V_1 \supsetneq V_2 \supsetneq \cdots \supsetneq V_\ell \neq \emptyset.$$

- Set

$$I_i = I(V_i).$$

- Then

$$\{0\} \subsetneq I_1 \subsetneq I_2 \subsetneq \cdots \subsetneq I_\ell$$

is an ascending chain of prime ideals in the coordinate ring
 $k[V] = k[x_1, \dots, x_n]/I(V)$.

- Conversely, a chain of prime ideals gives rise to a chain of irreducible closed sets.
- Thus, we immediately have

$$\text{krdim}(V) = \dim k[V].$$

Dimension of a Variety and Krull Dimension

- The fact that the Krull dimension is the same as the dimension defined before follows from a theorem in commutative algebra.

Theorem

Let A be an integral domain which is a finitely generated k -algebra. Let K be the field of fractions of A . Then

$$\dim A = \text{trdeg}_k A.$$

Corollary

If V is an irreducible affine variety, then

$$\text{krdim}(V) = \text{trdeg}_k k(V) = \dim V.$$

- Combining previously obtained results.

Dimension of $\mathcal{O}_{V,P}$ and Dimension of m/m^2

- Concerning the Krull dimension of the local ring $\mathcal{O}_{V,P}$ at any point $P \in V$, we mention, without proof,

Proposition

If V is an irreducible affine variety, then for all points $P \in V$,

$$\dim \mathcal{O}_{V,P} = \dim k[V].$$

- Also, from commutative algebra, we have

Proposition

Let A be a Noetherian local ring with maximal ideal m and residue class field $k = A/m$. Then

$$\dim_k(m/m^2) \geq \dim A.$$

- For $A = \mathcal{O}_{V,P}$, the statement follows from previous results.

Smoothness and Regularity

Definition (Regular Local Ring)

A Noetherian local ring A , with maximal ideal m , is called a **regular local ring** if

$$\dim_k(m/m^2) = \dim A.$$

Corollary

A point $P \in V$ is smooth if and only if $\mathcal{O}_{V,P}$ is a regular ring.

- By a previous theorem, a point P is smooth if and only if

$$\dim_k(m_P/m_P^2) = \dim V.$$

We also know

$$\dim V = \dim k[V] = \dim \mathcal{O}_{V,P}.$$

It follows that P is smooth if and only if $\mathcal{O}_{V,P}$ is a regular ring.

Resolution of Singularities (Curves and Blow-up)

- Consider the curves C and C' in \mathbb{A}_k^2 , given by

$$C := \{(x, y) \in \mathbb{A}_k^2 : y^2 = x^3 + x^2\},$$

$$C' := \{(x, y) \in \mathbb{A}_k^2 : y^2 = x^3\}.$$

- Recall that the blow-up of \mathbb{A}_k^2 at the origin is given by:
 - The variety

$$\tilde{\mathbb{A}}_k^2 := \{((x, y), (t_0 : t_1)) \in \mathbb{A}_k^2 \times \mathbb{P}_k^1 : xt_1 - yt_0 = 0\};$$

- The projection map $\pi : \tilde{\mathbb{A}}_k^2 \rightarrow \mathbb{A}_k^2$ which is a bijection away from the exceptional line $E := \pi^{-1}\{(0, 0)\}$.
- Recall that $\tilde{\mathbb{A}}_k^2$ has a covering by affine sets V_0 and V_1 .
- We again identify V_0 with \mathbb{A}_k^2 , and take coordinates $x, u = \frac{t_1}{t_0}$.
- Set $\rho := \pi|_{V_0}$.

Resolution of Singularities (Pullbacks)

- Now $\rho^* : k(\mathbb{A}_k^2) \rightarrow k(\tilde{\mathbb{A}}_k^2)$ is given by

$$x \mapsto x, \quad y \mapsto xu.$$

- So the **pullbacks** of the equations for C and C' are given by

$$\begin{aligned}\rho^*(x^3 + x^2 - y^2) &= x^2(x + 1 - u^2), \\ \rho^*(x^3 - y^2) &= x^2(x - u^2).\end{aligned}$$

- These are the equations for $\pi^{-1}(C)$ and $\pi^{-1}(C')$, respectively.
- Let \tilde{C} and \tilde{C}' be the curves given by

$$\begin{aligned}\tilde{C} \cap V_0 &= \{(x, u) \in \mathbb{A}_k^2 : x + 1 - u^2 = 0\}, \\ \tilde{C}' \cap V_0 &= \{(x, u) \in \mathbb{A}_k^2 : x - u^2 = 0\}.\end{aligned}$$

Resolution of Singularities (Pullbacks Cont'd)

- The pullback of the equation for C vanish on \tilde{C} .
- The pullback of the equation for C' vanishes on \tilde{C}' .
- The exceptional line E is given by $x = 0$.
- So the pulled-back equations both vanish with multiplicity 2 along E .
- We express this by writing

$$\begin{aligned}\pi^{-1}(C) &= \tilde{C} + 2E, \\ \pi^{-1}(C') &= \tilde{C}' + 2E.\end{aligned}$$

Resolution of Singularities (Resolution)

- Restricted to E , the equations for C and C' are given by $u^2 - 1$ and u^2 .
- One has simple zeros at $u = \pm 1$, and the other has a double zero at $u = 0$.
- E is a tangent line to the curve \tilde{C}' , which meets E at one point with multiplicity two.
- E meets \tilde{C} transversally at two points.
- In general, the **strict transform** of a curve C is given by the closure of $\pi^{-1}(C \setminus \{(0,0)\})$.
- In this example, the strict transforms \tilde{C} and \tilde{C}' are smooth curves, birational to C and C' , respectively, via π .
- For each curve the singularity at the origin has been “resolved”.

