## Introduction to Algebraic Number Theory

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LSSU Math 500

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Algebraic Number Theory



- Embeddings
- Norms and Traces
- The Discriminant
- Integral Bases
- Further Theory of the Discriminant
- Rings of Integers in Some Cubic and Quadratic Fields

#### Subsection 1

Embeddings

#### Introducing Conjugates of Algebraic Numbers

- Suppose that K is a number field and that  $[K : \mathbb{Q}] = n$ .
- By a previous corollary, there exists  $\gamma \in K$ , such that  $K = \mathbb{Q}(\gamma)$ .
- Let f denote the minimal polynomial of  $\gamma$  over  $\mathbb{Q}$ .
- By a previous corollary, f has degree n.
- Now  $\mathbb{C}$  is algebraically closed.
- So we can factor f(X) completely over  $\mathbb{C}$ .
- That is, if  $\gamma_1, \ldots, \gamma_n \in \mathbb{C}$  are the (complex) roots of f,

$$f(X) = \prod_{i=1}^n (X - \gamma_i).$$

One of these is  $\gamma$  itself, so we will assume  $\gamma_1 = \gamma$ .

## Conjugates of Algebraic Numbers

#### Definition

If  $\gamma \in K$  has  $f(X) \in \mathbb{Q}[X]$  as its minimal polynomial as above, then the roots  $\gamma_1, \ldots, \gamma_n$  are the **conjugates** of  $\gamma$ .

- Conjugate elements have the same minimal polynomial.
- Indeed,  $\gamma_1, \ldots, \gamma_n$  are all roots of the monic irreducible polynomial f.
- So f is the minimal polynomial for each of them.
- By a previous lemma, the conjugates of an algebraic number are all distinct.

## Algebraic Conjugates and Complex Conjugates

Example: Suppose that  $\alpha = i$ .

Then its minimal polynomial is  $X^2 + 1$ .

The two complex roots of this are  $\pm i$ .

Thus, the two conjugates of i are i and -i.

Claim: Suppose that  $\alpha = a + bi \in \mathbb{Q}(i)$ .

Then its conjugates (in the sense above) are just  $\alpha$  and  $\overline{\alpha}$ .

• Thus, the conjugates of a complex number (in this sense) are the same as the conjugates (in the familiar sense).

## A Mild Generalization

- The concept of conjugacy generalizes somewhat.
- Let  $L \subseteq K$  be an extension of fields.
- Suppose  $\alpha \in K$  has minimal polynomial  $f(X) \in L[X]$  over L.
- Then the conjugates of  $\alpha$  over L are the roots of f.

#### Homomorphisms Induced by Conjugates

- Suppose  $K = \mathbb{Q}(\gamma)$ .
- Then, given any element of K, we can write it as a polynomial expression in γ with coefficients in Q.
- For each k = 1, ..., n, consider the map

 $\sigma_k : \gamma \mapsto \gamma_k.$ 

• This map induces a field homomorphism

$$\sigma_k : \mathbb{Q}(\gamma) \to \mathbb{Q}(\gamma_k) \subseteq \mathbb{C};$$
$$\sum_{i=0}^{n-1} x_i \gamma^i \mapsto \sum_{i=0}^{n-1} x_i \gamma_k^i.$$

## Homomorphisms Are Well-Defined

#### • The map $\sigma_k$ is well-defined.

That is, if the same element of  $\mathbb{Q}(\gamma)$  can be written in two different ways as a polynomial expression of  $\gamma$ , then applying  $\sigma_k$  to either expression gives the same answer.

Suppose 
$$g_1(\gamma) = g_2(\gamma)$$
.

Then 
$$\gamma$$
 is a root of  $g_1 - g_2$ .

So the minimal polynomial of  $\gamma$  divides  $g_1 - g_2$ .

But this minimal polynomial is just f.

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Now \gamma_k is also a root of f.
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Thus, f(\gamma_k) = 0.
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So  $g_1(\gamma_k) = g_2(\gamma_k)$ .

#### Injectivity of Conjugate Homomorphisms

Claim: All maps  $\sigma_i$  are injective.

Suppose  $g_1(\gamma)$  and  $g_2(\gamma)$  are two elements of  $K = \mathbb{Q}(\gamma)$ , such that

$$\sigma_k(g_1(\gamma)) = \sigma_k(g_2(\gamma)).$$

By definition of  $\sigma_k$ ,  $g_1(\gamma_k) = g_2(\gamma_k)$ .

So  $\gamma_k$  must be a root of  $g_1 - g_2$ .

Therefore, the minimal polynomial of  $\gamma_k$  divides  $g_1 - g_2$ .

But this minimal polynomial is exactly f.

So 
$$f \mid g_1 - g_2$$
. Hence,  $g_1(\gamma) = g_2(\gamma)$ .

#### Definition

An embedding means an injective field homomorphism.

• Thus,  $\sigma_1, \ldots, \sigma_n$  are all embeddings.

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## Embeddings of a Number Field into $\ensuremath{\mathbb{C}}$

#### Proposition

If K is a number field of degree n, then the maps  $\sigma_1, \ldots, \sigma_n$  are all of the n distinct field embeddings  $K \to \mathbb{C}$ .

• The arguments just given show that they are all well-defined injective field homomorphisms.

Conversely, suppose  $\sigma : K \to \mathbb{C}$  is a field homomorphism and  $K = \mathbb{Q}(\gamma)$ . Then  $\sigma$  must be determined by its effect on  $\gamma$ , as

$$\sigma\left(\sum_{i=0}^{n-1} x_i \gamma^i\right) = \sum_{i=0}^{n-1} x_i \sigma(\gamma)^i.$$

#### Embeddings

## Embeddings of a Number Field into $\mathbb{C}$ (Cont'd)

• Now apply  $\sigma$  to the equality  $f(\gamma) = 0$  to get

$$f(\sigma(\gamma)) = \sigma(f(\gamma)) = \sigma(0) = 0.$$

So 
$$\sigma(\gamma)$$
 is a root of  $f$ .  
This shows that  $\sigma(\gamma) = \gamma_k$ , for some  $k$ .  
It is then clear that  $\sigma = \sigma_k$ .

#### Example

- Consider the field  $K = \mathbb{Q}(i)$ .
- We have already seen that the conjugates of i are i and -i.
- So we get two embeddings from K into  $\mathbb{C}$ , given by

$$\sigma_1(a+bi) = a+bi;$$
  
$$\sigma_2(a+bi) = a-bi.$$

• This gives us two ways to think of  $\mathbb{Q}(i)$  as a subfield of  $\mathbb{C}$ .

#### Remark

- It is sometimes important when writing  $\mathbb{Q}(\sqrt{2})$ , say, to keep in mind that:
  - The element " $\sqrt{2}$ " should be regarded as just an abstract square root of 2;
  - This element is not necessarily to be identified with the positive real number 1.4142....
- We are writing  $\mathbb{Q}(\sqrt{2})$  as a shorthand for

" $\mathbb{Q}(\alpha)$  where  $\alpha$  is some number with  $\alpha^2 = 2$ ".

• Choosing an embedding from  $\mathbb{Q}(\sqrt{2})$  into  $\mathbb{C}$  is tantamount to identifying the abstract element  $\sqrt{2}$  with the particular number 1.4142... or -1.4142...

## Extending Embeddings into ${\mathbb C}$ to Field Extensions

#### Proposition

Suppose that  $K \subseteq L$  is a finite extension of fields, and that we have a fixed embedding  $\iota: K \to \mathbb{C}$ . Then there are [L:K] ways to extend the embedding  $\iota$  to an embedding  $L \to \mathbb{C}$  (that is, to define embeddings  $L \to \mathbb{C}$  which agree with  $\iota$  on the elements of L that belong to K).

By the Theorem of the Primitive Element, we can write L = K(γ), where γ has minimal polynomial over K of degree n = [L : K]. Let γ<sub>1</sub>,..., γ<sub>n</sub> denote the roots of the minimal polynomial. Define extensions σ<sub>k</sub> : L → C by insisting that

$$\sigma_k\left(\sum_{i=0}^{n-1} x_i \gamma^i\right) = \sum_{i=0}^{n-1} \iota(x_i) \gamma_k^i.$$

The verification that these are all the embeddings is then identical to the previous arguments.

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#### Example

- Suppose that K is a number field, and that  $\alpha \in K$ .
- We look at the images of α under each of the embeddings.
   Example: Suppose that K = Q(√2, √3), and that α = √6.
   The embeddings from K into C are given by:

$$\sigma_{1}(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6};$$
  

$$\sigma_{2}(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = a+b\sqrt{2}-c\sqrt{3}-d\sqrt{6};$$
  

$$\sigma_{3}(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = a-b\sqrt{2}+c\sqrt{3}-d\sqrt{6};$$
  

$$\sigma_{4}(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = a-b\sqrt{2}-c\sqrt{3}+d\sqrt{6}.$$

Then

$$\sigma_1(\sqrt{6}) = \sigma_4(\sqrt{6}) = \sqrt{6}, \sigma_2(\sqrt{6}) = \sigma_3(\sqrt{6}) = -\sqrt{6}$$

These images are just the conjugates of  $\sqrt{6}$ , but each occurs twice.

## Degree of a Tower of Extensions

#### Theorem

Suppose that  $K \subseteq L \subseteq M$  is a "tower" of fields. Assume M is a finite extension of L, and L is a finite extension of K. Then we have

[M:K] = [M:L][L:K].

- Suppose that [M : L] = m and [L : K] = n. Then the following hold.
  - There are elements ω<sub>1</sub>,...,ω<sub>n</sub>, such that every element of L is a linear combination of ω<sub>1</sub>,...,ω<sub>n</sub>, with coefficients in K;
  - There are elements  $\theta_1, \dots, \theta_m$ , such that every element of M is a linear combination of  $\theta_1, \dots, \theta_m$ , with coefficients in L.

# Degree of a Tower of Extensions (Cont'd)

Claim:  $\{\theta_i \omega_i\}$  is a basis for *M* as a *K*-vector space. Let  $\mu \in M$ . Express it first as a linear combination of

 $\theta_1,\ldots,\theta_m,$ 

with coefficients in I.

Then express each of these coefficients as linear combinations of

 $\omega_1,\ldots,\omega_n,$ 

with coefficients in K.

This shows that  $\mu$  can be written as a linear combination of  $\{\theta_i \omega_i\}$ , with coefficients in K.

#### Degree of a Tower of Extensions (Cont'd)

Claim: These  $\{\theta_i \omega_j\}$  form a linearly independent set. To see this, we take a linear combination which is 0,

 $\alpha_{11}\theta_1\omega_1 + \alpha_{12}\theta_1\omega_2 + \dots + \alpha_{1n}\theta_1\omega_n + \alpha_{21}\theta_2\omega_1 + \dots + \alpha_{mn}\theta_m\omega_n = 0.$ Rearrange this as

$$(\alpha_{11}\omega_1+\cdots+\alpha_{1n}\omega_n)\theta_1+\cdots+(\alpha_{m1}\omega_1+\cdots+\alpha_{mn}\omega_n)\theta_m=0.$$

Now this is a linear combination of  $\theta_1, \ldots, \theta_m$  with coefficients in *L*. Since they form a basis, each of the coefficients must vanish,

$$\alpha_{i1}\omega_1 + \dots + \alpha_{in}\omega_n = 0$$
, for all *i*.

Now  $\omega_1, \dots, \omega_n$  forms a basis for *L* as a vector space over *K*. So we again conclude that each  $\alpha_{ij} = 0$ . Thus,  $\{\theta_i \omega_j\}$  form a basis for *M* over *K*. It follows that [M:K] = mn.

## The Degree $d_{\alpha}$ and $r_{\alpha}$

- Consider a number field K of degree n over  $\mathbb{Q}$ .
- Suppose  $\alpha \in K$  with minimal polynomial  $g(X) \in \mathbb{Q}[X]$ .
- Then  $\alpha$  generates a field  $\mathbb{Q}(\alpha)$  contained in K.
- If g has degree  $d_{\alpha}$ , then  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = d_{\alpha}$ .
- Suppose that the conjugates of  $\alpha$  are written

$$\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_{d_\alpha}.$$

- Form the tower of fields  $\mathbb{Q} \subseteq \mathbb{Q}(\alpha) \subseteq K$ .
- We know that

$$[K:\mathbb{Q}] = [K:\mathbb{Q}(\alpha)][\mathbb{Q}(\alpha):\mathbb{Q}].$$

- So we see that  $d_{\alpha} \mid n$ .
- Write  $r = r_{\alpha}$  for  $\frac{n}{d_{\alpha}}$ .

## Images of lpha under the $\sigma_i$ 's

#### Proposition

The images  $\sigma_i(\alpha)$  are the conjugates  $\{\alpha_1, \ldots, \alpha_{d_\alpha}\}$ , each occurring with multiplicity  $r_\alpha$ .

We have extension fields Q ⊆ Q(α) ⊆ K.
 By a previous proposition, we know that there are d<sub>α</sub> embeddings

$$\iota_k: \mathbb{Q}(\alpha) \to \mathbb{C}.$$

The embedding  $\iota_k$  is determined by the property that  $\iota_k(\alpha) = \alpha_k$ . Choose any of these embeddings  $\iota_k : \mathbb{Q}(\alpha) \to \mathbb{C}$ . The extension  $\mathbb{Q}(\alpha) \subseteq K$  has degree  $r_{\alpha}$ .

By a previous proposition, the embedding  $\iota_k$  extends to an embedding  $K \to \mathbb{C}$  in  $r_{\alpha}$  ways.

## Images of $\alpha$ under the $\sigma_i$ 's (Cont'd)

By definition of an extension, each extension of ι<sub>k</sub> maps α to α<sub>k</sub>. We can perform this extension for each of the d<sub>α</sub> embeddings ι<sub>k</sub>. In this way each embedding is extended in r<sub>α</sub> ways. We thus obtain d<sub>α</sub>r<sub>α</sub> = n embeddings from K to C. But there are exactly n embeddings from K into C. Thus, all of the embeddings σ<sub>i</sub>: K → C have been obtained. Moreover, as we have seen, α is taken to each of its conjugates {α<sub>1</sub>,...,α<sub>d<sub>α</sub></sub>} with multiplicity r<sub>α</sub>.

## The Product with Factors $X - \sigma_k(\alpha)$

#### Corollary

Suppose  $\alpha$  in K has minimal polynomial g of degree  $d_{\alpha}$ , and that  $r_{\alpha} = \frac{n}{d_{\alpha}}$ . Then

$$\prod_{i=1}^{n} (X - \sigma_k(\alpha)) = g(X)^{r_\alpha}.$$

• Both sides are monic polynomials with the same roots.

#### Subsection 2

Norms and Traces

### Multiplication by $\alpha$

- Let K be a number field, with  $[K : \mathbb{Q}] = n$ .
- Suppose that  $\alpha \in K$ .
- Multiplication by  $\alpha$  gives a map

$$m_{\alpha}: K \to K; \qquad x \mapsto \alpha x.$$

Claim: This map is Q-linear.

It is easy to see that, for  $x, x' \in K$  and  $t \in \mathbb{Q}$ ,

$$m_{\alpha}(x+x') = \alpha(x+x') = \alpha x + \alpha x' = m_{\alpha}(x) + m_{\alpha}(x');$$
  

$$m_{\alpha}(tx) = \alpha(tx) = t(\alpha x) = tm_{\alpha}(x).$$

• The map is even K-linear, since  $m_{\alpha}(tx) = tm_{\alpha}(x)$ , for  $t \in K$ .

#### Trace and Norm of an Element in a Number Field

- Choose a basis for K over  $\mathbb{Q}$ .
- Then the map  $m_{\alpha}$  is represented by an  $n \times n$ -matrix.
- We define:
  - The **trace** of  $\alpha$ , written  $T_{K/\mathbb{Q}}(\alpha)$ , to be the trace of this matrix;
  - The **norm** of  $\alpha$ , written  $N_{K/\mathbb{Q}}(\alpha)$ , to be the determinant of the matrix.
- Choosing a different basis would give a conjugate *n* × *n*-matrix representing the map.
- By a result in Linear Algebra, the trace and determinant of an endomorphism do not depend on the choice of basis.
- When the field K is clearly understood, we may simply write  $N(\alpha)$  and  $T(\alpha)$  for the norm and trace.
- If L/K is an extension of number fields, there is an analogous notion of  $T_{L/K}$  and  $N_{L/K}$ .

## Example

• Suppose that 
$$K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$$
 and take  $\alpha = \sqrt{2} + \sqrt{3}$ .  
Choose a basis  $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$  for  $K$ .  
Multiplying by  $\alpha$  has the following effect,  
 $\alpha(a+b\sqrt{2}+c\sqrt{3}+d\sqrt{6}) = (2b+3c)+(a+3d)\sqrt{2}+(a+2d)\sqrt{3}+(b+c)\sqrt{6}$ .  
Interpreted as a map on coefficients  $\begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} \mapsto \begin{pmatrix} 2b+3c \\ a+3d \\ a+2d \\ b+c \end{pmatrix}$ .  
This is the map given by multiplication by  $\begin{pmatrix} 0 & 2 & 3 & 0 \\ 1 & 0 & 0 & 3 \\ 1 & 0 & 0 & 2 \\ 0 & 1 & 1 & 0 \end{pmatrix}$ .  
The trace is the sum of the diagonal entries, which is 0.  
The norm of  $\alpha$  is the determinant of the matrix, which is 1.

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## Min Polynomial of $\alpha$ and Characteristic Polynomial of $m_{\alpha}$

#### Proposition

Suppose that  $\alpha$  is an algebraic number with minimal polynomial  $g(X) \in \mathbb{Q}[X]$ . Form the map  $m_{\alpha}$  as above. Then the characteristic polynomial of the matrix of  $m_{\alpha}$  is g(X).

Suppose the min polynomial for α is given by x<sup>n</sup> + c<sub>1</sub>x<sup>n-1</sup> + ··· + c<sub>n</sub> = 0. We can compute the characteristic polynomial after choosing a basis. A basis for Q(α) over Q is {1, α, α<sup>2</sup>,..., α<sup>n-1</sup>}, where α has degree n. Note that:

$$\begin{array}{rcl} \alpha \cdot \alpha^k &=& \alpha^{k+1}, \quad k = 0, \dots, n-2, \\ \alpha \cdot \alpha^{n-1} &=& \alpha^n \\ &=& -c_1 \alpha^{n-1} - \dots - c_n. \end{array}$$

## Min Polynomial of $\alpha$ and Characteristic of $m_{\alpha}$ (Cont'd)

• So the map  $m_{\alpha}$  is given by

$$m_{\alpha}(a_{0} + a_{1}\alpha + \dots + a_{n-1}\alpha^{n-1})$$
  
=  $\alpha(a_{0} + a_{1}\alpha + \dots + a_{n-1}\alpha^{n-1})$   
=  $a_{0}\alpha + \dots + a_{n-2}\alpha^{n-1} + a_{n-1}\alpha^{n}$   
=  $a_{0}\alpha + \dots + a_{n-2}\alpha^{n-1} + a_{n-1}(-c_{1}\alpha^{n-1} - \dots - c_{n})$   
=  $-a_{n-1}c_{n} + (a_{0} - a_{n-1}c_{n-1})\alpha + \dots + (a_{n-2} - a_{n-1}c_{1})\alpha^{n-1}.$ 

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## Min Polynomial of lpha and Characteristic of $m_lpha$ (Cont'd)

• So the map of  $m_{\alpha}$  using this basis is given by

$$\begin{pmatrix} a_{0} \\ a_{1} \\ \vdots \\ a_{n-1} \end{pmatrix} \mapsto \begin{pmatrix} -a_{n-1}c_{n} \\ a_{0} - a_{n-1}c_{n-2} \\ \vdots \\ a_{n-2} - a_{n-1}c_{1} \end{pmatrix}$$

This is the same as multiplication by the matrix

$$\begin{pmatrix} & & -c_n \\ 1 & & -c_{n-1} \\ 1 & & -c_{n-2} \\ & \ddots & \vdots \\ & & 1 & -c_1 \end{pmatrix}.$$

It is easy to check that this matrix has characteristic polynomial given by  $x^n + c_1 x^{n-1} + \dots + c_n = 0$ .

## The Norm and the Trace are Rational

#### Lemma

#### Suppose $\alpha \in K$ . Then $N_{K/\mathbb{Q}}(\alpha)$ and $T_{K/\mathbb{Q}}(\alpha)$ are both in $\mathbb{Q}$ .

 $\bullet\,$  This simply follows because they are the trace and determinant of a matrix with entries in  $\mathbb{Q}.$ 

## Norm, Trace and Embeddings

#### Proposition

Write  $\sigma_1, \ldots, \sigma_n$  for the embeddings of K into C. If  $\alpha \in K$ , then

$$N_{K/\mathbb{Q}}(\alpha) = \prod_{k=1}^{n} \sigma_k(\alpha)$$
 and  $T_{K/\mathbb{Q}}(\alpha) = \sum_{k=1}^{n} \sigma_k(\alpha)$ .

Let g denote the minimal polynomial of α over Q.
Q(α) may be smaller than K (e.g., we might even have α ∈ Q). So the degree of g may be strictly smaller than n.
As g is irreducible, [Q(α):Q] = degg, written d<sub>α</sub>.
We have field extensions Q ⊆ Q(α) ⊆ K.
Let {β<sub>1</sub>,...,β<sub>r<sub>a</sub></sub>} be a basis for K over Q(α), [K:Q(α)] = r<sub>α</sub> = n/d<sub>α</sub>.
Clearly {1, α, ..., α<sup>d<sub>α</sub>-1</sup>} is a basis for Q(α) over Q.

#### Properties of Norm and Trace (Cont'd)

• Now the set  $\{\beta_i \alpha^j : 1 \le i \le r_\alpha, 0 \le j < d_\alpha\}$  forms a basis for K over Q. Choose this basis, and fix one of the  $\beta_i$ .

Consider the map  $m_{\alpha}$  on the block spanned by  $\{\beta_i, \beta_i \alpha, \dots, \beta_i \alpha^{d_{\alpha}-1}\}$ . The matrix of this map on this block is the same for all choices of  $\beta_i$ . It is the same as the matrix of the map  $m_{\alpha}$  on  $\mathbb{Q}(\alpha)$ , where we use the basis  $\{1, \alpha, \dots, \alpha^{d_{\alpha}-1}\}$ .

We have seen that this matrix has characteristic polynomial g. So the characteristic polynomial of  $m_{\alpha}$  on K is given by  $g(X)^{r_{\alpha}}$ . But the roots of g, by definition, are exactly the conjugates of  $\alpha$ . So the roots of  $g(X)^{r_{\alpha}}$  are the conjugates of  $\alpha$ , with multiplicities  $r_{\alpha}$ . By the proposition, these are exactly the images of  $\alpha$  under all the embeddings  $\sigma_i : K \to \mathbb{C}$ .

The result now follows.

## Norms and Traces of Algebraic Integers

#### Corollary

Suppose  $\alpha \in \mathbb{Z}_{K}$ . Then  $N_{K/\mathbb{Q}}(\alpha)$  and  $T_{K/\mathbb{Q}}(\alpha)$  are both in  $\mathbb{Z}$ .

By hypothesis, α ∈ Z<sub>K</sub>.
 So its minimal polynomial g(X) ∈ Z[X].
 Therefore, g(X)<sup>r<sub>α</sub></sup> ∈ Z[X].
 This implies that the product

$$\prod_{i=1}^n (X - \sigma_i(\alpha)) \in \mathbb{Z}[X].$$

The constant coefficient of this polynomial is  $(-1)^n N_{K/\mathbb{Q}}(\alpha)$ . In addition, the coefficient of  $X^{n-1}$  is  $-T_{K/\mathbb{Q}}(\alpha)$ .

#### Subsection 3

The Discriminant

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Algebraic Number Theory

#### The Discriminant

- Suppose that K is a number field of degree n over  $\mathbb{Q}$ .
- We saw this means that:
  - 1. *K* is generated over  $\mathbb{Q}$  by *n* elements (the definition of the degree);
  - 2. There are *n* embeddings  $\sigma_1, \ldots, \sigma_n$  from *K* into  $\mathbb{C}$ .
- Suppose that  $\{\omega_1, \ldots, \omega_n\}$  lie in K.
- For the moment, we will not assume that these form a basis.
- Consider the matrix:

$$M = \begin{pmatrix} \sigma_1(\omega_1) & \sigma_1(\omega_2) & \cdots & \sigma_1(\omega_n) \\ \sigma_2(\omega_1) & \sigma_2(\omega_2) & \cdots & \sigma_2(\omega_n) \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_n(\omega_1) & \sigma_n(\omega_2) & \cdots & \sigma_n(\omega_n) \end{pmatrix}.$$
# The Discriminant (Cont'd)

- We will use the determinant of *M* as a measure of how "widely spaced" the set {ω<sub>1</sub>,...,ω<sub>n</sub>} is.
- The determinant of M is defined only up to sign.
- So we use its square.

#### Definition

Define the **discriminant** of  $\{\omega_1, \ldots, \omega_n\}$  to be

 $\Delta\{\omega_1,\ldots,\omega_n\}=(\det M)^2.$ 

# A Discriminant Formula

#### Lemma

With the notation as above, form the matrix T, where

 $T_{ij}=T_{K/\mathbb{Q}}(\omega_i\omega_j).$ 

Then  $\Delta\{\omega_1,\ldots,\omega_n\} = \det T$ .

• Simply notice that  $\det M = \det M^t$ . So

$$\Delta\{\omega_1,\ldots,\omega_n\} = (\det M)^2 = \det(M^t M).$$

#### But

$$(M^{t}M)_{ij} = \sum_{k=1}^{n} M_{ik}^{t} M_{kj} = \sum_{k=1}^{n} M_{ki} M_{kj}$$
$$= \sum_{k=1}^{n} \sigma_{k}(\omega_{i}) \sigma_{k}(\omega_{j}) = \sum_{k=1}^{n} \sigma_{k}(\omega_{i}\omega_{j}).$$

By a previous proposition, this is equal to  $T_{\mathcal{K}/\mathbb{Q}}(\omega_i\omega_j)$ .

# Discriminant of Algebraic Integers

#### Corollary

Suppose that  $\{\omega, \ldots, \omega_n\}$  consists of elements of  $\mathbb{Z}_K$ . Then

 $\Delta\{\omega_1,\ldots,\omega_n\}\in\mathbb{Z}.$ 

Suppose each ω<sub>i</sub> ∈ Z<sub>K</sub>.
 Z<sub>K</sub> is closed under multiplication.
 So ω<sub>i</sub>ω<sub>j</sub> ∈ Z<sub>K</sub>.

By a previous corollary,  $T_{K/\mathbb{Q}}(\omega_i\omega_j)\in\mathbb{Z}$ .

So, by the lemma,  $\Delta\{\omega_1, \ldots, \omega_n\}$  is the determinant of a matrix with entries in  $\mathbb{Z}$ .

Thus,  $\Delta\{\omega_1,\ldots,\omega_n\}$  is itself in  $\mathbb{Z}$ .

# Example

Let K = Q(γ), for some γ.
 One natural basis for K over Q is {1, γ, γ<sup>2</sup>,..., γ<sup>n-1</sup>}.
 As usual, write γ<sub>1</sub>,..., γ<sub>n</sub> for the conjugates of γ.
 Then the discriminant Δ{1, γ, γ<sup>2</sup>,..., γ<sup>n-1</sup>} is given by

$$\begin{vmatrix} & \gamma_1 & \cdots & \gamma_1^{n-1} \\ 1 & \gamma_2 & \cdots & \gamma_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \gamma_n & \cdots & \gamma_n^{n-1} \end{vmatrix}^2$$

This is a Vandermonde determinant, which is equal to

$$\prod_{i< j} (\gamma_i - \gamma_j)^2.$$

We saw that the conjugates of  $\gamma$  are distinct. So the discriminant  $\Delta\{1, \gamma, \gamma^2, \dots, \gamma^{n-1}\}$  is nonzero.

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## Discriminant of the Minimal Polynomial

- Let  $K = \mathbb{Q}(\gamma)$ , for some  $\gamma$ .
- Suppose f(X) is the minimal polynomial of  $\gamma$ .
- Then its roots are the conjugates  $\gamma_1, \ldots, \gamma_n$  of  $\gamma$ .
- Define the discriminant of f(X) to be exactly

$$\prod_{i< j} (\gamma_i - \gamma_j)^2.$$

By the example, the discriminant of f(X) coincides with the discriminant Δ{1, γ,..., γ<sup>n-1</sup>}.

## Relations Between Discriminants

#### Proposition

Suppose that the elements of two sets  $\{\omega_1,...,\omega_n\}$  and  $\{\omega'_1,...,\omega'_n\}$  are related by

$$\omega_i' = c_{1i}\omega_1 + \cdots + c_{ni}\omega_n$$

for rational numbers  $c_{ij} \in \mathbb{Q}$ . Write C for the matrix  $(c_{ij})$ . Then

$$\Delta\{\omega'_1,\ldots,\omega'_n\} = (\det C)^2 \Delta\{\omega_1,\ldots,\omega_n\}.$$

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# Relations Between Discriminants (Cont'd)

Set

$$M' = \begin{pmatrix} \sigma_1(\omega'_1) & \sigma_1(\omega'_2) & \cdots & \sigma_1(\omega'_n) \\ \sigma_2(\omega'_1) & \sigma_2(\omega'_2) & \cdots & \sigma_2(\omega'_n) \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_n(\omega'_1) & \sigma_n(\omega'_2) & \cdots & \sigma_n(\omega'_n) \end{pmatrix}.$$

Then

$$\Delta\{\omega'_1,\ldots,\omega'_n\}=(\det M')^2.$$

 $\sigma_k$  is a homomorphism, which is the identity on rational numbers. It follows that

$$\sigma_k(\omega_i') = c_{1i}\sigma_k(\omega_1) + \cdots + c_{ni}\sigma_k(\omega_n).$$

It is easy to see that this implies that M' = CM, where  $C = (c_{ij})$ . The result now follows from the multiplicativity of the determinant.

### Bases have Nonzero Discriminants

#### Proposition

Suppose that  $\{\omega_1, \ldots, \omega_n\}$  is a basis for K over  $\mathbb{Q}$ . Then

 $\Delta\{\omega_1,\ldots,\omega_n\}\neq 0.$ 

 As usual, write K = Q(γ), for some element γ ∈ K. Then {1, γ,..., γ<sup>n-1</sup>} is a basis for K over Q. We can write the basis {ω<sub>1</sub>,...,ω<sub>n</sub>} in terms of {1, γ,..., γ<sup>n-1</sup>} as

$$\omega_i = c_{1i}1 + c_{2i}\gamma + \cdots + c_{ni}\gamma^{n-1}.$$

### Bases have Nonzero Discriminants (Cont'd)

Claim: Since  $\{\omega_1, ..., \omega_n\}$  is also a basis, we have  $\det(c_{ij}) \neq 0$ . Suppose  $\{\omega_1, ..., \omega_n\}$  is a basis.

We can write

$$\gamma^{i-1} = c'_{1i}\omega_1 + c'_{2i}\omega_2 + \cdots + c'_{ni}\omega_n.$$

for some  $c'_{ij}$ . Write  $C = (c_{ij})$  and  $C' = (c'_{ij})$ . We can see that this implies that C'C = I. Hence C and C' are invertible. The previous proposition shows that

$$\Delta\{\omega_1,\ldots,\omega_n\} = (\det(c_{ij}))^2 \Delta\{1,\gamma,\ldots,\gamma^{n-1}\}.$$

The result follows.

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# Characterization of Bases

#### Proposition

The set  $\{\omega_1, \ldots, \omega_n\}$  is a basis for K over  $\mathbb{Q}$  if and only if  $\Delta\{\omega_1, \ldots, \omega_n\} \neq 0$ .

 We have already seen that the discriminant of a basis is nonzero. Conversely, suppose {ω<sub>1</sub>,...,ω<sub>n</sub>} are linearly dependent over Q. Then x<sub>1</sub>ω<sub>1</sub> + ··· + x<sub>n</sub>ω<sub>n</sub> = 0, for some x<sub>1</sub>,...,x<sub>n</sub> ∈ Q, not all zero. Apply the embedding σ<sub>k</sub> to this equality. Since σ is a field homomorphism fixing each element of Q,

$$x_1\sigma_k(\omega_1)+\cdots+x_n\sigma_k(\omega_n)=0.$$

We get a linear dependency between the columns of the matrix M, with  $M_{ij} = \sigma_i(\omega_j)$ . So detM = 0.

Thus,  $\Delta\{\omega_1,\ldots,\omega_n\} = 0$ , as required.

# Real and Complex Embeddings

- Some of the *n* embeddings may map *K* into the real numbers  $\mathbb{R} \subset \mathbb{C}$ .
- We call these real embeddings.
- The other embeddings occur in complex conjugate pairs.
- I.e., if  $\sigma: K \to \mathbb{C}$  is an embedding, then so is  $\overline{\sigma}$ , where

$$\overline{\sigma}(\omega) = \overline{\sigma(\omega)}.$$

- So complex embeddings occur as complex conjugate pairs.
- Denote by:
  - $r_1$  the number of real embeddings of K into  $\mathbb{C}$ ;
  - $r_2$  the number of complex conjugate pairs of embeddings.

## Measuring the Spacing of $\{\omega_1, \ldots, \omega_n\}$

• Since there are *n* embeddings in total, we have

$$r_1 + 2r_2 = n.$$

- Every pair  $(\sigma, \overline{\sigma})$  of complex embeddings together map K into  $\mathbb{C}^2$ .
- The image is actually contained in a real 2-dimensional subspace. Indeed, suppose

$$\sigma(\omega) = a + bi.$$

Then

$$\overline{\sigma}(\omega) = a - bi.$$

So the real and imaginary parts of  $\overline{\sigma}(\omega)$  are already determined by the real and imaginary parts of  $\sigma(\omega)$ .

#### • So we get that:

- Each real embedding maps K into  $\mathbb{R} \subset \mathbb{C}$ ;
- Each pair of complex embeddings map K into a 2-dimensional real subspace of  $\mathbb{C}^2$ .

# Measuring the Spacing of $\{\omega_1, \ldots, \omega_n\}$ (Cont'd)

• We conclude that the collection of all embeddings

 $\iota = (\sigma_1, \dots, \sigma_n)$ 

maps K into a real subspace V of  $\mathbb{C}^n$  of real dimension n.

• Given our set  $\{\omega_1, \ldots, \omega_n\}$ , the image of

$$\mathbb{Z}\iota(\omega_1) + \cdots + \mathbb{Z}\iota(\omega_n)$$

is contained in this subspace V.

• When the set is not a basis, the image will lie in a subspace of V of strictly smaller dimension.

In this case the discriminant will vanish.

• If the set is a basis, the discriminant will measure the volume of a fundamental region for the image.

Thus, it will measure how sparsely these points are spaced.

#### Subsection 4

Integral Bases

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# Integral Bases of $\mathbb{Z}_{K}$

We say that the set {ω<sub>1</sub>,...,ω<sub>n</sub>} is an integral basis for the ring of integers Z<sub>K</sub> if every element of Z<sub>K</sub> is uniquely expressible as a Z-linear combination of elements of the set.

Example: We have looked at integral bases for quadratic fields. Suppose  $K = \mathbb{Q}(\sqrt{d})$ , with d a squarefree integer. Assume, first, that  $d \equiv 1 \pmod{4}$ .

Then

$$\mathbb{Z}_K = \mathbb{Z} + \mathbb{Z} \frac{1 + \sqrt{d}}{2}.$$

So an integral basis is  $\{1, \frac{1+\sqrt{d}}{2}\}$ . Assume, next, that  $d \equiv 2 \pmod{4}$  or  $d \equiv 3 \pmod{4}$ . Then

$$\mathbb{Z}_K = \mathbb{Z} + \mathbb{Z}\sqrt{d}.$$

So an integral basis is  $\{1, \sqrt{d}\}$ .

### Free Abelian Groups of Rank *n* and Bases

- It is not obvious that integral bases exist.
- We will show that they do for all number fields K.
- Equivalently, we will prove that the ring of integers of K is a free abelian group of rank n = [K : Q].
- Recall that a free abelian group A of rank n is one which is the direct sum of n subgroups, each infinite cyclic (so isomorphic to Z).

Then

$$A \cong \mathbb{Z}\omega_1 + \cdots + \mathbb{Z}\omega_n.$$

• So every element of A can be expressed uniquely as

$$x_1\omega_1 + \cdots + x_n\omega_n, \quad x_i \in \mathbb{Z}.$$

• This is exactly the property required of an integral basis.

### Existence of Integral Bases

- Suppose that  $[K : \mathbb{Q}] = n$ .
- Then we can choose a basis  $\{\omega_1, \ldots, \omega_n\}$  for K over  $\mathbb{Q}$ .
- Thus, every element of K can be written  $x_1\omega_1 + \cdots + x_n\omega_n$ , for  $x_i \in \mathbb{Q}$ .

#### Theorem

Let K be a number field. Then the ring of integers  $\mathbb{Z}_K$  has an integral basis.

Given any basis, we can replace each element in our basis with a nonzero multiple so that every basis element is in Z<sub>K</sub>.
 We also know that the discriminant of every basis consisting of elements of Z<sub>K</sub> is an integer.

# Existence of Integral Bases (Cont'd)

Choose a basis

 $\{\omega_1,\ldots,\omega_n\},\$ 

consisting of elements of  $\mathbb{Z}_K$ , with  $|\Delta\{\omega_1, \ldots, \omega_n\}|$  as small as possible. This can be done since  $\Delta\{\omega_1, \ldots, \omega_n\}$  is a positive integer. Claim: This set is indeed an integral basis for K. Suppose, to the contrary, that this does not hold. Then there would be  $\omega \in \mathbb{Z}_K$  whose expression in terms of this basis

 $\omega = x_1 \omega_1 + \dots + x_n \omega_n$ 

has coefficients which are in  $\mathbb{Q}$ , but not all in  $\mathbb{Z}$ . Reorder the basis elements, if necessary, so that  $x_1 \notin \mathbb{Z}$ . Then we can choose  $a_1 \in \mathbb{Z}$ , with

$$|x_1 - a_1| \le \frac{1}{2}.$$

## Existence of Integral Bases (Cont'd)

Define

$$\omega_1' = \omega - a_1\omega_1 = (x_1 - a_1)\omega_1 + x_2\omega_2 + \cdots + x_n\omega_n.$$

As  $\omega \in \mathbb{Z}_K$ ,  $\omega_1 \in \mathbb{Z}_K$ , and  $a_1 \in \mathbb{Z}$ , we have  $\omega'_1 \in \mathbb{Z}_K$ . Define also

$$\omega_2' = \omega_2, \ldots, \omega_n' = \omega_n.$$

Then  $\{\omega'_1, \ldots, \omega'_n\}$  is another basis.

It is easy to see that each of the elements of both sets can be expressed as a linear combination of the other (recall that  $x_1 - a_1 \neq 0$ ). Apply a previous proposition to change bases.

# Existence of Integral Bases (Cont'd)

• The change of basis matrix from  $\{\omega_1, \ldots, \omega_n\}$  to  $\{\omega'_1, \ldots, \omega'_n\}$ , is given by

$$C = \begin{pmatrix} x_1 - a_1 & x_2 & x_3 & \cdots & x_n \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix}$$

A previous proposition gives

$$\Delta\{\omega'_1,\ldots,\omega'_n\}=(x_1-a_1)^2\Delta\{\omega_1,\ldots,\omega_n\}.$$

But  $|x_1 - a_1| \le \frac{1}{2}$ . So this means that

$$\Delta\{\omega'_1,\ldots,\omega'_n\}<\Delta\{\omega_1,\ldots,\omega_n\}.$$

This contradicts the minimality of the discriminant of  $\{\omega_1, \ldots, \omega_n\}$ .

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## Discriminants of Two Integral Bases

- We saw that integral bases exist.
- In addition, the ring of integers of a number field of degree *n* is a free abelian group of rank *n*.

#### Proposition

If  $\{\omega_1,...,\omega_n\}$  and  $\{\omega_1',...,\omega_n'\}$  are two integral bases for a number field K, then

$$\Delta\{\omega'_1,\ldots,\omega'_n\}=\Delta\{\omega_1,\ldots,\omega_n\}.$$

Suppose {ω<sub>1</sub>,...,ω<sub>n</sub>} and {ω'<sub>1</sub>,...,ω'<sub>n</sub>} are two integral bases.
 Then each element of the second can be written as an integral linear combination of those in the first,

$$\omega'_i = c_{1i}\omega_1 + \dots + c_{ni}\omega_n$$
, with  $c_{ij} \in \mathbb{Z}$ .

## Discriminants of Two Integral Bases (Cont'd)

Now we have

$$\Delta\{\omega'_1,\ldots,\omega'_n\} = (\det C)^2 \Delta\{\omega_1,\ldots,\omega_n\}$$

So the integer  $\Delta\{\omega_1, \ldots, \omega_n\}$  divides the integer  $\Delta\{\omega'_1, \ldots, \omega'_n\}$ . But the same argument applies also in the other direction. So the integer  $\Delta\{\omega'_1, \ldots, \omega'_n\}$  divides the integer  $\Delta\{\omega_1, \ldots, \omega_n\}$ . From this we see that

$$\Delta\{\omega'_1,\ldots,\omega'_n\}=\pm\Delta\{\omega_1,\ldots,\omega_n\}.$$

Also each  $c_{ij} \in \mathbb{Z}$ . So det  $C \in \mathbb{Z}$ . Therefore,  $(\det C)^2 > 0$ . Thus,

$$\Delta\{\omega'_1,\ldots,\omega'_n\}=\Delta\{\omega_1,\ldots,\omega_n\}.$$

# The Discriminant of a Number Field

- Let K be a number field.
- We have seen that K has an integral basis.
- Moreover, by the proposition, any two integral bases have equal discriminants.

#### Definition

Suppose that K is a number field. The **discriminant**  $D_K$  of K is defined to be the discriminant of any integral basis for K.

### Example

• Consider the case  $K = \mathbb{Q}(\sqrt{d})$ , with d squarefree and  $d \equiv 1 \pmod{4}$ . An integral basis is  $\{1, \frac{1+\sqrt{d}}{2}\}$ .

There are two embeddings into  $\ensuremath{\mathbb{C}}$  , given by

$$\sigma_1(a+b\sqrt{d}) = a+b\sqrt{d};$$
  
$$\sigma_1(a+b\sqrt{d}) = a-b\sqrt{d}.$$

The discriminant is

$$\begin{vmatrix} \sigma_1(1) & \sigma_1(\frac{1+\sqrt{d}}{2}) \\ \sigma_2(1) & \sigma_2(\frac{1+\sqrt{d}}{2}) \end{vmatrix}^2 = \begin{vmatrix} 1 & \frac{1+\sqrt{d}}{2} \\ 1 & \frac{1-\sqrt{d}}{2} \end{vmatrix}^2 = (-\sqrt{d})^2 = d.$$

Thus, if  $K = \mathbb{Q}(\sqrt{d})$  as above,  $D_K = d$ .

# Example (Cont'd)

An integral basis for K = Q(√d) with d squarefree and d ≡ 2 (mod 4) or d ≡ 3 (mod 4) is {1, √d}.
 In this case,

$$D_{K} = \begin{vmatrix} \sigma_{1}(1) & \sigma_{1}(\sqrt{d}) \\ \sigma_{2}(1) & \sigma_{2}(\sqrt{d}) \end{vmatrix}^{2} = \begin{vmatrix} 1 & \sqrt{d} \\ 1 & -\sqrt{d} \end{vmatrix}^{2} = (-2\sqrt{d})^{2} = 4d.$$

#### Subsection 5

#### Further Theory of the Discriminant

# Minimal Polynomial of $\gamma$ and Norm of $f'(\gamma)$

#### Proposition

Suppose that  $K = \mathbb{Q}(\gamma)$ , and that the minimal polynomial of  $\gamma$  over  $\mathbb{Q}$  is  $f(X) \in \mathbb{Q}[X]$  of degree *n*. Then

$$\Delta\{1, \gamma, \dots, \gamma^{n-1}\} = (-1)^{n(n-1)/2} N_{K/\mathbb{Q}}(f'(\gamma)).$$

• We saw that the discriminant

$$\Delta\{1,\gamma,\ldots,\gamma^{n-1}\} = \prod_{i< j} (\gamma_i - \gamma_j)^2,$$

where the conjugates of  $\gamma$  are  $\gamma_1, \dots, \gamma_n$ . Recall that:

- The conjugates are the roots in  $\mathbb{C}$  of the minimal polynomial f(X);
- Minimal polynomials are monic.

So 
$$f(X) = \prod_{i=1}^{n} (X - \gamma_i)$$
.

# Minimal Polynomial of $\gamma$ and Norm of $f'(\gamma)$ (Cont'd)

Using the product rule,

$$f'(X) = \sum_{k=1}^{n} \prod_{i \neq k} (X - \gamma_i).$$

Only the term with k = j does not have a factor  $(X - \gamma_j)$ . So

$$f'(\gamma_j) = \prod_{i\neq j} (\gamma_j - \gamma_i).$$

Then

$$N_{K/\mathbb{Q}}(f'(\gamma)) = \prod_{j=1}^n f'(\gamma_j) = \prod_{j=1}^n \prod_{i\neq j} (\gamma_j - \gamma_i).$$

If i < j, this product has a bracket  $(\gamma_i - \gamma_j)$  and a bracket  $(\gamma_j - \gamma_i)$ . It follows that

$$N_{K/\mathbb{Q}}(f'(\gamma)) = \prod_{i < j} [-(\gamma_i - \gamma_j)^2] = (-1)^{n(n-1)/2} \Delta\{1, \gamma, \dots, \gamma^{n-1}\}.$$

# Discriminant of an Integral Basis of K

#### Lemma

Suppose that  $\omega_1, \ldots, \omega_n$  is a basis for K over  $\mathbb{Q}$  consisting of elements of  $\mathbb{Z}_K$ . Then

$$\Delta\{\omega_1,\ldots,\omega_n\}\mathbb{Z}_K\subseteq\mathbb{Z}\omega_1+\cdots+\mathbb{Z}\omega_n.$$

Let α ∈ ℤ<sub>K</sub>. By hypothesis, {ω<sub>1</sub>,...,ω<sub>n</sub>} is a basis.
 So we can write

$$\alpha = x_1 \omega_1 + \dots + x_n \omega_n, \quad x_1, \dots, x_n \in \mathbb{Q}.$$

Multiply through by  $\omega_j$  to get  $\alpha \omega_j = \sum_{i=1}^n x_i \omega_i \omega_j$ . Take the trace:

$$T_{K/\mathbb{Q}}(\alpha \omega_j) = \sum_{i=1}^n x_i T_{K/\mathbb{Q}}(\omega_i \omega_j).$$

Now  $\alpha$  and  $\omega_j$  are in  $\mathbb{Z}_K$ . So  $T_{K/\mathbb{Q}}(\alpha \omega_j) \in \mathbb{Z}$ , by a previous corollary.

### Discriminant of an Integral Basis of K (Cont'd)

Similarly, the traces T<sub>K/Q</sub>(ω<sub>i</sub>ω<sub>j</sub>) are also in Z, for all *i*, *j*.
 So the preceding equations can be regarded as a set of linear equations whose solution is given by x<sub>1</sub>,...,x<sub>n</sub>.
 Cramer's rule implies that the solutions are quotients of integers (given by suitable determinants of integers) by

$$\det(T_{K/\mathbb{Q}}(\omega_i\omega_j)) = \Delta\{\omega_1,\ldots,\omega_n\}.$$

So  $\Delta\{\omega_1, \ldots, \omega_n\} x_i \in \mathbb{Z}$ , for all *i*.

Multiplying  $\alpha$  by  $\Delta\{\omega_1, \ldots, \omega_n\}$ , we see that

$$\Delta\{\omega_1,\ldots,\omega_n\}\alpha\in\mathbb{Z}\omega_1+\cdots+\mathbb{Z}\omega_n.$$

### Finding Integral Bases of Number Fields

• Strategy for finding integral bases for a number field K:

By the lemma,  $\mathbb{Z}_K \subseteq \frac{1}{\Delta}(\mathbb{Z}\omega_1 + \cdots + \mathbb{Z}\omega_n)$ . So every integer must be of the form

$$x_1\omega_1+\cdots+x_n\omega_n$$
,

for  $x_i \in \mathbb{Q}$  but where the denominators divide  $\Delta$ . Step 3 For a prime  $p^2 | \Delta$ , check whether any element

 $\omega = x_1\omega_1 + \cdots + x_n\omega_n$ 

is integral, where  $x_i$  is a rational number with denominator dividing p.

## Finding Integral Bases of Number Fields (Cont'd)

If such an integral  $\omega$  exists, where some  $x_i$  is not in  $\mathbb{Z}$ , so has denominator p, replace  $\omega_i$  with  $\omega$  to get a set with discriminant  $\frac{\Lambda}{p^2}$  (by a previous proposition).

Since the discriminant of an integral basis must be in  $\mathbb{Z}$ , we need only do this for primes p, with  $p^2 \mid \Delta$ .

Now return to Step 2.

If no such element is integral, for any prime p with  $p^2 \mid \Delta$ , then we have an integral basis.

#### Corollary

Suppose that K is a number field and  $\omega_1, \ldots, \omega_n$  are elements of  $\mathbb{Z}_K$ , such that  $\Delta\{\omega_1, \ldots, \omega_n\}$  is squarefree. Then  $\{\omega_1, \ldots, \omega_n\}$  is an integral basis.

# Integral Basis of a Double Extension

#### Proposition

Suppose that  $K_1 = \mathbb{Q}(\gamma_1)$  and  $K_2 = \mathbb{Q}(\gamma_2)$  are two number fields of degree  $n_1$  and  $n_2$  respectively, such that  $K = \mathbb{Q}(\gamma_1, \gamma_2)$  has degree  $n_1 n_2$  over  $\mathbb{Q}$ . Suppose that  $\{\omega_1, \dots, \omega_{n_1}\}$  and  $\{\omega'_1, \dots, \omega'_{n_2}\}$  are integral bases for  $K_1$  and  $K_2$ , respectively, with discriminants  $D_1$  and  $D_2$ . If  $D_1$  and  $D_2$  are coprime, then  $\{\omega_i \omega'_i\}$  forms an integral basis for K, of discriminant  $D_1^{n_2} D_2^{n_1}$ .

- We first claim that {ω<sub>i</sub>ω'<sub>j</sub>} form a basis for K over Q.
  Every element of K is a polynomial expression in γ<sub>1</sub> and γ<sub>2</sub>.
  Every power of γ<sub>1</sub> lies in K<sub>1</sub>.
  - So it is a linear combination of  $\{\omega_1, \ldots, \omega_{n_1}\}$ .
  - Similarly, every power of  $\gamma_2$  lies in  $K_2$ .
  - So it is a linear combination of  $\{\omega'_1, \ldots, \omega'_{n_2}\}$ .

## Integral Basis of a Double Extension (Cont'd)

Thus, every product γ<sub>1</sub><sup>a</sup>γ<sub>2</sub><sup>b</sup> is a linear combination of {ω<sub>i</sub>ω'<sub>j</sub>}. Each element of K is a linear combination of these monomials. So it is also a linear combination of this set. We have n<sub>1</sub>n<sub>2</sub> such elements and, by hypothesis, [K : Q] = n<sub>1</sub>n<sub>2</sub>. So they must be linearly independent, and, thus, form a basis. Claim: {ω<sub>i</sub>ω'<sub>j</sub>} form an integral basis.

If  $\alpha \in \mathbb{Z}_K$ , we can write  $\alpha = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} x_{ij} \omega_i \omega'_j$ . We show  $x_{ij} \in \mathbb{Z}$ . Then

$$\alpha = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} x_{ij} \omega_i \omega'_j = \sum_{i=1}^{n_1} (\sum_{j=1}^{n_2} x_{ij} x_{ij} \omega'_j) \omega_i = \sum_{i=1}^{n_1} y_i \omega_i,$$

where 
$$y_i = \sum_{j=1}^{n_2} x_{ij} \omega'_j \in K_2$$
.  
We have  $[K : \mathbb{Q}] = n_1 n_2$  and  $[K_1 : \mathbb{Q}] = n_1$ .  
So, by the tower law,  $[K : K_1] = n_2$ .

# Integral Basis of a Double Extension (Claim)

Since K = K<sub>1</sub>(γ<sub>2</sub>), we see that there are n<sub>2</sub> embeddings of K into C which are the identity on K<sub>1</sub> (regarded as a subfield of C). Let {σ'<sub>1</sub>,...,σ'<sub>n<sub>2</sub></sub>} denote these embeddings of K into C. Regard these as maps on the elements of K<sub>2</sub> ⊆ K. They are determined by sending γ<sub>2</sub> to one of its conjugates. In this sense, they restrict to the n<sub>2</sub> different embeddings of K<sub>2</sub> into C. Let

$$\mathbf{x} = \begin{pmatrix} \sigma_1'(\alpha) \\ \vdots \\ \sigma_{n_2}'(\alpha) \end{pmatrix} \text{ and } \mathbf{y} = \begin{pmatrix} y_1 \\ \vdots \\ y_{n_2} \end{pmatrix}.$$

Then  $\mathbf{x} = M\mathbf{y}$ , where  $M_{k\ell} = \sigma'_k(\omega'_\ell)$ . By definition,  $D_2 = (\det M)^2$ . As in a previous lemma,  $D_2y_i = \sum_{j=1}^{n_2} D_2x_{ij}\omega'_j$  has coefficients in  $\mathbb{Z}$ . So  $D_2x_{ij} \in \mathbb{Z}$ . In the same way (exchanging the roles of  $K_1$  and  $K_2$ ),  $D_1x_{ij} \in \mathbb{Z}$ . As  $D_1$  and  $D_2$  are coprime, we conclude that each  $x_{ij} \in \mathbb{Z}$ .

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## Integral Basis of a Double Extension (Cont'd)

- So  $\{\omega_i \omega'_i\}$  forms an integral basis for  $\mathbb{Z}_K$ .
  - Let  $\{\sigma_1, \ldots, \sigma_{n_1}\}$  be the embeddings of K into  $\mathbb{C}$ , which are the identity on  $K_2$ .

Then all the embeddings of K into  $\mathbb{C}$  are given by  $\{\sigma_i \sigma'_i\}$ .

This can easily be seen by observing that an embedding is uniquely determined by its effect on  $\gamma_1$  and  $\gamma_2$ .

These, in turn, uniquely determine  $\sigma_i$  and  $\sigma'_i$ .

The discriminant of the basis  $\{\omega_i \omega'_j\}$  is given by  $(\det A)^2$ , where A is an  $n_1 n_2 \times n_1 n_2$ -matrix with

$$A_{ki,\ell j} = (\sigma_k \sigma'_\ell)(\omega_i \omega'_j) = \sigma_k(\omega_i) \sigma'_\ell(\omega'_j).$$
#### Integral Basis of a Double Extension (Cont'd)

- We can decompose A as A = BC, where:
  - *B* is the  $n_2 \times n_2$  matrix of  $n_1 \times n_1$ -blocks given by

$$B = \begin{pmatrix} Q & 0 & \cdots & 0 \\ 0 & Q & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & Q \end{pmatrix},$$

where Q is the  $n_1 \times n_1$ -matrix with  $Q_{ki} = \sigma_k(\omega_i)$ ; • C is the block matrix

$$C = \begin{pmatrix} \sigma'_{1}(\omega'_{1})I & \sigma'_{2}(\omega'_{1})I & \cdots & \sigma'_{n_{2}}(\omega'_{1})I \\ \sigma'_{1}(\omega'_{2})I & \sigma'_{2}(\omega'_{2})I & \cdots & \sigma'_{n_{2}}(\omega'_{2})I \\ \vdots & \vdots & \ddots & \vdots \\ \sigma'_{1}(\omega'_{n_{2}})I & \sigma'_{2}(\omega'_{n_{2}})I & \cdots & \sigma'_{n_{2}}(\omega'_{n_{2}})I \end{pmatrix},$$

where *I* is the  $n_1 \times n_1$ -identity matrix.

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#### Integral Basis of a Double Extension (Conclusion)

Clearly

$$\det(B) = \det(Q)^{n_2}.$$

So

$$\det(B)^2 = ((\det Q)^2)^{n_2} = D_1^{n_2}.$$

Also,

$$\det(C) = \det(\sigma'_{\ell}(\omega'_j))^{n_1}.$$

So

$$\det(C)^2 = D_2^{n_1}.$$

Therefore,

$$\Delta = \det(A)^2 = \det(B)^2 \det(C)^2 = D_1^{n_2} D_2^{n_1}.$$

#### Subsection 6

#### Rings of Integers in Some Cubic and Quadratic Fields

#### Monogenicity and Power Bases

- We consider some examples on the construction of integral bases.
- In two of these examples, we show the ring of integers cannot be expressed in the form  $\mathbb{Z}[\gamma]$  for any element  $\gamma$ .
- Fields *K* where  $\mathbb{Z}_{K} = \mathbb{Z}[\gamma]$  are called **monogenic**.
- In such cases, the basis  $\{1, \gamma, \dots, \gamma^{n-1}\}$  is called a **power basis**.

# $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$

- The ring of integers of  $\mathbb{Q}(\sqrt{2})$  is  $\mathbb{Z}[\sqrt{2}]$ .
- The ring of integers of  $\mathbb{Q}(\sqrt{3})$  is  $\mathbb{Z}[\sqrt{3}]$ .
- One might hope that the ring of integers of  $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$  should be  $\mathbb{Z}[\sqrt{2}, \sqrt{3}]$ .
- We have already seen that this is false.
- Moreover, this does not contradict the preceding proposition, since the discriminants of  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{3})$  are not coprime.

## $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ (Cont'd)

• Let  $\alpha \in \mathbb{Z}_{K}$ .

Then, for some  $a, b, c, d \in \mathbb{Q}$ , we can write

$$\alpha = a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6}.$$

Since  $\alpha \in \mathbb{Z}_{K}$ , all of its conjugates

$$\alpha_2 = a - b\sqrt{2} + c\sqrt{3} - d\sqrt{6},$$
  

$$\alpha_3 = a + b\sqrt{2} - c\sqrt{3} - d\sqrt{6},$$
  

$$\alpha_4 = a - b\sqrt{2} - c\sqrt{3} + d\sqrt{6}$$

are also algebraic integers.

The set of algebraic integers is closed under addition.

It follows that the following are also algebraic integers:

$$\alpha + \alpha_2 = 2a + 2c\sqrt{3}, \quad \alpha + \alpha_3 = 2a + 2b\sqrt{2}, \quad \alpha + \alpha_4 = 2a + 2d\sqrt{6}.$$

By a preceding proposition, these are integral if  $2a, 2b, 2c, 2d \in \mathbb{Z}$ .

### $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ (Cont'd)

Thus, there exist A, B, C, D ∈ Z, with A = 2a, B = 2b, C = 2c and D = 2d, such that

$$\alpha = \frac{A + B\sqrt{2} + C\sqrt{3} + D\sqrt{6}}{2}.$$

In addition, the following is also integral

$$\begin{aligned} \alpha \alpha_2 &= (a + c\sqrt{3})^2 - (b\sqrt{2} + d\sqrt{6})^2 \\ &= a^2 + 2ac\sqrt{3} + 3c^2 - 2b^2 - 4bd\sqrt{3} - 6d^2 \\ &= \frac{A^2 + 3C^2 - 2B^2 - 6D^2}{4} + \frac{AC - 2BD}{2}\sqrt{3}. \end{aligned}$$

Thus,  $4 | A^2 + 3C^2 - 2B^2 - 6D^2$  and 2 | AC - 2BD.

The second implies that 2 | AC. So at least one of A and C is even. If only one were even, then  $A^2 + 3C^2 - 2B^2 - 6D^2$  would be odd, and the first requirement would fail. So both A and C are even.

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#### $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ (Cont'd)

We saw that both A and C are even.
Now 2 | AC - 2BD becomes automatic.
Moreover, 4 | A<sup>2</sup> + 3C<sup>2</sup> - 2B<sup>2</sup> - 6D<sup>2</sup> reduces to 4 | 2B<sup>2</sup> + 6D<sup>2</sup>.
Equivalently, 2 | B<sup>2</sup> + D<sup>2</sup>.
So B and D are both even or both odd.
It follows that all integers are of the form

$$\alpha = a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6},$$

with  $a, c \in \mathbb{Z}$  and b and d both integral or both halves of odd integers. It remains to check that elements of this form are all integers. They are integer linear combinations of  $1, \sqrt{2}, \sqrt{3}$  and  $\frac{\sqrt{2}+\sqrt{6}}{2} = \frac{1+\sqrt{3}}{2}$ . The first three are obviously integers. The last is integral because it is a root of the monic polynomial

 $f(X) = X^4 - 4X^2 + 1$ , with coefficients in  $\mathbb{Z}$ .

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Algebraic Number Theory

#### $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ (Conclusion)

Claim: 
$$\mathbb{Z}_{K} = \mathbb{Z}[\gamma]$$
, where  $\gamma = \frac{\sqrt{2} + \sqrt{6}}{2}$ .  
We have  
 $\gamma^{2} = 2 + \sqrt{3};$   
 $\gamma^{3} = \frac{5\sqrt{2} + 3\sqrt{6}}{2}$   
So  
 $\sqrt{2} = \gamma^{3} - 3\gamma$   
 $\sqrt{3} = \gamma^{2} - 2;$ 

So each element in  $\{1, \sqrt{2}, \sqrt{3}, \gamma\}$  is in  $\mathbb{Z}[\gamma]$ . Therefore,  $\mathbb{Z}_K \subseteq \mathbb{Z}[\gamma]$ . Conversely,  $\gamma \in \mathbb{Z}_K$ . So, since  $\mathbb{Z}_K$  is a ring,  $\mathbb{Z}[\gamma] \subseteq \mathbb{Z}_K$ .

#### $K = \mathbb{Q}(\sqrt{-2}, \sqrt{-5})$

• The determination of the ring of integers in this case is very similar to that of  $\mathbb{Q}(\sqrt{2},\sqrt{3})$ .

However, we can check that if  $\gamma = \frac{\sqrt{-2} + \sqrt{10}}{2}$ , the argument above that  $\mathbb{Z}_{K} = \mathbb{Z}[\gamma]$  does not work in this case.

Claim: There is no element  $\gamma$  such that  $\mathbb{Z}_{\mathcal{K}} = \mathbb{Z}[\gamma]$ .

We consider the following elements

$$\begin{aligned} \alpha_1 &= (1+\sqrt{-2})(1+\sqrt{-5}), \\ \alpha_2 &= (1+\sqrt{-2})(1-\sqrt{-5}), \\ \alpha_3 &= (1-\sqrt{-2})(1+\sqrt{-5}), \\ \alpha_4 &= (1-\sqrt{-2})(1-\sqrt{-5}). \end{aligned}$$

Clearly, they all lie in  $\mathbb{Z}_K$ . Moreover,  $\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 = 4$ .

# $K = \mathbb{Q}(\sqrt{-2}, \sqrt{-5})$ (Cont'd)

Notice that

$$\begin{aligned} \alpha_1 \alpha_2 &= (1 + \sqrt{-2})^2 (1 + \sqrt{-5}) (1 - \sqrt{-5}) \\ &= 6(1 + \sqrt{-2})^2. \end{aligned}$$

Similarly,  $3 | \alpha_i \alpha_j$ , for any pair  $i \neq j$ . This implies that

$$(\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4)^n \equiv \alpha_1^n + \alpha_2^n + \alpha_3^n + \alpha_4^n \pmod{3},$$

where the congruence actually takes place in  $\mathbb{Z}_K$ , meaning that the two sides differ by an element of  $3\mathbb{Z}_K$ . Then

$$T_{K/\mathbb{Q}}(\alpha_1^n) = \alpha_1^n + \alpha_2^n + \alpha_3^n + \alpha_4^n$$
  
$$\equiv (\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4)^n$$
  
$$\equiv 4^n$$
  
$$\equiv 1 \pmod{3}.$$

#### $K = \mathbb{Q}(\sqrt{-2}, \sqrt{-5})$ (Cont'd)

• Suppose  $3|\alpha_1^n$ . Then 3 would also divide any of its conjugates. So  $3 \mid \alpha_i^n$ , for all *i*. So 3 |  $T_{K/\mathbb{Q}}(\alpha_1^n)$ . Thus,  $3 \nmid \alpha_1^n$ , for any *n*. Similarly,  $3 \nmid \alpha_i^n$  for any *i* and any *n*. Finally, suppose that  $\mathbb{Z}_{K} = \mathbb{Z}[\gamma]$ , for some  $\gamma$ . Let  $f(X) \in \mathbb{Z}[X]$  be the minimal polynomial of  $\gamma$ . As  $\alpha_i \in \mathbb{Z}_K$ , we can write  $\alpha_i = f_i(\gamma)$ , for some  $f_i \in \mathbb{Z}[X]$ . Now  $3 \mid \alpha_i \alpha_i$ , for all  $i \neq j$ , but  $3 \nmid \alpha_i^n$  for any *i* and *n*. Let  $\overline{f}$  denote the polynomial f with its coefficients reduced modulo 3. So  $\overline{f}(X) \in \mathbb{F}_3[X]$ , where  $\mathbb{F}_3 = \{0, 1, 2\}$  are the integers modulo 3.

## $K = \mathbb{Q}(\sqrt{-2}, \sqrt{-5})$ (Claim)

Claim: If  $g(X) \in \mathbb{Z}[X]$ , that  $3 \mid g(\gamma)$  in  $\mathbb{Z}[\gamma]$  if and only if  $\overline{g}$  is divisible by  $\overline{f}$  in  $\mathbb{F}_3[X]$ . Suppose  $3 | g(\gamma)$  in  $\mathbb{Z}[\gamma]$ . Then,  $g(\gamma) = 3k(\gamma)$ , for some  $k(\gamma)$  in  $\mathbb{Z}[\gamma]$ . Hence,  $(g-3k)(\gamma) = 0$ . By minimality of f,  $f \mid g - 3k$ . This shows that  $f \mid g$  in  $\mathbb{F}_3[X]$ . Suppose, conversely, that  $\overline{f} \mid \overline{g}$  in  $\mathbb{F}_3[X]$ . Then there exists k(x), such that  $\overline{g}(X) = \overline{f}(X)\overline{k}(X)$  in  $\mathbb{F}_3[X]$ . Since  $f(\gamma) = 0$ , we get  $\overline{g}(\gamma) = 0$  in  $\mathbb{F}_3[X]$ . So  $3 \mid g(\gamma)$  in  $\mathbb{Z}[\gamma]$ .

#### $K = \mathbb{Q}(\sqrt{-2}, \sqrt{-5})$ (Cont'd)

• We apply the Claim to  $f_i(X)f_i(X)$ . We know that  $3 \mid \alpha_i \alpha_i = f_i(\gamma) f_i(\gamma)$ . We conclude that  $\overline{f} | \overline{f_i} \overline{f_j}$ , for all  $i \neq j$ . Since  $3 \nmid \alpha_i^n$ ,  $\overline{f} \nmid \overline{f}_i^n$  for any *i* and *n*. So, for all  $i \neq j$ ,  $\overline{f}$  has a factor dividing  $\overline{f}_i$  but not  $\overline{f}_i$ . Hence,  $\overline{f}$  must have at least four different irreducible factors. But f is a quartic, so the different factors of  $\overline{f}$  must all be linear. However, there are only three different linear factors in  $\mathbb{F}_3[X]$ , namely, X, X-1 and X-2.

This gives a contradiction.

## $K = \mathbb{Q}(\sqrt[3]{2})$

• As an example of a cubic field, we consider  $K = \mathbb{Q}(\sqrt[3]{2})$ . Write  $\alpha = \sqrt[3]{2}$  and  $\omega = e^{2\pi i/3}$ . Note that  $1 + \omega + \omega^2 = 0$ . Suppose that

$$\theta_1 = a + b\alpha + c\alpha^2$$
,  $a, b, c \in \mathbb{Q}$ ,

lies in  $\mathbb{Z}_{K}$ .

The conjugates of  $\alpha$  are also algebraic integers,

$$\theta_2 = a + b\alpha\omega + c\alpha^2\omega^2;$$
  
$$\theta_3 = a + b\alpha\omega^2 + c\alpha^2\omega.$$

But they are not in K.

# $K = \mathbb{Q}(\sqrt[3]{2})$ (Cont'd)

• Then the following are also algebraic integers,

$$\begin{array}{rcl} \theta_1 + \theta_2 + \theta_3 &=& 3a,\\ \theta_1 \theta_2 + \theta_2 \theta_3 + \theta_3 \theta_1 &=& 3a^2 - 6bc,\\ \theta_1 \theta_2 \theta_3 &=& a^3 + 2b^3 + 4c^3 - 6abc. \end{array}$$

As they are also rational, they are all in  $\mathbb{Z}$ .

Write 
$$A = 3a$$
,  $B = 3b$  and  $C = 3c$ .

The first equation gives  $A \in \mathbb{Z}$ .

Multiplying the second by 3 gives  $A^2 - 2BC \equiv 0 \pmod{3}$ . Multiplying the third by 27 gives  $A^3 + 2B^3 + 4C^3 - 6ABC \equiv 0 \pmod{27}$ . The second and third give  $B, C \in \mathbb{Z}$  as follows.

Suppose  $A^2 - 2BC \in \mathbb{Z}$ . Then  $2BC \in \mathbb{Z}$ . So  $6ABC \in \mathbb{Z}$ . By the last equation,  $2B^3 + 4C^3 \in \mathbb{Z}$ . But the only way that rationals can satisfy  $2BC \in \mathbb{Z}$  and  $2B^3 + 4C^3 \in \mathbb{Z}$  is if  $B, C \in \mathbb{Z}$  (if a prime *p* occurs in the denominator of *B*, say, then as  $2BC \in \mathbb{Z}$ , it cannot also occur in the denominator of *C*; so *p* is in the denominator of  $2B^3 + 4C^3$ ).

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#### $K = \mathbb{Q}(\sqrt[3]{2})$ (Conclusion)

- Suppose, first, that  $3 \mid A$ . Then, since  $A^2 - 2BC \in \mathbb{Z}$ ,  $2BC \equiv 0 \pmod{3}$ . So either B or C is divisible by 3. Then  $3 \mid A^3 + 2B^3 + 4C^3 - 6ABC$  implies that both must be.
- Suppose, next, that 3∤A. The only solutions to

$$A^2 - 2BC \equiv 0 \pmod{3}$$
 and  $A^3 + 2B^3 + 4C^3 - 6ABC \equiv 0 \pmod{3}$ 

are  $A \equiv 1$ ,  $B \equiv 2$ ,  $C \equiv 1 \pmod{3}$  or  $A \equiv 2$ ,  $B \equiv 1$ ,  $C \equiv 2 \pmod{3}$ . Set  $A = 1 + 3\ell$ , B = 2 + 3m, C = 1 + 3n. Then  $A^3 + 2B^3 + 4C^3 - 6ABC \equiv 9 \pmod{27}$ , for any  $\ell$ , m and n. Similarly, set  $A = 2 + 3\ell$ , B = 1 + 3m, C = 2 + 3n. Then  $A^3 + 2B^3 + 4C^3 - 6ABC \equiv 18 \pmod{27}$ , for any  $\ell$ , m and n. This means that there are no solutions with  $3 \nmid A$ .

Thus, 3 | A, 3 | B and 3 | C. This implies that  $a, b, c \in \mathbb{Z}$ . So the ring of integers is  $\mathbb{Z}[\sqrt[3]{2}]$ .

# $K = \mathbb{Q}(\sqrt[3]{175})$

• Set  $m = 175 = 5^2 \times 7$ .

We will compute  $\mathbb{Z}_{K}$ . Note that if  $\alpha = \sqrt[3]{175}$ , then

$$\alpha^2 = \sqrt[3]{5^4 7^2} = 5\sqrt[3]{5 \cdot 7^2} = 5\sqrt[3]{245}.$$

So  $\alpha' = \sqrt[3]{245}$  is another element in *K*. Moreover,

$$\alpha'^2 = \sqrt[3]{5^2 7^4} = 7\sqrt[3]{5^2 \cdot 7} = 5\sqrt[3]{175} = 5\alpha.$$

Furthermore, both  $\alpha$  and  $\alpha'$  are integral.

- The first is a root of the monic integral polynomial  $X^3 175$ ;
- The second is a root of the monic integral polynomial  $X^3 245$ .

#### $K = \mathbb{Q}(\sqrt[3]{175}) \text{ (Claim)}$

Claim:  $\mathbb{Z}_K$  has integral basis  $\{1, \alpha, \alpha'\}$ . First compute  $\Delta\{1, \alpha, \alpha'\}$ . The embeddings into  $\mathbb{C}$  are given by

$$\sigma_1(a + b\alpha + c\alpha') = a + b\alpha + c\alpha';$$
  

$$\sigma_2(a + b\alpha + c\alpha') = a + b\alpha\omega + c\alpha'\omega;$$
  

$$\sigma_3(a + b\alpha + c\alpha') = a + b\alpha\omega^2 + c\alpha'\omega.$$

For the discriminant, we now have

$$\Delta\{1,\alpha,\alpha'\} = \begin{vmatrix} 1 & \alpha & \alpha' \\ 1 & \alpha\omega & \alpha'\omega^2 \\ 1 & \alpha\omega^2 & \alpha'\omega \end{vmatrix} = -3\sqrt{3}i\alpha\alpha'.$$

Note that  $\alpha \alpha' = 5 \cdot 7 = 35$ . So we have

$$\Delta\{1,\alpha,\alpha'\} = \big(-3\sqrt{3}i\alpha\alpha'\big)^2 = -3^3 5^2 7^2.$$

#### $K = \mathbb{Q}(\sqrt[3]{175})$ (Claim Cont'd)

• We conclude that all integers must be of the form

$$\frac{a+b\alpha+c\alpha'}{d}, \quad a, b, c, d \in \mathbb{Z}, \ d \mid 3 \times 5 \times 7.$$

Suppose  $\theta_1 = \frac{a+b\alpha+c\alpha'}{5}$  is an integer. Then so are its conjugates

$$\theta_2 = \frac{a + b\alpha\omega + c\alpha'\omega^2}{5}, \quad \theta_3 = \frac{a + b\alpha\omega^2 + c\alpha'\omega}{5}$$

Then  $\theta_1 + \theta_2 + \theta_3 = \frac{3a}{5}$  is an integer. So  $5 \mid a$ . Now  $\theta_1 = A + \frac{ba + ca'}{5}$ , where  $A \in \mathbb{Z}$ . So  $\frac{ba + ca'}{5} \in \mathbb{Z}_K$ . Its norm is the product of the conjugates:

$$\frac{b^3\alpha^3 + c^3\alpha'^3}{5^3} = \frac{175b^3 + 245c^3}{125} = \frac{35b^3 + 49c^3}{25}.$$

We need this to be an integer.

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# $K = \mathbb{Q}(\sqrt[3]{175})$ (Claim Cont'd)

Suppose 
$$35b^3 + 49c^3 \equiv 0 \pmod{25}$$
.  
Then  $35b^3 + 49c^3 \equiv 0 \pmod{5}$ .  
So  $5 \mid c^3$ . Hence,  $5 \mid c$ .  
As  $35b^3 + 49c^3 \equiv 0 \pmod{25}$ , we also have  $5 \mid b$ .  
Thus 5 cannot occur in the denominator of an element of  $\mathbb{Z}_K$ .  
Exactly the same argument works for 7.  
For  $p = 3$ , we need to consider  $\theta_1 = \frac{a+b\alpha+c\alpha'}{3}$  and determine when it is  
an integer.  
We may use the method of the previous example.  
We find that, if  $\theta_1 = \frac{a+b\alpha+c\alpha'}{3}$  is in  $\mathbb{Z}_K$ , then  $3 \mid a, 3 \mid b$  and  $3 \mid c$ .

It follows that  $\mathbb{Z}_{\mathcal{K}}$  has  $\{1, \alpha, \alpha'\}$  as an integral basis.

#### $K = \mathbb{Q}(\sqrt[3]{175})$ (Non-Monogenicity)

Suppose {1, γ, γ<sup>2</sup>} is an integral basis, for some γ.
 Let γ = a + bα + cα'. Then γ - a = bα + cα'.
 If {1, γ, γ<sup>2</sup>} is an integral basis, then we can see that

$$\{1, \gamma - a, (\gamma - a)^2\}$$

is also an integral basis.

So we may assume that  $\gamma$  is simply of the form  $b\alpha + c\alpha'$ . Then, recalling that  $\alpha^2 = 5\alpha'$ ,  $\alpha'^2 = 7\alpha$  and  $\alpha\alpha' = 35$ , we get

$$\gamma^{2} = (b\alpha + c\alpha')^{2} = b^{2}\alpha^{2} + 2bc\alpha\alpha' + c^{2}\alpha'^{2} = 5b^{2}\alpha' + 70bc + 7c^{2}\alpha.$$

So we have expressed the elements  $\{1, \gamma, \gamma^2\}$  in terms of the basis  $\{1, \alpha, \alpha'\}$ .

#### $K = \mathbb{Q}(\sqrt[3]{175})$ (Non-Monogenicity Cont'd)

• The condition that  $\{1, \gamma, \gamma^2\}$  is a basis is equivalent to requiring that the change of basis matrix should have determinant  $\pm 1$ .

This is

$$\begin{vmatrix} 1 & 0 & 0 \\ 0 & b & c \\ 70bc & 7c^2 & 5b^2 \end{vmatrix} = 5b^3 - 7c^3.$$

By working modulo 7,  $5b^3 - 7c^3 \neq \pm 1$ , for any integers *b* and *c*. The cubes modulo 7 are 0 and  $\pm 1$ .

So we cannot have  $5b^3 \equiv \pm 1 \pmod{7}$ .

This contradiction shows that  $\mathbb{Z}_{\mathcal{K}}$  has no integral basis of the form  $\{1,\gamma,\gamma^2\}.$