

# Introduction to Algebraic Topology

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LSSU Math 500

- 1 Further Developments in Homology
  - Chain Derivation
  - The Lefschetz Fixed Point Theorem
  - Relative Homology Groups
  - Singular Homology Theory
  - Axioms for Homology Theory

## Subsection 1

# Chain Derivation

# Extension of a $p$ -Simplex

- Let  $\sigma^p = \langle v_0 \dots v_p \rangle$  be a  $p$ -simplex.
- Let  $v$  be a vertex, such that  $\{v, v_0, \dots, v_p\}$  is geometrically independent.
- The symbol  $v\sigma^p$  denotes the  $(p+1)$ -simplex

$$v\sigma^p = \langle vv_0 \dots v_p \rangle.$$

- Let  $c = \sum g_i \cdot \sigma_i^p$  be a  $p$ -chain.
- Then  $vc$  denotes the  $(p+1)$ -chain

$$vc = \sum g_i \cdot v\sigma_i^p.$$

# Boundary of the Extension

## Lemma

Let  $c$  be a  $p$ -chain on a complex  $K$  and  $v$  a vertex for which the  $(p+1)$ -chain  $vc$  is defined. Then

$$\partial(vc) = c - v\partial c.$$

- We perform the calculation for a  $p$ -simplex  $\sigma^P = \langle v_0 \dots v_p \rangle$  and a geometrically independent vertex  $v$ .

$$\begin{aligned} \partial(v\sigma^P) &= \partial(\langle vv_0 \dots v_p \rangle) \\ &= \langle v_0 \dots, v_p \rangle - \langle vv_1 \dots v_p \rangle + \dots + (-1)^{p+1} \langle vv_0 \dots v_{p-1} \rangle \\ &= \sigma^P - v(\langle v_1 \dots v_p \rangle - \dots + (-1)^P \langle v_0 \dots v_{p-1} \rangle) \\ &= \sigma^P - v\partial\sigma^P. \end{aligned}$$

# First Chain Derivations

## Definition

Let  $K$  be a complex. A chain mapping

$$\varphi = \{\varphi_p : C_p(K) \rightarrow C_p(K^{(1)})\}$$

is defined inductively as follows:

- Each 0-simplex  $\sigma^0$  of  $K$  is a 0-simplex of the barycentric subdivision  $K^{(1)}$ . So we may consider  $C_0(K)$  as a subgroup of  $C_0(K^{(1)})$ . Define

$$\varphi_0 : C_0(K) \rightarrow C_0(K^{(1)})$$

to be the inclusion map:

$$\varphi_0(c) = c, \quad c \in C_0(K).$$

# First Chain Derivations (Cont'd)

## Definition (Cont'd)

- For an elementary  $p$ -chain  $1 \cdot \sigma^P$  on  $K$ , define

$$\varphi_p(1 \cdot \sigma^P) = \dot{\sigma}^P \varphi_{p-1} \partial(1 \cdot \sigma^P),$$

where  $\dot{\sigma}^P$  denotes the barycenter of  $\sigma^P$ .

Extend  $\varphi_p$  by linearity to a homomorphism

$$\begin{aligned} \varphi_p &: C_p(K) \rightarrow C_p(K^{(1)}); \\ \varphi_p(\sum g_i \cdot \sigma_i^P) &= \sum \varphi_p(g_i \cdot \sigma_i^P), \quad \sum g_i \cdot \sigma_i^P \in C_p(K). \end{aligned}$$

The sequence  $\varphi = \{\varphi_p\}$  of homomorphisms defined in this way is called the **first chain derivation** on  $K$ .

# $n$ -th Chain Derivations

## Definition

For  $n > 1$ , the  $n$ -th chain derivation on  $k$  is the composition

$$C_p(K) \xrightarrow{\varphi_p^{(n-1)}} C_p(K^{(n-1)}) \xrightarrow{\varphi_p} C_p(K^{(n)})$$

of  $\varphi^{(n-1)}$ , the  $(n-1)$ st chain derivation on  $K$ , with the first chain derivation of the  $(n-1)$ st barycentric subdivision  $K^{(n-1)}$ .

Thus, the  $n$ th chain derivation on  $K$  is a chain mapping

$$\varphi^{(n)} = \{\varphi_p^{(n)} : C_p(K) \rightarrow C_p(K^{(n)})\}.$$

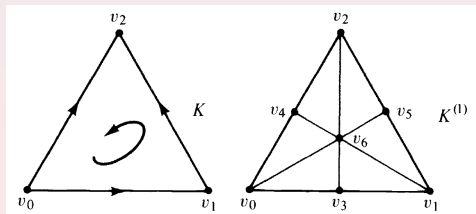


# Example

- Consider the complex  $K = \text{Cl}(\sigma^2)$ , the closure of a 2-simplex

$$\sigma^2 = +\langle v_0 v_1 v_2 \rangle.$$

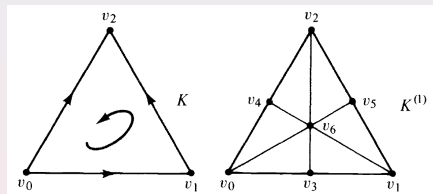
It is shown below with its barycentric subdivision  $K^{(1)}$ .



We examine the first chain derivation of this complex.

# Example (Cont'd)

- Let  $v_3, v_4, v_5$  and  $v_6$  be the barycenters of  $\langle v_0 v_1 \rangle$ ,  $\langle v_0 v_2 \rangle$ ,  $\langle v_1 v_2 \rangle$ , and  $\langle v_0 v_1 v_2 \rangle$ , respectively.

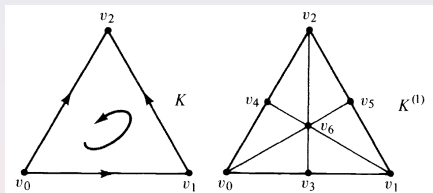


Then  $\varphi_0 : C_0(K) \rightarrow C_0(K^{(1)})$  is the inclusion map.

For  $\varphi_1$ , we have

$$\begin{aligned}
 \varphi_1(1 \cdot \langle v_0 v_1 \rangle) &= v_3 \varphi_0 \partial(1 \cdot \langle v_0 v_1 \rangle) \\
 &= v_3(1 \cdot \langle v_1 \rangle - 1 \cdot \langle v_0 \rangle) \\
 &= 1 \cdot \langle v_3 v_1 \rangle - 1 \cdot \langle v_3 v_0 \rangle;
 \end{aligned}$$

## Example (Cont'd)



$$\begin{aligned}\varphi_1(1 \cdot \langle v_0 v_2 \rangle) &= v_4 \varphi_0 \partial(1 \cdot \langle v_0 v_2 \rangle) = v_4(1 \cdot \langle v_2 \rangle - 1 \cdot \langle v_0 \rangle) \\ &= 1 \cdot \langle v_4 v_2 \rangle - 1 \cdot \langle v_4 v_0 \rangle;\end{aligned}$$

$$\begin{aligned}\varphi_1(1 \cdot \langle v_1 v_2 \rangle) &= v_5 \varphi_0 \partial(1 \cdot \langle v_1 v_2 \rangle) = v_5(1 \cdot \langle v_2 \rangle - 1 \cdot \langle v_1 \rangle) \\ &= 1 \cdot \langle v_5 v_2 \rangle - 1 \cdot \langle v_5 v_1 \rangle;\end{aligned}$$

$$\begin{aligned}\varphi_2(1 \cdot \langle v_0 v_1 v_2 \rangle) &= v_6 \varphi_1 \partial(1 \cdot \langle v_0 v_1 v_2 \rangle) \\ &= v_6 \varphi_1(1 \cdot \langle v_1 v_2 \rangle - 1 \cdot \langle v_0 v_2 \rangle + 1 \cdot \langle v_0 v_1 \rangle) \\ &= 1 \cdot \langle v_6 v_5 v_2 \rangle - 1 \cdot \langle v_6 v_5 v_1 \rangle - 1 \cdot \langle v_6 v_4 v_2 \rangle \\ &\quad + 1 \cdot \langle v_6 v_4 v_0 \rangle + 1 \cdot \langle v_6 v_3 v_1 \rangle - 1 \cdot \langle v_6 v_3 v_0 \rangle.\end{aligned}$$

# Chain Derivations are Chain Mappings

## Theorem

Each chain derivation is a chain mapping.

- The composition of chain mappings is a chain mapping. So we show that the first chain derivation is a chain mapping. Let  $\varphi = \{\varphi_p : C_p(K) \rightarrow C_p(K^{(1)})\}$  be a chain derivation. It must be shown that the following is commutative for  $p \geq 1$ .

$$\begin{array}{ccc}
 C_p(K) & \xrightarrow{\varphi_p} & C_p(K^{(1)}) \\
 \partial \downarrow & & \downarrow \partial \\
 C_{p-1}(K) & \xrightarrow{\varphi_{p-1}} & C_{p-1}(K^{(1)})
 \end{array}$$

Thus, we must show that, for each elementary  $p$ -chain  $1 \cdot \sigma^P$ ,

$$\partial \varphi_p(1 \cdot \sigma^P) = \varphi_{p-1} \partial(1 \cdot \sigma^P).$$

# Chain Derivations are Chain Mappings (Cont'd)

- For  $p = 1$ , we have

$$\begin{array}{ccc}
 C_1(K) & \xrightarrow{\varphi_1} & C_1(K^{(1)}) \\
 \partial \downarrow & & \downarrow \partial \\
 C_0(K) & \xrightarrow{\varphi_0} & C_0(K^{(1)})
 \end{array}$$

$$\begin{aligned}
 \partial\varphi_1(1 \cdot \sigma^1) &\stackrel{\varphi_1}{=} \partial(\dot{\sigma}^1 \varphi_0 \partial(1 \cdot \sigma^1)) \\
 &\stackrel{\text{Lemma}}{=} \varphi_0 \partial(1 \cdot \sigma^1) - \dot{\sigma}^1 \partial \varphi_0 \partial(1 \cdot \sigma^1) \\
 &\stackrel{\varphi_0}{=} \varphi_0 \partial(1 \cdot \sigma^1) - \dot{\sigma}^1 \partial \partial(1 \cdot \sigma^1) \\
 &\stackrel{\partial \partial = 0}{=} \varphi_0 \partial(1 \cdot \sigma^1).
 \end{aligned}$$

# Chain Derivations are Chain Mappings (Cont'd)

- Thus  $\partial\varphi_1 = \varphi_0\partial$ . So the desired conclusion holds for  $p = 1$ . Proceeding inductively, let  $1 \cdot \sigma^p$  be an elementary  $p$ -chain on  $K$ . Then

$$\begin{array}{ccc}
 C_p(K) & \xrightarrow{\varphi_p} & C_p(K^{(1)}) \\
 \partial \downarrow & & \downarrow \partial \\
 C_{p-1}(K) & \xrightarrow{\varphi_{p-1}} & C_{p-1}(K^{(1)})
 \end{array}$$

$$\begin{aligned}
 \partial\varphi_p(1 \cdot \sigma^p) & \stackrel{\varphi_p}{=} \partial(\dot{\sigma}^p \varphi_{p-1} \partial(1 \cdot \sigma^p)) \\
 & \stackrel{\text{Lemma}}{=} \varphi_{p-1} \partial(1 \cdot \sigma^p) - \dot{\sigma}^p \partial \varphi_{p-1} \partial(1 \cdot \sigma^p) \\
 & \stackrel{\varphi_{p-1}}{=} \varphi_{p-1} \partial(1 \cdot \sigma^p) - \dot{\sigma}^p \varphi_{p-2} \partial \partial(1 \cdot \sigma^p) \\
 & \stackrel{\partial \partial = 0}{=} \varphi_{p-1} \partial(1 \cdot \sigma^p).
 \end{aligned}$$

Thus,  $\partial\varphi_p = \varphi_{p-1}\partial$ , for elementary  $p$ -chains, and, hence, for all  $p$ -chains.

# Left Invertibility of Chain Derivations

## Theorem

Let  $K$  be a complex with first chain derivation  $\varphi = \{\varphi_p\}$ . There is a chain mapping

$$\psi = \{\psi_p : C_p(K^{(1)}) \rightarrow C_p(K)\},$$

such that  $\psi_p \varphi_p$  is the identity map on  $C_p(K)$ , for each  $p \geq 0$ .

- Such a chain mapping  $\psi$  is called a **left inverse** for  $\varphi$ .

Let  $f$  be any simplicial map from  $K^{(1)}$  to  $K$  satisfying:

If  $\dot{\sigma}$  is a vertex of  $K^{(1)}$ , then  $f(\dot{\sigma})$  is a vertex of the simplex  $\sigma$  of which  $\dot{\sigma}$  is the barycenter.

Let  $\psi = \{\psi_p\}$  be the chain mapping induced by  $f$ .

Suppose that  $\tau_p$  is a  $p$ -simplex of  $K^{(1)}$ .

Then  $\psi_p(1 \cdot \tau^p) = \eta \cdot \sigma^p$ , where:

- $\eta$  is 0, 1 or  $-1$ ;
- $\sigma^p$  is the  $p$ -simplex of  $K$  which produces  $\tau^p$  in its barycentric subdivision.

# Left Invertibility of Chain Derivations (Cont'd)

- Clearly  $\psi_0\varphi_0$  is the identity map on  $C_0(K)$ .

Suppose that  $\psi_{p-1}\varphi_{p-1} : C_{p-1}(K) \rightarrow C_{p-1}(K)$  is the identity.

Consider  $\psi_p\varphi_p : C_p(K) \rightarrow C_p(K)$ .

Suppose  $1 \cdot \sigma^p$  is an elementary  $p$ -chain on  $K$ .

Then

$$\psi_p\varphi_p(1 \cdot \sigma^p) = \psi_p(\dot{\sigma}\varphi_{p-1}\partial(1 \cdot \sigma^p)) = m \cdot \sigma^p,$$

for some integer  $m$ . But

$$\begin{aligned} \partial(m \cdot \sigma^p) &= \partial\psi_p\varphi_p(1 \cdot \sigma^p) = \psi_{p-1}\partial\varphi_p(1 \cdot \sigma^p) \\ &= \psi_{p-1}\varphi_{p-1}\partial(1 \cdot \sigma^p) = \partial(1 \cdot \sigma^p). \end{aligned}$$

So we get  $m\partial(1 \cdot \sigma^p) = \partial(m \cdot \sigma^p) = \partial(1 \cdot \sigma^p)$ .

Hence,  $m = 1$ . Thus,  $\psi_p\varphi_p(1 \cdot \sigma^p) = 1 \cdot \sigma^p$ .

So  $\psi_p\varphi_p$  is the identity on  $C_p(K)$ .

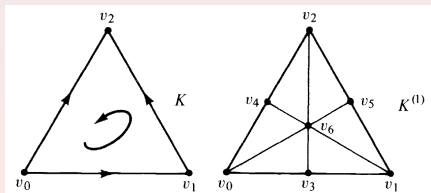


# Example

- Consider the chain derivation  $\varphi = \{\varphi_p\}_0^2$  of the preceding example. We may define the simplicial map  $f$  from  $K^{(1)}$  to  $K$ , the closure of the 2-simplex  $\langle v_0 v_1 v_2 \rangle$ , in any manner consistent with having  $f(v_i)$  a vertex of the simplex of which  $v_i$  is the barycenter.

Thus we must have

$$f(v_0) = v_0, \quad f(v_1) = v_1, \quad f(v_2) = v_2.$$



One possible definition for  $f$  on the remaining vertices is  $f(v_3) = f(v_4) = v_0$ ,  $f(v_5) = v_1$ ,  $f(v_6) = v_2$ .

## Example (Cont'd)

- Let  $f(v_3) = f(v_4) = v_0$ ,  $f(v_5) = v_1$ ,  $f(v_6) = v_2$ .

Let  $\psi = \{\psi_p\}$  be the chain mapping induced by  $f$  as in the proof of the preceding theorem:

$$\psi_0(1 \cdot \langle v_0 \rangle) = \psi_0(1 \cdot \langle v_3 \rangle) = \psi_0(1 \cdot \langle v_4 \rangle) = 1 \cdot \langle v_0 \rangle;$$

$$\psi_0(1 \cdot \langle v_1 \rangle) = \psi_0(1 \cdot \langle v_5 \rangle) = 1 \cdot \langle v_1 \rangle;$$

$$\psi_0(1 \cdot \langle v_2 \rangle) = \psi_0(1 \cdot \langle v_6 \rangle) = 1 \cdot \langle v_2 \rangle;$$

$$\psi_1(1 \cdot \langle v_0 v_4 \rangle) = 0; \quad \psi_1(1 \cdot \langle v_0 v_6 \rangle) = 1 \cdot \langle v_6 v_2 \rangle; \quad \text{etc.}$$

$$\psi_2(1 \cdot \langle v_3 v_1 v_6 \rangle) = 1 \cdot \langle v_0 v_1 v_2 \rangle; \quad \psi_2(1 \cdot \langle v_0 v_4 v_6 \rangle) = 0; \quad \text{etc.}$$

## Example (Cont'd)

- Consider, for example,

$$\begin{aligned}
 \psi_1 \varphi_1(1 \cdot \langle v_0 v_1 \rangle) &= \psi_1(1 \cdot \langle v_3 v_1 \rangle - 1 \cdot \langle v_3 v_0 \rangle) \\
 &= 1 \cdot \langle v_0 v_1 \rangle - 0 \\
 &= 1 \cdot \langle v_0 v_1 \rangle.
 \end{aligned}$$

We compute  $\psi_2 \varphi_2(1 \cdot \langle v_0 v_1 v_2 \rangle)$ , where  $\varphi_2(1 \cdot \langle v_0 v_1 v_2 \rangle)$  is expressed as in the preceding example,

$$\begin{aligned}
 \varphi_2(1 \cdot \langle v_0 v_1 v_2 \rangle) &= 1 \cdot \langle v_6 v_5 v_2 \rangle - 1 \cdot \langle v_6 v_5 v_1 \rangle - 1 \cdot \langle v_6 v_4 v_2 \rangle \\
 &\quad + 1 \cdot \langle v_6 v_4 v_0 \rangle + 1 \cdot \langle v_6 v_3 v_1 \rangle - 1 \cdot \langle v_6 v_3 v_0 \rangle.
 \end{aligned}$$

But  $f$  collapses all 2-simplexes except  $\langle v_6 v_3 v_1 \rangle$ .

So we get

$$\psi_2 \varphi_2(1 \cdot \langle v_0 v_1 v_2 \rangle) = \psi_2(1 \cdot \langle v_6 v_3 v_1 \rangle) = 1 \cdot \langle v_2 v_0 v_1 \rangle = 1 \cdot \langle v_0 v_1 v_2 \rangle.$$

# Chain Homotopic Mappings and Chain Homotopies

## Definition

A pair  $\varphi = \{\varphi_p\}_0^\infty$  and  $\mu = \{\mu_p\}_0^\infty$  of chain mappings from a complex  $K$  to a complex  $L$

$$C_p(K) \begin{array}{c} \xrightarrow{\varphi_p} \\ \xrightarrow{\mu_p} \end{array} C_p(L)$$

are **chain homotopic** means that there is a sequence  $\mathcal{D} = \{D_p\}_{-1}^\infty$  of homomorphisms  $D_p: C_p(K) \rightarrow C_{p+1}(L)$ , such that

$$C_p(K) \xrightarrow{D_p} C_{p+1}(L) \xrightarrow{\partial} C_p(L) \quad C_p(K) \xrightarrow{\partial} C_{p-1}(L) \xrightarrow{D_{p-1}} C_p(L)$$

$$\partial D_p + D_{p-1} \partial = \varphi_p - \mu_p, \quad D_{-1} = 0.$$

The sequence  $\mathcal{D}$  is called a **deformation operator** or a **chain homotopy**.

# Homomorphisms Induced by Chain Homotopies

## Theorem

If  $\varphi$  and  $\mu$  are chain homotopic chain mappings from complex  $K$  to complex  $L$ , then the induced homomorphisms  $\varphi_p^*$  and  $\mu_p^*$  from  $H_p(K)$  to  $H_p(L)$  are equal,  $p \geq 0$ .

- Suppose  $\varphi$  and  $\mu$  are chain homotopic.

By definition, there is a deformation operator  $\mathcal{D} = \{D_p\}_{-1}^{\infty}$ , such that

$$\partial D_p + D_{p-1} \partial = \varphi_p - \mu_p, \quad D_{-1} = 0.$$

For  $[z_p] \in H_p(K)$ ,

$$\begin{aligned} \varphi_p^*([z_p]) - \mu_p^*([z_p]) &= [\varphi_p(z_p) - \mu_p(z_p)] \\ &= [\partial D_p(z_p) + D_{p-1}(\partial z_p)] = 0, \end{aligned}$$

since  $\partial z_p = 0$ , for any cycle, and  $\partial D_p(z_p)$  is a boundary.

Thus,  $\varphi_p^* = \mu_p^*$ , for each value of  $p$ .

# Chain Equivalent Complexes

## Definition

Complexes  $K$  and  $L$  are **chain equivalent** means that there are chain mappings

$$\begin{aligned}\varphi &= \{\varphi_p\} : K \rightarrow L; \\ \psi &= \{\psi_p\} : L \rightarrow K,\end{aligned}$$

such that the composite chain mappings

$$\psi\varphi = \{\psi_p\varphi_p\} \quad \text{and} \quad \varphi\psi = \{\varphi_p\psi_p\}$$

are chain homotopic to the identity chain mappings on  $K$  and  $L$ , respectively.

- Chain homotopy is an equivalence relation for chain mappings.
- Chain equivalence is an equivalence relation for complexes.

# Chain Equivalent Complexes and Homology Groups

## Theorem

Chain equivalent complexes  $K$  and  $L$  have isomorphic homology groups in corresponding dimensions.

- Suppose  $K$  and  $L$  are chain equivalent complexes.

Let  $\varphi$  and  $\psi$  be the witnessing chain mappings.

By the preceding theorem,

$$\begin{aligned}\psi_p^* \varphi_p^* &: H_p(K) \rightarrow H_p(K), \\ \varphi_p^* \psi_p^* &: H_p(L) \rightarrow H_p(L)\end{aligned}$$

are the identity maps.

So  $\varphi_p^*$  is an isomorphism for each value of  $p$ .

# Homology Groups of Complex and Barycentric Subdivision

- We aim to prove that the homology groups of a complex  $K$  are isomorphic to those of its barycentric subdivision  $K^{(1)}$ .
- By the preceding theorem, it is sufficient to show that  $K$  and  $K^{(1)}$  are chain equivalent.
- For this we need chain mappings  $\varphi$  from  $K$  to  $K^{(1)}$  and  $\psi$  from  $K^{(1)}$  to  $K$  for which  $\psi\varphi$  and  $\varphi\psi$  are chain homotopic to the appropriate identity chain maps.
- We have  $\varphi$ , the first chain derivation of  $K$ .
- We have  $\psi$ , the left inverse provided by a previous theorem.
- We know that  $\psi\varphi$  is the identity chain map on  $K$ .
- So it remains to show that  $\varphi\psi$  is chain homotopic to the identity chain map on  $K^{(1)}$ .



# Chain Equivalence of Complex and Barycentric Subdivision

## Theorem

A complex  $K$  and its first barycentric subdivision are chain equivalent.

- We show that  $\varphi\psi$  is chain homotopic to the identity on  $K^{(1)}$ .

This requires a deformation operator

$$\mathcal{D} = \left\{ D_p : C_p(K^{(1)}) \rightarrow C_{p+1}(K^{(1)}) \right\},$$

such that  $D_{-1} = 0$  and, for each elementary  $p$ -chain  $1 \cdot \tau^p$  on  $K^{(1)}$ ,

$$1 \cdot \tau^p - \varphi_p \psi_p(1 \cdot \tau^p) = \partial D_p(1 \cdot \tau^p) + D_{p-1} \partial(1 \cdot \tau^p).$$

## Chain Equivalence (Cont'd)

- We must have  $D_{-1} = 0$ .

To define  $D_0$ , let  $w$  be a vertex of  $K^{(1)}$ .

Suppose

$$\psi_0(1 \cdot \langle w \rangle) = 1 \cdot \langle v \rangle,$$

$v$  a vertex of a simplex  $\sigma$  of  $K$  of which  $w$  is the barycenter.

Then

$$\varphi_0 \psi_0(1 \cdot \langle w \rangle) = \varphi_0(1 \cdot \langle v \rangle) = 1 \cdot \langle v \rangle.$$

Thus,

$$1 \cdot \langle w \rangle - \varphi_0 \psi_0(1 \cdot \langle w \rangle) = 1 \cdot \langle w \rangle - 1 \cdot \langle v \rangle = \partial(1 \cdot \langle vw \rangle).$$

So we define

$$D_0(1 \cdot \langle w \rangle) = 1 \cdot \langle vw \rangle.$$

Being defined for every elementary 0-chain, it can be extended by linearity to a homomorphism  $D_0 : C_0(K^{(1)}) \rightarrow C_1(K^{(1)})$ .

# Chain Equivalence (Cont'd)

- Suppose, now, that  $D_0, \dots, D_{p-1}$  have all been defined.

Let  $1 \cdot \tau^p$  be an elementary  $p$ -chain on  $K^{(1)}$ .

Then, for every  $(p-1)$ -chain  $c$ ,

$$c - \varphi_{p-1}\psi_{p-1}(c) = \partial D_{p-1}(c) + D_{p-2}\partial(c).$$

So

$$\partial D_{p-1}(c) = c - \varphi_{p-1}\psi_{p-1}(c) - D_{p-2}\partial(c).$$

Consider

$$z := 1 \cdot \tau^p - \varphi_p\psi_p(1 \cdot \tau^p) - D_{p-1}\partial(1 \cdot \tau^p).$$

# Chain Equivalence (Cont'd)

- We set  $z := 1 \cdot \tau^p - \varphi_p \psi_p(1 \cdot \tau^p) - D_{p-1} \partial(1 \cdot \tau^p)$ .

We compute

$$\begin{aligned} \partial z &= \partial(1 \cdot \tau^p) - \partial \varphi_p \psi_p(1 \cdot \tau^p) - \partial D_{p-1} \partial(1 \cdot \tau^p) \\ &= \partial(1 \cdot \tau^p) - \varphi_{p-1} \psi_{p-1} \partial(1 \cdot \tau^p) \\ &\quad - (\partial(1 \cdot \tau^p) - \varphi_{p-1} \psi_{p-1} \partial(1 \cdot \tau^p) - D_{p-2} \partial \partial(1 \cdot \tau^p)) = 0. \end{aligned}$$

This means that  $z$  is a cycle on  $K^{(1)}$ .

An argument analogous to that used previously shows that  $z$  is the boundary of a  $(p+1)$ -chain  $c_{p+1}$  on  $K^{(1)}$ .

We then define

$$D_p(1 \cdot \tau^p) = c_{p+1}.$$

Finally, we extend by linearity.

This completes the definition of the deformation operator  $\mathcal{D}$ .

It also shows that  $K$  and  $K^{(1)}$  are chain equivalent.

# Homology Groups of $H_p(K)$ and $H_p(K^{(n)})$

## Theorem

The homology groups  $H_p(K)$  and  $H_p(K^{(n)})$  are isomorphic for all integers  $p \geq 0$ ,  $n \geq 1$ , and each complex  $K$ .

- The inductive definition of  $K^{(n)}$  and the preceding theorem show that  $K$  and  $K^{(n)}$  are chain equivalent for  $n \geq 1$ .

By a previous theorem,

$$H_p(K) \cong H_p(K^{(n)}), \quad p \geq 0.$$

# Uniqueness of the Induced Homomorphisms

- Let  $|K|$  and  $|L|$  be polyhedra with triangulations  $K$  and  $L$ , respectively, and  $f : |K| \rightarrow |L|$  a continuous map.

**Claim:** The induced homomorphisms  $f_p^* : H_p(K) \rightarrow H_p(L)$  are uniquely determined by  $f$ .

By the Simplicial Approximation Theorem, there are:

- A barycentric subdivision  $K^{(k)}$  of  $K$ ;
- A simplicial mapping  $g$  from  $K^{(k)}$  to  $L$ ,

such that, as functions from  $|K|$  to  $|L|$ ,  $f$  and  $g$  are homotopic.

The theorem allows some freedom in the choices of  $g$  and the degree  $k$  of the barycentric subdivision.

$k$  must be large enough so that  $K^{(k)}$  is star related to  $L$  relative to  $f$ .

The simplicial map  $g$  is given, for a vertex  $u$  of  $K^{(k)}$ , by setting  $g(u)$  to be any vertex of  $L$ , satisfying  $f(\text{ost}(w)) \subseteq \text{ost}(g(u))$ .

# Uniqueness of the Induced Homomorphisms (Cont'd)

**Claim:** The sequence of homomorphisms is independent of the admissible choices for  $g$ .

We show that any admissible change in the value of  $g$  at one vertex does not alter the induced homomorphisms  $g_p^* : H_p(K^{(k)}) \rightarrow H_p(L)$ .

Then note that any simplicial map satisfying the requirements of the theorem defining  $g_p^*$  can be obtained from any other one by a finite sequence of such changes at single vertices.

Let  $g, h$  be two simplicial maps from  $K^{(k)}$  into  $L$  which:

- Have identical values at each vertex of  $K^{(k)}$  except for one vertex  $v$ ;
- For this vertex,  $\text{ost}(g(v))$  and  $\text{ost}(h(v))$  both contain  $f(\text{ost}(v))$ .

We show that the chain mappings  $\{g_p : C_p(K^{(k)}) \rightarrow C_p(L)\}$  and  $\{h_p : C_p(K^{(k)}) \rightarrow C_p(L)\}$  are chain homotopic.

Then, by the preceding theorem, the induced homomorphisms  $g_p^*$  and  $h_p^*$  from  $H_p(K^{(k)})$  to  $H_p(L)$  are identical for each value of  $p$ .

# Uniqueness of the Induced Homomorphisms ( $p = 0$ )

- For our deformation operator  $\mathcal{D} = \{D_p : C_p(K^{(m)}) \rightarrow C_{p+1}(L)\}_{-1}^{\infty}$ , we must have  $D_{-1} = 0$ .

For any vertex  $u$  of  $K^{(k)}$ , define

$$\begin{aligned} D_0(1 \cdot \langle u \rangle) &= 0, \quad u \neq v; \\ D_0(1 \cdot \langle v \rangle) &= 1 \cdot \langle h(v)g(v) \rangle. \end{aligned}$$

Extend  $D_0$  by linearity to a homomorphism from  $C_0(K^{(k)})$  to  $C_1(L)$ .

Note that

$$\begin{aligned} \partial D_0(1 \cdot \langle v \rangle) + D_{-1} \partial(1 \cdot \langle v \rangle) &= \partial(1 \cdot \langle h(v)g(v) \rangle) \\ &= 1 \cdot \langle g(v) \rangle - 1 \cdot \langle h(v) \rangle \\ &= g_0(1 \cdot \langle v \rangle) - h_0(1 \cdot \langle v \rangle). \end{aligned}$$

If  $u$  is a vertex of  $K^{(k)}$  different from  $v$ , then

$$g_0(1 \cdot \langle u \rangle) = h_0(1 \cdot \langle u \rangle), \quad D_0(1 \cdot \langle u \rangle) = 0.$$

So the desired relation  $\partial D_p + D_{p-1} \partial = g_p - h_p$  holds for  $p = 0$ .



# Uniqueness of the Induced Homomorphisms ( $p \geq 1$ )

- Let  $1 \cdot \sigma^p$  be an elementary  $p$ -chain in  $C_p(K^{(k)})$ .
  - Suppose  $v$  is not a vertex of  $\sigma^p$ .  
Then we define  $D_p(1 \cdot \sigma^p) = 0$  in  $C_{p+1}(L)$ .
  - Suppose  $v$  is a vertex of  $\sigma^p$ .  
Then  $\sigma^p = v\sigma^{p-1}$ , for some  $(p-1)$ -simplex  $\sigma^{p-1}$ .  
Let  $\tau$  be the  $(p-1)$ -simplex in  $L$  on which  $g$  and  $h$  map  $\sigma^{p-1}$ .  
Define

$$D_p(1 \cdot \sigma^p) = 1 \cdot h(v)g(v)\tau.$$

As usual,  $D_p$  is extended linearly to a homomorphism from  $C_p(K^{(k)})$  to  $C_{p+1}(L)$ .

# Uniqueness of the Induced Homomorphisms ( $p \geq 1$ Cont'd)

- Then for the case in which  $v$  is a vertex of  $\sigma^p$ ,

$$\begin{aligned}
 & \partial D_p(1 \cdot \sigma^p) + D_{p-1} \partial(1 \cdot \sigma^p) \\
 &= \partial(1 \cdot h(v)g(v)\tau) + D_{p-1} \partial(1 \cdot v\sigma^{p-1}) \\
 &= 1 \cdot g(v)\tau - h(v)\partial(1 \cdot g(v)\tau) + D_{p-1}(1 \cdot \sigma^{p-1} - v\partial(1 \cdot \sigma^{p-1})) \\
 &= 1 \cdot g(v)\tau - h(v)[1 \cdot \tau - g(v)\partial(1 \cdot \tau)] - D_{p-1}(v\partial(1 \cdot \sigma^{p-1})) \\
 &= 1 \cdot g(v)\tau - 1 \cdot h(v)\tau + h(v)g(v)\partial(1 \cdot \tau) - h(v)g(v)\partial(1 \cdot \tau) \\
 &= g_p(1 \cdot v\sigma^{p-1}) - h_p(1 \cdot v\sigma^{p-1}) \\
 &= g_p(1 \cdot \sigma^p) - h_p(1 \cdot \sigma^p).
 \end{aligned}$$

Thus,  $\partial D_p + D_{p-1} \partial = g_p - h_p$ ,  $p \geq 0$ .

The chain mappings induced by  $g$  and  $h$  must be chain homotopic.

By the preceding theorem,  $g_p^* = h_p^*$ .

So  $f_p^*$  is independent of the allowable choices of the simplicial map  $g$ .

# Independence from the Degree of Subdivision

- The homomorphism  $f_p^* : H_p(K) \rightarrow H_p(L)$  is actually the composition

$$H_p(K) \xrightarrow{\mu_p^*} H_p(K^{(k)}) \xrightarrow{g_p^*} H_p(L),$$

where  $\mu_p^*$  is the isomorphism induced by chain derivation.

- Consider a barycentric subdivision  $K^{(r)}$  of higher degree.
- Let  $\psi_p^* : H_p(K) \rightarrow H_p(K^{(r)})$  be the isomorphism induced by chain derivation.
- Let  $j_p^* : H_p(K^{(r)}) \rightarrow H_p(L)$  be the homomorphism induced by an admissible simplicial map.
- It can be shown that

$$g_p^* \mu_p^* = j_p^* \psi_p^*.$$

- Hence  $f_p^*$  is also independent of the allowable choices for the degree of the barycentric subdivision  $K^{(k)}$ .

## Subsection 2

# The Lefschetz Fixed Point Theorem

# Fixed Simplexes and Weights

- In this section we assume that rational numbers rather than integers are used as the coefficient group for chains.
- Thus, the  $p$ -th chain group  $C_p(K)$  of a complex  $K$  is considered a vector space over the field of rational numbers.

## Definition

Let  $K$  be a complex with  $\{\sigma_i^p\}$  its set of  $p$ -simplexes. Let  $\varphi = \{\varphi_p\}$  be a chain mapping on  $K$ . For a  $p$ -simplex  $\sigma_i^p$  of  $K$ ,

$$\varphi_p(1 \cdot \sigma_i^p) = \sum_{\sigma_j^p \in K} a_{ij}^p \sigma_j^p,$$

for some rational numbers  $a_{ij}^p$  one for each  $p$ -simplex  $\sigma_j^p$  of  $K$ .

Then  $\sigma_i^p$  is a **fixed simplex** of  $\varphi$  provided that  $a_{ii}^p$ , the coefficient of  $\sigma_i^p$  in the expansion of  $\varphi_p(1 \cdot \sigma_i^p)$ , is not zero.

The number  $(-1)^p a_{ii}^p$  is called the **weight** of the fixed simplex  $\sigma_i^p$ .

# The Lefschetz Number

## Definition (Cont'd)

Let  $A_p = (a_{ij}^p)$  be the matrix whose entry in row  $i$  and column  $j$  is  $a_{ij}^p$ .

The trace of a square matrix is the sum of its diagonal elements.

We have

$$\text{trace}A_p = \sum a_{ii}^p.$$

Moreover, the number

$$\lambda(\varphi) = \sum_p (-1)^p \text{trace}(A_p)$$

is the sum of the weights of all the fixed simplexes of  $\varphi$ .

The number  $\lambda(\varphi)$  is called the **Lefschetz number** of  $\varphi$ .

(Note that, if  $\lambda(\varphi) \neq 0$ , then  $\varphi$  must have at least one fixed simplex in some dimension  $p$ .)

# Remarks

- The matrix  $A_p = (a_{ij}^p)$  is the matrix of  $\varphi_p$  as a linear transformation from the vector space  $C_p(K)$  into itself relative to the basis of elementary  $p$ -chains  $\{1 \cdot \sigma_i^p\}$ .
- The trace of the matrix of a linear transformation is not affected by a change of basis.
- So the Lefschetz number  $\lambda(\varphi)$  is independent of the choice of basis for  $C_p(K)$ .

# Lefschetz Number and Euler Characteristic

- Let  $K$  be a complex.

Let  $\varphi_p : C_p(K) \rightarrow C_p(K)$  be the identity map on  $C_p(K)$ ,  $p \geq 0$ .

Then

$$a_{ii}^p = 1, \quad a_{ij}^p = 0, \text{ for } i \neq j.$$

Thus, each simplex is a fixed simplex.

Let:

- $\alpha_p$  be the number of simplexes of dimension  $p$ ;
- $\chi(K)$  the Euler characteristic of  $K$ .

Then we have:

$$\lambda(\varphi) = \sum (-1)^p \text{trace} A_p = \sum (-1)^p \alpha_p = \chi(K).$$

Thus, the Lefschetz number is a generalization of the Euler characteristic.



# Lefschetz Number and Induced Homomorphisms

## Theorem

Let  $\varphi = \{\varphi_p\}$  be a chain mapping on a complex  $K$ . The Lefschetz number  $\lambda(\varphi)$  is completely determined by the induced homomorphisms  $\varphi_p^* : H_p(K) \rightarrow H_p(K)$  on the homology groups.

- The proof is similar to the proof of the Euler-Poincaré Theorem.

The same notation is adopted here.

- $\{z_p^i\} \cup \{b_p^i\}$  is a basis for the cycle vector space  $Z_p$ ;
- $\{b_p^i\}$  is a basis for the boundary space  $B_p$ ;
- $\{d_p^i\}$  is a basis for  $D_p$ ;
- $b_p^i = \partial d_{p+1}^i$ ;
- $n$  is the dimension of  $K$ .

Note that  $\{b_p^i\} \cup \{z_p^i\} \cup \{d_p^i\}$  is a basis for  $C_p$ .

# Lefschetz Number and Induced Homomorphisms (Cont'd)

- The linear transformation  $\varphi_p$  takes  $B_p$  into  $B_p$ .  
So, for any  $b_p^i$ ,

$$\varphi_p(b_p^i) = \sum_j a_{ij}^p b_p^j, \quad 0 \leq p \leq n-1,$$

for some rational coefficients  $a_{ij}^p$ .

For any  $z_p^i$ ,  $0 \leq p \leq n$ ,  $\varphi_p(z_p^i)$  must be a cycle.

So there are coefficients  $a_{ij}'^p$  and  $e_{ij}^p$ , such that

$$\varphi_p(z_p^i) = \sum_j a_{ij}'^p b_p^j + \sum_j e_{ij}^p z_p^j.$$

For any  $d_p^i$ ,  $1 \leq p \leq n$ , there are coefficients  $a_{ij}''^p$ ,  $e_{ij}'^p$  and  $g_{ij}^p$ , such that

$$\varphi_p(d_p^i) = \sum_j a_{ij}''^p b_p^j + \sum_j e_{ij}'^p z_p^j + \sum_j g_{ij}^p d_p^j.$$

# Lefschetz Number and Induced Homomorphisms (Cont'd)

- Then

$$\lambda(\varphi) = \sum_{i=0}^n (-1)^i (\text{trace} A_p + \text{trace} E_p + \text{trace} G_p),$$

where  $A_p = (a_{ij}^p)$ ,  $E_p = (e_{ij}^p)$ ,  $G_p = (g_{ij}^p)$ , and  $A_n = G_0$  is the zero matrix.

Now

$$\partial\varphi_{p+1}(d_{p+1}^i) = \varphi_p \partial(d_{p+1}^i) = \varphi_p(b_p^i) = \sum a_{ij}^p b_p^j.$$

Also,

$$\begin{aligned} \partial\varphi_{p+1}(d_{p+1}^i) &= \partial(\sum a_{ij}''^{p+1} b_{p+1}^j + \sum e_{ij}'^{p+1} z_{p+1}^j + \sum g_{ij}^{p+1} d_{p+1}^j) \\ &= \sum g_{ij}^{p+1} \partial(d_{p+1}^j) \\ &= \sum g_{ij}^{p+1} b_p^j. \end{aligned}$$

Then  $a_{ij}^p = g_{ij}^{p+1}$ ,  $A_p = G_{p+1}$ ,  $0 \leq p \leq n-1$ .

# Lefschetz Number and Induced Homomorphisms (Cont'd)

- The sum  $\lambda(\varphi) = \sum_{i=0}^n (-1)^i (\text{trace} A_i + \text{trace} E_i + \text{trace} G_i)$  telescopes to give

$$\lambda(\varphi) = \sum_{i=0}^n (-1)^i \text{trace} E_i.$$

This means that the Lefschetz number  $\lambda(\varphi)$  is completely determined by the action of the maps  $\varphi_p$  on the generating cycles  $z_p^i$  of  $H_p(K)$ .

But the homology classes  $[z_p^i]$  generate  $H_p(K)$ ,

$$\varphi_p^*([z_p^i]) = \sum_j e_{ij}^p [z_p^j].$$

So the coefficients  $e_{ij}^p$  are determined by the  $\varphi_p^* : H_p(K) \rightarrow H_p(K)$ .

Thus, the induced homomorphisms completely determine the  $e_{ij}^p$ .

And the  $e_{ij}^p$ , in turn, completely determine  $\lambda(\varphi)$ .

# The Lefschetz Number of a Continuous Mapping

- We have defined the Lefschetz number for chain mappings.
- The definition is now extended to continuous mappings.

## Definition

Let  $K$  be a complex and  $f : |K| \rightarrow |K|$  a continuous function.

Let  $K^{(s)}$  be a barycentric subdivision of  $K$ .

Let  $g$  a simplicial map from  $K^{(s)}$  to  $K$  which is a simplicial approximation of  $f$ . Then  $g$  induces a chain mapping

$$\{g_p : C_p(K^{(s)}) \rightarrow C_p(K)\}.$$

Let  $\mu = \{\mu_p : C_p(K) \rightarrow C_p(K^{(s)})\}$  be the  $s$ -th chain derivation on  $K$ . The **Lefschetz number**  $\lambda(f)$  of  $f$  is the Lefschetz number of the composite chain mapping  $\{g_p \mu_p : C_p(K) \rightarrow C_p(K)\}$ .

# Remarks

- It appears that the Lefschetz number  $\lambda(f)$  of a continuous  $f: |K| \rightarrow |K|$  is influenced by the possible choices for  $g$  and  $s$ .
- However, recall that  $\lambda(f)$  is completely determined by the induced homomorphisms

$$f_p^* = g_p^* \mu_p^* : H_p(K) \rightarrow H_p(K).$$

- Moreover,  $f_p^*$  is independent of the allowable choices for  $g$  and  $s$ .
- So  $\lambda(f)$  is independent of the choices for  $g$  and  $s$ .

# The Lefschetz Fixed Point Theorem

## Theorem (The Lefschetz Fixed Point Theorem)

Let  $K$  be a complex and  $f : |K| \rightarrow |K|$  a continuous map. If the Lefschetz number  $\lambda(f)$  is not 0, then  $f$  has a fixed point.

- Suppose to the contrary that  $F$  has no fixed point.

Since  $|K|$  is compact, there is a number  $\epsilon > 0$ , such that if  $x \in |K|$ , then the distance  $\|f(x) - x\| \geq \epsilon$ .

By replacing  $K$  with a suitable barycentric subdivision if necessary, we may assume that  $\text{mesh}K < \frac{\epsilon}{3}$ .

By the proof of the Simplicial Approximation Theorem, there are:

- A positive integer  $s$ ;
- A simplicial map  $g$  from  $K^{(s)}$  to  $K$ , homotopic to  $f$ ,

such that, for  $x$  in  $|K|$ ,  $f(x)$  and  $g(x)$  lie in a common simplex of  $K$ .

Then  $\|f(x) - g(x)\| < \frac{\epsilon}{3}$ , for all  $x \in |K|$ .

# The Lefschetz Fixed Point Theorem (Cont'd)

- Suppose that some simplex  $\sigma$  of  $K$  contains a point  $x$ , such that  $g(x)$  is also in  $\sigma$ . Then

$$\|f(x) - x\| \leq \|f(x) - g(x)\| + \|g(x) - x\| < \frac{2\epsilon}{3}.$$

This contradicts the fact that  $\|f(x) - x\| > \epsilon$ .

Thus,  $\sigma$  and  $g(\sigma)$  are disjoint for all  $\sigma$  in  $K$ .

Let  $\mu = \{\mu_p : C_p(K) \rightarrow C_p(K^{(s)})\}$  be the  $s$ -th chain derivation.

Let  $\{g_p : C_p(K^{(s)}) \rightarrow C_p(K)\}$  be the chain mapping induced by  $g$ .



# The Lefschetz Fixed Point Theorem (Cont'd)

- Suppose  $\sigma^p$  is a  $p$ -simplex of  $K$ .  
Then  $\mu_p(1 \cdot \sigma^p)$  is a chain on  $K^{(s)}$  all of whose simplexes with nonzero coefficient are contained in  $\sigma^p$ .  
Now  $\sigma^p$  and  $g(\sigma^p)$  are disjoint.  
So  $g_p \mu_p(1 \cdot \sigma^p)$  is a  $p$ -chain on  $K$  none of whose simplexes with nonzero coefficient intersects  $\sigma$ .  
Thus,  $g_p \mu_p$  has no fixed simplex.  
So the Lefschetz number of the chain mapping  $\{g_p \mu_p\}$  is zero.  
But this is the Lefschetz number of  $f$ .  
This contradicts the hypothesis  $\lambda(f) \neq 0$ .

# The Brouwer Fixed Point Theorem

## Corollary (The Brouwer Fixed Point Theorem)

If  $\sigma^n$  is an  $n$ -simplex,  $n$  a positive integer, and  $f : \sigma^n \rightarrow \sigma^n$  a continuous map, then  $f$  has a fixed point.

- Let  $K = \text{Cl}(\sigma^n)$ . Then  $H_0(K) \cong \mathbb{Z}$  and  $H_p(K) = \{0\}$ , for  $p > 0$ . Let  $v$  be a vertex of  $\sigma^n$  so that the homology class  $[1 \cdot \langle v \rangle]$  may be considered a generator of  $H_0(K)$ . Then

$$f_0^*([1 \cdot \langle v \rangle]) = [1 \cdot \langle v \rangle].$$

Moreover, the coefficient matrix  $E_0$  (previous theorem) has trace 1.

Each matrix  $E_p$ , for  $p > 0$ , has only zero entries.

Hence,

$$\lambda(f) = \sum (-1)^p \text{trace} E_p = 1.$$

Thus,  $\lambda(f) \neq 0$ . So  $f$  must have a fixed point.

# Continuous Maps from $S^n$ to $S^n$

## Corollary

Every continuous map from  $S^n$  to  $S^n$ ,  $n > 1$ , whose degree is not 1 or  $-1$  has a fixed point.

- We know  $H_0(S^n) \cong H_n(S^n) \cong \mathbb{Z}$  and  $H_p(S^n) = \{0\}$ , otherwise. Let  $[1 \cdot \langle v \rangle]$  and  $[z_n]$  be generators of  $H_0(S^n)$  and  $H_n(S^n)$ , respectively. For  $d$  the degree of  $f$ ,

$$\begin{aligned} f_0^*([1 \cdot \langle v \rangle]) &= [1 \cdot \langle v \rangle]; \\ f_n^*([z_n]) &= d[z_n]. \end{aligned}$$

Then  $\lambda(f) = 1 + (-1)^n d$ .

So, if  $d \neq \pm 1$ ,  $\lambda(f) \neq 0$ .

# The Degree of the Antipodal Map

## Corollary

If  $f : S^n \rightarrow S^n$  is the antipodal map, then the degree of  $f$  is  $(-1)^{n+1}$ .

- The map  $f$  has no fixed point.

Hence,  $\lambda(f) = 0$ .

It follows that, for  $d$  is the degree of  $f$ ,

$$0 = 1 + (-1)^n d.$$

This gives

$$d = (-1)^{n+1}.$$

## Subsection 3

# Relative Homology Groups

# Relative Homology Groups and Homology Sequence

- Suppose that  $K$  is a complex and  $L$  is a complex contained in  $K$ .
- It often happens that one knows the homology groups of either  $K$  or  $L$  and needs to know the homology groups of the other.
- The groups  $H_p(K)$  and  $H_p(L)$  can be compared using the “relative homology groups”  $H_p(K/L)$  to which this section is devoted.
- The intuitive idea is to “remove” all chains on  $L$  by considering quotient groups.
- The groups  $H_p(K)$ ,  $H_p(L)$ , and  $H_p(K/L)$  form a sequence of groups and homomorphisms called the “homology sequence”.
- Using this sequence, one can often compute any one of the groups  $H_p(K)$ ,  $H_p(L)$ , or  $H_p(K/L)$  provided that enough information is known about the others.

# Subcomplexes

## Definition

A **subcomplex** of a complex  $K$  is a complex  $L$  with the property that each simplex of  $L$  is a simplex of  $K$ .

- Note that not every subset of a complex is a subcomplex.
- It is required that the subset be a complex in its own right.
- The  $p$ -skeleton of a complex is one type of subcomplex.
- The empty set  $\emptyset$  is a subcomplex of each complex  $K$ .
- The relative homology groups  $H_p(K/L)$  will reduce to  $H_p(K)$  when  $L = \emptyset$ .

# Relative Chain Groups

## Definition

Let  $K$  be a complex with subcomplex  $L$ . By assigning value 0 to each simplex of the complement  $K \setminus L$ , each chain on  $L$  can be considered a chain on  $K$ . In this way, we can consider  $C_p(L)$  as a subgroup of  $C_p(K)$ ,  $p \geq 0$ . The **relative  $p$ -dimensional chain group of  $K$  modulo  $L$** , or **relative  $p$ -chain group** (with integer coefficients), is the quotient group

$$C_p(K/L) = C_p(K)/C_p(L).$$

Thus, each member of  $C_p(K/L)$  is a coset  $c_p + C_p(L)$ , where  $c_p \in C_p(K)$ .



# The Relative Boundary Operators

## Definition

For  $p \geq 1$ , the **relative boundary operator**  $\partial: C_p(K/L) \rightarrow C_{p-1}(K/L)$  is defined by

$$\partial(c_p + C_p(L)) = \partial c_p + C_{p-1}(L), \quad (c_p + C_p(L)) \in C_p(K/L),$$

where  $\partial c_p$  denotes the usual boundary of the  $p$ -chain  $c_p$ .

It can be shown that the relative boundary operator is a homomorphism.

# Groups of Relative Cycles and Relative Boundaries

## Definition (Cont'd)

The group of **relative  $p$ -dimensional cycles on  $K$  modulo  $L$** , denoted by  $Z_p(K/L)$ , is the kernel of the relative boundary operator

$$\partial: C_p(K/L) \rightarrow C_{p-1}(K/L), \quad p \geq 1.$$

We define  $Z_0(K/L)$  to be the chain group  $C_0(K/L)$ .  
For  $p \geq 0$ , the **group of relative  $p$ -dimensional boundaries on  $K$  modulo  $L$** , denoted by  $B_p(K/L)$ , is the image  $\partial(C_{p+1}(K/L))$  of  $C_{p+1}(K/L)$  under the relative boundary homomorphism.

# Relative Simplicial Homology Groups

## Definition

The **relative  $p$ -dimensional simplicial homology group of  $K$  modulo  $L$**  is the quotient group

$$H_p(K/L) = \frac{Z_p(K/L)}{B_p(K/L)}, \quad p \geq 0.$$

- The definition of  $H_p(K/L)$  makes sense, since  $B_p(K/L) \subseteq Z_p(K/L)$ .
- The members of  $H_p(K/L)$  are denoted

$$[z_p + C_p(L)],$$

where  $z_p + C_p(L)$  is a relative  $p$ -cycle.

- $\partial z_p$  must be a  $(p-1)$ -chain on  $L$ , but  $z_p$  may not be an actual cycle;
- However, if  $z_p$  is a cycle, then  $z_p + C_p(L)$  is certainly a relative cycle.

# Example

- Let  $K$  be the 1-skeleton of a 2-simplex  $\langle v_0 v_1 v_2 \rangle$ .  
Let  $L$  be the subcomplex determined by the vertex  $v_0$ .  
We determine  $H_0(K/L)$  and  $H_1(K/L)$ .  
Consider, first, the case  $p = 0$ .

We have

$$C_0(K) = Z_0(K) \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z};$$

$$C_0(L) = Z_0(L) \cong \mathbb{Z}.$$

Moreover, by definition,

$$C_0(K/L) = Z_0(K/L) \cong \mathbb{Z} \oplus \mathbb{Z}.$$

The members of  $Z_0(K/L)$  are chains of the form

$$z = g_1 \cdot \langle v_1 \rangle + g_2 \cdot \langle v_2 \rangle + C_0(L), \quad g_1, g_2 \in \mathbb{Z},$$

where  $C_0(L) = \{g \cdot \langle v_0 \rangle : g \text{ is an integer}\}$ .

## Example (Cont'd)

- Now we have

$$\partial(g_1 \cdot \langle v_0 v_1 \rangle + g_2 \cdot \langle v_0 v_2 \rangle) = g_1 \cdot \langle v_1 \rangle + g_2 \cdot \langle v_2 \rangle + (-g_1 - g_2) \cdot \langle v_0 \rangle.$$

So

$$\partial(g_1 \cdot \langle v_0 v_1 \rangle + g_2 \cdot \langle v_0 v_2 \rangle) + C_1(L) = g_1 \cdot \langle v_1 \rangle + g_2 \cdot \langle v_2 \rangle + C_0(L).$$

Thus, every relative 0-cycle is a relative 0-boundary.

This means that  $Z_0(K/L) = B_0(K/L)$ .

Therefore,

$$H_0(K/L) = \{0\}.$$

## Example (Cont'd)

- Next, consider the case  $p = 1$ .

Consider a relative 1-chain

$$w = h_1 \cdot \langle v_0 v_1 \rangle + h_2 \cdot \langle v_1 v_2 \rangle + h_3 \cdot \langle v_0 v_2 \rangle + C_1(L).$$

Since  $C_1(L) = \{0\}$ , 1-chains and relative 1-chains can be identified.

We have

$$\partial w = (h_1 - h_2) \cdot \langle v_1 \rangle + (h_2 + h_3) \cdot \langle v_2 \rangle + C_0(L).$$

Thus,  $w$  is a relative 1-cycle if and only if  $h_1 = h_2 = -h_3$ .

It follows that  $Z_1(K/L) \cong \mathbb{Z}$ .

Since  $K$  has no 2-simplexes, then  $B_1(K/L) = \{0\}$  and  $H_1(K/L) \cong \mathbb{Z}$ .

Since there are no simplexes of dimension 2 or higher,

$$H_p(K/L) = \{0\}, \quad p \geq 2.$$

# Example

- Let  $K$  denote the closure of a 2-simplex  $\sigma^2 = \langle v_0 v_1 v_2 \rangle$ .

Let  $L$  be its 1-skeleton.

$K$  and  $L$  have precisely the same 0-simplexes and 1-simplexes.

It follows that

$$\begin{aligned} C_0(K) &= C_0(L), & C_0(K/L) &= \{0\}, & H_0(K/L) &= \{0\}; \\ C_1(K) &= C_1(L), & C_1(K/L) &= \{0\}, & H_1(K/L) &= \{0\}. \end{aligned}$$

$L$  has no simplexes of dimension two or higher.

So it might seem that  $H_p(K)$  and  $H_p(K/L)$  are isomorphic for  $p \geq 2$ .

This is true for  $p \geq 3$  but not for  $p = 2$ .

## Example (Cont'd)

- It is true that  $L$  has no simplexes of dimension two.

However, the boundary of a 2-chain is a 1-chain.

So  $L$  does affect  $Z_2(K/L)$ .

Suppose the 1-chain has nonzero coefficients only for simplexes of  $L$ .

Then the 2-chain is a relative cycle.

Consider the elementary relative 2-chain  $u = g \cdot \langle v_0 v_1 v_2 \rangle + C_2(L)$ ,  $g \in \mathbb{Z}$ .

It has relative boundary

$$\partial u = g \cdot \langle v_1 v_2 \rangle - g \cdot \langle v_0 v_2 \rangle + g \cdot \langle v_0 v_1 \rangle + C_1(L) = 0,$$

because all 1-simplexes of  $K$  are in  $L$ .

Thus, the subcomplex  $L$  produces relative 2-cycles. So  $Z_2(K/L) \cong \mathbb{Z}$ .

But  $B_2(K/L) = \{0\}$ . So  $H_2(K/L) \cong \mathbb{Z}$ .

Note that  $H_2(K) = \{0\}$ . So  $H_2(K/L)$  is not isomorphic to  $H_2(K)$ .



# The Homology Sequence ( $i^*$ )

- Our next objective is to show that there is a special sequence

$$\cdots \xrightarrow{\partial^*} H_p(L) \xrightarrow{i^*} H_p(K) \xrightarrow{j^*} H_p(K/L) \xrightarrow{\partial^*} H_{p-1}(L) \xrightarrow{i^*} \cdots \xrightarrow{i^*} H_0(K) \xrightarrow{j^*} H_0(K/L),$$

where  $i^*$ ,  $j^*$ , and  $\partial^*$  are homomorphisms.

## Definition

Let  $K$  be a complex with subcomplex  $L$ . The inclusion map  $i$  from  $L$  into  $K$  is simplicial and induces a homomorphism

$$i^* : H_p(L) \rightarrow H_p(K), \quad p \geq 0.$$

The effect of this homomorphism is easily described:

If  $[z_p] \in H_p(L)$  is represented by the  $p$ -cycle  $z_p$  on  $L$ , then  $z_p$  can be considered a  $p$ -cycle on  $K$ . Then  $z_p$  determines a homology class  $i^*([z_p]) = [z_p]$  in  $H_p(K)$ .

# The Homology Sequence ( $j^*$ )

## Definition

Let  $j: C_p(K) \rightarrow C_p(K/L)$  be the homomorphism defined by

$$j(c_p) = c_p + C_p(L), \quad c_p \in C_p(K).$$

Then  $j$  induces a homomorphism

$$j^*: H_p(K) \rightarrow H_p(K/L), \quad p \geq 0.$$

If  $[z_p] \in H_p(K)$ , then  $z_p + C_p(L)$  is a relative  $p$ -cycle.

It determines a member  $[z_p + C_p(L)]$  of  $H_p(K/L)$ .

The homomorphism  $j^*$  takes  $[z_p]$  to  $[z_p + C_p(L)]$ .

# The Homology Sequence ( $\partial^*$ )

## Definition

We finally define

$$\partial^* : H_p(K/L) \rightarrow H_{p-1}(L).$$

Suppose  $[z_p + C_p(L)] \in H_p(K/L)$ ,  $p \geq 1$ .

Then  $z_p + C_p(L)$  is a relative  $p$ -cycle.

This means that  $\partial z_p$  is in  $C_{p-1}(L)$ .

Since  $\partial \partial z_p = 0$ ,  $\partial z_p$  is a  $(p-1)$ -cycle on  $L$ .

So it determines a member  $[\partial z_p]$  of  $H_{p-1}(L)$ .

We define

$$\partial^*([z_p + C_p(L)]) = [\partial z_p], \quad [z_p + C_p(L)] \in H_p(K/L).$$

# The Homology Sequence

## Definition

The **homology sequence** of the pair  $(K, L)$  is the sequence of groups and homomorphisms

$$\cdots \xrightarrow{\partial^*} H_p(L) \xrightarrow{i^*} H_p(K) \xrightarrow{j^*} H_p(K/L) \xrightarrow{\partial^*} H_{p-1}(L) \xrightarrow{i^*} \cdots \xrightarrow{i^*} H_0(K) \xrightarrow{j^*} H_0(K/L).$$

- We may verify that

$$i^* : H_p(L) \rightarrow H_p(K),$$

$$j^* : H_p(K) \rightarrow H_p(K/L),$$

$$\partial^* : H_p(K/L) \rightarrow H_{p-1}(L)$$

are well-defined homomorphisms.

# Exact Sequences

## Definition

A sequence

$$\dots \xrightarrow{h_{p+1}} G_p \xrightarrow{h_p} G_{p-1} \xrightarrow{h_{p-1}} \dots \xrightarrow{h_2} G_1 \xrightarrow{h_1} G_0$$

of groups  $G_0, G_1, \dots$  and homomorphisms  $h_1, h_2, \dots$  is **exact** provided that:

- The kernel of  $h_{p-1}$  equals the image  $h_p(G_p)$ , for  $p \geq 2$ ;
- $h_1$  maps  $G_1$  onto  $G_0$ .

Note that requiring that  $h_1$  be onto is equivalent to requiring that  $G_0$  be followed by the trivial group.

# Short Exact Sequences

## Theorem

Suppose that an exact sequence has a section of four groups

$$\{0\} \xrightarrow{f} A \xrightarrow{g} B \xrightarrow{h} \{0\},$$

where  $\{0\}$  denotes the trivial group.

Then  $g$  is an isomorphism from  $A$  onto  $B$ .

- The image  $f(\{0\}) = \{0\}$  contains only the identity element of  $A$ . Exactness then guarantees that  $g$  has kernel  $\{0\}$ . So  $g$  is one-to-one. The kernel of  $h$  is all of  $B$ . This must be the image  $g(A)$ . Thus,  $g$  is an isomorphism as claimed.

# Exact Sequences with Three Nontrivial Members

## Theorem

Suppose that an exact sequence has a section of five groups

$$\{0\} \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow \{0\}.$$

Suppose, in addition, that:

- There is a homomorphism  $h: C \rightarrow B$ , such that  $gh$  is the identity on  $C$ ;
- $B$  is abelian.

Then  $B \cong A \oplus C$ .

- We define  $T: A \oplus C \rightarrow B$  by

$$T(a, c) = f(a) \cdot h(c), \quad (a, c) \in A \oplus C.$$

It may be verified that  $T$  is the required isomorphism.

## Exact Sequences with Three Nontrivial Members (Cont'd)

- It is easily seen that:
  - $A \cong \ker(g)$  via  $f$ ;
  - $C \cong \operatorname{im}(h)$  via  $h$ .

So it suffices to show that  $B \cong \ker(g) \oplus \operatorname{im}(h)$ .

Given  $b \in B$ , we have

$$b = (b((hg)(b))^{-1}) \cdot (hg)(b),$$

where  $b((hg)(b))^{-1} \in \ker(g)$  and  $(hg)(b) \in \operatorname{im}(h)$ .

Finally, if  $b \in \ker(g) \cap \operatorname{im}(h)$ , then:

- $g(b) = 1$ ;
- $h(c) = b$ , for some  $c \in C$ .

But then  $c = h(h(c)) = g(b) = 0$ .



# Exactness of the Homology Sequence

## Theorem

If  $K$  is a complex with subcomplex  $L$ , then the homology sequence of  $(K, L)$  is exact.

- In the homology sequence

$$\dots \xrightarrow{\partial^*} H_p(L) \xrightarrow{i^*} H_p(K) \xrightarrow{j^*} H_p(K/L) \xrightarrow{\partial^*} H_{p-1}(L) \xrightarrow{i^*} \dots \xrightarrow{i^*} H_0(K) \xrightarrow{j^*} H_0(K/L).$$

we must show that:

- The last homomorphism  $j^*$  maps  $H_0(K)$  onto  $H_0(K/L)$ ;
- The kernel of each homomorphism is the image of the one that precedes it.

We first show that  $j^*$  is onto.

Let  $[z_0 + C_0(L)] \in H_0(K/L)$ . Then  $z_0$  is a 0-chain on  $K$ .

Moreover,  $j^*[z_0] = [z_0 + C_0(L)]$ . So  $j^*$  is onto.

# Exactness of the Homology Sequence (1)

- The remainder of the proof breaks naturally into six parts:

$$(1) \operatorname{im} i^* \subseteq \ker j^*;$$

$$(4) \ker \partial^* \subseteq \operatorname{im} j^*;$$

$$(2) \ker j^* \subseteq \operatorname{im} i^*;$$

$$(5) \operatorname{im} \partial^* \subseteq \ker i^*;$$

$$(3) \operatorname{im} j^* \subseteq \ker \partial^*;$$

$$(6) \ker i^* \subseteq \operatorname{im} \partial^*.$$

- (1) Let  $i^*([z_p])$  be in the image of  $i^*$ , where  $z_p$  is a  $p$ -cycle on  $L$ .

Then

$$j^* i^*([z_p]) = [z_p + C_p(L)] \stackrel{z_p \in C_p(L)}{=} [0 + C_p(L)] = 0.$$

Thus,  $\operatorname{im} i^* \subseteq \ker j^*$ .

# Exactness of the Homology Sequence (2)

(2) Let  $[w_p] \in H_p(K)$  be an element of the kernel of  $j^*$ .

That is,  $j^*([w_p]) = 0$  in  $H_p(K/L)$ .

We must find an element  $[z_p]$  in  $H_p(L)$ , such that

$$i^*([z_p]) = [w_p].$$

Now  $j^*(w_p) = [w_p + C_p(L)] = 0$ .

So  $w_p + C_p(L)$  is the relative boundary of a relative  $(p+1)$ -chain  $c_{p+1} + C_{p+1}$ , i.e.,  $\partial c_{p+1} + C_p(L) = w_p + C_p(L)$ .

It follows that  $w_p - \partial c_{p+1}$  is in  $C_p(L)$ .

Both  $w_p$  and  $\partial c_{p+1}$  are cycles on  $K$ . So  $w_p - \partial c_{p+1}$  is also a cycle.

So it determines a member  $[w_p - \partial c_{p+1}]$  of  $H_p(L)$ .

Note that

$$i^*([w_p - \partial c_{p+1}]) = [w_p - \partial c_{p+1}] = [w_p],$$

since  $w_p$  and  $w_p - \partial c_{p+1}$  are homologous cycles on  $K$ .

Thus,  $\ker j^* \subseteq \operatorname{im} i^*$ .

# Exactness of the Homology Sequence ((3)&(4))

- (3) Let  $j^*([z_p]) = [z_p + C_p(L)]$  be a member of the image of  $j^*$ , where  $z_p$  is a  $p$ -cycle on  $K$ . Then

$$\partial^* j^*([z_p]) = \partial^*([z_p + C_p(L)]) = [\partial z_p] \stackrel{\partial z_p = 0}{=} 0.$$

Thus,  $\text{im} j^* \subseteq \ker \partial^*$ .

- (4) Let  $[x_p + C_p(L)]$  be in  $\ker \partial^*$ , where  $x_p + C_p(L)$  is a relative  $p$ -cycle. Then  $\partial^*([x_p + C_p(L)]) = [\partial x_p] = 0$  in  $H_{p-1}(L)$ .

This means that  $\partial x_p = \partial y_p$ , for some  $p$ -chain  $y_p$  on  $L$ .

Then  $x_p - y_p$  is a  $p$ -cycle on  $k$ .

So it determines a member  $[x_p - y_p]$  of  $H_p(K)$ . Note that

$$j^*([x_p - y_p]) = [x_p - y_p + C_p(L)] = [x_p + C_p(L)],$$

since  $y_p \in C_p(L)$ . Thus,  $[x_p + C_p(L)]$  is in the image of  $j^*$ .

- Parts (5) and (6) can be proven similarly.

## Example

- Let  $K$  denote the closure of an  $n$ -simplex.

Let  $L$  be its  $(n-1)$ -skeleton,  $n \geq 2$ .

We use the homology sequence to compute  $H_p(K/L)$ .

Since  $n \geq 2$ ,  $K$  and  $L$  have the same 0- and the same 1-chains, and

$$H_0(K/L) = H_1(K/L) = \{0\}.$$

For  $p > 1$ , consider the homology sequence

$$\cdots \rightarrow H_p(K) \rightarrow H_p(K/L) \rightarrow H_{p-1}(L) \rightarrow H_{p-1}(K) \rightarrow \cdots .$$

We know that  $H_{p-1}(K) = H_p(K) = \{0\}$ .

By the Short Exact Sequence Theorem  $H_p(K/L) \cong H_{p-1}(L)$ ,  $p > 1$ .

Also  $|L|$  is homeomorphic to  $S^{n-1}$ . Therefore,

$$H_n(K/L) \cong H_{n-1}(S^{n-1}) \cong \mathbb{Z}.$$

Moreover,  $H_p(K/L) = \{0\}$ , if  $p \neq n$ .

# Example

- Let  $X$  be the union of two  $n$ -spheres tangent at a point. Then  $X$  has as triangulation  $K$  the  $n$ -skeleton of the closure of two  $(n+1)$ -simplexes joined at a common vertex. Let  $L$  denote the  $n$ -skeleton of one of the two  $(n+1)$ -simplexes. Consider the section

$$H_{n+1}(K/L) \xrightarrow{\partial^*} H_n(L) \xrightarrow{i^*} H_n(K) \xrightarrow{j^*} H_n(K/L) \xrightarrow{\partial^*} H_{n-1}(L)$$

of the homology sequence of  $(K, L)$ .

It satisfies the hypotheses of the 3-Member Exact Sequence Theorem.

So

$$H_n(K) \cong H_n(K/L) \oplus H_n(L).$$

We can show that  $H_n(K/L) \cong H_n(L) \cong \mathbb{Z}$ .

Therefore,  $H_n(X) = H_n(K) \cong \mathbb{Z} \oplus \mathbb{Z}$ .

## Subsection 4

# Singular Homology Theory

# Singular versus Simplicial Homology

- One method of extending homology groups to spaces other than polyhedra is *singular homology theory*.
- Instead of insisting that the space  $X$  be built from properly joined simplexes, one considers continuous maps from standard simplexes into  $X$ , called “singular simplexes”.
- There are natural definitions of chains, cycles and boundaries paralleling those of simplicial homology.
- The singular and simplicial theories produce isomorphic homology groups when applied to polyhedra.
- However, the singular approach applies to all topological spaces.

**Notation:** Points of  $\mathbb{R}^{n+1}$  will be written  $(x_0, x_1, \dots, x_n)$  with zeroth coordinate  $x_0$ , first coordinate  $x_1$ , etc., i.e., with coordinates being numbered 0 through  $n$ .



# Unit Simplexes

## Definition

For  $n \geq 0$ , the **unit  $n$ -simplex** in  $\mathbb{R}^{n+1}$  is the set

$$\Delta_n = \{(x_0, x_1, \dots, x_n) \in \mathbb{R}^{n+1} : \sum x_i = 1, x_i \geq 0, 0 \leq i \leq n\}.$$

The point  $v_i$  with  $i$ -th coordinate 1 and all other coordinates 0 is called the  **$i$ -th vertex** of  $\Delta_n$ . The subset

$$\Delta_n(i) = \{(x_0, x_1, \dots, x_n) \in \Delta_n : x_i = 0\}$$

is called the  **$i$ -th face** of  $\Delta_n$  or the **face opposite the  $i$ -th vertex**.

The map  $d_i : \Delta_{n-1} \rightarrow \Delta_n$  defined by

$$d_i(x_0, \dots, x_{n-1}) = (x_0, \dots, x_{i-1}, 0, x_i, \dots, x_{n-1})$$

is the  **$i$ -th inclusion map**.

# Comments on the Definition

- Note that  $\Delta_n$  is simply the simplex in  $\mathbb{R}^{n+1}$  whose vertices are the points  $v_0 = (1, 0, \dots, 0)$ ,  $v_1 = (0, 1, 0, \dots, 0)$ ,  $\dots$ ,  $v_n = (0, \dots, 0, 1)$ .
- The  $i$ -th inclusion map  $d_i$  maps  $\Delta_{n-1}$  onto the  $i$ -th face of  $\Delta_n$ .
- For the inclusion maps in the diagram

$$\begin{array}{ccccc} \Delta_{n-2} & \xrightarrow{d_j} & \Delta_{n-1} & \xrightarrow{d_i} & \Delta_n \\ \Delta_{n-2} & \xrightarrow{d_{i-1}} & \Delta_{n-1} & \xrightarrow{d_j} & \Delta_n, \quad j < i, \end{array}$$

we have  $d_i d_j = d_j d_{i-1}$ .

E.g., we have

$$\begin{aligned} d_5(d_2(x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7)) &= d_5(x_0, x_1, 0, x_2, x_3, x_4, x_5, x_6, x_7) \\ &= (x_0, x_1, 0, x_2, x_3, 0, x_4, x_5, x_6, x_7) \\ &= d_2(x_0, x_1, x_2, x_3, 0, x_4, x_5, x_6, x_7) \\ &= d_2(d_4(x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7)). \end{aligned}$$

# Singular Simplexes

## Definition

Let  $X$  be a space and  $n$  a non-negative integer.

A **singular  $n$ -simplex** in  $X$  is a continuous function  $s^n : \Delta_n \rightarrow X$ .

The set of all singular  $n$ -simplexes in  $X$  is denoted  $S_n(X)$ .

For  $n > 0$  and  $0 \leq i \leq n$ , the composite map

$$s_i^n = s^n d_i : \Delta_{n-1} \rightarrow X$$

is a singular  $(n-1)$ -simplex called the  **$i$ -th face** of  $s^n$ .

The function from  $S_n(X)$  to  $S_{n-1}(X)$  which takes a singular  $n$ -simplex to its  $i$ -th face is called the  **$i$ -th face operator** on  $S_n(X)$ .

# Singular Simplexes (Cont'd)

## Definition (Cont'd)

The **singular complex** of  $X$  is the set

$$S(X) = \bigcup_{n=0}^{\infty} S_n(X)$$

together with its family of face operators.

It is usually denoted by  $S(X)$ .

# A Property of Singular Simplexes

## Theorem

Let  $s^n$  be a singular  $n$ -simplex in a space  $X$ ,  $n > 1$ . Then

$$s_{i,j}^n = s_{j,i-1}^n, \quad 0 \leq j < i \leq n.$$

- In the notation of the preceding definitions,

$$\begin{aligned} s_{i,j}^n &= s_i^n d_j \\ &= s^n d_i d_j \\ &= s^n d_j d_{i-1} \\ &= s_j^n d_{i-1} \\ &= s_{j,i-1}^n. \end{aligned}$$

# Singular Chains

## Definition

For  $p$  a nonnegative integer, a  $p$ -dimensional singular chain, or singular  $p$ -chain, is a function

$$c_p : S_p(X) \rightarrow \mathbb{Z}$$

from the set of singular  $p$ -simplexes of  $X$  into the integers, such that

$$c_p(s^p) = 0,$$

for all but finitely many singular  $p$ -simplexes.

Under the pointwise operation of addition induced by the integers, the set  $C_p(X)$  of all singular  $p$ -chains on  $X$  forms a group.

This group is the  $p$ -dimensional singular chain group of  $X$ .

# Comments

- As in the simplicial theory, a singular  $p$ -chain can be expressed as a formal linear combination

$$c_p = \sum_{i=0}^r g_i \cdot s(i)^p,$$

where:

- $g_i$  represents the value of  $c_p$  at the singular  $p$ -simplex  $s(i)^p$ ;
- $c_p$  has value zero for all  $p$ -simplexes not appearing in the sum.
- Since simplicial complexes have only finitely many simplexes, the “finitely nonzero” property of  $p$ -chains holds automatically in the simplicial theory.
- As in the simplicial theory, algebraic systems other than the integers can be used as the set of coefficients.

# Singular Boundary Homomorphisms

## Definition

The **singular boundary homomorphism**

$$\partial: C_p(X) \rightarrow C_{p-1}(X)$$

is defined for an elementary singular  $p$ -chain  $g \cdot s^p$ ,  $p \geq 1$ , by

$$\partial(g \cdot s^p) = \sum_{i=0}^p (-1)^i g \cdot s_i^p.$$

This function is extended by linearity to a homomorphism  $\partial$  from  $C_p(X)$  into  $C_{p-1}(X)$ .

The **boundary of each singular 0-chain** is defined to be 0.



# Triviality of $\partial\partial$

## Theorem

If  $X$  is a space and  $p \geq 2$ , then the composition  $\partial\partial: C_p(X) \rightarrow C_{p-2}(X)$  in the diagram

$$C_p(X) \xrightarrow{\partial} C_{p-1}(X) \xrightarrow{\partial} C_{p-2}(X)$$

is the trivial homomorphism.

- Each  $p$ -chain is a linear combination of elementary  $p$ -chains.

So it is sufficient to prove that

$$\partial\partial(g \cdot s) = 0,$$

for each elementary  $p$ -chain  $g \cdot s$ .

# Triviality of $\partial\partial$ (Cont'd)

- Note that

$$\begin{aligned}
 \partial\partial(g \cdot s) &= \partial\left(\sum_{i=0}^p (-1)^i g \cdot s_i\right) \\
 &= \sum_{i=0}^p (-1)^i \sum_{j=0}^{p-1} (-1)^j g \cdot s_{i,j} \\
 &= \sum_{i=0}^p \sum_{j=0}^{p-1} (-1)^{i+j} g \cdot s_{i,j} \\
 &= \sum_{0 \leq j < i \leq p} (-1)^{i+j} g \cdot s_{i,j} + \sum_{0 \leq i \leq j \leq p-1} (-1)^{i+j} g \cdot s_{i,j} \\
 &= \sum_{0 \leq j < i \leq p} (-1)^{i+j} g \cdot s_{j,i-1} + \sum_{0 \leq i \leq j \leq p-1} (-1)^{i+j} g \cdot s_{i,j}.
 \end{aligned}$$

In the left sum on the preceding line, replace  $i-1$  by  $j$  and  $j$  by  $i$ .

Then the two sums will cancel completely.

Thus,  $\partial\partial = 0$ .

# Singular Cycles

## Definition

Let  $X$  be a space and  $p$  a positive integer.

A  **$p$ -dimensional singular cycle** on  $X$ , or **singular  $p$ -cycle**, is a singular  $p$ -chain  $z_p$  such that

$$\partial(z_p) = 0.$$

The set of singular  $p$ -cycles is, thus, the kernel of the homomorphism

$$\partial: C_p(X) \rightarrow C_{p-1}(X)$$

and is a subgroup of  $C_p(X)$ . This subgroup is denoted  $Z_p(X)$  and called the  **$p$ -dimensional singular cycle group** of  $X$ .

Since the boundary of each singular 0-chain is 0, we define **singular 0-cycle** to be synonymous with singular 0-chain.

Then the group  $Z_0(X)$  of singular 0-cycles is the group  $C_0(X)$ .

# Singular Boundaries

## Definition

If  $p \geq 0$ , a singular  $p$ -chain  $b_p$  is a  **$p$ -dimensional singular boundary**, or **singular  $p$ -boundary**, if there is a singular  $(p+1)$ -chain  $c_{p+1}$  such that

$$\partial(c_{p+1}) = b_p.$$

The set  $B_p(X)$  of singular  $p$ -boundaries is the image  $\partial(C_{p+1}(X))$  and is a subgroup of  $C_p(X)$ . This subgroup is called the  **$p$ -dimensional singular boundary group of  $X$** .

Now  $\partial\partial: C_p(X) \rightarrow C_{p-2}(X)$  is the trivial homomorphism.

Hence,  $B_p(X)$  is a subgroup of  $Z_p(X)$ ,  $p \geq 0$ .

The quotient group

$$H_p(X) = Z_p(X)/B_p(X)$$

is the  **$p$ -dimensional singular homology group of  $X$** .

# Singular Homology and Orientation

- Many similarities in the definitions of the simplicial and singular homology groups should be obvious.
- Note, however, that no mention of orientation was made in the singular case.
- This was taken care of implicitly in the definition of the boundary operator

$$\partial(g \cdot s^n) = \sum_{i=0}^n (-1)^i g \cdot s_i^n.$$

- The definition in effect requires that the standard  $n$ -simplex  $\Delta_n$  be assigned the orientation induced by the ordering  $v_0 < v_1 < \cdots < v_n$ .
- This orientation is then preserved in each singular  $n$ -simplex.

# Induced Homomorphisms

## Definition

Let  $X$  and  $Y$  be spaces and  $f : X \rightarrow Y$  a continuous map.

If  $s \in S_p(X)$ , the composition  $fs$  belongs to  $S_p(Y)$ .

Hence  $f$  induces a homomorphism  $f_p : C_p(X) \rightarrow C_p(Y)$  defined by

$$f_p \left( \sum_{i=0}^r g_i \cdot s(i)^p \right) = \sum_{i=0}^r g_i \cdot fs(i)^p, \quad \sum_{i=0}^r g_i \cdot s(i)^p \in C_p(X).$$

One easily observes that the diagram is commutative. So  $f_p$  maps  $Z_p(X)$  into  $Z_p(Y)$  and  $B_p(X)$  into  $B_p(Y)$ . Thus  $f$  induces for each  $p$  a homomorphism  $f_p^* : H_p(X) \rightarrow H_p(Y)$  defined by

$$\begin{array}{ccc} C_p(X) & \xrightarrow{f_p} & C_p(Y) \\ \partial \downarrow & & \downarrow \partial \\ C_{p-1}(X) & \xrightarrow{f_{p-1}} & C_{p-1}(Y) \end{array}$$

$$f_p^*(z_p + B_p(X)) = f_p(z_p) + B_p(Y), \quad (z_p + B_p(X)) \in H_p(X).$$

The sequence  $\{f_p^*\}$  is the **sequence of homomorphisms induced by  $f$** .

# Advantages of Singular Homology

- Singular homology has two advantages over simplicial homology.
  - (1) The singular theory applies to all topological spaces, not just polyhedra.
  - (2) The induced homomorphisms are defined more easily in the singular theory.
    - In the simplicial theory a continuous map between two polyhedra must be replaced by a simplicial approximation in order to define the induced homomorphisms.

This presents problems of uniqueness which are completely avoided by the singular approach.
- Singular and simplicial homology groups are isomorphic for polyhedra.

## Subsection 5

# Axioms for Homology Theory



# (Abstract) Homology Theory: The Data

- Eilenberg and Steenrod defined the term “homology theory”.
- The definition applies to various categories of:
  - Pairs  $(X, A)$ , where  $X$  is a space with subspace  $A$ ;
  - Continuous functions on such pairs.
- A **homology theory** consists of three functions  $H$ ,  $*$  and  $\partial$ , having the following properties:
  - (1)  $H$  assigns to each pair  $(X, A)$  under consideration and each integer  $p$  an abelian group  $H_p(X, A)$ . This group is the  **$p$ -dimensional relative homology group of  $X$  modulo  $A$** .

If  $A = \emptyset$ , then

$$H_p(X, \emptyset) = H_p(X)$$

is the  **$p$ -dimensional homology group** of  $X$ .

# (Abstract) Homology Theory: The Data (Cont'd)

- (2) Let  $(X, A)$  and  $(Y, B)$  be pairs and  $f : X \rightarrow Y$ , with  $f(A) \subseteq B$ , an admissible map. Then the function  $*$  determines, for each integer  $p$ , a homomorphism

$$f_p^* : H_p(X, A) \rightarrow H_p(Y, B)$$

called the **homomorphism induced by  $f$**  in dimension  $p$ .

- (3) The function  $\partial$  assigns, to each pair  $(X, A)$  and each integer  $p$ , a homomorphism

$$\partial : H_p(X, A) \rightarrow H_{p-1}(A),$$

called the **boundary operator** on  $H_p(X, A)$ .

# Homology Theory: The Eilenberg-Steenrod Axioms

The functions  $H$ ,  $*$ , and  $\partial$  are required to satisfy the following **Eilenberg-Steenrod Axioms**:

- I **(The Identity Axiom)** If  $i : (X, A) \rightarrow (X, A)$  is the identity map, then the induced homomorphism

$$i_p^* : H_p(X, A) \rightarrow H_p(X, A)$$

is the identity isomorphism for each integer  $p$ .

- II **(The Composition Axiom)** If  $f : (X, A) \rightarrow (Y, B)$  and  $g : (Y, B) \rightarrow (Z, C)$  are admissible maps, then

$$(gf)_p^* = g_p^* f_p^* : H_p(X, A) \rightarrow H_p(Z, C)$$

for each integer  $p$ .

# The Eilenberg-Steenrod Axioms (Cont'd)

## III (The Commutativity Axiom)

If  $f : (X, A) \rightarrow (Y, B)$  is an admissible map and  $g : A \rightarrow B$  is the restriction of  $f$ , then the diagram on the right is commutative for each integer  $p$ .

$$\begin{array}{ccc} H_p(X, A) & \xrightarrow{f_p^*} & H_p(Y, B) \\ \partial \downarrow & & \downarrow \partial \\ H_{p-1}(A) & \xrightarrow{g_p^*} & H_{p-1}(B) \end{array}$$

IV (The Exactness Axiom) If  $i : A \rightarrow X$  and  $j : (X, \emptyset) \rightarrow (X, A)$  are inclusion maps, then the homology sequence

$$\cdots \rightarrow H_p(A) \xrightarrow{i^*} H_p(X) \xrightarrow{j^*} H_p(X, A) \xrightarrow{\partial} H_{p-1}(A) \rightarrow \cdots$$

is exact.

# The Eilenberg-Steenrod Axioms (Cont'd)

- V **(The Homotopy Axiom)** If the maps  $f, g : (X, A) \rightarrow (Y, B)$  are homotopic, then the induced homomorphisms  $f_p^*$  and  $g_p^*$  are equal for each integer  $p$ .
- VI **(The Excision Axiom)** If  $U$  is an open subset of  $X$ , with  $\overline{U} \subseteq A$ , then the inclusion map  $e : (X \setminus U, A \setminus U) \rightarrow (X, A)$  induces an isomorphism

$$e_p^* : H_p(X \setminus U, A \setminus U) \rightarrow H_p(X, A),$$

for each integer  $p$ . (The map  $e$  is called the **excision of  $U$** .)

- VII **(The Dimension Axiom)** If  $X$  is a space with only one point, then  $H_p(X) = \{0\}$ , for each nonzero value of  $p$ .

# Simplicial and Singular Homologies as Homologies

- Simplicial homology theory as presented in this book applies to the category of pairs  $(X, A)$ , where  $X$  and  $A$  have triangulations  $K$  and  $L$  for which  $L$  is a subcomplex of  $K$ .
- The singular homology theory applies to all pairs  $(X, A)$ , where  $X$  is a topological space with subspace  $A$ .
- A survey of homology theory from the axiomatic point of view is given in the classic book *Foundations of Algebraic Topology* by Eilenberg and Steenrod.