

# Introduction to Differential Geometry

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LSSU Math 600

## 1 Curvature

- The Geometry of Surfaces in  $\mathbf{E}^3$
- The Gaussian and Mean Curvatures of a Surface
- Basic Properties of the Riemann Curvature Tensor
- The Curvature Forms and the Equations of Structure
- Differentiation of Covariant Tensor Fields
- Manifolds of Constant Curvature

## Subsection 1

# The Geometry of Surfaces in $E^3$

# Local Coordinates

- Suppose that  $M$  is an imbedded surface.
- We consider only a portion of  $M$  covered by a single coordinate neighborhood  $U, \varphi$ .
- Moreover, we assume that  $W = \varphi(U)$  is a connected open subset of  $\mathbb{R}^2$ , the  $uv$ -plane.
- Thus,  $p \in U \subseteq M$  has coordinates  $(u(p), v(p)) = \varphi(p)$ .
- Take the Euclidean three-dimensional space with a fixed Cartesian coordinate system, i.e., identify  $E^3$  with  $\mathbb{R}^3$ .
- The imbedding or parameter mapping  $\varphi^{-1} : W \rightarrow U \subseteq \mathbb{R}^2$  is given by

$$x^i = f^i(u, v), \quad i = 1, 2, 3.$$

- Let the coordinate frames be

$$E_1 = \varphi_*^{-1} \left( \frac{\partial}{\partial u} \right) \quad \text{and} \quad E_2 = \varphi_*^{-1} \left( \frac{\partial}{\partial v} \right).$$

# Unit Normal Vector

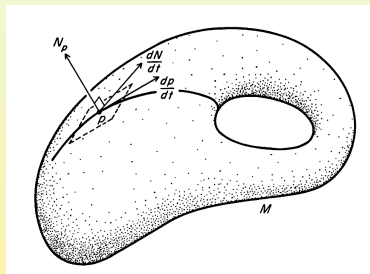
- Suppose that  $M$  is orientable and oriented with  $U, \varphi$  giving the orientation.
- Orientation is an important condition on  $M$ , since we are then able to define, without ambiguity, the unit normal vector field  $N$  to  $M$ .
- It is the unique unit vector at each  $p \in M$  which is:
  - Orthogonal to  $T_p(M) \subseteq T_p(\mathbb{R}^3)$ ;
  - So chosen that  $E_1, E_2, N$  form a frame at  $p$  with the same orientation as  $\frac{\partial}{\partial x^1}, \frac{\partial}{\partial x^2}, \frac{\partial}{\partial x^3}$ , the standard orthonormal frame of  $\mathbb{R}^3$ .
- Length and orthogonality are defined in terms of the inner product  $(X, Y)$  of Euclidean space.
- The inner product induces a Riemannian metric on  $M$  by restriction.

# The Derivative of the Normal Vector

- Let  $p(t)$  be any differentiable curve on  $M$  with:
  - $p(0) = p$ ;
  - $\dot{p}(0) = X_p \in T_p(M)$ .
- Restricting  $N$  to  $p(t)$  gives a vector field  $N(t) = N_{p(t)}$  along  $p(t)$ .
- This may be differentiated in  $\mathbb{R}^3$  as a vector field along a space curve, giving a derivative  $\frac{dN}{dt}$ , which is itself a vector field along  $p(t)$ .
- Applying the inner product rule and using  $(N, N) = 1$ , we have

$$0 = \frac{d}{dt}(N, N) = 2 \left( \frac{dN}{dt}, N \right).$$

- This means that  $\frac{dN}{dt}$  is orthogonal to  $N(t)$  at each point  $p(t)$ .
- Hence,  $\frac{dN}{dt}$  is tangent to  $M$ , i.e.,  $\frac{dN}{dt} \in T_{p(t)}(M)$ .



# Independence on Curve

## Theorem

The vector  $\frac{dN}{dt}|_{t=0}$  depends only on  $X_p$  and not on the curve  $p(t)$  chosen. Let

$$S(X_p) = -\frac{dN}{dt}|_{t=0}.$$

Then  $X_p \rightarrow S(X_p)$  is a linear map of  $T_p(M) \rightarrow T_p(M)$ .

- Consider an arbitrary element of  $T_p(M)$

$$X_p = aE_{1p} + bE_{2p}.$$

It is written as a linear combination of the coordinate frame  $E_{1p}, E_{2p}$  of the coordinate neighborhood  $U, \varphi$  containing  $p$ .

Let

$$p(t) = (f^1(u(t), v(t)), f^2(u(t), v(t)), f^3(u(t), v(t)))$$

be any differentiable curve with  $p(0) = p$  and  $\dot{p}(0) = X_p$ .

## Independence on Curve (Cont'd)

- Suppose  $p(0)$  has coordinates  $u_0 = u(0)$  and  $v_0 = v(0)$ . Since  $\dot{p}(0) = X_p$ , we have  $\dot{p}(0) = aE_{1p} + bE_{2p}$ , that is:
  - $\dot{u}(0) = a$ ;
  - $\dot{v}(0) = b$ .

We denote by  $n^i(u, v)$  the components of  $N$  on  $U$  relative to the standard frames in  $\mathbb{R}^3$ ,

$$N = n^1(u, v) \frac{\partial}{\partial x^1} + n^2(u, v) \frac{\partial}{\partial x^2} + n^3(u, v) \frac{\partial}{\partial x^3}.$$

Then, along the curve

$$N(t) = \sum_{i=1}^3 n^i(u(t), v(t)) \frac{\partial}{\partial x^i}.$$



## Independence on Curve (Cont'd)

- Moreover,

$$\begin{aligned} \left(\frac{dN}{dt}\right)_0 &= \sum_{i=1}^3 \left[ \left(\frac{\partial n^i}{\partial u}\right)_{\varphi(p)} \dot{u}(0) + \left(\frac{\partial n^i}{\partial v}\right)_{\varphi(p)} \dot{v}(0) \right] \frac{\partial}{\partial x^i} \\ &= a \left( \sum_{i=1}^3 \left(\frac{\partial n^i}{\partial u}\right)_{\varphi(p)} \frac{\partial}{\partial x^i} \right) + b \left( \sum_{i=1}^3 \left(\frac{\partial n^i}{\partial v}\right)_{\varphi(p)} \frac{\partial}{\partial x^i} \right). \end{aligned}$$

This shows that  $S(X_p)$  depends linearly on the components of  $X_p$ .

Now  $\frac{dN}{dt}|_{t=0}$  lies in  $T_p(M)$ .

So  $S : T_p(M) \rightarrow T_p(M)$  is a linear map.

Moreover the only values that appear in the formula are:

- $(u(0), v(0))$ , the coordinates of  $p$ ;
- $\dot{u}(0), \dot{v}(0)$ , the components of  $\dot{p}(0) = X_p$ .

Thus,  $\left(\frac{dN}{dt}\right)_0$  depends on  $p$  and  $X_p$  and not on the curve used in the calculation.

## Remark

- The linear map  $S : T_p(M) \rightarrow T_p(M)$ , given at each  $p \in M$ , is independent of:
  - The choice of coordinate system  $U, \varphi$  on  $M$ ;
  - The Cartesian coordinate system used in Euclidean space.
- This is because  $N$  is defined using only the orientations of  $M$  and Euclidean space and the inner product of the Euclidean space.
- The differentiation depends only on the existence of parallel orthonormal frames in Euclidean space.
- Thus  $N$ ,  $\frac{dN}{dt}$  and  $S$  are independent of coordinates and involve only the geometry of  $M$  as an imbedded surface in Euclidean space.
- The operator  $S$  has been called the **shape operator**.

## Example

- Suppose  $M$  is the  $xy$ -plane.

Then  $N = E_3$ , a constant vector.

So  $S(X_p) = 0$ .

- Suppose  $M$  is a sphere of radius  $R$ .

The unit normal  $N$  at  $(x^1, x^2, x^3) \in M$  is given by

$$N = \frac{x^1}{R} \frac{\partial}{\partial x^1} + \frac{x^2}{R} \frac{\partial}{\partial x^2} + \frac{x^3}{R} \frac{\partial}{\partial x^3}.$$

Suppose we move in any direction tangent to the sphere along a great circle curve, parametrized by arclength so that  $\|X_p\| = 1$ .

Then

$$S(X_p) = -\frac{dN}{ds} = \frac{1}{R} X_p.$$

# Bilinear Forms

- Suppose  $M$  is a  $C^\infty$  submanifold.
- Recall the linear map  $S : T_p(M) \rightarrow T_p(M)$ , more accurately  $S_p$ , which we have determined at each  $p \in M$ .
- We may use  $S$  to define a  $C^\infty$  covariant tensor field on  $M$ .
- Let  $S : \mathbf{V} \rightarrow \mathbf{V}$  be a linear operator on a vector space  $\mathbf{V}$  with inner product  $(X, Y)$ .

- Then the formula

$$\Psi(X, Y) = (S(X), Y)$$

defines a bilinear form, or covariant tensor of order 2, on  $\mathbf{V}$ .

- The form  $\Psi$  is symmetric if and only if

$$(S(X), Y) = (X, S(Y))$$

holds for all  $X, Y \in \mathbf{V}$ .

- If  $\Psi$  is symmetric,  $S$  is called **symmetric** or **self-adjoint**.

# Properties of $S$

## Theorem

$S(X)$  is a symmetric operator on the tangent space  $T_p(M)$  for each  $p \in M$  and  $\Psi(X, Y)$  is a symmetric covariant tensor of order 2. The components of  $S$  and  $\Psi$  are  $C^\infty$  if  $M$  is a  $C^\infty$  submanifold.

- To prove the statements we compute the components of  $\Psi(X, Y)$ .

Let  $U, \varphi$  be a coordinate neighborhood.

Let  $\varphi^{-1} : W \rightarrow U \subseteq M$  be the corresponding parametrization.

Below we compute the components of  $\Psi(X, Y)$  relative to the coordinate frames

$$E_1 = \varphi_*^{-1} \left( \frac{\partial}{\partial u} \right) \quad \text{and} \quad E_2 = \varphi_*^{-1} \left( \frac{\partial}{\partial v} \right).$$

We use  $\frac{\partial N}{\partial u}$  and  $\frac{\partial N}{\partial v}$  to denote the derivatives of  $N$  along the coordinate curves on  $M$  obtained by holding one coordinate fixed and allowing the other to vary (as parameter along the curve).

# Properties of $S$ (Cont'd)

- We have

$$\Psi(E_1, E_2) = (S(E_1), E_1) = -\left(\frac{\partial N}{\partial u}, E_1\right),$$

$$\Psi(E_1, E_2) = (S(E_1), E_2) = -\left(\frac{\partial N}{\partial u}, E_2\right),$$

$$\Psi(E_2, E_1) = (S(E_2), E_1) = -\left(\frac{\partial N}{\partial v}, E_1\right),$$

$$\Psi(E_2, E_2) = (S(E_2), E_2) = -\left(\frac{\partial N}{\partial v}, E_2\right).$$

Denote by  $X = X(u, v)$  the position vector from 0 to  $\varphi^{-1}(u, v)$ ,

$$X = f^1(u, v)\frac{\partial}{\partial x^1} + f^2(u, v)\frac{\partial}{\partial x^2} + f^3(u, v)\frac{\partial}{\partial x^3}.$$

Then  $X_u = E_1$  and  $X_v = E_2$  are just the vectors whose components are the corresponding derivatives of the components of  $X$  with respect to  $u$  and  $v$ .

That is,

$$X_u = \frac{\partial X}{\partial u} = E_1 \quad \text{and} \quad X_v = \frac{\partial X}{\partial v} = E_2.$$

# Properties of $S$ (Cont'd)

- Recall that  $(N, X_u) = 0 = (N, X_v)$ .

Differentiate to obtain

$$-\left(\frac{\partial N}{\partial u}, X_u\right) = (N, X_{uu}) = \sum n_i \frac{\partial^2 f^i}{\partial u^2},$$

$$-\left(\frac{\partial N}{\partial v}, X_u\right) = (N, X_{vu}) = \sum n_i \frac{\partial^2 f^i}{\partial v \partial u} = (N, X_{uv}) = -\left(\frac{\partial N}{\partial u}, X_v\right),$$

$$-\left(\frac{\partial N}{\partial v}, X_v\right) = (N, X_{vv}) = \sum n_i \frac{\partial^2 f^i}{\partial v^2}.$$

So the components of  $\Psi$ , and hence of  $S$ , are  $C^\infty$  if  $M$  is.

The second relation shows that  $\Psi(X, Y) = \Psi(Y, X)$ .

So the tensor  $\Psi$  is symmetric.

# Second Fundamental Form

- Consider the  $2 \times 2$  matrix of the components of the symmetric tensor  $\Psi$ ,

$$(\ell_{ij}) = (\Psi(E_i, E_j)).$$

- It will often be written

$$\begin{pmatrix} \ell & m \\ m & n \end{pmatrix},$$

where:

- $\ell = (N, X_{uu}) = \ell_{11}$ ;
  - $m = (N, X_{uv}) = \ell_{12} = \ell_{21}$ ;
  - $n = (N, X_{vv}) = \ell_{22}$ .
- The bilinear form  $\Psi(X, Y)$  is called the **second fundamental form** of the surface  $M$ .



# First Fundamental Form

- The inner product  $(X, Y)$  is called the **first fundamental form**.
- Recall that, in the general Riemannian case, the components of the Riemannian metric  $(X, Y)$  are denoted by  $g_{ij}$ .
- However, in the classical case of a surface  $M$  in Euclidean space, one often uses  $E, F, G$ .
- Thus,

$$\begin{aligned}g_{11} &= E = (X_u, X_u), \\g_{12} &= F = (X_u, X_v) = (X_v, X_u) = F = g_{21}, \\g_{22} &= G = (X_v, X_v).\end{aligned}$$

**Remark:** It is a classical theorem of differential geometry (which we shall not prove) that two surfaces  $M_1$  and  $M_2$  in  $\mathbb{R}^3$  are congruent if and only if they correspond in such fashion that, at corresponding points, both fundamental forms agree.

# Characteristic Values of $S$

## Theorem

At each  $p \in M$ , the characteristic values of the linear transformation  $S$  are real numbers  $k_1$  and  $k_2$ ,  $k_1 \geq k_2$ .

- If  $k_1 \neq k_2$ , then the characteristic vectors belonging to them are orthogonal.
- If  $k_1 = k_2 = k$  at  $p$ , then  $S(X_p) = kX_p$ , for every  $X_p$  in  $T_p(M)$ .

The numbers  $k_1$  and  $k_2$  are the maximum and minimum values of

$$\Psi(X_p, X_p) = (S(X_p), X_p),$$

over all unit vectors  $X_p \in T_p(M)$ .

- These statements are taken directly from theorems of linear algebra.
- Here we only sketch a proof for the case  $k_1 \neq k_2$ .

## Characteristic Values of $S$ (Cont'd)

- All vectors are elements of  $T_p(M)$ ,  $p$  fixed.

Suppose  $k_1 > k_2$  are the characteristic values.

They are real, since  $S$  is self-adjoint.

Let  $F_1, F_2$  be unit characteristic vectors corresponding to  $k_1, k_2$ .

We have

$$k_1(F_1, F_2) = (S(F_1), F_2) = (F_1, S(F_2)) = k_2(F_1, F_2).$$

This implies that, when  $k_1 \neq k_2$ ,

$$(F_1, F_2) = 0.$$

Replacing  $F_2$  by  $-F_2$  if necessary, we may suppose  $F_1, F_2$  is an orthonormal basis with the same orientation as  $T_p(M)$ .

## Characteristic Values of $S$ (Cont'd)

- Next we show that  $k_1$  and  $k_2$  are the maximum and minimum values of  $(S(X_p), X_p)$ , for unit vectors  $X_p$ .

Any unit vector  $X_p \in T_p(M)$  may be written

$$X_p = \cos \theta \tilde{F}_1 + \sin \theta \tilde{F}_2.$$

Let  $k(\theta)$  denote  $(S(X_p), X_p) = \Psi(X_p, X_p)$ .

$F_1, F_2$  is an oriented, orthonormal frame.

So we have

$$k(\theta) = k_1 \cos^2 \theta + k_2 \sin^2 \theta.$$

Differentiating gives

$$\frac{dk}{d\theta} = 2(k_2 - k_1) \sin \theta \cos \theta.$$

Hence, the extrema of  $k(\theta)$  occur when  $\theta = 0, \frac{1}{2}\pi, \pi$  or  $\frac{3}{2}\pi$ .

In other words, when  $X_p = \pm F_1$  or  $\pm F_2$ .

So  $k_1$  and  $k_2$  are maximum and minimum values of  $(S(X_p), X_p)$ .

# Umbilical and Planar Points

- The values  $k_1$  and  $k_2$  are the maximum and minimum of the expression

$$\frac{\Psi(X_p, X_p)}{(X_p, X_p)},$$

over all  $X_p \neq 0$  in  $T_p(M)$ .

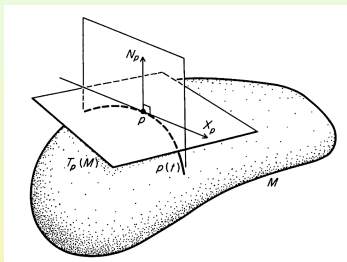
- The points  $p$  at which  $k_1 = k_2$  are called:
  - **Umbilical points** of  $M$ , if  $k_1 \neq 0$ ;
  - **Planar points** of  $M$ , otherwise.
- Note that a sphere of radius  $R$  consists entirely of umbilical points with

$$k_1 = \frac{1}{R} = k_2.$$

- Similarly, if  $M$  is a plane, every point is planar with  $k_1 = 0 = k_2$ .

# Geometrical Interpretation

- We shall now interpret  $k(\theta) = \Psi(X_p, X_p)$  geometrically.
- Let  $p$  be a point of  $M$  and  $X_p$  a unit tangent vector at  $p$ .
- $X_p$  and  $N_p$  determine a plane on which we may take:
  - $p$  as origin;
  - $X_p, N_p$  as unit vectors along the axes (in that order).
- This gives a coordinate system and orientation on the plane.
- The plane intersects  $M$  along a curve which, of course, lies on  $M$  and on the plane, and passes through  $p$ .
- It is called the **normal section** at  $p$  determined by  $X_p$ .
- There is clearly such a curve for each  $X_p$ .



# Geometrical Interpretation (Cont'd)

- The vector  $N_p$  is the normal to the curve at  $p$ .
- Moreover,  $X_p$  is the unit tangent vector to the curve at  $p$ .
- Write the curve as  $p(t)$ , with  $p(0) = p$  and arclength as parameter.
- We have  $\dot{p}(t) = \frac{dp}{dt}$ , a unit vector for every  $t$ .
- So we get  $\dot{p}(0) = X_p$ .
- Differentiate  $(N, \frac{dp}{dt}) = 0$  along the curve.
- We find that

$$\left( \frac{dN}{dt}, \frac{dp}{dt} \right) = - \left( N, \frac{d^2p}{dt^2} \right) = -\tilde{k},$$

the curvature of the plane curve  $p(t)$ , as defined previously.

- In particular, at  $p = p(0)$ ,

$$\left( \frac{dN}{dt}, X_p \right) = - (S(X_p), X_p).$$

# Normal Curvature, Principal Curvatures and Directions

- Let again, as above,

$$X_p = \cos \theta F_1 + \sin \theta F_2.$$

- We find that  $k(\theta) = \tilde{k}$  is the curvature of the normal section determined by  $X_p$ .
- For this reason  $k(\theta)$  is called the **normal curvature** (of the section determined by  $X_p$ ).
- $k_1$  and  $k_2$ , the maximum and minimum of  $k(\theta)$ , are called **principal curvatures** at  $p$ .
- The corresponding unit vectors  $F_{1p}$ ,  $F_{2p}$  (chosen to conform to the orientation) are called **principal directions** at  $p$ .



# Using Coordinates

- To study the surface at  $p$  we choose an  $xyz$ -coordinate system in Euclidean space so that:
  - The origin is at  $p$ ;
  - $T_p(M)$  is the  $xy$ -plane;
  - The principal directions  $F_{1p}$ ,  $F_{2p}$  and unit normal  $N_p$  at  $p$  are  $\frac{\partial}{\partial x}$ ,  $\frac{\partial}{\partial y}$ ,  $\frac{\partial}{\partial z}$ , unit vectors on the  $x$ -,  $y$ -,  $z$ -axes, respectively.

- Let  $x = u$ ,  $y = v$  and

$$z = f(u, v)$$

be the (parametric) equation of the surface.

- Then we may identify the  $xy$ - and  $uv$ -planes.
- Moreover, we may assume that the parameter mapping  $\varphi^{-1}$  takes some open set  $W$  on the  $xy$ -plane onto an open set  $U$  on  $M$ .
- The conditions then imply:
  - $f(0, 0) = 0$ ;
  - $f_x(0, 0) = 0 = f_y(0, 0)$ .

## Using Coordinates (Cont'd)

- If we compute the components of the first fundamental form at  $p$ , we obtain  $E = 1 = G$  and  $F = 0$ .
- For the second fundamental form, recall that

$$\varphi^{-1} : (x, y) \rightarrow (x, y, f(x, y))$$

is the parametric representation of  $M$ .

- Thus, at  $p$ ,

$$\begin{aligned} \ell &= \left( \frac{\partial}{\partial z}, f_{xx} \frac{\partial}{\partial z} \right) = f_{xx}, \\ m &= \left( \frac{\partial}{\partial z}, f_{xy} \frac{\partial}{\partial z} \right) = f_{xy}, \\ n &= \left( \frac{\partial}{\partial z}, f_{yy} \frac{\partial}{\partial z} \right) = f_{yy}. \end{aligned}$$

- Now the fact that we have chosen coordinate axes so that  $\frac{\partial}{\partial x}$  and  $\frac{\partial}{\partial y}$  are principal directions tells us that  $m = 0$  and  $\ell = k_1$ ,  $n = k_2$ .
- Thus, at  $x = 0$ ,  $y = 0$ , we have

$$k(\theta) = f_{xx} \cos^2 \theta + f_{yy} \sin^2 \theta.$$

## Using Coordinates (Cont'd)

- Let  $f(x, y)$  be expanded in Taylor series at  $(0, 0)$ .
- Then

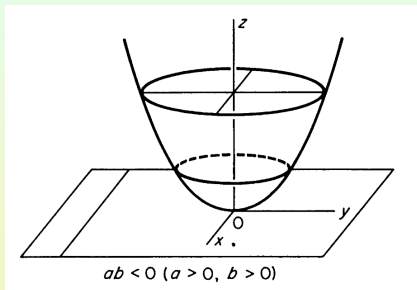
$$z = f(x, y) = f_{xx}(0, 0)x^2 + f_{yy}(0, 0)y^2 + R_2,$$

where  $R_2$  contains terms of higher order.

- Let  $f_{xx}(0, 0) = a$  and  $f_{yy}(0, 0) = b$ .
- Then we see that the normal sections of  $z = ax^2 + by^2$  have the same sectional curvatures at  $p$  as does the given surface.
- Therefore the quadric surfaces must give typical examples.

# Example

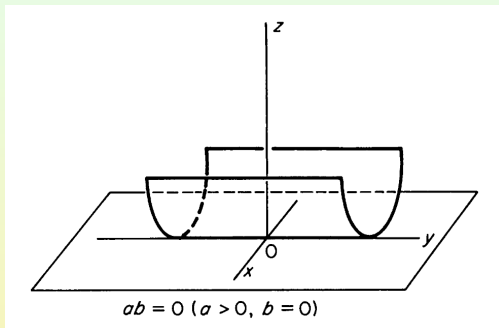
- $z = ax^2 + by^2$ ,  $ab > 0$ .



- This is an elliptic paraboloid.
- The principal curvatures are  $a$  and  $b$ .
  - If both are positive, it lies above the  $xy$ -plane;
  - If both are negative, it lies below.
- In either case when  $k_1$  and  $k_2$  have the same sign, the surface is (locally) on one side of  $T_p(M)$ .

# Example

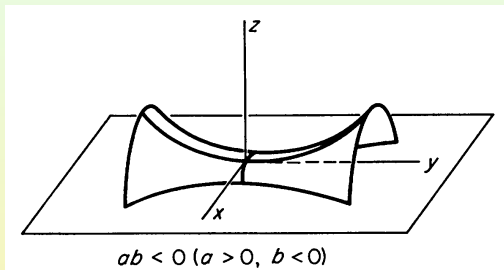
- $z = ax^2 + by^2$ ,  $ab = 0$ .



- If both are zero, we have the  $xy$ -plane as our surface;
- If one, say  $b = 0$ , then we have a parabolic cylinder which is above the  $xy$ -plane, if  $a > 0$ .

# Example

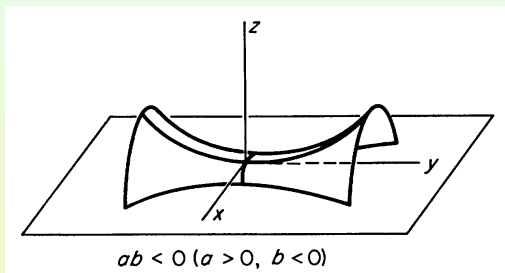
- $z = ax^2 + by^2$ ,  $ab < 0$ .



- In this case we have a hyperbolic paraboloid or saddle surface with the  $xy$ -plane tangent at the saddle.

# Example

- E.g., consider  $a = 1$  and  $b = -1$ .



- Then

$$k(\theta) = \cos^2 \theta - \sin^2 \theta.$$

- Hence  $k(\theta)$  varies from  $+1$  to  $-1$  and is zero at  $\pm\frac{\pi}{4}$ ,  $\pm\frac{3\pi}{4}$ .
- When  $k_1 > 0$  and  $k_2 < 0$ , then the surface must have points (locally) on both sides of  $T_p(M)$ .

## Subsection 2

# The Gaussian and Mean Curvatures of a Surface



# Gaussian and Mean Curvature

- The negative of the trace and determinant of any matrix of the linear transformation  $S$  are the coefficients of the characteristic polynomial of  $S$  and are important invariants.
- The determinant is the product of the characteristic values,

$$K = k_1 k_2.$$

- It is called the **Gaussian curvature** of the surface.
- The trace is the sum of the characteristic values  $k_1 + k_2$ .
- The quantity

$$H = \frac{1}{2}(k_1 + k_2)$$

is called the **mean curvature** of the surface.

- We will compute these quantities directly from the-components of the fundamental forms, using any parametrization of the surface.

# Computing the Gaussian and Mean Curvatures

## Theorem

We have

$$K = \frac{ln - m^2}{EG - F^2} \quad \text{and} \quad H = \frac{1}{2} \frac{Gl - 2Fm + En}{EG - F^2}.$$

- Consider the parametrization of  $M$  near  $p$ , i.e., on the coordinate neighborhood  $U, \varphi$ .

Let  $E_1 = X_u$  and  $E_2 = X_v$  be the corresponding coordinate frames. Suppose the components of the operator  $S$ , in terms of  $E_1, E_2$ , are

$$S(X_u) = aX_u + bX_v \quad \text{and} \quad S(X_v) = cX_u + dX_v.$$

We may write

$$K = \begin{vmatrix} a & b \\ c & d \end{vmatrix} \quad \text{and} \quad 2H = a + d.$$

# Computing the Gaussian and Mean Curvatures (Cont'd)

- Let  $\times$  be the cross product of vectors in 3-dimensional Euclidean space.

In terms of  $X_u, X_v$  we have

$$\begin{aligned} KN &= K(X_u \times X_v) = S(X_u) \times S(X_v); \\ 2HN &= 2H(X_u \times X_v) = S(X_u) \times X_v + X_u \times S(X_v). \end{aligned}$$

Note that

$$(X_u \times X_v, X_u \times X_v) = \|X_u \times X_v\|^2 = EG - F^2.$$

For any vectors  $X, Y, U, V$  of  $\mathbb{R}^3$ , we have the Lagrange identities

$$((X \times Y), (U \times V)) = \begin{vmatrix} (X, U) & (X, V) \\ (Y, U) & (Y, V) \end{vmatrix}.$$

# Computing the Gaussian and Mean Curvatures (Cont'd)

- We obtain the formula for  $K$  by taking inner products on both sides of the first equation with  $X_u \times X_v$ .

$$K(X_u \times X_v, X_u \times X_v) = (S(X_u) \times S(X_v), X_u \times X_v)$$

$$K(EG - F^2) = \begin{vmatrix} (S(X_u), X_u) & (S(X_u), X_v) \\ (S(X_v), X_u) & (S(X_v), X_v) \end{vmatrix}$$

$$K(EG - F^2) = \ell n - m^2$$

$$K = \frac{\ell n - m^2}{EG - F^2}.$$

# Computing the Gaussian and Mean Curvatures (Cont'd)

- We obtain the formula for  $H$  by taking inner products on both sides of the second equation with  $X_u \times X_v$ .

$$2H(X_u \times X_v, X_u \times X_v) = (S(X_u) \times X_v, X_u \times X_v) + (X_u \times S(X_v), X_u \times X_v)$$

$$2H(EG - F^2) = \begin{vmatrix} (S(X_u), X_u) & (S(X_u), X_v) \\ (X_v, X_u) & (X_v, X_v) \end{vmatrix} + \begin{vmatrix} (X_u, X_u) & (X_u, X_v) \\ (S(X_v), X_u) & (S(X_v), X_v) \end{vmatrix}$$

$$2H(EG - F^2) = \ell G - Fm + nE - mF$$

$$H = \frac{\ell G - 2Fm + En}{2(EG - F^2)}.$$

# The Case $K > 0$

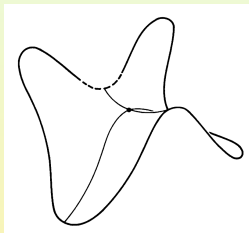
- The Gaussian curvature  $K$  is the product of the principal curvatures  $k_1$  and  $k_2$ .
- Thus,  $K > 0$  at  $p$ , if both  $k_1$  and  $k_2$  are different from zero and have the same sign.
  - If  $k_1 > 0$  and  $k_2 > 0$ , the curve of each normal section curves toward the normal.  
So the surface lies entirely on the same side of the tangent plane as the normal  $N_p$  sufficiently near the point  $p$ .
  - If  $k_1 < 0$  and  $k_2 < 0$ , each curve goes away from the normal.  
So the surface (near  $p$ ) lies entirely on the opposite side to  $N_p$ .
- Equivalently, introducing local coordinates in  $\mathbb{R}^3$ ,  $K > 0$  if and only if the function  $z = f(x, y)$  has a strict relative extremum at the point.

# The Case $K < 0$

- Suppose  $K < 0$ .
- Then  $k_1$  and  $k_2$  are different from zero and have opposite signs.
- This means that the surface is like a saddle surface.
- Some normal sections are concave toward the normal  $N$  and some concave away from it.

# The Case $K = 0$

- If  $k = 0$ , one of the principal curvatures must be zero and then little can be said.
- In addition to the plane, we have:
  - $z = (x^2 + y^2)^2$ , obtained by revolving  $z = x^4$  around the  $z$ -axis.
  - $z = x(x^2 - 3y^2)$ , the so-called **monkey saddle**.



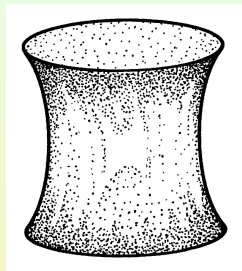
This is similar to the usual saddle surface except that there are three valleys running down from the pass.

Two for the monkey's legs and one for its tail.



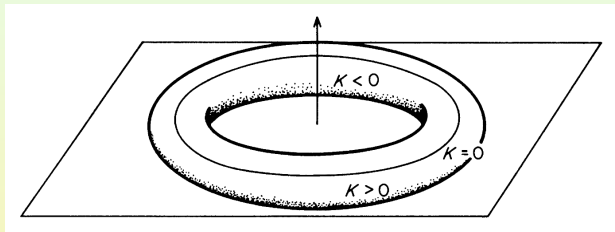
# The Case of Mean Curvature

- Surfaces for which the mean curvature vanishes are of special interest.
- They are **minimal surfaces**, like the surfaces formed by a soap film stretched over a wire frame.
- They have the defining property of being surfaces of minimal area among all surfaces with a given boundary (the wire frame).
- Thus, in a sense, they generalize the geodesics—curves of minimal length joining two fixed points.
- Like the equation of geodesics, the vanishing of the mean curvature guarantees the property of minimality only in a local sense.



# Example

- Consider a torus.
- Look at the two circles running around the torus which are the points of contact with the two parallel tangent planes orthogonal to its axis.



- We intuitively we can see that they divide the torus into:
  - An inner portion on which  $K < 0$ ;
  - An outer portion at which  $K > 0$ .
- Along the two circles  $K = 0$ , since along these circles the normal vector remains parallel to the  $z$ -axis.

## Example

- Consider a parametrization of the saddle surface  $z = xy$ ,

$$(u, v) \rightarrow (u, v, uv).$$

- Then

$$X_u = \frac{\partial}{\partial x^1} + v \frac{\partial}{\partial x^3} \quad \text{and} \quad X_v = \frac{\partial}{\partial x^2} + u \frac{\partial}{\partial x^3}.$$

- So we get

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} = \begin{pmatrix} 1 + v^2 & uv \\ uv & 1 + u^2 \end{pmatrix}.$$

- A normal to the curve is given by

$$\lambda N = (-v, -u, 1),$$

where the normalizing factor is  $\lambda = (1 + u^2 + v^2)^{1/2}$ .

- Moreover, we have

$$X_{uu} = 0 = X_{vv} \quad \text{and} \quad X_{vu} = \frac{\partial}{\partial x^3}.$$

## Example (Cont'd)

- So we obtain

$$\begin{aligned} \ell &= (N, X_{uu}) = (N, 0) = 0; \\ m &= (N, X_{vu}) = (N, \frac{\partial}{\partial x^3}) = \frac{1}{\lambda}; \\ n &= (N, X_{vv}) = (N, 0) = 0. \end{aligned}$$

- It follows that

$$\begin{pmatrix} \ell & m \\ m & n \end{pmatrix} = \begin{pmatrix} 0 & \frac{1}{\lambda} \\ \frac{1}{\lambda} & 0 \end{pmatrix}.$$

- Therefore, using the formulas, we compute

$$K = \frac{\ell n - m^2}{EG - F^2} = \frac{0 - \frac{1}{\lambda^2}}{(1+v^2)(1+u^2) - (uv)^2} = \frac{-\frac{1}{\lambda^2}}{\lambda^2} = -\frac{1}{\lambda^4};$$

$$H = \frac{\frac{1}{2}G\ell - 2Fm + En}{EG - F^2} = \frac{\frac{1}{2}(0 - 2uv\frac{1}{\lambda}) + 0}{(1+v^2)(1+u^2) - (uv)^2} = \frac{1}{2} \frac{-2uv}{(1+u^2+v^2)\lambda} = -\frac{uv}{\lambda^3}.$$

# The Theorema Egregium of Gauss

- The entire subject of differential geometry was influenced by a very profound discovery of Gauss which may be stated as follows.

## Theorem (Gauss)

Let  $M_1$  and  $M_2$  be two surfaces in Euclidean space.

Suppose that

$$F : M_1 \rightarrow M_2$$

is a diffeomorphism between them which is also an isometry.

Then the Gaussian curvature  $K$  is the same at corresponding points.

- To see the meaning of this theorem we consider some examples.

## Example

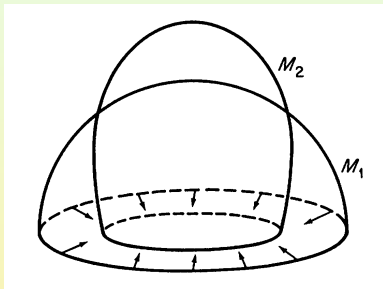
- Let  $M_1$  be a plane.
- Let  $M_2$  a right circular cylinder of radius  $R$  in Euclidean space  $\mathbb{R}^3$ .
- Suppose we roll the cylinder over the plane.
- Then we obtain a correspondence which does not change the length of curves or the angle between intersecting curves.
- Hence, it is an isometry.
- We know that  $K = 0$  for the plane.
- According to the theorem the same must be true of the cylinder.
- Note that they do not have the same second fundamental form.
- That is,  $\ell$ ,  $m$  and  $n$  do not vanish identically for the cylinder.
- In fact curvatures of the normal sections vary from zero to  $\frac{1}{R}$ .
- This depends on the imbedded shape of the surface.
- By contrast,  $K$  depends only on the Riemannian metric induced on  $M$ .

# Example

- Let  $M_1$  be any open subset of the sphere of radius  $R$ .
- Let  $M_2$  be a plane.
- We know that  $K_1 \equiv \frac{1}{R^2} \neq 0$  and  $K_2 \equiv 0$ .
- The theorem implies that there exists no diffeomorphism of  $M_1$  into  $M_2$  that is an isometry.
- For example, any plane map of a portion of the globe must distort some metric properties (distance or length of curves, angles, areas, and so on).

# Example

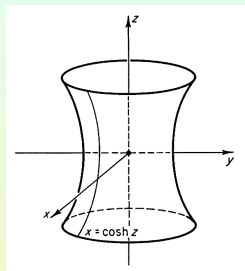
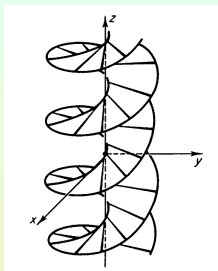
- There do exist surfaces isometric to, but not congruent to, say, the upper hemisphere.
- Suppose this hemisphere to be made of a thin sheet of brass.
- It is intuitively clear that we may bend it by squeezing at the edge without introducing any creases.
- This will give a surface isometric to the original since length of curves is unchanged.
- It follows that  $K$  is the same at corresponding points.
- However, the surfaces are not congruent.





# Example

- Among the more interesting examples of (locally) isometric surfaces are the **helicoid** and the **catenoid**.



- The first surface is given parametrically by

$$(u, v) \rightarrow (u \cos v, u \sin v, v), \quad u > 0, \quad -\infty < v < \infty.$$

It is similar in shape to a spiral staircase.

- The catenoid is obtained by revolving the catenary  $x = \cosh z$  around the  $z$ -axis. We may parametrize it as

$$(z, \theta) \rightarrow (\cos \theta \cosh z, \sin \theta \cosh z, z), \quad -\infty < z < \infty, \quad 0 < \theta < 2\pi.$$

- The isometry between these surfaces is given by  $v = \theta, u = \sinh z$ .

# Proof of Gauss' Theorem

- Recall that, at a point  $p \in M$ , the value of the Gaussian curvature  $K$  is given by

$$K = \frac{\ell n - m^2}{EG - F^2},$$

where  $E, F, G$  and  $\ell, m, n$  are the components of the first and second fundamental forms, respectively, relative to a system of local coordinates  $u, v$  in a neighborhood  $U$  of  $p$ .

The value of the ratio  $K$  is independent of the coordinates chosen although  $E, F, G$  and  $\ell, m, n$  are not.

Suppose the surface in  $\mathbb{R}^3$  is given by

$$X = X(u, v).$$

Then

$$E_1 = X_u \quad \text{and} \quad E_2 = X_v.$$

# Proof of Gauss' Theorem (Cont'd)

- We have seen that

$$\ell n - m^2 = \left( \frac{\partial N}{\partial u}, E_1 \right) \left( \frac{\partial N}{\partial v}, E_2 \right) - \left( \frac{\partial N}{\partial u}, E_2 \right) \left( \frac{\partial N}{\partial v}, E_1 \right).$$

We also have

$$E = (E_1, E_1), \quad F = (E_1, E_2), \quad G = (E_2, E_2).$$

Thus, we obtain

$$EG - F^2 = (E_1, E_1)(E_2, E_2) - (E_1, E_2)^2.$$

But  $E, F, G$  are the coefficients of the Riemannian metric.

So it is enough to show that

$$\ell n - m^2 = K(EG - F^2)$$

depends only on the Riemannian metric.

# Proof of Gauss' Theorem (Cont'd)

- We shall show that

$$\ell n - m^2 = R(E_1, E_2, E_2, E_1),$$

where  $R(X, Y, Z, W)$  is the covariant tensor of order 4 defined previously.

Then  $K$  is given by

$$K = \frac{R(E_1, E_2, E_2, E_1)}{EG - F^2} = \frac{R(E_1, E_2, E_2, E_1)}{(E_1, E_1)(E_2, E_2) - (E_1, E_2)^2}.$$

The left side is independent of local coordinates.

Thus, the right side is also.

In fact, it can be shown that replacing  $E_1, E_2$  at a point by any pair of vectors  $F_1, F_2$ , spanning the same plane, leaves unchanged the expression on the right.

We shall prove that expression gives  $K$ .

## Proof of Gauss' Theorem (Cont'd)

- This implies that the expression, defined at each point of an imbedded surface  $M$ , is independent of local coordinates on  $M$ , and, moreover, it depends only on the Riemannian metric.

Clearly this is true of the denominator.

We recall that, by definition,

$$(R(E_1, E_2) \cdot E_2, E_1) = (\nabla_{E_1} \nabla_{E_2} E_2 - \nabla_{E_2} \nabla_{E_1} E_2 - \nabla_{[E_1, E_2]} E_2, E_1).$$

This depends only on the Riemannian metric by the Fundamental Theorem of Riemannian Geometry.

In the present case,  $E_1$  and  $E_2$  denote coordinate frames of local coordinates  $u, v$  and we know that  $[E_1, E_2] = 0$ .

So we must show only that

$$\ell n - m^2 = (\nabla_{E_1} \nabla_{E_2} E_2 - \nabla_{E_2} \nabla_{E_1} E_2, E_1).$$

We may compute the right-hand side using the definition of  $\nabla_{E_i} Z$ ,  $i = 1, 2$  (for any tangent vector field  $Z$ ).

# Proof of Gauss' Theorem (Cont'd)

- Take  $\frac{\partial Z}{\partial u}$  and  $\frac{\partial Z}{\partial v}$ .

Project them to the tangent plane at each point of the surface to obtain  $\frac{DZ}{\partial u} = \nabla_{E_1} Z$  and  $\frac{DZ}{\partial v} = \nabla_{E_2} Z$ .

If  $N$  denotes the unit normal, and  $E_1 = X_u$  and  $E_2 = X_v$ , then we get

$$\nabla_{E_1} E_2 = X_{uv} - (N, X_{uv})N, \quad \nabla_{E_2} E_2 = X_{vv} - (N, X_{vv})N.$$

Differentiate again and project onto the tangent plane (by subtracting the normal component of the derivative).

This gives

$$\begin{aligned} \nabla_{E_2}(\nabla_{E_1} E_2) &= X_{vuv} - (N, X_{uv})N_v - c_1 N; \\ \nabla_{E_1}(\nabla_{E_2} E_2) &= X_{uvv} - (N, X_{vv})N_u - c_2 N. \end{aligned}$$

We next take an inner product of each term above with  $E_1$ .

As  $(N, E_1) = 0$ , the terms involving  $c_1$  and  $c_2$  multiplying  $N$  vanish.

So there is not need to compute  $c_1$  or  $c_2$ .

# Proof of Gauss' Theorem (Cont'd)

- For  $R(E_1, E_2, E_2, E_1)$  we obtain

$$(\nabla_{E_1} \nabla_{E_2} E_2 - \nabla_{E_2} \nabla_{E_1} E_2, E_1) = (X_{uvv}, X_u) - (N, X_{vv})(N_u, N_u) - (X_{vuv}, X_u) + (N, X_{uv})(N_v, X_u).$$

This must be seen to be equal to the earlier evaluation of  $\ell n - m^2$  above, namely,

$$\ell n - m^2 = (N_u, X_u)(N_v, X_v) - (N_u, X_v)(N_v, X_u).$$

The proof is finished by noting that:

- $X_{vuv} = X_{uvv}$ ;
- Since  $(N, X_u) = 0 = (N, X_v)$ , we have

$$(N, X_{vv}) = -(N_v, X_v) \quad \text{and} \quad (N, X_{uv}) = -(N_u, X_v).$$

## Subsection 3

# Basic Properties of the Riemann Curvature Tensor



# Review of Curvature of Riemannian Manifold

- We have defined previously the curvature tensor  $R(X, Y, Z, W)$  of a Riemannian manifold  $M$ .
- Recall that it is a covariant tensor field of order 4 whose value at any point  $p \in M$  is determined as follows.
- Let  $X, Y, Z, W$  be vector fields whose values at  $p$  are given, say  $X_p, Y_p, Z_p, W_p$ .
- Then

$$R(X_p, Y_p, Z_p, W_p) = (\nabla_{X_p} \nabla_{Y_p} Z - \nabla_{Y_p} \nabla_{X_p} Z - \nabla_{[X, Y]_p} Z, W_p).$$

- We have shown that this is independent of the vector fields chosen.
- Moreover, it defines a  $C^\infty$  covariant tensor field.

# The Curvature Operator

- Similarly, the vector fields  $X, Y$  define at each  $p \in M$  a linear operator, the curvature operator,  $R(X_p, Y_p)$  on  $T_p(M)$  by the prescription

$$R(X_p, Y_p) \cdot Z_p = \nabla_{X_p} \nabla_{Y_p} Z - \nabla_{Y_p} \nabla_{X_p} Z - \nabla_{[X, Y]_p} Z_p.$$

- It is, like the curvature tensor, linear in  $X, Y, Z$  in the sense of a  $C^\infty(M)$  module.
- That is, if  $f \in C^\infty(M)$ , then

$$fR(X, Y) \cdot Z = R(fX, Y) \cdot Z = R(X, fY) \cdot Z = R(X, Y) \cdot fZ.$$

- Obviously the curvature tensor and the curvature operator are related by the equality

$$R(X, Y, Z, W) = (R(X, Y) \cdot Z, W).$$

# Symmetry Relations

## Theorem

The following symmetry relations hold for the curvature tensor and curvature operator at each point, and hence for all vector fields.

- (i)  $R(X, Y) \cdot Z + R(Y, X) \cdot Z = 0$ ;
- (ii)  $R(X, Y) \cdot Z + R(Y, Z) \cdot X + R(Z, X) \cdot Y = 0$ ;
- (iii)  $(R(X, Y) \cdot Z, W) + (R(X, Y) \cdot W, Z) = 0$ ;
- (iv)  $(R(X, Y) \cdot Z, W) = (R(Z, W) \cdot X, Y)$ .

(i) We have

$$\begin{aligned}
 & R(X, Y) \cdot Z + R(Y, X) \cdot Z \\
 &= \nabla_{X_p} \nabla_{Y_p} Z - \nabla_{Y_p} \nabla_{X_p} Z - \nabla_{[X, Y]_p} Z_p \\
 &\quad + \nabla_{Y_p} \nabla_{X_p} Z - \nabla_{X_p} \nabla_{Y_p} Z - \nabla_{[Y, X]_p} Z_p \\
 &= -\nabla_{[X, Y]_p} Z_p + \nabla_{[X, Y]_p} Z_p = 0.
 \end{aligned}$$

## Symmetry Relations (Cont'd)

(ii)  $R(X, Y, Z, W)$  is a tensor.

So it is linear with respect to  $C^\infty$  functions.

This implies that it suffices to prove the statements for the vectors of a field of coordinate frames, say  $E_1, \dots, E_n$ .

For these vector fields the Lie products  $[E_i, E_j] = 0$ .

So if  $X, Y, Z$  are chosen from among  $E_1, \dots, E_n$ , then proving Property (ii) reduces to showing that

$$\begin{aligned} \nabla_X(\nabla_Y Z) - \nabla_Y(\nabla_X Z) + \nabla_Y(\nabla_Z X) - \nabla_Z(\nabla_Y X) \\ + \nabla_Z(\nabla_X Y) - \nabla_X(\nabla_Z Y) = 0. \end{aligned}$$

By definition of Riemannian connection,

$$\nabla_X Y - \nabla_Y X = [X, Y] = 0.$$

Using this, we find that the terms on the left cancel two by two.

## Symmetry Relations (Cont'd)

(iii) Note that, for all  $X, Y, Z, W$ ,

$$\begin{aligned} (R(X, Y) \cdot (Z + W), Z + W) \\ = (R(X, Y) \cdot Z, Z) + (R(X, Y) \cdot Z, W) \\ + (R(X, Y) \cdot W, Z) + (R(X, Y) \cdot W, W). \end{aligned}$$

So, Property (iii) is equivalent to the statement that, for all  $X, Y, Z$ ,

$$(R(X, Y) \cdot Z, Z) = 0.$$

As before, it is enough to prove this for  $X, Y, Z$  chosen from among the vectors of the coordinate frames so that  $[X, Y] = 0$ .

Applying the definitions, we see that

$$(R(X, Y) \cdot Z, Z) = (\nabla_X(\nabla_Y Z) - \nabla_Y(\nabla_X Z), Z) = 0$$

if and only if  $(\nabla_X(\nabla_Y Z), Z)$  is symmetric in  $X, Y$ .

## Symmetry Relations (Cont'd)

- Differentiating the inner product  $(Z, Z)$  with respect to  $X$  and  $Y$ , we get

$$Y(X(Z, Z)) = 2Y(\nabla_X Z, Z) = 2(\nabla_Y(\nabla_X Z), Z) + 2(\nabla_X Z, \nabla_Y Z).$$

It now follows that

$$(\nabla_Y(\nabla_X Z), Z) = \frac{1}{2} YX(Z, Z) - (\nabla_X Z, \nabla_Y Z).$$

But  $[X, Y] = 0$ .

So  $(XY - YX)f \equiv 0$ , for any function  $f$ .

Taking  $f = (Z, Z)$ , we see that the right side is symmetric in  $X, Y$ .

Therefore, so is the left side.

## Symmetry Relations (Cont'd)

(iv) Property (iv) derived from the first three properties.

By Property (ii), we have

$$(R(X, Y) \cdot Z, W) + (R(Y, Z) \cdot X, W) + (R(Z, X) \cdot Y, W) = 0.$$

Then, using Properties (i)-(iii) we obtain the relation

$$(R(X, Y) \cdot Z, W) + (R(Y, W) \cdot Z, X) + (R(X, W) \cdot Y, Z) = 0.$$

E.g., applying Property (ii), we get

$$(R(X, Y) \cdot W, Z) + (R(Y, W) \cdot X, Z) + (R(W, X) \cdot Y, Z) = 0.$$

Then, multiplying by -1 and using Property (i), we get

$$-(R(X, Y) \cdot W, Z) - (R(Y, W) \cdot X, Z) + (R(W, X) \cdot Y, Z) = 0.$$

Finally, using Property (iii), we get

$$(R(X, Y) \cdot Z, W) + (R(Y, W) \cdot Z, X) + (R(X, W) \cdot Y, Z) = 0.$$

## Symmetry Relations (Cont'd)

- We got the equations

$$\begin{aligned}(R(X, Y) \cdot Z, W) + (R(Y, Z) \cdot X, W) + (R(Z, X) \cdot Y, W) &= 0, \\ (R(X, Y) \cdot Z, W) + (R(Y, W) \cdot Z, X) + (R(X, W) \cdot Y, Z) &= 0.\end{aligned}$$

In a similar way, we obtain two more equations

$$\begin{aligned}(R(Y, Z) \cdot X, W) + (R(Y, W) \cdot Z, X) + (R(Z, W) \cdot X, Y) &= 0, \\ (R(Z, W) \cdot X, Y) + (R(Z, X) \cdot Y, W) + (R(X, W) \cdot Y, Z) &= 0.\end{aligned}$$

Now add the first two and subtract the last two to get

$$2(R(X, Y) \cdot Z, W) - 2(R(Z, W) \cdot X, Y) = 0.$$

This finally gives

$$(R(X, Y) \cdot Z, W) = (R(Z, W) \cdot X, Y).$$



# Component Functions

- In any coordinate neighborhood  $U, \varphi$  we have coordinate frames  $E_1, \dots, E_n$ .
- We may introduce  $n^4$  functions of the coordinates  $R_{ikl}^j$ ,  $1 \leq i, j, k, \ell \leq n$  by the equations

$$R(E_k, E_\ell) \cdot E_i = \sum_j R_{ikl}^j E_j.$$

- Similarly we may define the components  $R_{ijkl}$  of the Riemannian curvature tensor by the equations

$$R_{ijkl} = (R(E_k, E_\ell) \cdot E_i, E_j) = \sum_h R_{ikl}^h g_{hj},$$

where  $g_{ij} = (E_i, E_j)$  are the components of the Riemannian metric.

- By linearity both  $R(X, Y) \cdot Z$  and  $(R(X, Y) \cdot Z, W)$  are determined on  $U$  by these locally defined functions.

# Using Components

- The preceding theorem may be written in terms of components.

## Corollary

For all  $1 \leq i, j, k, \ell \leq n$  we have:

- (i)  $R_{ik\ell}^j + R_{i\ell k}^j = 0$ ;
- (ii)  $R_{ik\ell}^j + R_{k\ell i}^j + R_{\ell j k}^i = 0$ ;
- (iii)  $R_{ijk\ell} + R_{jik\ell} = 0$ ;
- (iv)  $R_{ijk\ell} = R_{k\ell ij}$ ;
- (v)  $R_{ijk\ell} + R_{ik\ell j} + R_{i\ell j k} = 0$ .

- We remark that Property (v) is an immediate consequence of  $R_{ijk\ell} = \sum_h R_{ik\ell}^h g_{hj}$ , the symmetry of  $g_{ij}$  and Properties (ii) and (iii).

# Sectional Curvature

- The Riemann curvature tensor  $(R(X, Y) \cdot Z, W)$  is used to define the sectional curvature, which plays an important role in the geometry of Riemannian manifolds.
- At any  $p \in M$  we denote by  $\pi$  a **plane section**, that is, a two-dimensional subspace of  $T_p(M)$ .
- Such a section is determined by any pair of mutually orthogonal unit vectors  $X, Y$  at  $p$ .

## Definition

The **sectional curvature**  $K(\pi)$  of the section  $\pi$  with orthonormal basis  $X, Y$  is defined as

$$K(\pi) = -R(X, Y, X, Y) = -(R(X, Y) \cdot X, Y).$$

# Changing Coordinate Vectors

- Symmetry and linearity yield the following property.
- Suppose  $X, Y$  are replaced by any pair of vectors  $X', Y'$ , with

$$X = \alpha X' + \beta Y' \quad \text{and} \quad Y = \gamma X' + \delta Y'.$$

- Then, we get

$$\frac{1}{\Delta^2} (R(X', Y') \cdot X', Y') = (R(X, Y) \cdot X, Y),$$

where  $\Delta = \alpha\delta - \beta\gamma$  is the determinant of coefficients.

- If  $X', Y'$  is also an orthonormal pair, then  $\Delta = \pm 1$ .
- So the definition of  $K(\pi)$  is independent of the pair used.
- If it is just any arbitrary linearly independent pair, then using  $\Delta^2 = (X', X')(Y', Y') - (X', Y')^2$ , we have

$$K(\pi) = -\frac{(R(X', Y') \cdot X', Y')}{(X', X')(Y', Y') - (X', Y')^2}.$$

# Changing Coordinate Vectors (Cont'd)

- Consider local coordinates.
- We saw that

$$K(\pi) = -\frac{(R(X', Y') \cdot X', Y')}{(X', X')(Y', Y') - (X', Y')^2}.$$

- Assume that  $X' = \sum_i \alpha^i E_i$ ,  $Y' = \sum_j \beta^j E_j$ .
- Use  $(E_i, E_j) = g_{ij}$ .
- Then, with the notation above, concerning  $R_{ijkl}$ , we obtain

$$K(\pi) = -\frac{\sum R_{ijkl} \alpha^i \beta^j \alpha^k \beta^l}{\sum (g_{ik} g_{jl} - g_{il} g_{jk}) \alpha^i \beta^j \alpha^k \beta^l},$$

where summation is over  $i, j, k, l$ .

# Curvature from Sectional Curvatures

## Theorem

If  $\dim M \geq 3$  and the sectional curvature is known on all sections of  $T_p(M)$ , then the Riemann curvature tensor is uniquely determined at  $p$ .

- Let  $R(X, Y, Z, W)$  and  $\tilde{R}(X, Y, Z, W)$  be two tensors with the symmetry properties of the preceding theorem.

Let  $A(X, Y, Z, W)$  be their difference.

It is also be a tensor with these symmetry properties.

Our assumption is that for all  $X, Y$ ,  $R(X, Y, X, Y) = \tilde{R}(X, Y, X, Y)$ .

Equivalently, for all  $X, Y$ ,  $A(X, Y, X, Y) = 0$ .

We must show that this implies that  $A = 0$ , i.e., that, for all  $X, Y, Z, W$ ,

$$A(X, Y, Z, W) = 0.$$

# Curvature from Sectional Curvatures (Cont'd)

- Let  $p \in M$  and  $F_1, \dots, F_n$  be a frame or basis of  $T_p(M)$ .

We denote by  $A_{ijkl}$  the components of  $A$ .

Let  $\alpha^i, \beta^j$  be the components of vectors  $X, Y$  relative to this basis.

Then by hypothesis, for any  $\alpha^1, \dots, \alpha^n$  and  $\beta^1, \dots, \beta^n$ ,

$$\sum_{i,j,k,\ell} A_{ijkl} \alpha^i \beta^j \alpha^k \beta^\ell = 0.$$

We make specific choices for the  $\alpha^i$  and  $\beta^j$ .

Let  $\delta_{ij}$  denote the Kronecker  $\delta$ , that is,

$$\delta_{ij} = \begin{cases} 1, & \text{if } i = j, \\ 0, & \text{if } i \neq j. \end{cases}$$

# Curvature from Sectional Curvatures (Cont'd)

- First, set  $\alpha^i = \delta_{i_0 i}$  and  $\beta^j = \delta_{j_0 j}$ .

The equation above gives

$$A_{i_0 j_0 i_0 j_0} = 0, \quad \text{for all } 1 \leq i_0, j_0 \leq n.$$

Next, set  $\alpha^i = \delta_{i_0 i}$  and  $\beta^{j_0} = \beta^{k_0} = 1$  and  $\beta^j = 0$ , for all other  $j$ .

Then by Property (iv) of the corollary we have

$$A_{i_0 j_0 i_0 k_0} = 0.$$

Finally, let both  $\alpha^i$  and  $\beta^j$  vanish except at two values of  $i$  and two of  $j$  at which it has the value 1.

Then, using Property (ii) and the results just established, we obtain

$$0 = A_{ijkl} + A_{kjli} + A_{ilkj} + A_{klji} = 2A_{ijkl} + 2A_{ilkj} = -2A_{iklj}.$$

Thus,  $A_{ijkl} = 0$  for all  $1 \leq i, j, k, \ell \leq m$ .



# Isotropic Manifolds

- Let  $M$  be a Riemannian manifold.
- Let  $p$  be a point in  $M$ .
- We say  $M$  is **isotropic at**  $p$  if the curvature is the same constant  $K_p$  on every section at  $p$ .
- $M$  is called **isotropic** if it is isotropic at every point.
- A two-dimensional Riemannian manifold is (trivially) isotropic.

# Components of Curvature Tensor for Isotropic Manifolds

## Corollary

Let  $M$  be a Riemannian manifold. Suppose  $p$  is an isotropic point of  $M$ . Let  $U, \varphi$  be a coordinate neighborhood with:

- Coordinate frames  $E_1, \dots, E_n$ ;
- Riemannian metric  $g_{ij} = (E_i, E_j)$ .

Then, at the point  $p$ ,

$$R_{ijkl} = -K_p(g_{ik}g_{jl} - g_{il}g_{jk}).$$

- One may check that the right side defines a tensor of order 4 on  $T_p(M)$  with the same symmetry properties as  $R(X, Y, Z, W)$  and with constant value on all sections.

The corollary then follows from the uniqueness theorem.

# Manifolds of Constant Curvature

## Definition

An isotropic Riemannian manifold is called a manifold of **constant curvature** if  $K_p$  is the same at every point.

- An example is Euclidean space where  $K_p \equiv 0$ .

# The Ricci Curvature

- Let  $M$  be a Riemannian manifold.
- Let  $R(X, Y, Z, W)$  denote the curvature tensor on  $M$ .
- We use this curvature tensor to define:
  - A (covariant) tensor field  $S(X, Y)$  of order 2;
  - A (scalar) function on  $M$ .
- Let  $p \in M$  and let  $F_{1p}, \dots, F_{np}$  be an orthonormal basis at  $p$ .
- Consider the operator

$$S_p(X_p, Y_p) = \sum_{i=1}^n R(F_{ip}, X_p, Y_p, F_{ip}) = \sum_{i=1}^n (R(F_{ip}, X_p) \cdot Y_p, F_{ip}).$$

- We may verify that  $S_p$ :
  - Is independent of the choice of orthonormal basis;
  - Defines a symmetric,  $C^\infty$  covariant tensor field  $S$  on  $M$ .

# The Ricci Curvature (Cont'd)

## Definition

The tensor field  $S(X, Y)$  is called the **Ricci curvature** of  $M$ .

$M$  is called an **Einstein manifold** if there is a constant  $c$ , such that

$$S(X, Y) = c(X, Y),$$

that is,  $S(X, Y)$  is a constant multiple of the Riemannian metric on  $M$ .

The function  $r$  on  $M$ , defined by

$$r(p) = \sum_{i,j=1}^n R(F_{ip}, F_{jp}, F_{jp}, F_{ip}) = \sum_{j=1}^n S(F_{jp}, F_{jp})$$

is called the **scalar curvature** of  $M$ .

- Spaces of constant curvature are examples of Einstein manifolds.

# Sectional Curvature in Lie Groups

## Theorem

Let  $G$  be a compact Lie group with a bi-invariant Riemannian metric. On  $G$ , the sectional curvatures at  $e$  (hence everywhere) are given by

$$K(\pi_e) = -R(X_e, Y_e, X_e, Y_e) = +\frac{1}{4}([X, Y], [X, Y]),$$

where  $X, Y$  are an orthonormal pair of left-invariant vector fields spanning the section  $\pi_e$  at  $e$ . The curvature operator is similarly given at  $e$ , hence at all points by

$$R(X, Y) \cdot Z = -\frac{1}{4}[[X, Y], Z]$$

with  $X, Y, Z$  left-invariant vector fields.

- We have seen that for left-invariant vector fields  $X, Y$ , the connection of a bi-invariant metric on  $G$  given by  $\nabla_X Y = \frac{1}{2}[X, Y]$ .

## Sectional Curvature in Lie Groups (Cont'd)

- Applying first the definition and then the Jacobi identity, we obtain

$$\begin{aligned}
 R(X, Y) \cdot Z &= \nabla_X(\nabla_Y Z) - \nabla_Y(\nabla_X Z) - \nabla_{[X, Y]} Z \\
 &= \frac{1}{4}[X, [Y, Z]] - \frac{1}{4}[Y, [X, Z]] - \frac{1}{2}[[X, Y], Z] \\
 &= \frac{1}{4}[Z, [X, Y]] \\
 &= -\frac{1}{4}[[X, Y], Z].
 \end{aligned}$$

We also know that, for left-invariant vector fields  $U, V, W$  on  $G$ ,

$$([U, V], W) = (U, [V, W]).$$

Thus, if  $X, Y$  are left-invariant and are an orthonormal basis at  $e$  of  $\pi$ , a plane section, the sectional curvature is

$$K(\pi) = -R(X, Y, X, Y) = \frac{1}{4}([X, Y], X), Y) = \frac{1}{4}([X, Y], [X, Y]).$$

# Ricci Tensor Formula

## Corollary

Let  $G$  be a compact Lie group with a bi-invariant Riemannian metric. Let  $X, Y, Z$  be left-invariant vector fields. Then the Ricci tensor  $S(X, Y)$  is given by the formula

$$S(X, Y) = -\frac{1}{4}\text{tr}(\text{ad}X \circ \text{ad}Y).$$

Moreover, it is positive semi-definite and bi-invariant on  $G$ . Each compact semisimple  $G$  is an Einstein manifold relative to any bi-invariant Riemannian metric.

- By the formula, the linear operator  $Z \rightarrow R(Z, Y) \cdot X$  on  $G$  is defined at  $e$  for the left-invariant vector field by

$$R(Z, Y) \cdot X = -\frac{1}{4}(\text{ad}X)(\text{ad}Y) \cdot Z.$$



# Ricci Tensor Formula (Cont'd)

- It can be shown that an alternative definition of  $S(X, Y)$  is that it is the trace of the linear operator

$$\begin{aligned} T_p(M) &\rightarrow R_p(M) \\ Z_p &\mapsto R(Z_p, X_p) \cdot Y_p \end{aligned}$$

on the tangent space at each point.

We also have

$$S(X, Y) = S(Y, X).$$

Now, for all  $Z$ ,

$$R(Z, Y) \cdot X = -\frac{1}{4}[X, [Y, Z]].$$

So we get

$$S(X, Y) = -\frac{1}{4}(\text{ad}X)(\text{ad}Y) \cdot Z.$$

## Ricci Tensor Formula (Cont'd)

- On the other hand, suppose  $F_1, \dots, F_n$  is an orthonormal basis of left-invariant vector fields.

Then we have

$$(\text{ad}X \cdot F_i, F_j) = ([X, F_i], F_j) = (F_i, [X, F_j]) = (F_i, \text{ad}X \cdot F_j).$$

So the matrix  $(a_{ij})$  of  $\text{ad}X$ , relative to this basis, is skew symmetric. Hence,

$$\text{tr ad}X = \sum_{i,j} a_{ij} a_{ji} = - \sum_{i,j} a_{ij}^2.$$

It follows that

$$S(X, X) = - \text{tr ad}X = \sum_{i,j} a_{ij}^2 \geq 0.$$

Equality holds only when  $\text{ad}X = 0$ .

Hence,  $S(X, Y)$  is positive semidefinite.

# Ricci Tensor Formula (Cont'd)

- Moreover, if  $G$  is semisimple, it is positive definite.

Now, if  $X, Y, Z$  are left-invariant, so is  $R(Z, Y) \cdot X$ .

The same holds for its trace  $S(X, Y)$ .

This means that  $S(X, Y)$  is a bi-invariant Riemannian metric on a semisimple  $G$ .

However two bi-invariant metrics can differ only by a scalar multiple.

It follows that, with a bi-invariant metric,  $G$  is Einstein.

## Subsection 4

# The Curvature Forms and the Equations of Structure

# Coframes

- Let  $U$  be a neighborhood on the Riemannian manifold  $M$ .
- Suppose on  $U$  is defined a  $C^\infty$  family of coframes

$$\theta^1, \dots, \theta^n.$$

- Thus, automatically, we also have a dual  $C^\infty$  family of frames

$$E_1, \dots, E_n.$$

- They may or may not be coordinate frames of a coordinate neighborhood  $U, \varphi$ .
- The components of the Riemann metric on  $U$  are still denoted by

$$g_{ij} = (E_i, E_j).$$

# Properties of Coframes

- According to a previous theorem, there exist uniquely determined one-forms  $\theta_i^j$  on  $U$  satisfying:

$$(i) \quad d\theta^i = \sum_j \theta^j \wedge \theta_j^i, \quad 1 \leq i \leq n;$$

$$(ii) \quad dg_{ij} = \sum_k \theta_i^k g_{kj} + \sum_k g_{ik} \theta_j^k, \quad 1 \leq i, j \leq n.$$

- Define

$$\theta_{ij} = \sum_k \theta_i^k g_{kj}.$$

- Then Equations (ii) assume the simpler form

$$dg_{ij} = \theta_{ij} + \theta_{ji}.$$

- In the special case where the frames are orthonormal, that is,  $g_{ij} = \delta_{ij}$ , we will use  $\omega^i, \omega_j^i$  instead of  $\theta^i, \theta_j^i$ .
- Then Equations (ii) become

$$0 = \omega_j^i + \omega_i^j, \quad 1 \leq i, j \leq n.$$

# Connection Forms

- The forms  $\theta_i^j$  determine, and are determined by the Riemannian connection.
- Thus if  $\nabla_{E_i} E_j = \sum_k \Gamma_{ij}^k$ , then

$$\theta_i^j = \sum_k \Gamma_{ki}^j \theta^k.$$

- Equivalently,

$$\nabla_X E^j = \sum_k \theta_j^k(X) E_k.$$

- The one-forms  $\theta_j^k$ ,  $1 \leq j, k \leq n$ , are called the **connection forms**.
- We have that  $\Gamma_{ij}^k = \Gamma_{ji}^k$  only if  $E_1, \dots, E_n$  satisfy  $[E_i, E_j] = 0$ , as is the case for *coordinate frames*.
- This symmetry was derived from  $\nabla_{E_i} E_j - \nabla_{E_j} E_i = [E_i, E_j]$ , which we have made part of the definition of Riemannian connection.
- $\nabla_{E_i} E_j - \nabla_{E_j} E_i = [E_i, E_j]$  is equivalent to Equations (i).

# Curvature Forms

- Now suppose that  $R_{ikl}^j$ ,  $1 \leq i, j, k, \ell \leq n$ , are the components of the curvature (as an endomorphism) relative to the given frames, i.e.,

$$R(E_k, E_\ell) \cdot E_i = \sum_j R_{ikl}^j E_j.$$

- Then we define  $n^2$  two-forms  $\Omega_i^j$ ,  $1 \leq i, j \leq n$ , by

$$\Omega_i^j = \sum_{1 \leq k < \ell \leq n} R_{ikl}^j \theta^k \wedge \theta^\ell = \frac{1}{2} \sum_{k, \ell=1}^n R_{ikl}^j \theta^k \wedge \theta^\ell.$$

- It follows that

$$\sum_{j=1}^n \Omega_i^j(E_k, E_\ell) E_j = \sum_{j=1}^n R_{ikl}^j E_j = R(E_k, E_\ell) \cdot E_i.$$



# Curvature Forms (Cont'd)

- By linearity this extends to any vector fields  $X, Y$  so that

$$R(X, Y) \cdot E_i = \sum_j \Omega_i^j(X, Y) E_j.$$

- Thus,  $(\Omega_i^j(X, Y))$  is the matrix of the curvature operator relative to the basis  $E_1, \dots, E_n$ .
- Note that the properties of  $R(X, Y) \cdot Z$  imply that  $\Omega_i^j(X, Y)$  at  $p$  depend only on the values of  $X$  and  $Y$  at  $p$ , not on the vector fields.
- Obviously,  $\Omega_i^j(X, Y) = -\Omega_i^j(Y, X)$ .
- These  $n^2$  forms  $\Omega_i^j$  on  $U_j$  are called the **curvature forms**.
- They depend on the Riemannian metric and on the particular frame-field we use on  $U$ .

# Curvature Forms and Connection Forms

## Theorem

Using the notation above, the forms  $\Omega_i^j$  on  $U$  are defined by the equations

$$\Omega_i^j = d\theta_i^j - \sum_{k=1}^n \theta_i^k \wedge \theta_k^j, \quad 1 \leq i, j \leq n.$$

- It is sufficient to verify that, on any vector fields  $X, Y$  on  $U$ , the value of the two-forms on each side of the equation is the same.

This is equivalent to showing that

$$R(X, Y) \cdot E_i = \sum_j \left( \left( d\theta_i^j - \sum_k \theta_i^k \wedge \theta_k^j \right) (X, Y) \right) E_j, \quad i = 1, \dots, n.$$

# Curvature Forms and Connection Forms (Cont'd)

- By definition,

$$R(X, Y) \cdot E_i = \nabla_X(\nabla_Y E_i) - \nabla_Y(\nabla_X E_i) - \nabla_{[X, Y]} E_i.$$

This may be rewritten

$$R(X, Y) \cdot E_i = \nabla_X \left( \sum_j \theta_i^j(Y) E_j \right) - \nabla_Y \left( \sum_j \theta_i^j(X) E_j \right) - \sum_j \theta_i^j([X, Y]) E_j.$$

Since  $\theta_i^j(Y)$  and  $\theta_i^j(X)$  are functions, the right-hand side is equal to

$$\begin{aligned} & \sum_j (X(\theta_i^j(Y)) - Y(\theta_i^j(X)) - \theta_i^j([X, Y])) E_j \\ & + \sum_{j,k} \theta_i^j(Y) \theta_j^k(X) E_k - \sum_{j,k} \theta_i^j(X) \theta_j^k(Y) E_k. \end{aligned}$$

# Curvature Forms and Connection Forms (Cont'd)

We got

$$R(X, Y) \cdot E_i = \sum_j (X(\theta_i^j(Y)) - Y(\theta_i^j(X)) - \theta_i^j([X, Y]))E_j \\ + \sum_{j,k} \theta_i^j(Y)\theta_j^k(X)E_k - \sum_{j,k} \theta_i^j(X)\theta_j^k(Y)E_k.$$

Applying a previous lemma, we get that the right side equals

$$\sum_j \left\{ d\theta_i^j(X, Y) - \sum_k \left[ \theta_i^k(X)\theta_k^j(Y) - \theta_i^k(Y)\theta_k^j(X) \right] \right\} E_j.$$

This proves that

$$R(X, Y) \cdot E_j = \sum_j \left( d\theta_i^j - \sum_k \theta_i^k \wedge \theta_k^j \right) (X, Y) E_j.$$

# Summary: Equations of Structure

- Let  $U$  be any open subset of a Riemannian manifold  $M$  on which is defined a field of coframes  $\theta^1, \dots, \theta^n$ .
- Let  $E_1, \dots, E_n$  denote the uniquely determined dual frame-field.
- Let  $g_{ij} = (E_i, E_j)$  on  $U$ .
- Then there exist  $n^2$  uniquely determined one-forms  $\theta_i^j$  on  $U$  satisfying Equations (i) and (ii):
  - (i)  $d\theta^i = \sum_j \theta^j \wedge \theta_j^i, 1 \leq i \leq n;$
  - (ii)  $dg_{ij} = \sum_k \theta_i^k g_{kj} + \sum_k g_{ik} \theta_j^k, 1 \leq i, j \leq n.$
- They determine the two-forms  $\Omega_i^j$ , and hence the curvature on  $U$ , by

$$\Omega_i^j = d\theta_i^j - \sum_{k=1}^n \theta_i^k \wedge \theta_k^j, \quad 1 \leq i, j \leq n.$$

- Equations (i), (ii) and the displayed one are known as Cartan's **equations of structure**.

## Summary: Equations of Structure (Cont'd)

- As noted, it is often convenient to write  $\theta_{ij} = \sum_s \theta_i^s g_{sj}$  so that (ii) takes a simpler form.
- We may define, similarly,

$$\Omega_{ij} = \sum_s \Omega_i^s g_{sj}.$$

- Then

$$\Omega_{ij} = \frac{1}{2} \sum_{k,\ell} R_{ijkl} \theta^k \wedge \theta^\ell,$$

since we have previously seen that  $R_{ijkl} = \sum_s g_{js} R_{ikl}^s$ , where  $R_{ijkl} = R(F_k, F_\ell, F_i, F_j)$ .

- The symmetry properties imply that  $\Omega_{ij} = -\Omega_{ji}$ .

## Summary: The Orthonormal Case

- Suppose the frame-field is orthonormal.
- That is, it consists of vectors  $E_1, \dots, E_n$ , with

$$(E_i, E_j) = \delta_{ij}.$$

- As noted above, Equations (i) and (ii) simplify:

$$(i) \quad d\omega^i = \sum_j \omega^j \wedge \omega_j^i, \quad 1 \leq i \leq n;$$

$$(ii) \quad 0 = \omega_i^j + \omega_j^i, \quad 1 \leq i, j \leq n.$$

- Moreover,

$$\Omega_{ij} = \Omega_i^j, \quad R_{ijkl} = R_{iklj}^j, \quad \omega_i^j = \omega_{ij}.$$

- These enable us to formulate a restatement.

# The Orthonormal Case (Cont'd)

## Corollary

The forms  $\omega^1, \dots, \omega^n$ , dual to a field of orthonormal frames, determine uniquely a set of one-forms  $\omega_i^j$ ,  $1 \leq i, j \leq n$ , satisfying:

$$(i) \quad d\omega^i = \sum \omega_k^i \wedge \omega^k;$$

$$(ii) \quad \omega_i^j + \omega_j^i = 0;$$

And we also have:

$$(iii) \quad d\omega_i^j - \sum_k \omega_k^j \wedge \omega_i^k = \sum_{k < \ell} \omega^k \wedge \omega^\ell = \Omega_i^j = \Omega_{ij}.$$

Relative to these frames the matrix

$$(\Omega_{ij}(X, Y))$$

of the curvature operator  $R(X, Y)$  is a skew-symmetric matrix.



# Components of Curvature and Connection Forms

## Corollary

Let  $\Gamma_{ij}^k$  denote the coefficients of the connection forms relative to coordinate frames  $E_1, \dots, E_n$  of a coordinate neighborhood  $U, \varphi$ . That is, with  $\theta^1, \dots, \theta^n$  being dual to  $E_1, \dots, E_n$ ,

$$\theta_j^k = \sum_{\ell} \Gamma_{\ell j}^k \theta^{\ell}.$$

Then  $\Gamma_{ij}^k = \Gamma_{ji}^k$  and

$$R_{ik\ell}^j = \frac{\partial \Gamma_{i\ell}^j}{\partial x^k} - \frac{\partial \Gamma_{ik}^j}{\partial x^{\ell}} + \sum_h (\Gamma_{ik}^h \Gamma_{h\ell}^j - \Gamma_{i\ell}^h \Gamma_{hi}^j).$$

# Components of Curvature and Connection Forms (Cont'd)

- According to the theorem  $\Omega_i^j = d\theta_i^j - \sum_h \theta_i^h \wedge \theta_h^j$ .

Hence

$$\Omega_i^j = \sum_{\ell} (d\Gamma_{\ell i}^j \wedge \theta^{\ell} + \Gamma_{\ell i}^j d\theta^{\ell}) - \sum_{k,\ell} \sum_h \Gamma_{ki}^h \Gamma_{\ell h}^j \theta^k \wedge \theta^{\ell}.$$

Now  $\Gamma_{ij}^k = \Gamma_{ji}^k$ , since  $[E_i, E_j] = 0$  for coordinate frames.

Since  $\theta^j \wedge \theta^i = -\theta^i \wedge \theta^j$ , it follows that

$$d\theta^i = \sum_j \theta^j \wedge \theta_j^i = \sum_{i,j} \Gamma_{ij}^i \theta^j \wedge \theta^i = 0.$$

Therefore, the second equation above may be written as

$$\begin{aligned} \frac{1}{2} \sum_{k,\ell=1}^n R_{ik\ell}^j \theta^k \wedge \theta^{\ell} &= \sum_{k,\ell} \frac{1}{2} \left( \frac{\partial \Gamma_{\ell i}^j}{\partial x^k} - \frac{\partial \Gamma_{ki}^j}{\partial x^{\ell}} \right) \theta^k \wedge \theta^{\ell} \\ &\quad - \frac{1}{2} \sum_{k,\ell} \sum_h (\Gamma_{ki}^h \Gamma_{\ell h}^j - \Gamma_{\ell i}^h \Gamma_{kh}^j) \theta^k \wedge \theta^{\ell}. \end{aligned}$$

# Components of Curvature and Connection Forms (Cont'd)

- Now the coefficients on both left and right are skew-symmetric in the indices  $k, \ell$ .

So these equations imply equality of coefficients.

To obtain the (standard) formula of the corollary, one uses:

- The symmetry of  $\Gamma_{ij}^k$  in  $i, j$ ;
- The fact that  $\theta^k \wedge \theta^\ell = -\theta^\ell \wedge \theta^k$ ;
- Change of index of summation where necessary.

# Manifolds of Dimension 2

## Corollary

If  $\dim M = 2$ , then

$$d\omega_1^2 = \Omega_1^2 = +K\omega^1 \wedge \omega^2,$$

where  $K$  is the Gaussian curvature of  $M$ .

- In proving Gauss's Theorema Egregium we saw that if  $E_1, E_2$  are orthonormal unit vectors, then

$$K = -R(E_1, E_2, E_1, E_2) = -(R(E_1, E_2) \cdot E_1, E_2) = -R_{1212}.$$

On the other hand since  $g_{ij} = (E_i, E_j) = \delta_{ij}$  we have

$$\Omega_1^2 = \Omega_{12} = -R_{1212}\omega^1 \wedge \omega^2.$$

# Manifolds of Dimension 2 (Cont'd)

- Now  $\omega_i^j + \omega_j^i = 0$ .

So we get

$$\omega_1^1 = 0 = \omega_2^2.$$

Thus, by the preceding corollary,

$$\sum_{k=1}^2 \omega_1^k \wedge \omega_k^2 = 0 \quad \text{and} \quad d\omega_1^2 = \Omega_1^2.$$

- Note that these equations are independent of the particular orthonormal frame field on  $U \subseteq M$ .

# Geometric Interpretation of Sectional Curvature

- Let  $M$  be a Riemannian manifold.
- Let  $\pi$  be a plane section at a point  $p$  of  $M$ .
- Let  $N_p$  be an open, two-dimensional submanifold of  $M$ :
  - Consisting of geodesic arcs through  $p$ ;
  - Tangent at  $p$  to the section  $\pi$ .

## Theorem

If we use on  $N_p$  the Riemannian metric induced by that of  $M$ , then the sectional curvature  $K(\pi)$  is equal to the Gaussian curvature of  $N_p$  at  $p$ .

- Consider a normal neighborhood of  $p$

$$U = \exp_p B_\varepsilon.$$

That is, we choose  $\varepsilon > 0$  such that

$$B_\varepsilon = \{X_p \in T_p(M) : \|X_p\| < \varepsilon\}$$

is mapped diffeomorphically onto an open set  $U \subseteq M$ .

# Geometric Interpretation of Sectional Curvature (Cont'd)

- The plane section  $\pi$  corresponds to a two-dimensional subspace  $V_\pi \subseteq T_p(M)$ .

We may suppose that  $N_p$  is the image of  $V_\pi \cap B_\varepsilon$ .

Since  $U$  is a normal neighborhood, it is covered simply by the geodesics of length  $\varepsilon$  issuing from  $p$ .

They are given by

$$\exp_p tX_p, \quad 0 \leq t \leq \varepsilon,$$

for each  $X_p$  with  $\|X_p\| = 1$ .

# Geometric Interpretation of Sectional Curvature (Cont'd)

- Now choose an orthonormal basis  $E_{1p}, \dots, E_{np}$  of  $T_p(M)$ , with  $E_{1p}, E_{2p}$  a basis of  $V_\pi$ .

Then

$$(x^1, \dots, x^n) \rightarrow \exp_p \left( \sum x^i E_{ip} \right)$$

establishes a system of normal coordinates on  $U$ .

Moreover, the coordinate map  $\varphi$  is the inverse of the above.

Thus,  $N_p$  is described by

$$x^3 = \dots = x^n = 0.$$

Additionally,  $U \cap N_p, \varphi$  is a coordinate system on  $N_p$ , with  $x^1, x^2$  as coordinates.



# Geometric Interpretation of Sectional Curvature (Cont'd)

- Let  $E_1, \dots, E_n$  denote the coordinate frames.

They agree at  $p$  with the given frame.

Moreover,  $E_1, E_2$  are tangent to  $N_p$  everywhere on  $N_p$ .

We denote the dual coframes by  $\theta^1, \dots, \theta^n$ , with connection forms

$$\theta_j^k = \sum_i \Gamma_{ij}^k \theta^i.$$

Note that  $\Gamma_{ij}^k(0) = 0$ .

That is,  $\theta_j^k = 0$  at  $p \in U$ .

From those frames, by the Gram-Schmidt process we obtain a family of orthonormal frames  $F_1, \dots, F_n$  in  $U$  with the property that  $F_1, F_2$  are a linear combination of  $E_1, E_2$ .

So  $F_1, F_2$  are tangent to  $N_p$  at each of its points.

# Geometric Interpretation of Sectional Curvature (Cont'd)

- We denote by  $\omega^1, \dots, \omega^n$  the dual coframes to  $F_1, \dots, F_n$ .  
We let  $\omega_i^j$  be the corresponding connection forms.  
They satisfy the equations

$$\omega_i^j + \omega_j^i = 0 \quad \text{and} \quad d\omega^i = \sum_k \omega_k^i \wedge \omega^k.$$

We shall see that for  $j > 2$ ,  $\omega_1^j = \omega_2^j = 0$  at  $p$ .

First recall that at  $p$ ,

$$\nabla_{X_p} E_i = \sum_j \theta_i^j(X_p) E_j = 0 \quad \text{and} \quad \nabla_{X_p} F_i = \sum_j \omega_i^j(X_p) F_j.$$

# Geometric Interpretation of Sectional Curvature (Cont'd)

- Now, for  $i = 1, 2$ ,

$$F_i = a_i^1 E_1 + a_i^2 E_2.$$

So

$$\nabla_{X_p} F_i = (X_p a_i^1) E_1 + (X_p a_i^2) E_2 + a_i^1 \nabla_{X_p} E_1 + a_i^2 \nabla_{X_p} E_2.$$

Since  $\Gamma_{ij}^k(0) = 0$ , the last two terms vanish.

So, for  $i = 1, 2$ ,  $\nabla_{X_p} F_i$  is a linear combination of  $E_1$  and  $E_2$ .

Hence,  $\nabla_{X_p} F_i$  is a linear combination of  $F_1$  and  $F_2$ .

Thus, for  $i = 1, 2$ ,

$$\nabla_{X_p} F_i = \omega_i^1(X_p) F_1 + \omega_i^2(X_p) F_2.$$

Moreover, for  $i = 1, 2$  and  $j > 2$ ,  $\omega_i^j(X_p) = 0$ .

# Geometric Interpretation of Sectional Curvature (Cont'd)

- Denote by  $I : N_p \rightarrow M$  the imbedding.

Let  $\tilde{\omega}^i = I^*\omega^i$ ,  $\tilde{\omega}_i^j = I^*\omega_i^j$ .

$I^*$  is a homomorphism of  $\wedge(M) \rightarrow \wedge(N_p)$  and commutes with  $d$ .

So

$$d\tilde{\omega}^i = \sum_k \tilde{\omega}_k^i \wedge \tilde{\omega}^k \quad \text{and} \quad \tilde{\omega}_i^j + \tilde{\omega}_j^i = 0.$$

$F_1, F_2$  span the tangent space to  $N_p$ .

Moreover, if  $j = 1$  or  $j = 2$  and  $i > j$ ,

$$\tilde{\omega}^i(F_j) = (I^*\omega^i)(F_j) = \omega^i(I_*F_j) = \omega^i(F_j) = 0.$$

Therefore, for  $i > 2$ ,  $\tilde{\omega}^i = 0$ .

Thus,  $\tilde{\omega}^1, \tilde{\omega}^2$  are dual to  $F_1, F_2$  restricted to  $N_p$ .

Moreover, together with  $\tilde{\omega}^1 = \tilde{\omega}^2$ , they satisfy Equations (i) and (ii), which determine the connection forms uniquely.

# Geometric Interpretation of Sectional Curvature (Cont'd)

- It follows from the preceding corollary that

$$d\tilde{\omega}_1^2 = K\tilde{\omega}^1 \wedge \tilde{\omega}^2.$$

On the other hand, we have on  $M$

$$d\omega_1^2 = \sum_k \omega_1^k \wedge \omega_k^2 + \sum_{k < \ell} R_{12k\ell} \omega^k \wedge \omega^\ell.$$

Apply  $I^*$  to both sides and evaluate at  $p$ .

We get the equality (at  $p$ )

$$d\tilde{\omega}_1^2 = R_{1212}\tilde{\omega}^1 \wedge \tilde{\omega}^2.$$

It follows that the sectional curvature

$$K(\pi) = -R_{1212} = K_p,$$

the Gaussian curvature at  $p$  of the surface  $N_p$ .

# The Curvature of an $n$ -Sphere

## Corollary

Let  $M$  be an  $n$ -sphere of radius  $a$  in  $\mathbb{R}^{n+1}$  with the Riemannian metric induced from  $\mathbb{R}^{n+1}$ . Then  $M$  has constant sectional curvature  $\frac{1}{a^2}$ .

- Let  $p$  be a point of  $M$ .

Then the geodesics through  $p$  tangent to a plane  $\pi$  in  $T_p(M)$  are great circles.

They form a 2-sphere of radius  $a$ .

We have seen that the Gaussian curvature of such a 2-sphere is  $\frac{1}{a^2}$ .

So the corollary follows from the theorem.

# Isotropic Manifolds and Constant Curvature

## Theorem

If  $M$  is a connected, isotropic Riemannian manifold and  $\dim M > 3$ , then  $M$  has constant curvature.

- Let  $K_p$  be the value of the sectional curvature at  $p$ .

This is constant on all sections by hypothesis.

We must show that this function on  $M$  is constant.

That is, we must show  $dK = 0$ .

Let  $U$  be a neighborhood of  $p \in M$  with an orthonormal frame field.

Let  $\omega^1, \dots, \omega^n$  be the dual coframe field.

We use the expression for  $R_{ijkl}$  in a previous corollary, which now becomes

$$R_{ijkl} = K(\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}).$$

We obtain  $\Omega_i^j = \Omega_{ij} = K\omega^i \wedge \omega^j$ , in which  $K$  depends only on  $p$ , not on the (orthonormal) frames used.

# Isotropic Manifolds and Constant Curvature (Cont'd)

- Take the exterior derivative of the structure equation

$$d\omega_i^j = \sum \omega_i^k \wedge \omega_k^j + \Omega_i^j.$$

We obtain

$$0 = \sum (d\omega_i^k \wedge \omega_k^j - \omega_i^k \wedge d\omega_k^j) + dK \wedge \omega^i \wedge \omega^j + K d\omega^i \wedge \omega^j - K \omega^i \wedge d\omega^j.$$

We substitute for  $d\omega_i^k$ ,  $d\omega^i$ , and so on, from a previous corollary. After simplifying, we get, for all  $i, j = 1, \dots, n$ ,

$$dK \wedge \omega^i \wedge \omega^j = 0.$$

Now  $dK = K_1\omega^1 + \dots + K_n\omega^n$ , a linear combination of  $\omega^1, \dots, \omega^n$ .

Moreover,  $\omega^\ell \wedge \omega^i \wedge \omega^j \neq 0$ , if  $\ell, i, j$  are distinct.

So the displayed equation can only hold if  $dK = 0$  on  $U$ .

But  $U$  is a neighborhood of  $p$  and  $p$  is arbitrary.

Therefore,  $dK = 0$  and  $K$  is constant.



# Examples

- According to the preceding corollary, the sphere of radius  $a$  with the Riemannian metric induced by the Euclidean space with contains it has constant positive curvature.
- Euclidean space itself with its standard Riemannian metric has curvature identically zero, since with the usual coordinates  $\Gamma_{ij}^k = 0$  and  $R_{ijkl} = 0$ .
- An example of a manifold of constant negative curvature of arbitrary dimension will be given later.

## Subsection 5

# Differentiation of Covariant Tensor Fields

# Translation Along a Curve

- Let  $M$  be a Riemannian manifold.
- Consider a covariant tensor field  $\Phi$  of order  $r$  on  $M$ ,  $\Phi \in \mathcal{T}^r(M)$ .
- Suppose given a curve

$$\rho(t), \quad a \leq t \leq b,$$

on  $M$  of differentiability class  $C^1$  at least.

- Let  $\Phi_{\rho(t)}$  denote the restriction of  $\Phi$  to  $\rho(t)$ .
- Then  $\Phi_{\rho(t)} \in \mathcal{T}^r(T_{\rho(t)}(M))$ , that is,  $\Phi_{\rho(t)}$  is a tensor field along  $\rho(t)$ .
- Using previous results, we denote by  $\tau_t$  parallel translation along  $\rho(t)$  from a fixed point  $\rho(t_0)$  of the curve,

$$\tau_t : T_{\rho(t_0)}(M) \rightarrow T_{\rho(t)}(M).$$

- This is an isomorphism of these tangent spaces.
- It is uniquely determined by  $\rho(t)$  and the Riemannian structure.

# Derivative of Tensor Along a Curve

## Definition

With the preceding notation, the **derivative**  $\frac{D\Phi}{dt}$  **of the tensor**  $\Phi$  **along the curve** is defined at the point  $p(t_0)$  by

$$\left(\frac{D\Phi}{dt}\right)_{t_0} = \lim_{t \rightarrow t_0} \frac{1}{t - t_0} (\tau_t^* \Phi_{p(t)} - \Phi_{p(t_0)}).$$

- As thus defined  $\left(\frac{D\Phi}{dt}\right)_{t_0}$  is a covariant tensor of order  $r$  on the vector space  $T_{p(t_0)}(M)$ .

# Derivative of Tensor Along a Curve (Cont'd)

- Consider any set of  $r$  vectors  $X_{p(t_0)}^1, \dots, X_{p(t_0)}^r \in T_{p(t_0)}(M)$ .
- Then  $\frac{D\Phi}{dt}$  at  $p(t_0)$  is the limit as  $t \rightarrow t_0$  of the expression

$$\frac{1}{t - t_0} (\tau_t^* \Phi_{p(t)}(X_{p(t_0)}^1, \dots, X_{p(t_0)}^r) - \Phi_{p(t_0)}(X_{p(t_0)}^1, \dots, X_{p(t_0)}^r)).$$

- For each value of  $t$  near  $t_0$ , this is a multiple by  $\frac{1}{t-t_0}$  of the difference of two tensors  $\tau_t^* \Phi_{p(t)}$  and  $\Phi_{p(t_0)}$  on  $T_{p(t_0)}(M)$ .
- Both are covariant  $r$  tensors on the same vector space.
- It follows that the limit is also such a tensor.
- We repeat this procedure at each  $t_0$  on the interval  $(a, b)$ .
- The process gives a covariant tensor field  $\frac{D\Phi}{dt}$  along  $p(t)$ , provided that suitable differentiability conditions are satisfied.

# Differentiability Conditions

- Satisfying “suitable” differentiability conditions means that, for any  $C^k$  family of vector fields

$$X_t^i = X_{p(t)}^i, \quad i = 1, \dots, r,$$

defined along the  $C^k$  curve  $p(t)$ , the value of  $\frac{D\Phi}{dt}$  on them,

$$\frac{D\Phi}{dt}(X_t^1, \dots, X_t^r), \quad a < t < b,$$

should be a function of class  $C^{k-1}$  ( $C^\infty$  when  $k = \infty$ ) of  $t$ .

- This should be true in the most frequent situation where:
  - $X^1, \dots, X^r$  are  $C^\infty$ -vector fields on  $M$ ;
  - $X_t^1, \dots, X_t^r$  are their restrictions to the curve  $p(t)$ .
- In the next result, we show that this is indeed a consequence of our definition and derive computational formulas.
- For convenience, we suppose  $\Phi$  is  $C^\infty$ .

# A Formula for the Derivative

## Lemma

Let  $\Phi$  be a  $C^\infty$ -covariant tensor field of order  $r$  on  $M$ .

Let  $p(t)$ ,  $a < t < b$ , be a curve of class  $C^k$ ,  $k \geq 1$ , on  $M$ .

Let  $X_t^1, \dots, X_t^r \in T_{p(t)}(M)$  be vector fields of class  $C^k$  along the curve.

Then, for each  $t_0$  on the interval  $(a, b)$ , we have

$$\begin{aligned} \left(\frac{D\Phi}{dt}\right)_{t_0}(X_{t_0}^1, \dots, X_{t_0}^r) &= \left(\frac{d}{dt}[\Phi_{p(t)}(X_t^1, \dots, X_t^r)]\right)_{t=t_0} \\ &\quad - \sum_{i=1}^r \Phi_{p(t_0)}\left(X_{t_0}^1, \dots, \left(\frac{DX^i}{dt}\right)_{t_0}, \dots, X_{t_0}^r\right). \end{aligned}$$

- The lemma will establish the fact that  $\frac{D\Phi}{dt}$  evaluated on  $C^k$ -vector fields along the curve is differentiable of class  $C^{k-1}$  at least.
- If  $k = \infty$ , then  $\frac{D\Phi}{dt}$  will be a  $C^\infty$ -tensor field along the curve.
- That is, its value on  $C^\infty$ -vector fields will be a  $C^\infty$  function of  $t$ .
- For lower differentiability classes, the class of  $\frac{D\Phi}{dt}$  will also be lower.

# Proof of the Formula

- By definition we have

$$\begin{aligned} \left(\frac{D\Phi}{dt}\right)_{t_0} &= \lim_{t \rightarrow t_0} \frac{1}{t-t_0} (\tau_t^* \Phi_{p(t)}(X_{t_0}^1, \dots, X_{t_0}^r) - \Phi_{p(t_0)}(X_{t_0}^1, \dots, X_{t_0}^r)) \\ &= \lim_{t \rightarrow t_0} \frac{1}{t-t_0} (\Phi_{p(t)}(\tau_t(X_{t_0}^1), \dots, \tau_t(X_{t_0}^r)) \\ &\quad - \Phi_{p(t_0)}(X_{t_0}^1, \dots, X_{t_0}^r)). \end{aligned}$$

Then for each  $i = 1, \dots, r$ , in turn, we subtract and add

$$\Phi_{p(t)}(X_t^1, X_t^2, \dots, X_t^i, \tau_t(X_{t_0}^{i+1}), \dots, \tau_t(X_{t_0}^r)).$$

Rearranging and collecting terms, and using both linearity at  $p(t)$  and the continuity of the tensor  $\Phi$ , we may rewrite the defining equation

$$\begin{aligned} \left(\frac{D\Phi}{dt}\right)_{t_0} &= \sum_{i=1}^r \Phi_{p(t)}(X_t^1, \dots, \lim_{t \rightarrow t_0} \frac{1}{t-t_0} (\tau_t(X_{t_0}^i) - X_t^i), \\ &\quad \tau_t(X_{t_0}^{i+1}), \dots, \tau_t(X_{t_0}^r)) \\ &\quad + \lim_{t \rightarrow t_0} \frac{1}{t-t_0} (\Phi_{p(t)}(X_t^1, \dots, X_t^r) - \Phi_{p(t_0)}(X_{t_0}^1, \dots, X_{t_0}^r)). \end{aligned}$$



## Proof of the Formula (Cont'd)

- We now use the fact that for any  $C^k$ -vector field  $X_t$  along  $\rho(t)$ ,

$$\begin{aligned} \lim_{t \rightarrow t_0} \frac{\tau_t(X_{t_0}) - X_t}{t - t_0} &= - \lim_{t \rightarrow t_0} \tau_t \left( \frac{\tau_{-t}(X_t) - X_{t_0}}{t - t_0} \right) \\ &= - \tau_0 \left( \frac{DX_t}{dt} \right)_{t_0} \\ &= - \left( \frac{DX_t}{dt} \right)_{t_0}. \end{aligned}$$

Therefore passing to the limit in the expression for  $(\frac{D\Phi}{dt})_{t_0}$  completes the proof of the lemma.

- We can verify from the formula itself that  $(\frac{D\Phi}{dt})_{t_0}$  depends  $\mathbb{R}$ -linearly on the values of the vector fields  $X_t^1, \dots, X_t^r$  at  $\rho(t_0)$ .
- So the formula does define an  $\mathbb{R}$ -linear function, that is, a covariant tensor of order  $r$  on the vector space  $T_{\rho(t_0)}(M)$ .

# The Case of Parallel Vector Fields

## Corollary

Let  $X_0^1, \dots, X_0^r \in T_{p(t_0)}(M)$  be given and suppose that  $X_t^1, \dots, X_t^r$  are the uniquely determined parallel vector fields along  $p(t)$ ,  $a < t < b$ , which take these values at  $p(t_0)$ . Then the formula of the preceding lemma becomes

$$\left( \frac{D\Phi}{dt} \right)_{t_0} (X_{t_0}^1, \dots, X_{t_0}^r) = \left( \frac{d}{dt} \Phi_{p(t)}(X_t^1, \dots, X_t^r) \right)_{t_0}.$$

- By definition of  $X_t^i$  we have  $\frac{DX_t^i}{dt} \equiv 0$ ,  $i = 1, \dots, r$ .  
So the conclusion follows from the formula of the preceding lemma.
- This corollary makes it clear that  $\left( \frac{D\Phi}{dt} \right)_{t_0}$  depends only on the tensor field  $\Phi$  and on the curve  $p(t)$ ,  $a < t < b$ .

# Independence of Choice of Curve

## Lemma

Let  $\Phi$  be a  $C^\infty$ -covariant tensor field of order  $r$  on  $M$  and  $p \in M$ .

Let  $X^1, \dots, X^r$  be  $C^\infty$ -vector fields on some neighborhood  $U$  of  $p$ .

Let  $X_p^1, \dots, X_p^r$  denote their value at  $p$ .

Consider two  $C^1$  curves on  $M$ ,  $F(t)$ ,  $-\varepsilon < t < \varepsilon$ , and  $G(s)$ ,  $-\delta < s < \delta$ , such that:

- $F(0) = p = G(0)$ ;
- $\dot{F}(0) = Y_p = \dot{G}(0)$  is their common tangent vector at  $p$ .

Then

$$\left( \frac{D\Phi}{dt} \right)_0 (X_p^1, \dots, X_p^r) = \left( \frac{D\Phi}{ds} \right)_0 (X_p^1, \dots, X_p^r).$$

That is, the two tensors on  $T_p(M)$  defined by differentiating  $\Phi$  along each of the curves are the same.

# Independence of Choice of Curve (Cont'd)

- Suppose that  $f$  is a  $C^\infty$  function on  $U$ .

Then  $f(F(t))$  is its restriction to the curve  $F(t)$ .

Moreover,

$$\left( \frac{d}{dt} f(F(t)) \right)_{t=0} = F_* \left( \frac{d}{dt} \right) f = Y_p f.$$

Similarly, restricting  $f$  to  $G(s)$ , differentiating with respect to  $s$  and evaluating at  $s = 0$  gives

$$\left( \frac{d}{ds} f(G(s)) \right)_{s=0} = G_* \left( \frac{d}{ds} \right) f = Y_p f.$$

# Independence of Choice of Curve (Cont'd)

- We apply the preceding to the function

$$f(q) = \Phi_q(X_q^1, \dots, X_q^r).$$

We see that in the formula of the lemma, the first term in case of either curve (and derivative of  $\Phi$ ) is the same, namely

$$Y_p(\Phi(X^1, \dots, X^r)).$$

On the other hand, by our original definition of  $\nabla_{Y_p} X$  for a vector field  $X$ , we have

$$\nabla_{Y_p} X = \left( \frac{DX_{p(t)}^i}{dt} \right)_0 = \left( \frac{DX_{p(s)}^i}{ds} \right)_0.$$

Hence, the remaining terms in the formula agree also.

# The Covariant Derivative

- We denote the covariant tensor of order  $r$  on  $T_p(M)$ , which we have defined by differentiation of  $\Phi$  along curves through  $p$  with  $Y_p$  as tangent at  $p$  by

$$\nabla_{Y_p} \Phi = \left( \frac{D\Phi}{dt} \right)_0 (X_p^1, \dots, X_p^r).$$

## Definition

The covariant  $r$ -tensor on  $T_p(M)$  just defined from differentiation of  $\Phi$  along curves through  $p$ , with  $Y_p$  as tangent at  $p$ , is denoted

$$\nabla_{Y_p} \Phi \in \mathcal{T}^r(T_p(M)).$$

It is called the **covariant derivative of  $\Phi$  at  $p$  in the direction  $Y_p$** .

# Comments

- According to the facts in the proof above, the covariant derivative is given by the formula

$$\nabla_{Y_p} \Phi(X^1, \dots, X^r) = Y_p(\Phi(X^1, \dots, X^r)) - \sum_{i=1}^r \Phi_p(X^1, \dots, \nabla_{Y_p} X^i, \dots, X^r),$$

where  $X^1, \dots, X^r$  are vector fields on a neighborhood of  $p$ .

- Only the values of  $X^1, \dots, X^r$  at  $p$  affect the value of  $\nabla_{Y_p} \Phi$  on  $T_p(M)$ .

# The Covariant $r + 1$ Tensor Field $\Psi$

## Theorem

Let  $\Phi$  be a  $C^\infty$ -covariant tensor field of order  $r$  on  $M$ ,  $\Phi \in \mathcal{T}^r(M)$ . Then we may define on  $M$  a  $C^\infty$ -covariant tensor field  $\Psi$  of order  $r + 1$  by the formula

$$\Psi_p(X_p^1, \dots, X_p^r; Y_p) = (\nabla_{Y_p} \Phi)(X_p^1, \dots, X_p^r).$$

- By preceding work, it is only necessary to prove two more facts.
  - For each  $p \in M$ ,  $\Psi_p$  is linear in the last variable, with the others fixed;
  - For any  $C^\infty$ -vector fields  $X^1, \dots, X^r, Y$  the formula above defines a  $C^\infty$  function of  $p$ .



# The Covariant $r + 1$ Tensor Field $\Psi$ (Cont'd)

- Note that each term of the formula is linear in  $Y_p$  as a real-valued function on  $T_p(M)$ .

Consequently, if we fix the vector fields  $X^1, \dots, X^r$ , then the mapping  $T_p(M) \rightarrow \mathbb{R}$  defined by that formula

$$Y_p \rightarrow (\nabla_{Y_p} \Phi)(X_p^1, \dots, X_p^r)$$

is linear.

On the other hand, it is clear that for  $C^\infty$ -vector fields  $X^1, \dots, X^r; Y$  the function

$$\Psi(X^1, \dots, X^r; Y) = (\nabla_Y \Phi)(X_1, \dots, X_r)$$

is  $C^\infty$ .

# Components in Local Coordinates

- Let  $U, \varphi$  be a local coordinate system with:
  - Local coordinates  $x^1, \dots, x^n$ ;
  - Coordinate frames  $E_1, \dots, E_n$ , such that

$$\nabla_{E_i} E_j = \sum_k \Gamma_{ij}^k E_k.$$

- Let  $\Phi$  be a  $C^\infty$ -covariant tensor field of order  $r$  on  $M$ .
- Let its components be

$$\Phi_{i_1 \dots i_r} = \Phi(E_{i_1}, \dots, E_{i_r}).$$

# Formulas in Local Coordinates

## Corollary

Let  $\Phi$  be a  $C^\infty$ -covariant tensor field of order  $r$  on  $M$ .

Consider the  $C^\infty$ -covariant tensor field  $\Psi$  of order  $r + 1$  given by

$$\Psi_p(X_p^1, \dots, X_p^r; Y_p) = (\nabla_{Y_p} \Phi).$$

The components

$$\Psi_{j_1, \dots, j_{r+1}} = \Psi(E_{j_1}, \dots, E_{j_{r+1}})$$

of  $\Psi$  on  $U$  are given by the formulas

$$\Psi_{j_1, \dots, j_{r+1}} = \frac{\partial}{\partial x_{j_{r+1}}} \Phi_{j_1 \dots j_r} - \sum_{k,i} \Gamma_{j_{r+1} j_i}^k \Phi_{j_1 \dots k \dots j_r},$$

$$k = 1, \dots, n, i = 1, \dots, r.$$

# Parallel Tensor Fields

## Definition

A tensor field  $\Phi \in \mathcal{T}^r(M)$  is said to be **parallel along a curve**  $p(t)$  if

$$\frac{D\Phi}{dt} \equiv 0$$

along the curve. It is said to be **parallel** if

$$\frac{D\Phi}{dt} = 0$$

along every curve on  $M$ .

## Remarks

- If, for every  $X_p \in T_p(M)$  and all  $p \in M$ ,

$$\nabla_{X_p} \Phi = 0,$$

then  $\Phi$  is parallel.

- So if it is parallel along geodesics, for example, then it will be parallel.
- This follows from the preceding lemma and the fact that there is a geodesic tangent to any given vector  $X_p$ .
- Suppose, also, that

$$p(t), \quad a \leq t \leq b,$$

is a curve of class  $C^1$ , say.

- Then  $\Phi$  is parallel along  $p(t)$  if and only if it satisfies

$$\frac{d}{dt}(\Phi(X_t^1, \dots, X_t^r)) \equiv 0,$$

for every set  $X_t^1, \dots, X_t^r$  of parallel vector fields along the curve  $p(t)$ .

# Parallel Sections and Constant Curvature

- Let  $M$  be a Riemannian manifold of constant curvature  $K$ .
- Then, by definition, for any orthonormal pair of vectors  $X_p, Y_p$  the sectional curvature  $R(X_p, Y_p, X_p, Y_p) = -K$ .
- Suppose  $p(t)$  is any curve through  $p$  with, say,  $p(0) = p$ .
- Let  $X_{p(t)}, Y_{p(t)}$  be the uniquely determined parallel fields such that  $X_p = X_{p(0)}$  and  $Y_p = Y_{p(0)}$ .
- Then  $X_{p(t)}, Y_{p(t)}$  is orthonormal at each  $p(t)$ .

# Parallel Sections and Constant Curvature (Cont'd)

- Moreover,

$$R(X_{p(t)}, Y_{p(t)}, X_{p(t)}, Y_{p(t)}) = -K,$$

a constant independent of  $t$ .

- It follows that, for any parallel vector fields along  $p(t)$ , say

$$X_t^i, \quad i = 1, 2, 3, 4,$$

we have

$$\frac{d}{dt}R(X_t^1, X_t^2, X_t^3, X_t^4) \equiv 0.$$

- Indeed the values of all of the sectional curvatures uniquely determine the curvature.
- Thus the curvature is parallel if it is constant on parallel sections  $\pi_t$  along any curve  $p(t)$ .

# Symmetric Spaces and Parallel Curvature Tensors

## Theorem (Cartan)

If  $M$  is a Riemannian symmetric space, then the curvature tensor is parallel.

- Any isometry of a Riemannian manifold preserves parallelism.

It carries parallel vector fields, sections, and so on, along a curve to parallel vector fields, sections, and so on, along the image.

Moreover, isometries preserve the curvature,

$$R_p(X_p, Y_p, Z_p, W_p) = R_{F(p)}(X_{F(p)}, Y_{F(p)}, Z_{F(p)}, W_{F(p)}).$$

Finally isometries carry geodesics to geodesics.

This is because parallelism, curvature and geodesics are all defined in terms of the Riemannian metric.



# Symmetric Spaces and Parallel Curvature Tensors (Cont'd)

- Now to show that the curvature is parallel, it is enough to show that it is constant on parallel vector fields along geodesics.

Suppose  $p(t)$  is a geodesic.

Then, according to a previous theorem, the vectors

$$X_{p(t)}, Y_{p(t)}, Z_{p(t)}, W_{p(t)}$$

of the parallel vector field determined by  $X_{p(0)}, Y_{p(0)}, \dots$  are given by isometries  $\tau_c$  of  $M$ .

Therefore, the curvature is constant on parallel fields along the geodesic  $p(t)$ .

# Remarks

- This is more general than constant curvature.
- We have seen an example of a symmetric space - a compact semisimple Lie group  $G$  with bi-invariant metric - in which the curvatures on various sections  $\pi_e$  at the identity vary between 0 (if there is an Abelian subgroup of dimension two) and a positive maximum value.
- Thus  $G$  is not isotropic.
- Hence, it is not of constant curvature in this metric.
- However, it does have parallel curvature.
- This raises the interesting question of how those Riemannian manifolds with parallel curvature may be otherwise characterized or described.
- The answer to this is given by the following two theorems which are stated without proof.

# Manifolds With Parallel Curvature

## Theorem (Cartan)

Let  $M$  be a Riemannian manifold with parallel curvature. Then  $M$  is locally symmetric. That is, each point  $p \in M$  has a neighborhood  $U$ , such that, there is an involutive isometry  $\sigma_p : U \rightarrow U$ , with  $p$  as its only fixed point.

- Of course, a manifold may be locally symmetric without being globally symmetric, that is, symmetric in the sense of our original definition of symmetric space.
- For example, Euclidean space or a sphere, with its usual Riemannian metric, is no longer a symmetric space if a single point is removed, since we have seen that a symmetric space is necessarily complete.
- But it is still locally symmetric.
- Even if completeness is assumed, together with parallel curvature, we still cannot be quite sure that the space is symmetric.

# Manifolds With Parallel Curvature

- However, if the Riemannian manifold is complete and has parallel curvature, then we may be sure that its universal covering (with the naturally induced Riemannian metric) is a symmetric space.

## Theorem (Cartan-Ambrose)

Let  $M$  and  $N$  be complete, connected Riemannian manifolds of the same dimension, each with parallel curvature, and suppose further that  $M$  is simply connected.

Let  $p \in M$  and  $q \in N$  and

$$\varphi : T_p(M) \rightarrow T_q(N)$$

a linear mapping which preserves the inner product and the curvature.

# Manifolds With Parallel Curvature (Cont'd)

## Theorem (Cartan-Ambrose Cont'd)

That is, for arbitrary  $X_p, Y_p, Z_p, W_p \in T_p(M)$ , we have

$$\begin{aligned}(\varphi(X_p), \varphi(Y_p))_q &= (X_p, Y_p)_p, \\ R_q(\varphi(X_p), \varphi(Y_p), \varphi(Z_p), \varphi(W_p)) &= R_p(X_p, Y_p, Z_p, W_p).\end{aligned}$$

Then there is a unique  $C^\infty$  mapping  $F : M \rightarrow N$  with the properties:

- (i)  $F(p) = q$ ;
- (ii)  $F_* : T_p(M) \rightarrow T_q(N)$  is the same as  $\varphi$ ;
- (iii)  $F$  is a Riemannian covering (that is, it is a covering such that  $F_*$  is an isometry on each tangent space and, thus, a local isometry).

## Subsection 6

# Manifolds of Constant Curvature

# Curvature Forms

- Let  $M$  be a Riemannian manifold.
- Let  $E_1, \dots, E_n$  be an orthonormal frame field on an open set  $U \subseteq M$ .
- Let  $\omega^i$ ,  $1 \leq i \leq n$ , denote the field of coframes dual to  $E_1, \dots, E_n$ .
- Let  $\omega^j_i$ ,  $1 \leq i, j \leq n$ , denote the corresponding connection forms.
- Based on preceding results, we have

## Lemma

Let  $M$  have constant curvature  $K$ . Then the curvature forms  $\Omega^j_i = d\omega^j_i + \sum_k \omega^k_i \wedge \omega^j_k$  are given by

$$\Omega^j_i = K\omega^i \wedge \omega^j.$$

Assume, conversely, that on a neighborhood  $U$  of each point of  $M$  there is an orthonormal frame field  $E_1, \dots, E_n$  for which the uniquely determined  $\omega^i, \omega^j$  satisfy this equation. Then  $M$  has constant curvature  $K$ .

# Curvature Range

- Recall that Euclidean space with its standard Riemannian metric is a space of zero curvature.
- Also, the  $n$ -sphere of radius  $a$  in  $\mathbb{R}^{n+1}$  with the induced Riemannian metric has constant curvature  $K = \frac{1}{a^2}$ .
- Thus for every nonnegative real number  $K$ , we have already found an example of Riemannian manifold of arbitrary dimension  $n$  with constant curvature  $K$ .
- We now give an example of an  $n$ -dimensional Riemannian manifold of constant curvature  $K = -1$ .
- A slight variation can produce an example for any  $K < 0$ .



## Example: Hyperbolic Space

- Let  $M$  be the open upper half-space of  $\mathbb{R}^n$  defined by

$$M = \{x \in \mathbb{R}^n : x^n \geq 0\}.$$

- The Riemannian metric given by the line element

$$ds^2 = \frac{(dx^1)^2 + \cdots + (dx^n)^2}{(x^n)^2}.$$

- More precisely, we note that, as a manifold,  $M$  is covered by a single coordinate system with:
  - Local coordinates  $x^1, \dots, x^n$ ;
  - Coordinate frames  $\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^n}$ .
- This is because, as a manifold,  $M$  corresponds to an open subset of  $\mathbb{R}^n$ .

## Example: Hyperbolic Space (Cont'd)

- In these local coordinates, the components of the Riemannian metric  $\Phi$  are given by

$$g_{ij} = \Phi \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right) = \frac{\delta_{ij}}{(x^n)^2}.$$

- We use the preceding lemma to see that this manifold has constant curvature  $K = -1$ .
- Let

$$E_i = x^n \frac{\partial}{\partial x^i}, \quad i = 1, \dots, n.$$

- These define an orthonormal frame field on all of  $M$ .
- We denote by  $\omega^1, \dots, \omega^n$  the dual coframes.
- They are given by

$$\omega^i = \frac{1}{x^n} dx^i, \quad i = 1, \dots, n.$$

## Example: Hyperbolic Space (Cont'd)

- Consider the forms

$$\omega_i^j = \delta_{nj}\omega^i - \delta_{ni}\omega^j.$$

- It is easy to verify that they satisfy the equations

$$d\omega^i = \sum_{j=1}^n \omega^j \wedge \omega_j^i \quad \text{and} \quad \omega_i^j + \omega_j^i = 0.$$

- Hence, they must be the connection forms, since these are uniquely determined by these conditions.
- Finally, taking the exterior derivative of  $\omega_i^j$ , we obtain

$$\Omega_i^j = d\omega_i^j - \sum \omega_i^k \wedge \omega_k^j = -\omega^i \wedge \omega^j.$$

- Then, by the preceding lemma,  $M$  has constant curvature  $K = -1$ .
- We call this **hyperbolic space**.
- It is denoted by  $H^n$  (for its underlying space, the “half-plane”).

# Simple Connectedness and Completeness

- Now we have examples of spaces of positive, zero, and negative constant curvature.
- Note that all three examples are simply connected.
  - When  $K > 0$ , our example was the compact manifold  $S^n$ ;
  - When  $K = 0$  or  $K = -1$ , the corresponding manifolds  $E^n$  and  $H^n$  are diffeomorphic to  $\mathbb{R}^n$ .
- Since  $S^n$  is compact, it is complete.
- We also know  $E^n$  to be a complete Riemannian manifold.
- We shall prove later that  $H^n$  is complete.

# Complete Simply Connected of Constant Curvature

## Theorem

Every complete, simply connected Riemannian manifold  $M$  of constant curvature  $K = +1, 0$  or  $-1$  is isometric to one of the three examples above:

- To  $S^n$ , if  $K = +1$ ;
- To  $\mathbf{E}^n$ , if  $K = 0$ ;
- To  $H^n$ , if  $K = -1$ .

# Manifolds of Constant Curvature (Cont'd)

## Theorem (Cont'd)

More precisely, let  $p \in M$ , and  $q$  in either  $S^n$ ,  $\mathbf{E}^n$  or  $H^n$  according to whether  $K = +1, 0$  or  $-1$ . Assume, also, given a prescribed linear map of  $T_p(M)$  onto the tangent space at  $q$  which preserves the inner product. Then there is exactly one isometry  $F$  of  $M$  to the corresponding space of constant curvature:

- Taking  $p$  to  $q$ ;
- Such that  $F_*$  corresponds to the given linear mapping on  $T_p(M)$ .
- This is an immediate consequence of the Cartan-Ambrose Theorem once we know that  $H^n$  is complete (proved later).

# Isometries

## Corollary

Let  $M$  be  $S^n$ ,  $\mathbf{E}^n$  or  $H^n$  and let  $E_{1p}, \dots, E_{np}$ ,  $E_{1q}, \dots, E_{nq}$  be orthonormal frames at two arbitrary points  $p, q$  of  $M$ . Then there is a unique isometry of  $M$ , that takes:

- $p$  to  $q$ ;
  - $E_{ip}$  to  $E_{iq}$ ,  $i = 1, \dots, n$ .
- 
- This shows that the group of isometries is transitive on  $M$ .
  - So it is plausible that in each of these cases this is a Lie group.
  - We already know this, however, for:
    - $S^n$ , whose group of isometries is  $O(n+1)$ ;
    - $\mathbf{E}^n$ , whose group of isometries consists of rotations and translations and their products.
  - We will study the group of all isometries of  $H^n$  only for  $n = 2$ .

# Riemannian Coverings

- Let  $M$  be a Riemannian manifold.
- Let  $\tilde{M}$  a covering manifold, with covering map  $F : \tilde{M} \rightarrow M$
- Then there is a unique Riemannian metric on  $\tilde{M}$ , such that  $F$  is a local isometry.
- When  $M$  has this metric, the covering will be called a **Riemannian covering**.



# Properties of Riemannian Coverings

- The following facts are quite easily verified from the definitions.
  - (i)  $F$  carries geodesics to geodesics and each geodesic on  $M$  is covered by a unique geodesic on  $\tilde{M}$ ;
  - (ii) If  $M$  is complete, then  $\tilde{M}$  is also complete (convergence of Cauchy sequences is a local phenomenon);
  - (iii) The covering transformations are isometries of  $\tilde{M}$ .
- With the aid of these facts one may take a step towards reducing the determination of manifolds of constant curvature to a group theoretic problem.

# Universal Covering Manifolds

## Theorem

Let  $M$  be a complete manifold of constant curvature  $K = +1, 0$  or  $-1$ . Then the universal covering manifold  $\tilde{M}$  is isometric to  $S^n$ ,  $\mathbf{E}^n$  or  $H^n$ , respectively. Moreover,  $M$  is the orbit space of a subgroup  $\Gamma$  of the group of isometries of  $\tilde{M}$  which acts freely and properly discontinuously on  $\tilde{M}$ .

- The theorem follows from the fact that  $\tilde{M}$  is complete, simply connected, and (since the covering mapping is a local isometry) has the same constant curvature as  $M$ .
- By the theory of covering spaces, we know that:
  - $M = \tilde{M}/\Gamma$ ;
  - The covering transformations  $\Gamma$  act freely and properly discontinuously (as a group of isometries).
- We give some indication of how this may be used by considering some examples.

# In Search of Spaces of Positive Curvature

- We look for Riemannian manifolds of constant curvature  $K = +1$ .
- We must find subgroups  $\Gamma$  of the group of isometries of  $S^n$ , the unit sphere, which act freely and properly discontinuously on  $S^n$ .
- The isometries of  $S^n$  are contained in  $O(n+1)$ , which acts in the usual way on the unit sphere in  $\mathbb{R}^{n+1}$ .
- It follows that  $\Gamma \subseteq O(n+1)$ .
- The assumption that  $\Gamma$  acts freely means that no element of  $\Gamma$ , except the identity, leaves a point of  $S^n$  fixed.
- Let  $A \in \Gamma$  and  $A \neq I$ .
- Then  $A$  cannot have  $+1$  as a characteristic value.

# In Search of Spaces of Positive Curvature (Cont'd)

- Moreover,  $\Gamma$  must be a group of finite order.
- Otherwise, there must be an  $x \in S^n$ , such that

$$\Gamma x = \{Ax : A \in r\}$$

has a limit point.

- This would contradict proper discontinuity.
- Thus, we must find finite subgroups of  $O(n+1)$  no element of which (except the identity) leaves a vector  $x$  fixed.
- This is a necessary condition for  $\Gamma$ .
- However, it can be shown that it is also sufficient.

# Example

- The simplest example of a subgroup  $\Gamma$  of  $O(n+1)$  of the type described is the group consisting of two elements,  $\Gamma = \{\pm I\}$ .
- The orbit space  $S^n/\Gamma$  is the collection of all antipodal pairs of points on  $S^n$ .
- As we have seen earlier, this is just the projective space  $P^n(\mathbb{R})$ .
- Thus, for every  $n$ , we have at least two inequivalent spaces of constant curvature:
  - The real projective space;
  - Its universal (Riemannian) covering space  $S^n$ .

# The Case of Even Dimension

## Fact

If  $n$  is even, then  $S^n$  and  $P^n(\mathbb{R})$  are the only complete manifolds of constant curvature  $K = +1$ .

- Let  $\Gamma$  be a properly discontinuous group of isometries acting freely on  $S^n$ .

Then  $\Gamma \subseteq O(n+1)$ .

So each  $A \in \Gamma$  is an  $(n+1) \times (n+1)$  orthogonal matrix.

The degree of its characteristic polynomial is an odd number  $n+1$ .

Therefore,  $A$  must have a real characteristic value.

But the characteristic values of an orthogonal matrix are of absolute value one.

Thus,  $A$  has  $\pm 1$  as a characteristic value.

## The Case of Even Dimension (Cont'd)

- We have seen that only the identity on  $\Gamma$  can have  $+1$  as a characteristic value.

Hence  $-1$  is a characteristic value of  $A$ .

This implies that  $A^2$  has  $+1$  as characteristic value.

So  $A^2 = I$ .

Hence, each of the characteristic values of  $A$  is either  $+1$  or  $-1$ .

So, one of the following holds:

- All are  $+1$  and  $A = I$ ;
- All are  $-1$  and  $A = -I$ .

This completes the proof when combined with the preceding example.

# Example

- When  $n$  is odd, other possibilities can occur.
- As an indication, we will show that, in the case of  $S^3$ , there exist many examples of finite subgroups  $\Gamma \subseteq O(4)$ , which act freely on  $S^3$  and, thus, give manifolds  $S^3/\Gamma$  of constant positive curvature.
- The examples are based on the algebra  $\mathbf{K}$  of quaternions.
- That is, on the real linear combinations

$$\mathbf{q} = x + yi + zj + wk$$

of the four symbols  $1, i, j, k$  with:

- The usual rules of multiplication;
- Componentwise addition.



## Example (Cont'd)

- We denote by  $\bar{\mathbf{q}}$ , the conjugate of  $\mathbf{q}$ ,

$$\bar{\mathbf{q}} = x - yi - zj - wk.$$

- We denote by  $\|\mathbf{q}\|$  the usual norm

$$\|\mathbf{q}\| = (\mathbf{q}\bar{\mathbf{q}})^{1/2}.$$

- Then  $\mathbf{K}$  is in obvious one-to-one linear correspondence with  $\mathbb{R}^4$ .
- This norm corresponds to the standard norm in  $\mathbb{R}^4$ .
- Consider the set of quaternions of norm one

$$\mathbf{K}_1 = \{\mathbf{q} : \|\mathbf{q}\| = 1\}.$$

- They correspond to  $S^3 \subseteq \mathbb{R}^4$ .

## Example (Cont'd)

- As usual, we identify:
  - $\mathbf{K}$  and  $\mathbb{R}^4$  as vector spaces and as manifolds;
  - $\mathbf{K}_1$  and  $S^3$  as manifolds.
- For all  $\mathbf{q}_1, \mathbf{q}_2 \in \mathbf{K}$ ,

$$\|\mathbf{q}_1 \mathbf{q}_2\| = \|\mathbf{q}_1\| \|\mathbf{q}_2\|.$$

- So  $\mathbf{K}_1$  is a group with respect to quaternion multiplication.
- For  $\mathbf{q} \in \mathbf{K}_1$ , consider then left translation  $L_{\mathbf{q}} : \mathbf{K} \rightarrow \mathbf{K}$ , defined by

$$L_{\mathbf{q}}(\mathbf{x}) = \mathbf{q}\mathbf{x}.$$

- It is an  $\mathbb{R}$ -linear mapping of  $\mathbf{K}$  onto  $\mathbf{K}$ .
- Moreover, it preserves the norm of  $\mathbf{x}$ ,

$$\|L_{\mathbf{q}}(\mathbf{x})\| = \|\mathbf{x}\|.$$

## Example (Cont'd)

- So  $L_{\mathbf{q}}$  is an orthogonal linear transformation on  $\mathbf{K} = \mathbb{R}^4$ .
- In brief,  $S^3 = \mathbf{K}_1$  is a group space and left translations are orthogonal transformations, in fact isometries, of  $S^3$ , with its usual Riemannian structure.
- But no left translation, except the identity, can have a fixed point.
- So we need only find examples of finite subgroups  $\Gamma$  of  $\mathbf{K}_1$ .
- Each such example determines a three-dimensional manifold of constant positive curvature.
- Further, they are all determined in this way.

## Example (Cont'd)

- To find finite subgroups of  $\mathbf{K}_1$  one uses the following fact.
- There is a natural homomorphism  $\pi : \mathbf{K}_1 \rightarrow SO(3)$  which is onto and has kernel  $+1$  ( $+1$  is the unit quaternion).
- We now describe this homomorphism.
- Let  $\mathbb{R}^3$  be identified with the subspace of  $\mathbf{K}$  of all quaternions of the form  $\mathbf{q} = y\mathbf{i} + z\mathbf{j} + w\mathbf{k}$ , with real part  $x = 0$ .
- Then to each  $\mathbf{q}' \in \mathbf{K}_1$  we let correspond the rotation  $\pi(\mathbf{q}')$  of  $\mathbb{R}^3$  given by

$$\pi(\mathbf{q}') : \mathbf{q} \mapsto \mathbf{q}'\mathbf{q}(\mathbf{q}')^{-1}.$$

- Now, if  $\Gamma_1 \subseteq SO(3)$  is a finite subgroup, then  $\Gamma = \pi^{-1}(\Gamma_1)$  is a finite subgroup of  $\mathbf{K}_1$ .
- Such subgroups of  $SO(3)$  are easy to find - the group of symmetries of any regular solid (omitting those of determinant  $-1$ ) give examples.

# Spaces of Zero Curvature

- Now consider the Riemannian manifolds which have Euclidean space of the same dimension as their universal Riemannian covering space.
- They are the (complete) spaces of zero curvature.
- Thus they are of the form  $M = \mathbf{E}^n / \Gamma$ , the orbit space of a subgroup  $\Gamma$  of the group of isometries (rigid motions) of  $\mathbf{E}^n$ .
- Suppose we identify  $\mathbf{E}^n$  with  $\mathbb{R}^n$  and use vector space notation.
- Then each isometry is of the form

$$x \rightarrow Ax + b,$$

where:

- $A \in O(n)$  and determines a rotation of the space;
- $b = (b^1, \dots, b^n)$  and determines a translation of the space.
- Locally, the geometry of any such  $M$  is just that of Euclidean space.
- So these spaces might seem to lack interest, but this is not the case.

# Spaces of Zero Curvature (Examples)

- The global behavior between  $\mathbf{E}^n$  and  $M = \mathbf{E}^n/\Gamma$  may be different.
- A particular example is given by the global behavior of geodesics in such spaces.
- We have already noted this in the case of two examples.
  - The cylinder, which is just  $\mathbf{E}^2/\Gamma$  with

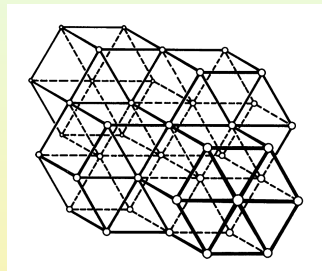
$$\Gamma = \{x \rightarrow x + ne_1 : e_1 = (1, 0), n \in \mathbb{Z}\};$$

- The torus  $T^2$ , which is obtained as the orbit space of the group of translations

$$\{x \rightarrow x + ne_1 + me_2 : n, m \in \mathbb{Z}, e_1 = (1, 0), e_2 = (0, 1)\}.$$

# Crystal Structures

- Historically, the study of these spaces is linked to that of the study of crystal structures on the plane  $E^2$  and in Euclidean space  $E^3$ .
- That is, to uniform coverings of the plane by congruent polygons and of  $E^3$  by congruent polyhedra.
- It is fairly easy to convince ourselves that the symmetries of such crystalline structures - rigid motions carrying the structures onto themselves - form a subgroup  $\Gamma$  of the group of rigid motions which acts properly discontinuously.
- However, elements of such groups may well have fixed points.
- So these groups are somewhat more general than those which generate examples of manifolds of zero curvature.



# Crystallographic Groups

- It was proved in the 19th century that there were only a finite number of crystal structures on  $\mathbf{E}^3$ .
- In his address of 1900, Hilbert asked whether the number of possible isomorphism classes of properly discontinuous groups of motions  $\Gamma$  of  $\mathbf{E}^n$  for which the orbit space  $\mathbf{E}^n/\Gamma$  is compact is finite, for every  $n$ .
- These are called **crystallographic groups**.
- Hilbert's question was answered affirmatively by Bieberbach in 1911.
- This implies, in particular, that, for every dimension  $n$ , there exist finitely many compact Riemannian manifolds of curvature zero.
- Among these, of course, is the torus  $T^n$ .
- It is a consequence of Bieberbach's work that every such manifold has the torus as covering space.



# The Hyperbolic Space of Dimension 2

- Consider  $H^2$  as given in a preceding example.
  - We write  $(x, y)$  for  $(x^1, x^2)$ ;
  - We identify  $H^2$  with the upper half-plane of the complex numbers  $\mathbb{C}$  by the correspondence

$$(x, y) \leftrightarrow z = x + iy.$$

- Then  $H^2$  is the open subset of  $\mathbb{C}$ , consisting of all complex numbers  $z$  with positive imaginary part  $\text{Im}z > 0$ .

# The Hyperbolic Space of Dimension 2 (Cont'd)

- We may then write the Riemannian metric, or line element

$$ds^2 = \sum_{i,j=1}^2 g_{ij} dx^i dx^j,$$

in the complex or real form

$$ds^2 = \frac{dzd\bar{z}}{(\operatorname{Im}z)^2} = \frac{dx^2 + dy^2}{y^2}.$$

- We have considered this Riemannian manifold and its isometries.
- The reason for passing to complex coordinates is that it makes it much simpler to define and work with the group of isometries.
- Of course, other representations of  $H^2$  and its group of isometries are often used, some of which extend to  $H^n$  for all  $n$ .

# Linear Fractional Transformations

- Recall that mappings on  $\mathbb{C}$  of the form

$$z \mapsto w = \frac{az + b}{cz + d},$$

with  $a, b, c, d \in \mathbb{C}$  such that  $ad - bc \neq 0$ , are isometries of  $H^2$ .

- In analytic function theory they are called **linear fractional transformations**.

## Theorem

The group  $G$  of linear fractional transformations, such that  $a, b, c, d$  are real numbers and  $ad - bc = +1$ , is exactly the group of isometries of  $H^2$ , identified with the upper halfplane of  $\mathbb{C}$ .

# Linear Fractional Transformations (Cont'd)

## Theorem (Cont'd)

The mapping  $F : Sl(2, \mathbb{R}) \rightarrow G$  defined by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \left( z \mapsto w = \frac{az + b}{cz + d} \right)$$

is a homomorphism of  $Sl(2, \mathbb{R})$  onto  $G$ , with kernel  $\pm I$ .

- Almost all statements were proved in a previous example.
- It remains to show that this group contains all of the isometries.

# Linear Fractional Transformations (Cont'd)

- Note that the last statement is verified by a straightforward computation.

We show, next, that the first statement is correct.

Let  $w$  be the image of  $z \in H^2$  by a transformation of  $G$ .

Then

$$\operatorname{Im} w = \frac{\operatorname{Im} z}{|cz + d|^2} > 0.$$

So the upper half-plane maps onto itself.

If we compute  $dw$ , we find that

$$dw = \frac{dz}{(cz + d)^2}.$$

# Linear Fractional Transformations (Cont'd)

- From  $dw = \frac{dz}{(cz+d)^2}$  it follows that

$$\frac{dw d\bar{w}}{(\operatorname{Im} w)^2} = \frac{dz d\bar{z}}{(\operatorname{Im} z)^2}.$$

So  $ds^2$  is preserved.

This is a shorthand way of seeing that the components of  $g_{ij}$  transform as they should for an isometry.

- This mapping could be given in terms of real and imaginary parts. That is, one could compute the functions  $u(x, y)$  and  $v(x, y)$ , such that

$$w = u(x, y) + iv(x, y).$$

Then the mapping could be written without use of complex variables. However, the computations become more difficult.

# Linear Fractional Transformations (Cont'd)

- We next see that this group  $G$  contains all isometries.

Recall, first, that it acts transitively on the upper half-plane.

Recall, also, that it is transitive on directions.

Indeed, it has been shown that the orbit of  $i = \sqrt{-1}$  is all of  $H^2$ .

This implies transitivity.

It also implies that the isotropy subgroup of  $i$  consists of elements of  $G$  corresponding to matrices in  $SI(2, \mathbb{R})$  of the form

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$

This subgroup of  $G$  is transitive on directions at  $i$ .

In fact, it acts as  $SO(2)$  on the tangent space to  $H^2$  at  $i$ .

These facts, together with a previous corollary, prove the assertion.

# Fractional Transformations and Geodesics

- We note that angles on  $H^2$  in terms of the given Riemannian metric are the same as angles on  $\mathbb{R}^2$ .
- From complex function theory we have the following facts.
  - Linear fractional transformations are analytic mappings on the complex plane.
  - As such, they are conformal, that is, they preserve angles between curves.
  - Linear fractional transformations carry circles and straight lines of  $\mathbb{C}$  into circles and straight lines.
- It follows that any circle which is orthogonal to the real axis will be carried by any element of  $G$  into a circle orthogonal to the real axis or a vertical straight line.
- We can show that vertical straight lines are geodesics of  $H^2$ .
- It follows that any circle orthogonal to the real axis is also a geodesic.



# Fractional Transformations and Geodesics (Cont'd)

- A little Euclidean geometry shows that, through a given  $z_0 \in H^2$ , there is exactly one circle (or vertical line) tangent to each direction at  $z_0$  and orthogonal to the real axis.
- Now isometries take geodesics to geodesics.
- So this gives every geodesic through  $z_0$ .
- One important consequence is that every geodesic can be extended to infinite length so that  $H^2$  is seen to be a complete metric space.
- It is sufficient to check this for just one geodesic, namely,

$$x = 0, \quad y = t, \quad 0 < t < \infty.$$

- The length of this geodesic from  $t = a$  to  $t = b$  is

$$\int_a^b \frac{dt}{t}.$$

- So it is unbounded in both directions, i.e., as  $a \rightarrow 0$  or  $b \rightarrow \infty$ .
- This shows it is indefinitely extendable.

# Fractional Transformations and Geodesics (Cont'd)

- We also saw that  $H^2$  is an example of a symmetric space, which means that it must be complete.
- We have previously noted that:
  - $H^2$  is the space of non-Euclidean geometry;
  - It is easy to see from this description of geodesics that Euclid's postulate of parallels is not satisfied (although all the other postulates of Euclid are!).
- This behavior of geodesics should be contrasted with that on  $S^2$  and  $P^2(\mathbb{R})$ , spaces of constant positive curvature.
- On those, every pair of geodesics intersect, twice on  $S^2$  and once  $P^2(\mathbb{R})$ .

# Completeness of $H^n$

- Note that any translation of  $H^n$  in a direction parallel to the plane  $x^n = 0$  is an isometry.
- The same holds for a rotation of the underlying  $\mathbb{R}^n$  leaving  $x^n$  fixed.
- That is, a linear transformation of the variables  $x^1, \dots, x^{n-1}$ , with orthogonal matrix, is an isometry.
- Thus any 2-plane determined by a point  $x \in H^n$  and unit vector  $X_x$  at  $x$  can be carried to the submanifold

$$H^2 = \{x \in H^n : x^1 = \dots = x^{n-1} = 0\}$$

by an isometry of  $H^n$ .

# Completeness of $H^n$ (Cont'd)

- We can verify that geodesics of  $H^2$  are geodesics of  $H^n$ .
- So, from the facts concerning  $H^2$  and known properties of geodesics, every geodesic of  $H^n$  can be extended to infinite length.
- This means that  $H^n$  is complete.
- It also means that the geodesics of  $H^n$  are exactly the semicircles whose center lies on the  $(n - 1)$ -plane  $x^n = 0$  and whose plane is perpendicular to it.