

# Discrete Structures for Computer Science

**George Voutsadakis<sup>1</sup>**

<sup>1</sup>Mathematics and Computer Science  
Lake Superior State University

LSSU CSci 341

## 1 Functions

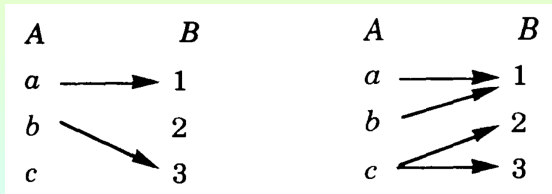
- Definitions and Examples
  - Some Useful Functions
- Composition of Functions
- Properties of Functions
- Infinite Sets

## Subsection 1

### Definitions and Examples

# Functions

- Let  $A$  and  $B$  be sets.
- A **function from  $A$  to  $B$**  is an association to **each** element in  $A$  of **exactly one** element in  $B$ .
- Functions are normally denoted by letters like  $f$ ,  $g$  and  $h$ .
- If  $f$  is a function from  $A$  to  $B$ , written  $f : A \rightarrow B$  or  $A \xrightarrow{f} B$ , and  $f$  associates  $x \in A$  with  $y \in B$ , then we write  $y = f(x)$ .
- When  $f(x) = y$ , we often say, " **$f$  maps  $x$  to  $y$** ".
- Functions are also called **mappings**, **transformations** and **operators**.
- The following associations are **not** functions from  $A$  to  $B$ .



# Description of Functions

- Functions can be described in many ways:

- By a formula.

The function  $f : \mathbb{N} \rightarrow \mathbb{N}$  mapping every natural number  $x$  to its square can be described by

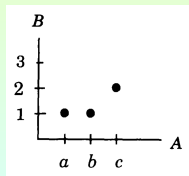
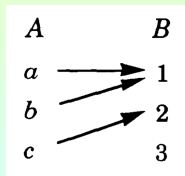
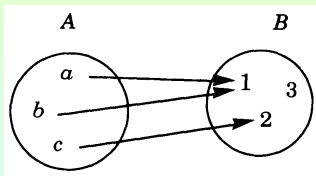
$$f(x) = x^2, \text{ for all } x \in \mathbb{N}.$$

- By a list.

A function  $g : A \rightarrow B$  from  $A = \{a, b, c\}$  to  $B = \{1, 2, 3\}$  may be defined by

$$g(a) = 1, \quad g(b) = 1, \quad g(c) = 2.$$

- By a graph (e.g., Venn diagram, digraph, Cartesian graph).



# Terminology

- The set of all functions from  $A$  to  $B$  is denoted  $A \rightarrow B$ .
- If  $f \in A \rightarrow B$ , i.e.,  $f : A \rightarrow B$ , then we say  $f$  has **type**  $A \rightarrow B$ .
  - The set  $A$  is called the **domain** of  $f$ .
  - The set  $B$  is the **codomain** of  $f$ .
- If  $f(x) = y$ , then:
  - $x$  is an **argument** of  $f$ ;
  - $y$  is a **value** of  $f$ .
- If the domain of a function  $f$  is a product of  $n$  sets,  $A_1 \times \cdots \times A_n$ , then we say that  $f$  has **arity**  $n$ , or  $f$  **has  $n$  arguments**.
- If  $(x_1, \dots, x_n) \in A_1 \times \cdots \times A_n$ , then instead of  $f((x_1, \dots, x_n))$  we usually write  $f(x_1, \dots, x_n)$ .

# Binary Functions and Infix Notation

- A function  $f$  with two arguments is called a **binary function**.
- Binary functions give us the option of writing  $f(x, y)$  in the popular **infix form**  $xfy$ .
- Example: Consider addition of real numbers

$$+ : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}.$$

Instead of writing  $+(4, 5)$ , we usually prefer  $4 + 5$ .

# Range, Images and Pre-Images

- The **range** of  $f$ , written  $\text{range}(f)$ , is the set of elements in  $B$  that are associated with some element of  $A$ :

$$\text{range}(f) = \{f(a) : a \in A\}.$$

- If  $S \subseteq A$ , then the **image** of  $S$  under  $f$ , written  $f(S)$ , is the set of values in  $B$  associated with elements of  $S$ :

$$f(S) = \{f(x) : x \in S\}.$$

- As a special case  $f(A) = \text{range}(f)$ .
- If  $T \subseteq B$ , then the **pre-image** or **inverse image** of  $T$  under  $f$ , written  $f^{-1}(T)$ , is the set of elements in  $A$  that associate with some elements of  $T$ :

$$f^{-1}(T) = \{a \in A : f(a) \in T\}.$$

- We have  $f^{-1}(B) = A$ .



# Example

- Consider the function  $f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  defined by  $f(a) = f(b) = 1$  and  $f(c) = 2$ .
  - $f$  has type  $\{a, b, c\} \rightarrow \{1, 2, 3\}$ .
  - The domain of  $f$  is  $\{a, b, c\}$ .
  - The codomain of  $f$  is  $\{1, 2, 3\}$ .
  - The range of  $f$  is  $\{1, 2\}$ .
  - $f(\{a\}) = \{1\}$ ;
  - $f(\{a, b\}) = \{1\}$ ;
  - $f(A) = f(\{a, b, c\}) = \{1, 2\} = \text{range}(f)$ ;
  - $f^{-1}(\{1, 2\}) = \{a, b, c\}$ ;
  - $f^{-1}(\{1, 3\}) = \{a, b\}$ ;
  - $f^{-1}(\{3\}) = \emptyset$ ;
  - $f^{-1}(B) = f^{-1}(\{1, 2, 3\}) = \{a, b, c\} = A$ .

# Tuples as Functions

- Any sequence of objects can be thought of as a function.
- Example: The tuple  $(22, 14, 55, 1, 700, 67)$  can be considered a listing of the values of a function

$$f : \{0, 1, 2, 3, 4, 5\} \rightarrow \mathbb{N}.$$

That is, we defined  $f$  by setting

$$f(0) = 22, f(1) = 14, f(2) = 55, f(3) = 1, f(4) = 700, f(5) = 67.$$

Then  $(22, 14, 55, 1, 700, 67)$  is just a listing of the values of  $f$ .

- An infinite sequence can also be considered a function.
- Example: Suppose we have the following sequence of things from a set  $S$ :

$$(b_0, b_1, \dots, b_n, \dots).$$

The elements  $b_n$  can be considered values of the function  $b : \mathbb{N} \rightarrow S$ , defined by  $b(n) = b_n$ .

# Functions and Binary Relations

- Functions are special kinds of binary relations.
- A function  $f : A \rightarrow B$  is a binary relation from  $A$  to  $B$  such that  
for each  $a \in A$  there is a unique  $b \in B$ , such that  $(a, b) \in f$ .

- We can describe this uniqueness condition in the following way:

$$\text{If } (a, b), (a, c) \in f, \text{ then } b = c.$$

- In case the relation  $f \subseteq A \times B$  happens to be a function of type  $A \rightarrow B$ , the functional notation  $f(a) = b$  is preferred over the relational notations  $f(a, b)$  and  $(a, b) \in f$ .

# Example

- Consider the sets  $A = \{a, b, c, d, e\}$  and  $B = \{0, 1, 2\}$ .
- Let  $R \subseteq A \times B$  be the following binary relation from  $A$  to  $B$ :

$$R = \{(a, 0), (b, 0), (c, 2), (d, 1), (e, 2)\}.$$

- Since  $R$  associates to **each** element of  $A$  a **unique** element of  $B$ , it is a function  $R : A \rightarrow B$ .
- In this case, instead of the relational  $(c, 2) \in R$  or  $R(c, 2)$ , we may write the functional  $R(c) = 2$ .

# Equality of Functions

- If  $f$  and  $g$  are **both functions of type  $A \rightarrow B$** , then  $f$  and  $g$  are said to be **equal**, written  $f = g$ , if

$$f(x) = g(x), \text{ for all } x \in A.$$

- Example: Suppose  $f$  and  $g$  are functions of type  $\mathbb{N} \rightarrow \mathbb{N}$  and they are defined by the formulas

$$f(x) = x + x$$

and

$$g(x) = 2x.$$

Then  $f = g$ .

# Definition by Cases

- Functions can be defined **by cases**.
- Example: The absolute value function  $\text{abs}$  has type  $\mathbb{R} \rightarrow \mathbb{R}$  and can be defined by the following rule:

$$\text{abs}(x) = \begin{cases} x, & \text{if } x \geq 0 \\ -x, & \text{if } x < 0 \end{cases}$$

- A definition by cases can also be written in terms of the **if-then-else** rule.
- Example: We can write the preceding definition in the form:

$$\text{abs}(x) = \text{if } x \geq 0 \text{ then } x \text{ else } -x.$$

# Partial Functions

- A **partial function** from  $A$  to  $B$  is like a function except that it might not be defined for some elements of  $A$ .
- We still have the requirement that if  $x \in A$  is associated with  $y \in B$ , then  $x$  cannot be associated with any other element of  $B$ .
- Example: Since division by zero is not allowed,  $\div$  is a partial function of type  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ .
- When discussing partial functions, to avoid confusion we use the term **total function** to mean a function that is defined on all its domain.

# From Partial Functions to Total Functions

- Any partial function can be transformed into a total function.
- One simple technique is to **shrink the domain** to the set of elements for which the partial function is defined.
- Example:  $\div$  is a total function of type  $\mathbb{R} \times (\mathbb{R} - \{0\}) \rightarrow \mathbb{R}$ .
- A second technique keeps the domain the same but **increases the size of the codomain**.
- Example: Suppose  $f : A \rightarrow B$  is a partial function.
  - Pick some symbol that is not in  $B$ , say  $\# \notin B$ ;
  - Assign  $f(x) = \#$  whenever  $f(x)$  is not defined.

Then we can think of  $f$  as the total function of type  $A \rightarrow B \cup \{\#\}$ .

- In programming, the analogy would be to pick an error message to indicate that an incorrect input string has been received.



# The Floor and Ceiling Functions

- The **floor function** has type  $\mathbb{R} \rightarrow \mathbb{Z}$  and is defined by

$\text{floor}(x)$  = the largest integer less than or equal to  $x$ .

- Example:  $\text{floor}(8) = 8$ ,  $\text{floor}(8.9) = 8$ ,  $\text{floor}(-3.5) = -4$ .
- $\text{floor}(x)$  is also denoted by  $\lfloor x \rfloor$ .
- The **ceiling function** has type  $\mathbb{R} \rightarrow \mathbb{Z}$  and is defined by

$\text{ceiling}(x)$  = the smallest integer greater than or equal to  $x$ .

- Example:  $\text{ceiling}(8) = 8$ ,  $\text{ceiling}(8.9) = 9$ ,  $\text{ceiling}(-3.5) = -3$ .
- $\text{ceiling}(x)$  is also denoted by  $\lceil x \rceil$ .

# A Simple Property of the Floor Function

- For all  $x \in \mathbb{R}$  and all  $n \in \mathbb{Z}$ ,

$$\lfloor x + n \rfloor = \lfloor x \rfloor + n.$$

Let  $x \in \mathbb{R}$  and  $n \in \mathbb{Z}$ .

- If  $x \in \mathbb{Z}$ , then  $x + n \in \mathbb{Z}$ .

So we have  $\lfloor x + n \rfloor = x + n = \lfloor x \rfloor + n$ .

- If  $x \notin \mathbb{Z}$ , then, there exists  $m \in \mathbb{Z}$  and  $0 < r < 1$ , such that  $x = m + r$ .

So we have:

$$\begin{aligned}\lfloor x + n \rfloor &= \lfloor m + r + n \rfloor = \lfloor (m + n) + r \rfloor \\ &= m + n = \lfloor m + r \rfloor + n \\ &= \lfloor x \rfloor + n.\end{aligned}$$

# Floor and Ceiling: Divide and Conquer

- If  $n \in \mathbb{Z}$ , then

$$n = \lfloor n/2 \rfloor + \lceil n/2 \rceil.$$

Consider two cases:

- If  $n$  is even, then  $n = 2k$  for some  $k \in \mathbb{Z}$ .

So we have

$$\begin{aligned} \lfloor n/2 \rfloor &= \lfloor 2k/2 \rfloor = \lfloor k \rfloor = k; \\ \lceil n/2 \rceil &= \lceil 2k/2 \rceil = \lceil k \rceil = k. \end{aligned}$$

So  $\lfloor n/2 \rfloor + \lceil n/2 \rceil = k + k = 2k = n$ .

- If  $n$  is odd, then  $n = 2k + 1$  for some  $k \in \mathbb{Z}$ .

In this case, we have

$$\begin{aligned} \lfloor n/2 \rfloor &= \lfloor (2k + 1)/2 \rfloor = \lfloor k + 1/2 \rfloor = k; \\ \lceil n/2 \rceil &= \lceil (2k + 1)/2 \rceil = \lceil k + 1/2 \rceil = k + 1. \end{aligned}$$

So  $\lfloor n/2 \rfloor + \lceil n/2 \rceil = k + k + 1 = 2k + 1 = n$ .

# Greatest Common Divisor

- The **greatest common divisor** of two integers  $a$  and  $b$ , not both zero, denoted  $\gcd(a, b)$ , is the largest number that divides them both.
- Example:  
The common divisors of 12 and 18 are  $\pm 1, \pm 2, \pm 3, \pm 6$ .  
So  $\gcd(12, 18) = 6$ .
- Example:  $\gcd(-44, -12) = 4$ ,  $\gcd(5, 0) = 5$ .
- If  $a \neq 0$ , we have  $\gcd(a, 0) = |a|$ .
- If  $\gcd(a, b) = 1$ , we say  $a$  and  $b$  are **relatively prime**.
- Example: 9 and 4 are relatively prime.

# Division Algorithm

- **Division Algorithm:**

If  $a$  and  $b$  are integers and  $b \neq 0$ , then there are unique integers  $q$  and  $r$  such that  $a = bq + r$ , where  $0 \leq r < |b|$ .

- Example: If  $a = 19$  and  $b = 4$ , then

$$19 = 4 \cdot 4 + 3.$$

- Example: If  $a = -16$  and  $b = 3$ , then

$$-16 = 3 \cdot (-6) + 2.$$

# Euclid's Algorithm

- We describe Euclid's Algorithm that calculates  $\gcd(a, b)$  for  $a$  and  $b$  natural numbers that are not both zero.

- **Euclid's Algorithm:**

Input two natural numbers  $a$  and  $b$ , not both zero.

while  $b > 0$

    Use the division algorithm to compute  $q$  and  $r$  such that

$$a = bq + r, \text{ where } 0 \leq r < b;$$

$a := b;$

$b := r;$

Output  $a$ .

- Apply Euclid's Algorithm to compute the gcd of 315 and 54.
  - Initialization:  $a := 315; b := 54;$
  - While Loop:
    - Iteration 1:  $315 = 54 \cdot 5 + 45; \quad a = 54; b := 45;$
    - Iteration 2:  $54 = 45 \cdot 1 + 9; \quad a := 45; b := 9;$
    - Iteration 3:  $45 = 9 \cdot 5 + 0; \quad a := 9; b := 0;$
  - Output:  $a = 9.$

# Greatest Common Divisor as Linear Combination

- The following holds for all nonnegative integers  $a, b$  that are not both zero:

If  $g = \gcd(a, b)$ , then there exist integers  $m, n$ , such that  

$$g = m \cdot a + n \cdot b.$$

- We can use Euclid's algorithm to find  $m$  and  $n$ .
- Keep track of the equations  $a = bq + r$  from each execution of the loop:

$$315 = 54 \cdot 5 + 45;$$

$$54 = 45 \cdot 1 + 9;$$

$$45 = 9 \cdot 5 + 0.$$

- Work backwards to solve for  $\gcd(a, b)$  in terms of  $a$  and  $b$ .
  - Solve the second equation for 9:

$$9 = 54 - 45 \cdot 1.$$

- Use the first equation to replace 45:

$$9 = 54 - (315 - 54 \cdot 5) \cdot 1 = 54 - 315 + 54 \cdot 5 = -315 + 54 \cdot 6.$$

# The Mod Function

- If  $a$  and  $b$  are integers, where  $b > 0$ , then the division algorithm states that there are two unique integers  $q$  and  $r$  such that

$$a = bq + r, \text{ where } 0 \leq r < b.$$

- We say that  $q$  is the **quotient** and  $r$  is the **remainder** upon division of  $a$  by  $b$ .
- If  $a$  and  $b$  are integers with  $b > 0$ , then the remainder upon the division of  $a$  by  $b$  is denoted

$$a \bmod b$$

- Example:

$$5 \bmod 4 = 1; \quad -5 \bmod 4 = 3;$$



# The Mod $n$ Function

- Fix  $n$  as a positive integer constant.
- Define a function  $f : \mathbb{Z} \rightarrow \mathbb{N}$  by

$$f(x) = x \pmod{n}.$$

- Example: Fix  $n = 3$ . We have
  - $25 \pmod{3} = 1$ ;
  - $12 \pmod{3} = 0$ ;
  - $8 \pmod{3} = 2$ ;
  - $-4 \pmod{3} = 2$ ;
  - $-8 \pmod{3} = 1$ .
- The range of  $f$  is  $\{0, 1, \dots, n - 1\}$ , which is the set of possible remainders obtained upon division of  $x$  by  $n$ .
- We let  $\mathbb{N}_n$  or  $\mathbb{Z}_n$  denote the set

$$\mathbb{Z}_n = \{0, 1, 2, \dots, n - 1\}.$$

- For example,  $\mathbb{Z}_0 = \emptyset$ ,  $\mathbb{Z}_1 = \{0\}$ , and  $\mathbb{Z}_2 = \{0, 1\}$ .

# Some Properties of the Mod $n$ Function

- (a) For all  $x, y \in \mathbb{Z}$ ,  $x \bmod n = y \bmod n$  iff  $n$  divides  $x - y$  iff  $(x - y) \bmod n = 0$ .

Suppose  $x \bmod n = y \bmod n = r$ .

Then we have  $x = q_1n + r$  and  $y = q_2n + r$ .

Therefore,  $x - y = q_1n + r - (q_2n + r) = (q_1 - q_2)n + 0$ .

Thus,  $(x - y) \bmod n = 0$ .

Conversely, suppose  $x - y = 0 \bmod n$ . Then  $x - y = qn$ , for some  $q \in \mathbb{Z}$ . But then, we have  $x \bmod n = (y + qn) \bmod n = y \bmod n$ .

- (b) For all  $a, x, y \in \mathbb{Z}$ , if  $ax \bmod n = ay \bmod n$  and  $\gcd(a, n) = 1$ , then  $x \bmod n = y \bmod n$ .

Suppose  $ax \bmod n = ay \bmod n$ .

Then, by Property (a),  $(ax - ay) \bmod n = 0$ . Thus,  $n \mid a(x - y)$ .

But, if a positive integer divides a product and is relatively prime with one of its factors, then it must divide the other. It follows that  $n \mid (x - y)$ . By Property (a) again  $x \bmod n = y \bmod n$ .

# From Decimal to Binary Notation

- We can use the floor and mod functions to implement division by 2:

$$x = 2 \cdot \left\lfloor \frac{x}{2} \right\rfloor + (x \bmod 2).$$

- This enables writing an integer in binary notation by keeping track of remainders.
- Example: Write 53 in binary notation.

$$53 = 2 \cdot 26 + 1;$$

$$26 = 2 \cdot 13 + 0;$$

$$13 = 2 \cdot 6 + 1;$$

$$6 = 2 \cdot 3 + 0;$$

$$3 = 2 \cdot 1 + 1;$$

$$1 = 2 \cdot 0 + 1.$$

So the binary representation of 53 is 110101.

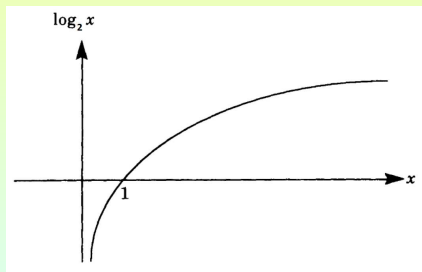
# The Log Function: Definition

- Let  $0 < b \neq 1$  be a fixed real number.
- The **log (logarithm) function base  $b$** ,  $\log_b : \mathbb{R}_+ \rightarrow \mathbb{R}$  is defined by

$$\log_b x = y, \text{ where } b^y = x.$$

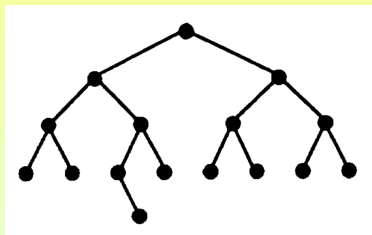
- Example: We have:

- $\log_2 16 = 4$ ;
- $\log_3 27 = 3$ ;
- $\log_7 \frac{1}{49} = -2$ ;
- $\log_{32} 2 = \frac{1}{5}$ ;
- $\log_8 \frac{1}{2} = -\frac{1}{3}$ .



# The Log Function: Application

- Consider a binary search tree with 16 nodes having the structure shown:



- Then the depth of the tree is 4.
- So a maximum of 4 comparisons are needed to find any element in the tree.
- Since  $16 = 2^4$ , the depth in terms of the number of nodes is:

$$4 = \log_2 16.$$

# The Log Function: Properties

- The log base  $b$  satisfies the following properties:

- $\log_b 1 = 0$  and  $\log_b b = 1$ ;
- $\log_b (b^x) = x$  and  $b^{\log_b x} = x$ ;
- $\log_b (xy) = \log_b x + \log_b y$ ;
- $\log_b \left(\frac{x}{y}\right) = \log_b x - \log_b y$ ;
- $\log_b (x^y) = y \log_b x$ ;
- $\log_b x = \frac{\log_a x}{\log_a b}$ .

- Example: Write  $\log_2 (2^7 3^4)$  in terms of  $\log_2 3$ .

We have, using the properties above:

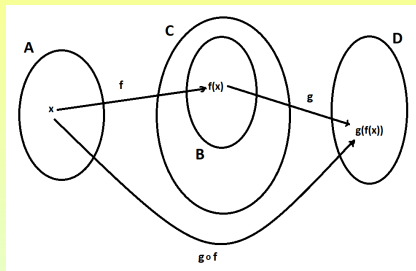
$$\log_2 (2^7 3^4) = \log_2 (2^7) + \log_2 (3^4) = 7 + 4 \log_2 3.$$

## Subsection 2

# Composition of Functions

# Composition of Functions

- Consider two functions in which the domain of one contains the codomain of the other:  $f : A \rightarrow B$ ,  $B \subseteq C$  and  $g : C \rightarrow D$ .



- The **composition of  $f$  and  $g$**  is the function  $g \circ f : A \rightarrow D$  defined by

$$(g \circ f)(x) = g(f(x)).$$

- This means that we first apply  $f$  to  $x$  and then apply  $g$  to the resulting value.



# Examples of Composition

- Consider  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = x + 1$  and  $g : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $g(x) = x^2$ .

Then we have:

- $(g \circ f)(7) = g(f(7)) = g(8) = 64$ ;
  - $(f \circ g)(3) = f(g(3)) = f(9) = 10$ ;
  - $(g \circ f)(x) = g(f(x)) = g(x + 1) = (x + 1)^2$ ;
  - $(f \circ g)(x) = f(g(x)) = f(x^2) = x^2 + 1$ ;
  - $(f \circ f)(x) = f(x + 1) = (x + 1) + 1 = x + 2$ ;
- Consider  $\log_2 : (0, \infty) \rightarrow \mathbb{R}$  and  $\text{floor} : \mathbb{R} \rightarrow \mathbb{Z}$ .

Then we have:

- $\text{floor}(\log_2 64) = \text{floor}(6) = 6$ ;
- $\text{floor}(\log_2 5) = 2$ , because  $2 < \log_2 5 < 3$ .

# Associativity of Composition

- If  $f$ ,  $g$  and  $h$  are functions of the right type such that  $(f \circ g) \circ h$  and  $f \circ (g \circ h)$  make sense, then

$$(f \circ g) \circ h = f \circ (g \circ h).$$

To prove this, calculate the expressions for both sides:

$$\begin{aligned}((f \circ g) \circ h)(x) &= (f \circ g)(h(x)) = f(g(h(x))); \\(f \circ (g \circ h))(x) &= f((g \circ h)(x)) = f(g(h(x))).\end{aligned}$$

- This property allows writing the composition of three or more functions without the use of parentheses, since  $f \circ g \circ h$  has exactly one meaning.

# Non-Commutativity of Composition

- Composition is not commutative in general.

This can be shown by counterexample.

Consider  $f(x) = x + 1$  and  $g(x) = x^2$ .

We have

$$\begin{aligned}(f \circ g)(2) &= f(g(2)) = f(4) = 5; \\(g \circ f)(2) &= g(f(2)) = g(3) = 9.\end{aligned}$$

So  $(f \circ g)(2) \neq (g \circ f)(2)$ .

This shows that  $f \circ g \neq g \circ f$ .

# Identity Function and Composition

- The **identity function**  $\text{id}_A : A \rightarrow A$  always returns its argument:

$$\text{id}_A(a) = a, \text{ for all } a \in A.$$

- For every function  $f : A \rightarrow B$ , we have

$$f \circ \text{id}_A = f = \text{id}_B \circ f.$$

These equalities are easy to see: For every  $a \in A$  we have:

- $(f \circ \text{id}_A)(a) = f(\text{id}_A(a)) = f(a).$
- $(\text{id}_B \circ f)(a) = \text{id}_B(f(a)) = f(a).$

# Sequence, Distribute and Pairs Functions

- The **sequence function**  $\text{seq} : \mathbb{N} \rightarrow \text{Lists}[\mathbb{N}]$  is defined by

$$\text{seq}(n) = \langle 0, 1, \dots, n \rangle.$$

- Example:  $\text{seq}(0) = \langle 0 \rangle$ ;  $\text{seq}(4) = \langle 0, 1, 2, 3, 4 \rangle$ .
- The **distribute function**  $\text{dist} : A \times \text{Lists}[B] \rightarrow \text{Lists}[A \times B]$  takes an element  $x$  from  $A$  and a list  $y$  from  $\text{Lists}[B]$  and returns the list of pairs made up by pairing  $x$  with each element of  $y$ .
- Example:  $\text{dist}(x, \langle r, s, t \rangle) = \langle (x, r), (x, s), (x, t) \rangle$ .
- The **pairs function** takes two lists of equal length and returns the list of pairs of corresponding elements.
- Example:

$$\text{pairs}(\langle a, b, c \rangle, \langle d, e, f \rangle) = \langle (a, d), (b, e), (c, f) \rangle.$$

- Since the domain of  $\text{pairs}$  is a proper subset of  $\text{Lists}[A] \times \text{Lists}[B]$ , it is only a partial function of type  $\text{Lists}[A] \times \text{Lists}[B] \rightarrow \text{Lists}[A \times B]$ .

# Composition of Functions With Different Arities

- Suppose we are given the following three functions:

$$f : A \rightarrow B, \quad g : A \rightarrow C, \quad h : B \times C \rightarrow D.$$

- We can form the composition  $h \circ (f, g) : A \rightarrow D$ , defined, for all  $x \in A$ , by

$$(h \circ (f, g))(x) = h(f(x), g(x)).$$

- Example: Suppose  $f : A \rightarrow \mathbb{R}$ ,  $g : A \rightarrow \mathbb{R}$  and  $+$  :  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ . Then we have that  $+$   $\circ$   $(f, g) : A \rightarrow \mathbb{R}$  is given, for all  $x \in A$  by

$$(+ \circ (f, g))(x) = +(f(x), g(x)) = f(x) + g(x).$$

# Example

- Use known functions and constructions to build the function  $f : \mathbb{N} \rightarrow \text{Lists}[\mathbb{N} \times \mathbb{N}]$  defined by

$$f(n) = \langle (0, 0), (1, 1), \dots, (n, n) \rangle.$$

We have

$$\begin{aligned} f(n) &= \langle (0, 0), (1, 1), \dots, (n, n) \rangle \\ &= \text{pairs}(\langle 0, 1, \dots, n \rangle, \langle 0, 1, \dots, n \rangle) \\ &= \text{pairs}(\text{seq}(n), \text{seq}(n)). \end{aligned}$$

# Example

- Use known functions and constructions to build the function  $g : \mathbb{N} \rightarrow \text{Lists}[\mathbb{N} \times \mathbb{N}]$  defined by

$$g(k) = \langle (k, 0), (k, 1), \dots, (k, k) \rangle, \text{ for all } k \in \mathbb{N}.$$

We have

$$\begin{aligned} g(k) &= \langle (k, 0), (k, 1), \dots, (k, k) \rangle \\ &= \text{dist}(k, \langle 0, 1, \dots, k \rangle) \\ &= \text{dist}(k, \text{seq}(k)). \end{aligned}$$



# The Map or Apply-To-All Function

- The **map** function  $\text{map} : (A \rightarrow B) \rightarrow (\text{Lists}[A] \rightarrow \text{Lists}[B])$  takes one argument, a function  $f : A \rightarrow B$ , and returns as a result the function  $\text{map}(f) : \text{Lists}[A] \rightarrow \text{Lists}[B]$ , where  $\text{map}(f)$  applies  $f$  to each element in its argument list:

$$\text{map}(f)(\langle a_1, \dots, a_n \rangle) = \langle f(a_1), \dots, f(a_n) \rangle.$$

- Example: Let  $f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  be defined by  $f(a) = f(b) = 1$  and  $f(c) = 2$ . Then  $\text{map}(f)$  applied to the list  $\langle a, b, c, a \rangle$  can be calculated as follows:

$$\text{map}(f)(\langle a, b, c, a \rangle) = \langle f(a), f(b), f(c), f(a) \rangle = \langle 1, 1, 2, 1 \rangle.$$

- The map function is sometimes called the “applyToAll” function.
- Example: Consider  $+$  and apply  $\text{map}(+)$  to a list of pairs of integers:

$$\begin{aligned} \text{map}(+)(\langle (1, 2), (3, 4), (5, 6) \rangle) &= \langle +(1, 2), +(3, 4), +(5, 6) \rangle \\ &= \langle 3, 7, 11 \rangle. \end{aligned}$$

# Example

- Use known functions and constructions to build the function  $\text{squares} : \mathbb{N} \rightarrow \text{Lists}[\mathbb{N}]$  defined by

$$\text{squares}(n) = \langle 0, 1, 4, \dots, n^2 \rangle.$$

We have:

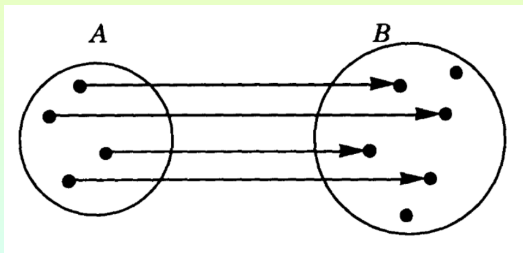
$$\begin{aligned} \text{squares}(n) &= \langle 0, 1, 4, \dots, n^2 \rangle \\ &= \langle *(0, 0), *(1, 1), *(2, 2), \dots, *(n, n) \rangle \\ &= \text{map}(*)(\langle (0, 0), (1, 1), (2, 2), \dots, (n, n) \rangle) \\ &= \text{map}*(\text{pairs}(\langle 0, 1, 2, \dots, n \rangle, \langle 0, 1, 2, \dots, n \rangle)) \\ &= \text{map}*(\text{pairs}(\text{seq}(n), \text{seq}(n))). \end{aligned}$$

## Subsection 3

# Properties of Functions

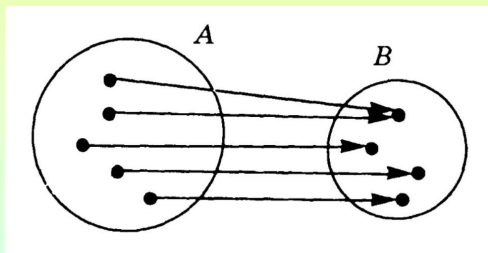
# Injective Functions

- A function  $f : A \rightarrow B$  is called **injective** (or **one-to-one** or an **embedding**) if no two elements in  $A$  map to the same element in  $B$ .
- Formally,  $f$  is injective if for all  $x, y \in A$ , whenever  $x \neq y$ , then  $f(x) \neq f(y)$ .
- By contraposition,  $f$  is injective if, for all  $x, y \in A$ , if  $f(x) = f(y)$ , then  $x = y$ .
- An injective function is called an **injection**.



# Surjective Functions

- A function  $f : A \rightarrow B$  is called **surjective** (or **onto**) if each element  $b \in B$  can be written as  $b = f(x)$  for some element  $x$  in  $A$ .
- Another way to say this is that  $f$  is surjective if  $\text{range}(f) = B$ .
- A surjective function is called a **surjection**.

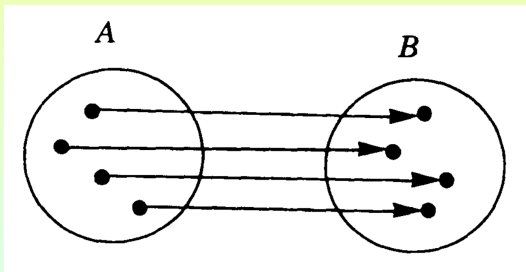


# Example

- Function  $f : \mathbb{R} \rightarrow \mathbb{Z}; f(x) = \lceil x + 1 \rceil$ .
  - Injective? No!  $f(0.1) = \lceil 0.1 + 1 \rceil = 2 = \lceil 0.2 + 1 \rceil = f(0.2)$ .
  - Surjective? Yes! For  $k \in \mathbb{Z}$ , let  $x \in \mathbb{R}$  be  $x = k - 1$ . Then  $f(x) = \lceil (k - 1) + 1 \rceil = k$ .
- Function  $f : \mathbb{N}_8 \rightarrow \mathbb{N}_8; f(x) = 2x \pmod{8}$ .
  - Injective? No!  $f(0) = 0 \pmod{8} = 8 \pmod{8} = f(4)$ .
  - Surjective? No!  $\text{range}(f) = \{0, 2, 4, 6\}$ .
- Function  $f : \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}; f(x) = (x, x)$ .
  - Injective? Yes! Suppose  $x, y \in \mathbb{N}$ , with  $f(x) = f(y)$ . Then  $(x, x) = (y, y)$ . This implies  $x = y$ .
  - Surjective? No!  $(0, 1) \notin \text{range}(f)$ .
- Function  $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}; f(x, y) = 2x + y$ .
  - Injective? No!  $f(0, 2) = 2 = f(1, 0)$ .
  - Surjective? Yes! Suppose  $n \in \mathbb{N}$ . Let  $x = (0, n) \in \mathbb{N} \times \mathbb{N}$ . Then  $f(0, n) = 2 \cdot 0 + n = n$ .

# Bijjective Functions

- A function is called **bijective** (or **one-to-one and onto**) if it is both injective and surjective.
- A bijective function is called a **bijection** or a **one-to-one correspondence**.



# Injectivity, Surjectivity and Composition

- Suppose  $f : A \rightarrow B$  and  $g : B \rightarrow C$ .
  - (a) If  $f$  and  $g$  are injective, then  $g \circ f$  is injective.
  - (b) If  $f$  and  $g$  are surjective, then  $g \circ f$  is surjective.
  - (c) If  $f$  and  $g$  are bijective, then  $g \circ f$  is bijective.
  - (d) There is an injection from  $A$  to  $B$  if and only if there is a surjection from  $B$  to  $A$ .

- (a) Let  $a, a' \in A$ , such that  $(g \circ f)(a) = (g \circ f)(a')$ .

This means  $g(f(a)) = g(f(a'))$ .

By the injectivity of  $g$ , we get  $f(a) = f(a')$ .

By the injectivity of  $f$ , we get  $a = a'$ .

We conclude that  $g \circ f$  is injective.

- (b) To show that  $g \circ f$  is surjective, let  $c \in C$ .

Since  $g$  is surjective, there exists  $b \in B$ , such that  $g(b) = c$ .

Since  $f$  is surjective, there exists  $a \in A$ , such that  $f(a) = b$ .

Thus, we get  $g(f(a)) = g(b) = c$ .

We conclude  $g \circ f$  is surjective.



# Bijections and Inverse Functions

- A function  $g : B \rightarrow A$  is called an **inverse** of a function  $f : A \rightarrow B$ , denoted  $g = f^{-1}$ , if  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ .
  - A function  $f : A \rightarrow B$  is bijective if and only if it has an inverse function  $g : B \rightarrow A$ .
- ( $\Rightarrow$ ) Suppose that  $f$  is a bijection. To define  $g : B \rightarrow A$ , let  $b \in B$ . Since  $f$  is onto, there exists  $a \in A$ , such that  $f(a) = b$ . Since  $f$  is 1-1, there cannot exist  $a' \neq a$  in  $A$ , such that  $f(a') = b$ . We define

$$g(b) = a, \text{ for the unique } a \in A \text{ such that } f(a) = b.$$

Then we have:

- $g(f(a)) = g(b) = a = \text{id}_A(a)$ .
  - $f(g(b)) = f(a) = b = \text{id}_B(b)$ .
- ( $\Leftarrow$ ) Suppose, conversely, that there exists  $g : B \rightarrow A$ , such that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ . Then, for all  $a, a' \in A$  and  $b \in B$ :
- $b = \text{id}_B(b) = f(g(b))$ , so  $f$  is onto.
  - $f(a) = f(a') \Rightarrow g(f(a)) = g(f(a')) \Rightarrow a = a'$ , so  $f$  is 1-1.

# Example

- Let Odd and Even be the sets of odd and even natural numbers.
  - (a) Show that the function  $f : \text{Odd} \rightarrow \text{Even}$  defined by  $f(x) = x - 1$  is a bijection.
  - (b) Find the inverse function  $f^{-1}$ .

(a)  $f$  is injective: Suppose  $x_1, x_2 \in \text{Odd}$ , such that  $x_1 \neq x_2$ . Then  $x_1 - 1 \neq x_2 - 1$ . So  $f(x_1) \neq f(x_2)$ .

$f$  is surjective: Let  $y \in \text{Even}$ . Then  $x = y + 1 \in \text{Odd}$  and  $f(x) = (y + 1) - 1 = y$ .

(b) Define  $g : \text{Even} \rightarrow \text{Odd}$  by setting

$$g(y) = y + 1, \text{ for all } y \in \text{Even}.$$

It is easy to check that

$$\begin{aligned}g(f(x)) &= x, \text{ for all } x \in \text{Odd}, \\f(g(y)) &= y, \text{ for all } y \in \text{Even}.\end{aligned}$$

So  $g = f^{-1}$ .

# Example

- Consider the function  $f : \mathbb{N}_5 \rightarrow \mathbb{N}_5$ , defined by  $f(x) = 2x \pmod{5}$ .
  - Show that  $f$  is a bijection.
  - Find the inverse function  $f^{-1}$ .

(a) Since the domain of  $f$  is a small finite set, we create a table of values:

<u><math>x</math></u>	<u><math>f(x)</math></u>	<u><math>x</math></u>	<u><math>f^{-1}(x)</math></u>
0	0	0	0
1	2	1	3
2	4	2	1
3	1	3	4
4	3	4	2

- $f$  is injective: No two elements share the same image.
- $f$  is surjective: The range is  $\mathbb{N}_5$ .

(b) The table on the right specifies  $f^{-1}$ . Note that  $f^{-1}(x) = 3x \pmod{5}$ .

## Subsection 4

### Infinite Sets

# Equipotence

- We say that two sets  $A$  and  $B$  have the **same size** or the **same cardinality** or are **equipotent**, denoted  $|A| = |B|$ , if there is a bijection between them.
- Formally,  $|A| = |B|$  if there is a function  $f : A \rightarrow B$  that is bijective.
- Example: Show that  $A = \{x^2 : x \in \mathbb{N} \text{ and } 1 \leq x^2 \leq 90\}$  and  $B = \{0, 1, \dots, 8\}$  are equipotent sets.

Note that  $A = \{1, 4, 9, \dots, 81\}$ .

Define a function  $f : B \rightarrow A$ , by setting

$$f(x) = (x + 1)^2, \text{ for all } x \in B.$$

$f$  is one-to-one and onto, so a bijection.

We conclude that  $|A| = |B|$ .

# Example

- Show that the set Even and the set Odd of even and odd natural numbers, respectively, have the same cardinality.

Consider the function  $f : \text{Even} \rightarrow \text{Odd}$ , defined by

$$f(x) = x + 1, \text{ for all } x \in \text{Even}.$$

- $f$  is injective: If  $x_1, x_2 \in \text{Even}$ , with  $x_1 \neq x_2$ , then  $x_1 + 1 \neq x_2 + 1$ . So  $f(x_1) \neq f(x_2)$ .
- $f$  is surjective: Let  $y \in \text{Odd}$ . Then  $x = y - 1 \in \text{Even}$  and

$$f(x) = f(y - 1) = y - 1 + 1 = y.$$

So  $f$  is surjective.

We conclude that  $f$  is a bijection. So  $|\text{Even}| = |\text{Odd}|$ .

# Example

- Show that the set Odd has the same cardinality with  $\mathbb{N}$ .
- Define  $f : \mathbb{N} \rightarrow \text{Odd}$  by setting

$$f(x) = 2x + 1, \text{ for all } x \in \mathbb{N}.$$

- $f$  is injective: Suppose  $x_1, x_2 \in \mathbb{N}$ , with  $f(x_1) = f(x_2)$ . Then  $2x_1 + 1 = 2x_2 + 1$ . Subtracting 1 from both sides and then dividing by 2, we get  $x_1 = x_2$ .
- $f$  is surjective: Let  $y \in \text{Odd}$ . Then  $x = \frac{y-1}{2} \in \mathbb{N}$  and

$$f(x) = f\left(\frac{y-1}{2}\right) = 2\frac{y-1}{2} + 1 = y.$$

We conclude that  $f$  is a bijection. So  $|\mathbb{N}| = |\text{Odd}|$ .

# Inequalities Between Cardinalities

- For two sets  $A$  and  $B$ , we say **the cardinality of  $A$  is less than or equal to the cardinality of  $B$** , written  $|A| \leq |B|$ ,

iff there is an injection  $f : A \rightarrow B$

iff there is a surjection  $g : B \rightarrow A$ .

- If there is an injection  $f : A \rightarrow B$  but no bijection between them, we write  $|A| < |B|$  and say that **the cardinality of  $A$  is less than the cardinality of  $B$** .
- Thus, the cardinality of  $A$  is less than the cardinality of  $B$  if:
  - $|A| \leq |B|$ ; and
  - $|A| \neq |B|$ .



# Countable and Uncountable Sets

- A set  $C$  is **countable** if it is finite or if  $|C| = |\mathbb{N}|$ .
- In the case  $|C| = |\mathbb{N}|$  we sometimes say that  $C$  is **countably infinite**.
- If a set is not countable, it is called **uncountable**.
- Example:  $\mathbb{N}$  is the fundamental example of a countably infinite set.
- **Important Properties:**
  - (a) Every subset of  $\mathbb{N}$  is countable.
  - (b) A set  $S$  is countable if and only if  $|S| \leq |\mathbb{N}|$ .
  - (c) Every subset of a countable set is countable.
  - (d) Any image of a countable set is countable.

# Cartesian Products of Countable Sets

- The set  $\mathbb{N} \times \mathbb{N}$  is countable.

We need to describe a bijection between  $\mathbb{N} \times \mathbb{N}$  and  $\mathbb{N}$ .

We arrange the elements of  $\mathbb{N} \times \mathbb{N}$  so that they can be counted.

In the following listing each row lists a sequence of tuples in  $\mathbb{N} \times \mathbb{N}$  followed by a corresponding sequence of natural numbers:

$$\begin{array}{ll} (0, 0) & \leftrightarrow 0 \\ (0, 1), (1, 0) & \leftrightarrow 1, 2 \\ (0, 2), (1, 1), (2, 0) & \leftrightarrow 3, 4, 5 \\ (0, 3), (1, 2), (2, 1), (3, 0) & \leftrightarrow 6, 7, 8, 9 \\ & \vdots \end{array}$$

This listing shows that we have a bijection between  $\mathbb{N} \times \mathbb{N}$  and  $\mathbb{N}$ .

Therefore,  $\mathbb{N} \times \mathbb{N}$  is countable.

# Countable Unions of Countable Sets

- If  $S_0, S_1, \dots, S_n, \dots$  is a sequence of countable sets, then the union  $S_0 \cup S_1 \cup \dots \cup S_n \cup \dots$  is a countable set.

Since each set  $S_n$  is countable, its elements can be listed (possibly with repetitions)  $x_{n0}, x_{n1}, x_{n2}, \dots$

So the elements of the union can be arranged as on the right.

$x_{00},$	$x_{01},$	$x_{02},$	$\dots$
$x_{10},$	$x_{11},$	$x_{12},$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$x_{i0},$	$x_{i1},$	$x_{i2},$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\vdots$

Thus, we have a function  $f : \mathbb{N} \times \mathbb{N} \rightarrow S_1 \cup S_2 \cup \dots$  defined by  $f(m, n) = x_{mn}$ .

This mapping is surjective since the array includes all elements in the union.

Therefore,  $S_1 \cup S_2 \cup \dots$  is the image of the countable set  $\mathbb{N} \times \mathbb{N}$ .

So it is itself countable.

# Countability of the Rationals

- We show that the set  $\mathbb{Q}$  of rational numbers is countable.

Let  $\mathbb{Q}^+$  denote the set of positive rational numbers.

We can represent  $\mathbb{Q}^+$  as the following set of fractions (with repetitions)

$$\mathbb{Q}^+ = \{m/n : m, n \in \mathbb{N} \text{ and } n \neq 0\}.$$

The function  $f : \mathbb{Q}^+ \rightarrow \mathbb{N} \times \mathbb{N}$ , defined by  $f(m/n) = (m, n)$  is an injection.

Since  $\mathbb{N} \times \mathbb{N}$  is countable, we conclude that  $\mathbb{Q}^+$  is countable.

Similarly, the set  $\mathbb{Q}^-$  of negative rational numbers is countable.

But  $\mathbb{Q} = \mathbb{Q}^+ \cup \{0\} \cup \mathbb{Q}^-$  is now the union of a sequence of countable sets.

So  $\mathbb{Q}$  is countable.

# Set of Strings over a Finite Alphabet

- If  $A$  is a finite alphabet, then the set  $A^*$  of all strings over  $A$  is countably infinite.

For each  $n \in \mathbb{N}$ , let  $A_n$  be the set of strings over  $A$  having length  $n$ .

It follows that  $A^*$  is the union of the sets  $A_0, A_1, \dots, A_n, \dots$

Since each set  $A_n$  is finite, we conclude that  $A^*$  is countable.

# Diagonalization: Uncountability of $(0, 1)$

- The set  $(0, 1)$  is uncountable.

Suppose  $(0, 1)$  is countable.

Then, its elements can be listed as

$$\begin{array}{rcl}
 r_0 & = & 0.d_{00}d_{01}d_{02}\dots \\
 r_1 & = & 0.d_{10}d_{11}d_{12}\dots \\
 r_2 & = & 0.d_{20}d_{21}d_{22}\dots \\
 & & \vdots
 \end{array}$$

$r_0, r_1, r_2, \dots$

Represent each number in decimal  $r_i = 0.d_{i0}d_{i1}d_{i2}\dots$

In this way we get the list:

Construct a new number  $s = 0.s_0s_1s_2\dots \in (0, 1)$  as follows:

$$s_i = \text{if } d_{ii} = 4 \text{ then } 5 \text{ else } 4.$$

$s \in (0, 1)$ , but  $s$  does not occur in the listing above since it differs from  $r_i$  in the  $i$ -th decimal place, for all  $i$

So the listing above does not exhaust all numbers in  $(0, 1)$ .

So  $(0, 1)$  is uncountable.

# Diagonalization: Uncountability of $\mathbb{N} \rightarrow \mathbb{N}$

- The set of functions  $\mathbb{N} \rightarrow \mathbb{N}$  is uncountable.

Assume, by way of contradiction, that the set is countable.

Then we can list all the functions of type  $\mathbb{N} \rightarrow \mathbb{N}$  as  $f_0, f_1, f_2, \dots$

Represent each function  $f_n$  as the sequence of its values  $(f_n(0), f_n(1), f_n(2), \dots)$ .

Define a function  $g : \mathbb{N} \rightarrow \mathbb{N}$  by

$$g(n) = \begin{cases} 1, & \text{if } f_n(n) = 2 \\ 2, & \text{if } f_n(n) \neq 2. \end{cases}$$

Then the sequence of values  $(g(0), g(1), g(2), \dots)$  is different from each of the sequences for the listed functions because  $g(n) \neq f_n(n)$  for each  $n$ .

It follows that  $f_0, f_1, f_2, \dots$  does not list all functions in  $\mathbb{N} \rightarrow \mathbb{N}$ , a contradiction.

# Diagonalization: Cantor's Theorem

- Let  $A$  be a set. Then

$$|A| < |\mathcal{P}(A)|.$$

To show this we must show that:

- (a)  $|A| \leq |\mathcal{P}(A)|$ , i.e., there is an injection from  $A$  to  $\mathcal{P}(A)$ ;
- (b)  $|A| \neq |\mathcal{P}(A)|$ , i.e., there is no bijection between  $A$  and  $\mathcal{P}(A)$ .

- (a) Let  $f : A \rightarrow \mathcal{P}(A)$  be defined by

$$f(a) = \{a\}, \text{ for all } a \in A.$$

$f$  is an injection:  $f(a) = f(a')$  implies  $\{a\} = \{a'\}$  implies  $a = a'$ .

- (b) Suppose  $g : A \rightarrow \mathcal{P}(A)$  is a bijection.

Consider the set  $D = \{a \in A : a \notin g(a)\} \in \mathcal{P}(A)$ .

Since  $g : A \rightarrow \mathcal{P}(A)$  is onto, there exists  $d \in A$ , such that  $g(d) = D$ .

- If  $d \in D$ , then  $d \notin g(d)$ , so  $d \notin D$ , contradiction.
- If  $d \notin D$ , then  $d \in g(d)$ , so  $d \in D$ , contradiction.



# Number of Programs

- The set of all programs in a programming language is countably infinite.

Consider each program as a finite string of symbols over a fixed finite alphabet  $A$ .

For example,  $A$  might consist of all characters that can be typed from a keyboard.

For each natural number  $n$ , let  $P_n$  denote the set of all programs that are strings of length  $n$  over  $A$ .

For example, the program `{print(4)}` is in  $P_{10}$  because it's a string of length 10.

So the set of all programs is the union of the sets  $P_0, P_1, \dots, P_n, \dots$

Since each  $P_n$  is finite, we get that the set of all programs is countable.

# Not Everything is Computable

- There are functions of type  $\mathbb{N} \rightarrow \mathbb{N}$  that cannot be computed by any computer program in a given programming language.

Note that:

- The set of all computer programs in a given language is countably infinite.
- The set of all functions in  $\mathbb{N} \rightarrow \mathbb{N}$  is uncountable.

We conclude that there exist functions of type  $\mathbb{N} \rightarrow \mathbb{N}$  that cannot be computed by any program in the given language.

- Over any finite alphabet, there are languages that cannot be decided by any computer program.

Again note that:

- The set of all computer programs in a given language is countably infinite.
- The set of all languages in  $\mathcal{P}(A^*)$  is uncountable by Cantor's Theorem.

We conclude that there exist languages over  $A$  that cannot be decided by any program in the given language.