

# Introduction to Descriptive Complexity

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- 1 Inductive Definitions
  - Least Fixed Point
  - The Depth of Inductive Definitions
  - Iterating First Order Formulas

## Subsection 1

### Least Fixed Point

# Example

- A useful way to increase the power of first-order logic is to add the power to define new relations by induction.

**Example:** A relation that is not first-order expressible, but can be defined inductively, is transitive closure.

Recall the vocabulary  $\tau_g = \langle E^2, s, t \rangle$  of graphs.

We define the reflexive, transitive closure  $E^*$  of  $E$  as follows.

Let  $R$  be a binary relation variable.

Consider the formula

$$\varphi_{4,1}(R, x, y) \equiv x = y \vee \exists z(E(x, z) \wedge R(z, y)).$$

The formula  $\varphi_{4,1}$  formalizes an inductive definition of  $E^*$ .

This may be more suggestively written as

$$E^*(x, y) \equiv x = y \vee \exists z(E(x, z) \wedge E^*(z, y)).$$

## Example (Monotonicity)

- For any structure  $\mathcal{A}$  with vocabulary  $\tau_g$ ,  $\varphi_{4,1}$  induces a map from binary relations on the universe of  $\mathcal{A}$  to binary relations on the universe of  $\mathcal{A}$ ,

$$\varphi_{4,1}^{\mathcal{A}}(R) = \{\langle a, b \rangle : \mathcal{A} \models \varphi_{4,1}(R, a, b)\}.$$

- Such a map is called **monotone** if for all  $R, S$ ,

$$R \subseteq S \Rightarrow \varphi^{\mathcal{A}}(R) \subseteq \varphi^{\mathcal{A}}(S).$$

- Note that the relation symbol  $R$  appears only positively in  $\varphi_{4,1}$ , i.e., within an even number of negation symbols.
- It follows that  $\varphi_{4,1}^{\mathcal{A}}$  is monotone.

# Example (Least Fixed Point)

- Let  $(\varphi_{4,1}^{\mathcal{A}})^r$  denote  $\varphi_{4,1}^{\mathcal{A}}$  iterated  $r$  times.
- If  $\mathcal{A}$  any graph, and  $r \geq 0$ , observe that:

$$\begin{aligned}
 (\varphi_{4,1}^{\mathcal{A}})(\emptyset) &= \{\langle a, b \rangle \in |\mathcal{A}|^2 : \text{distance}(a, b) \leq 0\}; \\
 (\varphi_{4,1}^{\mathcal{A}})^2(\emptyset) &= \{\langle a, b \rangle \in |\mathcal{A}|^2 : \text{distance}(a, b) \leq 1\}; \\
 &\vdots \\
 (\varphi_{4,1}^{\mathcal{A}})^r(\emptyset) &= \{\langle a, b \rangle \in |\mathcal{A}|^2 : \text{distance}(a, b) \leq r - 1\}; \\
 &\vdots
 \end{aligned}$$

- Thus, for  $n = \|\mathcal{A}\|$ ,

$$(\varphi_{4,1}^{\mathcal{A}})^n(\emptyset) = E^* = \text{the least fixed point of } \varphi_{4,1}^{\mathcal{A}}.$$

- That is,  $(\varphi_{4,1}^{\mathcal{A}})^n(\emptyset)$  is the minimal relation  $T$ , with  $\varphi_{4,1}^{\mathcal{A}}(T) = T$ .

# Knaster-Tarski Theorem (Finite Version)

## Knaster-Tarski Theorem (Finite Version)

Let  $R$  be a new relation symbol of arity  $k$ . Let  $\varphi(R, x_1, \dots, x_k)$  be a monotone first-order formula. Then for any finite structure  $\mathcal{A}$ , the least fixed point of  $\varphi^{\mathcal{A}}$  exists. It is equal to  $(\varphi^{\mathcal{A}})^r(\emptyset)$ , where  $r$  is minimal, such that

$$(\varphi^{\mathcal{A}})^r(\emptyset) = (\varphi^{\mathcal{A}})^{r+1}(\emptyset).$$

Furthermore, letting  $n = \|\mathcal{A}\|$ , we have  $r \leq n^k$ .

- Consider the sequence

$$\emptyset \subseteq (\varphi^{\mathcal{A}})(\emptyset) \subseteq (\varphi^{\mathcal{A}})^2(\emptyset) \subseteq (\varphi^{\mathcal{A}})^3(\emptyset) \subseteq \dots$$

The containment follows because  $\varphi^{\mathcal{A}}$  is monotone.

# Knaster-Tarski Theorem (Cont'd)

- Suppose  $(\varphi^{\mathcal{A}})^{i+1}(\emptyset)$  strictly contains  $(\varphi^{\mathcal{A}})^i(\emptyset)$ .  
Then it must contain at least one new  $k$ -tuple from  $|\mathcal{A}|$ .  
But there are at most  $n^k$  such  $k$ -tuples.  
So, for some  $r \leq n^k$ ,  $(\varphi^{\mathcal{A}})^r(\emptyset) = (\varphi^{\mathcal{A}})^{r+1}(\emptyset)$ .  
This shows that  $(\varphi^{\mathcal{A}})^r(\emptyset)$  is a fixed point of  $\varphi^{\mathcal{A}}$ .  
Let  $S$  be any other fixed point of  $\varphi^{\mathcal{A}}$ .  
We show by induction that  $(\varphi^{\mathcal{A}})^i(\emptyset) \subseteq S$ , for all  $i$ .  
The base case is that,  $(\varphi^{\mathcal{A}})^0(\emptyset) = \emptyset \subseteq S$ .  
Inductively, suppose that  $(\varphi^{\mathcal{A}})^i(\emptyset) \subseteq S$ .  
Since  $\varphi^{\mathcal{A}}$  is monotone,

$$(\varphi^{\mathcal{A}})^{i+1}(\emptyset) = \varphi^{\mathcal{A}}((\varphi^{\mathcal{A}})^i(\emptyset)) \subseteq \varphi^{\mathcal{A}}(S) = S.$$

Thus,  $(\varphi^{\mathcal{A}})^r(\emptyset) \subseteq S$ .

So  $(\varphi^{\mathcal{A}})^r(\emptyset)$  is the least fixed point of  $\varphi^{\mathcal{A}}$ .



# Least Fixed Point Operator

- If  $R$  occurs only positively in  $\varphi$ , i.e., within an even number of negation symbols, then  $\varphi$  is monotone.
- The theorem tells us that any  $R$ -positive formula  $\varphi(R^k, x_1, \dots, x_k)$  determines a least fixed point relation.
- We denote this least fixed point by

$$(\text{LFP}_{R^k x_1 \dots x_k} \varphi).$$

- The least fixed point operator (LFP), thus, formalizes the definition of new relations by induction.
- The subscript " $R^k x_1 \dots x_k$ " explicitly tells us which relation and domain variables we are taking the fixed point with respect to.
- When the choice of variables is clear, these subscripts may be omitted.

# Example

- Recall the formula

$$\varphi_{4,1}(R, x, y) \equiv x = y \vee \exists z(E(x, z) \wedge R(z, y)).$$

The expression

$$(\text{LFP}_{Rxy}\varphi_{4,1})$$

denotes the reflexive, transitive closure of the edge relation  $E$ .

Thus, the boolean query REACH is expressible as

$$\text{REACH} \equiv (\text{LFP}_{Rxy}\varphi_{4,1})(s, t).$$

# Language of First Order Inductive Definitions

## Definition

Define FO(LFP), the **language of first-order inductive definitions**, by adding a least fixed point operator (LFP) to first-order logic.

For  $\varphi(R^k, x_1, \dots, x_k)$  an  $R^k$ -positive formula in FO(LFP),

$$(\text{LFP}_{R^k x_1 \dots x_k} \varphi)$$

may be used as a new  $k$ -ary relation symbol.

Then, in a given structure,

$$(\text{LFP}_{R^k x_1 \dots x_k} \varphi)$$

denotes the least fixed point of the relation expressed by  $\varphi$ .

# Example

- We defined boolean query  $\text{REACH}_a$  to be the set of graphs having an alternating path from  $s$  to  $t$ .
- We now give a first-order inductive definition of the alternating path property  $P_a$ .
- Consider the  $P^2$ -positive formula

$$\varphi_{ap} \equiv x = y \vee [(\exists z)(E(x, z) \wedge P(z, y)) \wedge (A(x) \rightarrow (\forall z)(E(x, z) \rightarrow P(z, y)))].$$

- Thus, we have  $P_a = (\text{LFP}_{P_{xy}} \varphi_{ap})$ .
- Moreover,

$$\text{REACH}_a = (\text{LFP}_{P_{xy}} \varphi_{ap})(s, t).$$

# FO(LFP) and Polynomial-Time Boolean Queries

- Recall that  $\text{REACH}_a$  is complete for P via first-order reductions.
- It follows from the preceding example and the following proposition that FO(LFP) contains all polynomial time boolean queries.

## Proposition

FO(LFP) is closed under first-order reductions.

- Let  $Q$  be a  $k$ -ary first-order query and  $\Phi \in \text{FO(LFP)}$ .

We must show that  $\widehat{Q}(\Phi) \in \text{FO(LFP)}$ .

This follows from the observation that

$$\widehat{Q}(\text{LFP}_{R^a x_1 \dots x_a} \alpha) \equiv (\text{LFP}_{R^{ka} x_1^1 \dots x_1^k \dots x_a^1 \dots x_a^k} \widehat{Q}(\alpha)).$$

# Notational Convention

- Suppose  $\varphi(S)$  is a formula in which occurs the  $n$ -ary relation symbol  $S$ , perhaps among other relation symbols.
- Suppose  $U$  is an  $(m + n)$ -ary relation symbol.
- Suppose, also, that  $\bar{t}$  is an  $m$ -tuple of constants (or, more generally, of terms).
- Then the notation

$$\varphi(\{\bar{u} : U(\bar{t}, \bar{u})\})$$

denotes the result of replacing each occurrence of  $S(\bar{v})$  in  $\varphi(S)$  by  $U(\bar{t}, \bar{v})$ .

# Simultaneous Induction

- Sometimes it is convenient to use *simultaneous induction* to define several relations.
- Let  $S$  and  $T$  be new relation symbols, with arities  $r_0$  and  $r_1$ .
- Fix variables  $\bar{y}$  and  $\bar{x}$ , such that

$$|\bar{y}| = r_0 = \text{arity}(S) \quad \text{and} \quad |\bar{x}| = r_1 = \text{arity}(T).$$

- Consider first order formulas

$$\psi(\bar{y}, S, T) \quad \text{and} \quad \varphi(\bar{x}, S, T),$$

which are positive in  $S$  and  $T$ .

- For any structure  $\mathcal{A}$ , we define the relations  $I_0^\omega$  and  $I_1^\omega$ , by simultaneous induction.

# Simultaneous Induction (Cont'd)

- The definition proceeds as follows.

$$I_0^0 = I_1^0 = \emptyset;$$

$$\bar{a} \in I_0^n \Leftrightarrow \mathcal{A} \models \psi(\bar{a}, I_0^{n-1}, I_1^{n-1});$$

$$\bar{b} \in I_1^n \Leftrightarrow \mathcal{A} \models \varphi(\bar{b}, I_0^{n-1}, I_1^{n-1});$$

$$I_b^\omega = \bigcup_{n=1}^{\infty} I_b^n, \quad b = 0, 1.$$

- We show that both  $I_0^\omega$  and  $I_1^\omega$  are expressible in FO(LFP).
- For simplicity, suppose there exist distinct constants  $c_0 \neq c_1$ .
- Choose sequences  $\bar{c}$  and  $\bar{d}$  of elements of  $|\mathcal{A}|$  of the same length as  $\bar{x}$  and  $\bar{y}$ , respectively.
- We define a single new relation  $U$  of arity  $1 + r_0 + r_1$ , such that:
  - $U(c_0, \bar{y}, \bar{c})$  refers to  $S(\bar{y})$ ;
  - $U(c_1, \bar{d}, \bar{x})$  refers to  $T(\bar{x})$ .



# Simultaneous Induction (Cont'd)

- Define a formula

$$\chi(z, \bar{y}, \bar{x}, U) \equiv (z = c_0 \wedge \psi(\bar{y}, \{\bar{y}' : U(c_0, \bar{y}', \bar{c})\}, \{\bar{x}' : U(c_1, \bar{d}, \bar{x}')\})) \vee (z = c_1 \wedge \varphi(\bar{x}, \{\bar{y}' : U(c_0, \bar{y}', \bar{c})\}, \{\bar{x}' : U(c_1, \bar{d}, \bar{x}')\})).$$

- We claim that, for every  $n$ ,

$$\bar{y} \in I_0^n \Leftrightarrow (c_0, \bar{y}, \bar{c}) \in I_\chi^n,$$

$$\bar{x} \in I_1^n \Leftrightarrow (c_1, \bar{d}, \bar{x}) \in I_\chi^n.$$

- We use induction on  $n$  simultaneously for both equivalences, e.g.:

$$\bar{y} \in I_0^n \Leftrightarrow \psi(\bar{y}, I_0^{n-1}, I_1^{n-1}) \quad (\text{by definition})$$

$$\Leftrightarrow \chi(c_0, \bar{y}, \bar{c}, \{(c_0, \bar{y}', \bar{c}) : \bar{y}' \in I_0^{n-1}\} \cup \{(c_1, \bar{d}, \bar{x}') : \bar{x}' \in I_1^{n-1}\})$$

(by the definition of  $\chi$ )

$$\Leftrightarrow \chi(c_0, \bar{y}, \bar{c}, I_\chi^{n-1}) \quad (\text{by the induction hypothesis})$$

$$\Leftrightarrow (c_0, \bar{y}, \bar{c}) \in I_\chi^n. \quad (\text{by definition of } I_\chi^n)$$

# FO(LFP)=P

## Theorem

Over finite, ordered structures,  $\text{FO(LFP)} = \text{P}$ .

( $\subseteq$ ) Let  $\mathcal{A}$  be an input structure, with  $n = \|\mathcal{A}\|$ .

Let  $(\text{LFP}_{R_{x_1 \dots x_k}} \varphi)$  be a fixed-point formula.

We know that this fixed point evaluated on  $\mathcal{A}$  is  $(\varphi^{\mathcal{A}})^{n^k}(\emptyset)$ .

This amounts to evaluating the first-order query  $\varphi$  at most  $n^k$  times.

We saw that first-order queries may be evaluated in L.

Thus, they are easily in P.

( $\supseteq$ )  $\text{FO(LFP)}$  includes query  $\text{REACH}_a$ .

We know  $\text{REACH}_a$  is complete for  $P$  via first order reductions.

We also know  $\text{FO(LFP)}$  is closed under first-order reductions.

So  $\text{FO(LFP)}$  includes all polynomial-time queries.

## Remark: The Role of Ordering

- The use of ordering in the theorem is required in the proof that  $\text{REACH}_a$  is complete via  $\leq_{f_0}$ .
- Stripped of its numeric relations, including ordering,  $\text{FO}(\text{LFP})$  does not describe all polynomial-time properties.
- E.g., we will see that it cannot even express the parity of its universe.

# Normal Form in FO(LFP)

## Corollary

Let  $\varphi$  be any formula in the language FO(LFP). There exists a first-order formula  $\psi$  and a tuple of constants  $\bar{c}$ , such that over finite, ordered structures,

$$\varphi \equiv (\text{LFP}\psi)(\bar{c}).$$

- The completeness of  $\text{REACH}_a$  for  $P$  means that every polynomial-time query is expressible as  $\widehat{Q}(\text{REACH}_a)$  for some first-order query  $Q$ . In a previous example we saw that  $\text{REACH}_a = (\text{LFP}\varphi_{ap})(s, t)$ . Thus, an arbitrary polynomial-time query is expressible as

$$\widehat{Q}(\text{REACH}_a) = (\text{LFP}\widehat{Q}(\varphi_{ap}))\widehat{Q}(s, t).$$

Now recall the first-order reductions used in a previous theorem. They replace the constants  $s$  and  $t$  by  $k$ -tuples in 0 and max. So the form of the displayed equation is as claimed.

## Subsection 2

# The Depth of Inductive Definitions

# The Depth of Inductive Definitions

- The number of iterations until an inductive definition closes is called its **depth**.

## Definition

Let  $\varphi(R, x_1, \dots, x_k)$  be an  $R$ -positive formula, where  $R$  is a relation symbol of arity  $k$ , and let  $\mathcal{A}$  be a structure of size  $n$ . Define the **depth of  $\varphi$  in  $\mathcal{A}$** , in symbols  $|\varphi^{\mathcal{A}}|$ , to be the minimum  $r$  such that

$$\mathcal{A} \models (\varphi^r(\emptyset) \leftrightarrow \varphi^{r+1}(\emptyset)).$$

We saw that  $|\varphi^{\mathcal{A}}| \leq n^k$ . Define the **depth of  $\varphi$**  as a function of  $n$  to be the maximum depth of  $\varphi$  in  $\mathcal{A}$  for any structure  $\mathcal{A}$  of size  $n$ ,

$$|\varphi|(n) = \max_{\|\mathcal{A}\|=n} \{|\varphi^{\mathcal{A}}|\}.$$

# Remarks

- The inductive definition  $\varphi_{4,1}$  given by

$$\varphi_{4,1}(R, x, y) \equiv x = y \vee \exists z(E(x, z) \wedge R(z, y))$$

has depth  $|\varphi|(n) = n$ .

- However, the following alternate inductive definition of  $E^*$  has depth  $|\varphi_{tc}|(n) = \lceil \log n \rceil + 1$ :

$$\varphi_{tc}(R, x, y) \equiv x = y \vee E(x, y) \vee \exists z(R(x, z) \wedge R(z, y)).$$

- In computer science, the depth of an inductive definition corresponds to the depth of the stack needed to evaluate a recursive definition.
- This is the same as the depth of nesting of recursive calls.
- We will see that this also corresponds to the parallel time needed to evaluate such a recursive definition.

# The Language $\text{IND}[f(n)]$

## Definition

Let  $\text{IND}[f(n)]$  be the sublanguage of  $\text{FO}(\text{LFP})$  in which only fixed points of first-order formulas  $\varphi$  for which  $|\varphi|$  is  $O[f(n)]$  are included.

- Recall that REACH is expressible as  $(\text{LFP}_{Rxy}\varphi_{tc})$ .
- It follows, by the definition, that

$$\text{REACH} \in \text{IND}[\log n].$$

- Note also that,

$$\text{FO}(\text{LFP}) = \bigcup_{k=1}^{\infty} \text{IND}[n^k].$$



# NL and $\text{IND}[\log(n)]$

## Proposition

$\text{NL} \subseteq \text{IND}[\log n]$ .

- The statement is a consequence of the following facts:
  - $\text{REACH} \in \text{IND}[\log n]$ ;
  - $\text{REACH}$  is complete for  $\text{NL}$  via first-order reductions;
  - $\text{IND}[\log n]$  is closed under first-order reductions.

# Numerical Relations and Inductive Depth

- It can be shown that the numeric relations BIT, PLUS and TIMES are all definable in  $\text{IND}(\text{woBIT})[\log n]$ , that is, via first-order inductive definitions that use only the numeric relation  $\leq$ .
- So the descriptive class  $\text{IND}[\log n]$  is somewhat more robust than  $\text{IND}[0] = \text{FO}$  and has a more general definition.
- It turns out that, generally, the more powerful the language, the less important exactly which numeric relations are included.

## Proposition

Relation BIT is definable by a depth  $\log n$  induction just from  $\leq$ ,

$$\text{BIT} \in \text{IND}(\text{woBIT})[\log n].$$

- This is proved by showing that, first PLUS and then MULT are definable in  $\text{IND}(\text{woBIT})[\log n]$ .

Then use the fact that BIT is definable in terms of PLUS and TIMES.

## Subsection 3

# Iterating First Order Formulas

# Normal Form for Inductive Definitions

- Recall the notation:
  - $(\forall x.M)\psi$  meaning  $(\forall x)M \rightarrow \psi$ ;
  - $(\exists x.M)\psi$  meaning  $(\exists x)M \wedge \psi$ .

## Lemma

Let  $\varphi$  be an  $R$ -positive first-order formula. Then  $\varphi$  can be written in the following form,

$$\varphi(R, x_1, \dots, x_k) \equiv (Q_1 z_1. M_1) \cdots (Q_s z_s. M_s) (\exists x_1 \dots x_k. M_{s+1}) R(x_1, \dots, x_k),$$

where the  $M_i$ 's are quantifier-free formulas in which  $R$  does not occur.

- By induction on the complexity of  $\varphi$ .  
Assume that all negations have been pushed all the way inside.

# Normal Form for Inductive Definitions (Cont'd)

- There are two base cases.
  - Suppose, first,  $\varphi \equiv R(v_1, \dots, v_k)$ .

Then

$$\begin{aligned}\varphi &\equiv (\exists z_1, \dots, z_k. M_1)(\exists x_1, \dots, x_k. M_2)R(x_1, \dots, x_k), \\ M_1 &\equiv z_1 = v_1 \wedge \dots \wedge z_k = v_k; \\ M_2 &\equiv x_1 = z_1 \wedge \dots \wedge x_k = z_k.\end{aligned}$$

- Suppose, next,  $\varphi$  is quantifier free and  $R$  does not occur in  $\varphi$ .  
Then

$$\varphi \equiv (\forall z. \neg \varphi)(\exists x_1, \dots, x_k. x_1 \neq x_1)R(x_1, \dots, x_k).$$

- In the inductive cases  $\varphi = (\exists v)\psi$  and  $\varphi = (\forall v)\psi$ , we simply put the new quantifier  $(\exists v)$  in front of the quantifier block for  $\psi$ .

# Normal Form for Inductive Definitions (Cont'd)

- The remaining cases for  $\wedge$  and  $\vee$  are similar to each other.

Suppose that  $\varphi = \alpha \wedge \beta$  and

$$\begin{aligned}\alpha &\equiv (Q_1 y_1 . N_1) \cdots (Q_t y_t . N_t) (\exists x_1 \dots x_k . N_{t+1}) R(x_1, \dots, x_k); \\ \beta &\equiv (Q_1 z_1 . M_1) \cdots (Q_s z_s . M_s) (\exists x_1 \dots x_k . M_{s+1}) R(x_1, \dots, x_k),\end{aligned}$$

where we may assume that the  $y$ 's and  $z$ 's are disjoint.

Let

$$\begin{aligned}QB_1 &\equiv (Q_1 y_1 . N'_1) \cdots (Q_t y_t . N'_t), \\ QB_2 &\equiv (Q_1 z_1 . M'_1) \cdots (Q_s z_s . M'_s),\end{aligned}$$

where,  $N'_i \equiv N_i \vee b = 1$  and  $M'_i \equiv M_i \vee b = 0$ .

# Normal Form for Inductive Definitions (Cont'd)

- Let  $\psi(\bar{u}/\bar{x})$  denote the formula  $\psi$  with variables  $u_1, \dots, u_k$  substituted for  $x_1, \dots, x_k$ .

Define the quantifier-free formulas,

$$S \equiv (b = 0 \wedge N_{t+1}(\bar{u}/\bar{x})) \vee (b = 1 \wedge M_{s+1}(\bar{u}/\bar{x})),$$

$$T \equiv (u_1 = x_1 \wedge \dots \wedge u_k = x_k).$$

Recall that  $\text{bool}(b)$  means that  $b = 0$  or  $b = 1$ .

We can now write

$$\varphi \equiv (\forall b.\text{bool}(b))(QB_1)(QB_2)(\exists \bar{u}.S)(\exists \bar{x}.T)R(x_1, \dots, x_k).$$

# Remarks on Requantification

- In  $(Q_1 z_1. M_1) \cdots (Q_s z_s. M_s) (\exists x_1 \dots x_k. M_{s+1}) R(x_1, \dots, x_k)$ , the requantification of the  $x_i$ 's means that these variables may occur free in  $M_1, \dots, M_s$ , but they are bound in  $M_{s+1}$  and  $R(x_1, \dots, x_k)$ .
- The same variables may now be requantified.
- Let us write  $QB$  to denote the quantifier block

$$(Q_1 z_1. M_1) \cdots (Q_s z_s. M_s) (\exists x_1 \dots x_k. M_{s+1}).$$

- Thus, in particular, for any structure  $\mathcal{A}$ , and any  $r \in \mathbb{N}$ ,

$$\mathcal{A} \models ((\varphi^{\mathcal{A}})^r(\emptyset)) \leftrightarrow ([QB]^r \mathbf{false}).$$

- Here  $[QB]^r$  means  $QB$  literally repeated  $r$  times.
- It follows that, if  $t = |\varphi|(n)$  and  $\mathcal{A}$  is any structure of size  $n$ , then

$$\mathcal{A} \models (\text{LFP}\varphi) \leftrightarrow ([QB]^t \mathbf{false}).$$



# Example: Normal Form of the Transitive Closure

- Recall the definition of transitive closure:

$$\varphi_{tc}(R, x, y) \equiv x = y \vee E(x, y) \vee (\exists z)(R(x, z) \wedge R(z, y)).$$

- First, code the base case using a dummy universal quantification,

$$\begin{aligned}\varphi_{tc}(R, x, y) &\equiv (\forall z.M_1)(\exists z)(R(x, y) \wedge R(z, y)), \\ M_1 &\equiv \neg(x = y \vee E(x, y)).\end{aligned}$$

- There are no free occurrences of  $z$  within the scope of  $(\forall z.M_1)$ .
- Next, use universal quantification to replace the two occurrences of  $R$  with a single one:

$$\begin{aligned}\varphi_{tc}(R, x, y) &\equiv (\forall z.M_1)(\exists z)(\forall uv.M_2)R(u, v), \\ M_2 &\equiv (u = x \wedge v = z) \vee (u = z \wedge v = y).\end{aligned}$$

- Finally, requantify  $x$  and  $y$ :

$$\begin{aligned}\varphi_{tc}(R, x, y) &\equiv (\forall z.M_1)(\exists z)(\forall uv.M_2)(\exists xy.M_3)R(x, y), \\ M_3 &\equiv (x = u \wedge y = v).\end{aligned}$$

# Syntactic Uniformity of the Definition of REACH

- Define the quantifier block,

$$QB_{tc} \equiv (\forall z.M_1)(\exists z)(\forall uv.M_2)(\exists xy.M_3).$$

- We showed that an application of the operator  $\varphi_{tc}$  corresponds exactly to the writing of  $QB_{tc}$ ,  $\varphi_{tc}(R, x, y) \equiv [QB_{tc}]R(x, y)$ .
- It follows that for any  $r$ ,

$$\varphi_{tc}^r(\emptyset) \equiv [QB_{tc}]^r(\mathbf{false}).$$

- We have thus demonstrated a syntactic uniformity for the inductive definition of REACH.
- For any structure  $\mathcal{A} \in \text{STRUC}[\tau_g]$ ,

$$\begin{aligned} \mathcal{A} \in \text{REACH} &\Leftrightarrow \mathcal{A} \models (\text{LFP}_{\varphi_{tc}})(s, t) \\ &\Leftrightarrow \mathcal{A} \models ([QB_{tc}]^{1+\log \|\mathcal{A}\|})\mathbf{false}(s/x, t/y). \end{aligned}$$

# Introducing the Class $\text{FO}[t(n)]$

- We now define  $\text{FO}[t(n)]$  to be the set of properties defined by quantifier blocks iterated  $t(n)$  times.
- This is the same as being iterated  $O(t(n))$  times since a quantifier block may be any constant size.
- Such expressions grow as a function of the size of their inputs.
- On the other hand, the number of variables is a fixed constant.

# The Class $\text{FO}[t(n)]$

## Definition

A set  $S \subseteq \text{STRUC}[\tau]$  is a member of  $\text{FO}[t(n)]$  if there exist:

- Quantifier free formulas  $M_i$ ,  $0 \leq i \leq k$ , from  $\mathcal{L}(\tau)$ ;
- A tuple  $\bar{c}$  of constants;
- A quantifier block  $QB = [(Q_1 x_1. M_1) \cdots (Q_k x_k. M_k)]$ ,

such that, for all  $\mathcal{A} \in \text{STRUC}[\tau]$ ,

$$\mathcal{A} \in S \Leftrightarrow \mathcal{A} \models ([QB]^{t(\|\mathcal{A}\|)} M_0)(\bar{c}/\bar{x}).$$

- The reason for the substitution of constants is that the quantifier block  $QB$  may contain some free variables that must be substituted for to build a sentence.

# Inductive Definitions and Number of Iterations

- Combining the normal form lemma with the preceding definition, we obtain

## Lemma

For all  $t(n)$  and all classes of finite structures,

$$\text{IND}[t(n)] \subseteq \text{FO}[t(n)].$$

- A converse of this lemma also holds.
- The proof is described in the next chapter.