

# Fields and Galois Theory

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## Subsection 1

# Monomorphisms between Fields

# The Vector Space $\mathcal{M}$

- Let  $K$  be a field and let  $S$  be a non-empty set.
- Let  $\mathcal{M}$  be the set of mappings from  $S$  into  $K$ .
- If  $\theta, \varphi \in \mathcal{M}$ , then  $\theta + \varphi$ , defined by

$$(\theta + \varphi)(s) = \theta(s) + \varphi(s), \quad s \in S,$$

is a mapping from  $S$  into  $K$ , and so belongs to  $\mathcal{M}$ .

- If  $\theta \in \mathcal{M}$  and  $a \in K$ , then  $a\theta$ , defined by

$$(a\theta)(s) = a\theta(s), \quad s \in S,$$

belongs to  $\mathcal{M}$ .

- $\mathcal{M}$  is a vector space with respect to these two operations.
- The zero vector in  $\mathcal{M}$  is the mapping  $\zeta$  given by

$$\zeta(s) = 0, \quad s \in S.$$

- We denote the mapping  $\zeta$  simply by  $0$ , since the context makes it clear whether we mean the zero element of  $K$  or the mapping  $\zeta$ .

# Linear Independence in $\mathcal{M}$

- A set  $\{\theta_1, \theta_2, \dots, \theta_n\}$  of elements of  $\mathcal{M}$  is **linearly independent** if, for all  $a_1, a_2, \dots, a_n$  in  $K$ ,

$$a_1\theta_1(s) + a_2\theta_2(s) + \cdots + a_n\theta_n(s) = 0,$$

for all  $s$  in  $S$ , if and only if  $a_1 = a_2 = \cdots = a_n = 0$ .

- More compactly, we can write the condition as

$$a_1\theta_1 + a_2\theta_2 + \cdots + a_n\theta_n = 0 \text{ (strictly, } \zeta) \text{ iff } a_1 = a_2 = \cdots = a_n = 0.$$

# Linear Independence of Field Monomorphisms

## Theorem

Let  $K$  and  $L$  be fields, and let  $\theta_1, \theta_2, \dots, \theta_n$  be distinct monomorphisms from  $K$  into  $L$ . Then  $\{\theta_1, \theta_2, \dots, \theta_n\}$  is a linearly independent set in the vector space  $\mathcal{M}$  of all mappings from  $K$  into  $L$ .

- We prove the theorem by induction on  $n$ .

For  $n = 1$ : By hypothesis,  $\theta_1$  is a monomorphism. Thus, it maps the identity 1 of  $K$  to the identity 1 of  $L$ . So it is not the zero mapping.

Assume that we have established that every set of fewer than  $n$  distinct monomorphisms of  $K$  into  $L$  is linearly independent.

Suppose, for a contradiction, that there exist  $a_1, a_2, \dots, a_n$  in  $L$ , not all zero, such that  $a_1\theta_1 + a_2\theta_2 + \dots + a_n\theta_n = 0$ . We may assume that all  $a_i$  are non-zero: If, e.g.,  $a_n = 0$ , then  $\{\theta_1, \theta_2, \dots, \theta_{n-1}\}$  is linearly dependent, contradicting the induction hypothesis.

# Linear Independence of Field Monomorphisms (Cont'd)

- Dividing by  $a_n$ , gives

$$b_1\theta_1 + \cdots + b_{n-1}\theta_{n-1} + \theta_n = 0,$$

where  $b_i = \frac{a_i}{a_n}$  ( $i = 1, 2, \dots, n-1$ ). The monomorphisms  $\theta_1$  and  $\theta_n$  are by assumption distinct. So there exists  $u$  in  $K$ , with  $\theta_1(u) \neq \theta_n(u)$ .

The element  $u$  is certainly non-zero, as are both  $\theta_1(u)$  and  $\theta_n(u)$ .

For every  $z$  in  $K$ ,  $b_1\theta_1(uz) + \cdots + b_{n-1}\theta_{n-1}(uz) + \theta_n(uz) = 0$ .

But  $\theta_1, \theta_2, \dots, \theta_n$  are monomorphisms.

So  $b_1\theta_1(u)\theta_1(z) + \cdots + b_{n-1}\theta_{n-1}(u)\theta_{n-1}(z) + \theta_n(u)\theta_n(z) = 0$ .

Dividing this by  $\theta_n(u)$ , we get, for all  $z$  in  $K$ ,

$$b_1 \frac{\theta_1(u)}{\theta_n(u)} \theta_1(z) + \cdots + b_{n-1} \frac{\theta_{n-1}(u)}{\theta_n(u)} \theta_{n-1}(z) + \theta_n(z) = 0.$$

Rewriting as an equation concerning mappings gives

$$b_1 \frac{\theta_1(u)}{\theta_n(u)} \theta_1 + \cdots + b_{n-1} \frac{\theta_{n-1}(u)}{\theta_n(u)} \theta_{n-1} + \theta_n = 0.$$

## Linear Independence of Field Monomorphisms (Conclusion)

- Subtracting the bottom from the top equation, we obtain

$$b_1 \left( 1 - \frac{\theta_1(u)}{\theta_n(u)} \right) \theta_1 + \cdots + b_{n-1} \left( 1 - \frac{\theta_{n-1}(u)}{\theta_n(u)} \right) \theta_{n-1} = 0.$$

Our choice of  $u$  as an element such that  $\theta_1(u) \neq \theta_n(u)$  means that the coefficient of  $\theta_1$  is non-zero. Thus, the set  $\{\theta_1, \theta_2, \dots, \theta_{n-1}\}$  is linearly dependent. This contradicts the induction hypothesis.

- The set of monomorphisms from  $K$  into  $L$  is not a subspace of the vector space  $\mathcal{M}$ .

Suppose  $\theta_1$  and  $\theta_2$  are monomorphisms.

Let  $1_K$  and  $1_L$  be the identities of  $K$  and  $L$ .

$$(\theta_1 + \theta_2)(1_K) = \theta_1(1_K) + \theta_2(1_K) = 1_L + 1_L \neq 1_L.$$

So  $\theta_1 + \theta_2$  is not a monomorphism.



## Subsection 2

# Automorphisms, Groups and Subfields

# The Group of Automorphisms of a Field

## Theorem

Let  $K$  be a field. Then the set  $\text{Aut}K$  of automorphisms of  $K$  forms a group under composition of mappings.

- Composition of mappings is always associative. For all  $x$  in  $K$  and all  $\alpha$ ,  $\beta$  and  $\gamma$  in  $\text{Aut}K$ ,

$$\begin{aligned}[(\alpha \circ \beta) \circ \gamma](x) &= (\alpha \circ \beta)[\gamma(x)] = \alpha(\beta(\gamma(x))); \\ [\alpha \circ (\beta \circ \gamma)](x) &= \alpha([\beta \circ \gamma](x)) = \alpha(\beta(\gamma(x))).\end{aligned}$$

There exists an identity automorphism  $\iota$  in  $\text{Aut}K$ , defined by the property that  $\iota(x) = x$ , for all  $x$  in  $K$ .

Clearly  $\iota \circ \alpha = \alpha \circ \iota = \alpha$ , for all  $\alpha$  in  $\text{Aut}K$ .

# The Group of Automorphisms of a Field (Cont'd)

- Finally, for every automorphism  $\alpha$  in  $\text{Aut}K$ , there is an inverse mapping  $\alpha^{-1}$  defined by the property that

$$\alpha^{-1}(x) \text{ is the unique } z \text{ in } K \text{ such that } \alpha(z) = x.$$

This map is also an automorphism.

Let  $x, y \in K$ , and let  $\alpha^{-1}(x) = z$ ,  $\alpha^{-1}(y) = t$ . Then  $\alpha(z) = x$ ,  $\alpha(t) = y$ . So  $\alpha(z+t) = x+y$  and  $\alpha(zt) = xy$ . Hence,

$$\begin{aligned}\alpha^{-1}(x) + \alpha^{-1}(y) &= z + t = \alpha^{-1}(\alpha(z+t)) = \alpha^{-1}(x+y); \\ \alpha^{-1}(x)\alpha^{-1}(y) &= zt = \alpha^{-1}(\alpha(zt)) = \alpha^{-1}(xy).\end{aligned}$$

Thus,  $\alpha^{-1} \in G$ . Clearly,  $\alpha \circ \alpha^{-1} = \alpha^{-1} \circ \alpha = \iota$ .

Hence  $G$  is a group.

- $\text{Aut}K$  is called the **group of automorphisms** of  $K$ .

# The Galois Group of an Extension

- Let  $L$  be an extension of a field  $K$ .
- An automorphism  $\alpha$  of  $L$  is called a  **$K$ -automorphism** if  $\alpha(x) = x$ , for every  $x$  in  $K$ .
- The set of all  $K$ -automorphisms of  $L$  is denoted by  $\text{Gal}(L : K)$  and is called the **Galois group of  $L$  over  $K$** .
- The **Galois group  $\text{Gal}(f)$  of a polynomial  $f$**  in  $K[X]$  is defined as  $\text{Gal}(L : K)$ , where  $L$  is a splitting field of  $f$  over  $K$ .

# The Galois Group in the Automorphisms of the Extension

- Let  $L$  be an extension of a field  $K$ .
  - We have seen that  $\text{Aut}L$  is a group.
  - We show that  $\text{Gal}(L:K)$  is a subgroup of  $\text{Aut}L$ .

## Theorem

Let  $L:K$  be a field extension. The set  $\text{Gal}(L:K)$  of all  $K$ -automorphisms of  $L$  is a subgroup of  $\text{Aut}L$ .

- Certainly  $\iota \in \text{Gal}(L:K)$ .

Let  $\alpha, \beta \in \text{Gal}(L:K)$ . Then, for all  $x$  in  $K$ ,

$$\begin{aligned}\beta^{-1}(x) &= \beta^{-1}(\beta(x)) = x; \\ \alpha(\beta(x)) &= \alpha(x) = x.\end{aligned}$$

Thus,  $\text{Gal}(L:K)$  is a subgroup of  $\text{Aut}L$ .

# The Maps $\Gamma$ and $\Phi$

- We now connect the following objects:
  - The subfields  $E$  of  $L$  containing  $K$ ;
  - The subgroups  $H$  of the group  $\text{Gal}(L:K)$ .
- For every subfield  $E$  of  $L$  containing  $K$ , we define

$$\Gamma(E) = \{\alpha \in \text{Aut}L : \alpha(z) = z, \text{ for all } z \text{ in } E\}.$$

- For every subgroup  $H$  of  $\text{Gal}(L:K)$ , we define

$$\Phi(H) = \{x \in L : \alpha(x) = x, \text{ for all } \alpha \text{ in } H\}.$$

- We establish conditions on the extension  $L:K$  under which  $\Gamma$  and  $\Phi$  are mutually inverse.

# $\Gamma$ and $\Phi$ are Well-Defined

## Theorem

Let  $L : K$  be a field extension.

- (i) For every subfield  $E$  of  $L$  containing  $K$ , the set  $\Gamma(E)$  is a subgroup of  $\text{Gal}(L : K)$ .
- (ii) For every subgroup  $H$  of  $\text{Gal}(L : K)$ , the set  $\Phi(H)$  is a subfield of  $L$ , containing  $K$ .

- (i) Certainly  $\Gamma(E)$  is non-empty, since it contains  $\iota$ , the identity automorphism. Since  $K \subseteq E$ , every automorphism fixing all elements of  $E$  automatically fixes all elements of  $K$ . Hence,  $\Gamma(E) \subseteq \text{Gal}(L : K)$ . Let  $\alpha, \beta \in \Gamma(E)$ . Then, for all  $z$  in  $E$ ,

$$\alpha(\beta^{-1}(z)) = \alpha(\beta^{-1}(\beta(z))) = \alpha(z) = z.$$

So  $\alpha\beta^{-1} \in \Gamma(E)$ . Hence,  $\Gamma(E)$  is a subgroup.

## $\Gamma$ and $\Phi$ are Well-Defined

(ii) Every automorphism in  $\text{Gal}(L : K)$  fixes the elements of  $K$ .

Hence,  $K \subseteq \Phi(H)$ .

Let  $x, y \in \Phi(H)$ . Then, for all  $\alpha$  in  $H$ ,

$$\alpha(x - y) = \alpha(x) - \alpha(y) = x - y.$$

So  $x - y \in \Phi(H)$ .

If  $y \neq 0$ , then, for all  $\alpha$  in  $H$ ,

$$\alpha(xy^{-1}) = \alpha(x)\alpha(y^{-1}) = \alpha(x)(\alpha(y))^{-1} = xy^{-1}.$$

So  $xy^{-1} \in \Phi(H)$ .

Thus,  $\Phi(H)$  is a subfield of  $L$ .



# $\Gamma$ and $\Phi$ are Order-Reversing

## Theorem

Let  $L : K$  be a field extension.

(i) If  $E_1$  and  $E_2$  are subfields of  $L$  containing  $K$ , then

$$E_1 \subseteq E_2 \quad \text{implies} \quad \Gamma(E_1) \supseteq \Gamma(E_2).$$

(ii) If  $H_1$  and  $H_2$  are subgroups of  $\text{Gal}(L : K)$ , then

$$H_1 \subseteq H_2 \quad \text{implies} \quad \Phi(H_1) \supseteq \Phi(H_2).$$

(i) Suppose that  $E_1 \subseteq E_2$ , and let  $\alpha \in \Gamma(E_2)$ . Then  $\alpha$  fixes every element of  $E_2$ . So it fixes every element of  $E_1$ . Hence,  $\alpha \in \Gamma(E_1)$ .

(ii) Suppose that  $H_1 \subseteq H_2$ , and let  $z \in \Phi(H_2)$ . Then  $\alpha(z) = z$ , for every  $\alpha$  in  $H_2$ . So,  $\alpha(z) = z$ , for every  $\alpha$  in  $H_1$ . Hence  $z \in \Phi(H_1)$ .

# $\Gamma$ and $\Phi$ May Not Be Inverse Mappings

- Consider the extension  $\mathbb{Q}(u)$  of  $\mathbb{Q}$ , where  $u = \sqrt[3]{2}$ .  
Suppose  $\alpha \in \text{Gal}(\mathbb{Q}(u) : \mathbb{Q})$ .

Then

$$(\alpha(u))^3 = \alpha(u^3) = \alpha(2) = 2.$$

So, being real,  $\alpha(u)$  must be equal to  $u$ .

Hence,  $\text{Gal}(\mathbb{Q}(u) : \mathbb{Q})$  is the trivial group  $\{1\}$ .

Two mappings are mutually inverse only if they are both bijections.

Here, however, we have

$$\Gamma(\mathbb{Q}(u)) = \Gamma(\mathbb{Q}) = \{1\}.$$

To look at it another way, we have

$$\Phi(\Gamma(\mathbb{Q})) = \Phi(\{1\}) = \mathbb{Q}(u).$$

# $\Gamma$ and $\Phi$ May Be Inverse Mappings

- We describe the group  $\text{Gal}(\mathbb{C} : \mathbb{R})$ .

If  $\alpha \in \text{Gal}(\mathbb{C} : \mathbb{R})$ , then  $\alpha(x) = x$ , for all  $x$  in  $\mathbb{R}$ . Let  $\alpha(i) = j$ . Then  $j^2 = (\alpha(i))^2 = \alpha(i^2) = \alpha(-1) = -1$ . So  $j = \pm i$ .

- Suppose  $j = i$ . For all  $x + yi$  in  $\mathbb{C}$  (with  $x, y$  in  $\mathbb{R}$ ),  $\alpha(x + yi) = \alpha(x) + \alpha(y)\alpha(i) = x + yi$ . So  $\alpha = \iota$ .
- Suppose  $j = -i$ . Then  $\alpha(x + yi) = x - yi$ . This mapping fixes the elements of  $\mathbb{R}$ . We check that it is an automorphism.

$$\begin{aligned} \alpha((x + yi) + (u + vi)) &= \alpha((x + u) + (y + v)i) = (x + u) - (y + v)i \\ &= (x - yi) + (u - vi) = \alpha(x + yi) + \alpha(u + vi); \end{aligned}$$

$$\begin{aligned} \alpha((x + yi)(u + vi)) &= \alpha((xu - yv) + (xv + yu)i) = (xu - yv) - (xv + yu)i \\ &= (x - yi)(u - vi) = (\alpha(x + yi))(\alpha(u + vi)). \end{aligned}$$

We deduce that  $\text{Gal}(\mathbb{C} : \mathbb{R})$  is the group  $\{\iota, \kappa\}$  of order 2, where  $\kappa$  is the complex conjugation mapping sending  $x + yi$  to  $x - yi$ .

Moreover,  $[\mathbb{C} : \mathbb{R}] = 2$ , a prime number.

So there cannot be any subfields of  $\mathbb{C}$  lying between  $\mathbb{C}$  and  $\mathbb{R}$ .

We conclude that  $\Phi(\{\iota\}) = \mathbb{C}$  and  $\Phi(\{\iota, \kappa\}) = \mathbb{R}$ .

# Galois Group and Roots of Polynomials

## Theorem

Let  $K$  be a field, let  $L$  be an extension of  $K$ , and let  $z \in L \setminus K$ . If  $z$  is a root of a polynomial  $f$  with coefficients in  $K$ , and if  $\alpha \in \text{Gal}(L:K)$ , then  $\alpha(z)$  is also a root of  $f$ .

- Let  $f = a_0 + a_1X + \cdots + a_nX^n$ , where  $a_0, a_1, \dots, a_n \in K$ .

Suppose that  $f(z) = 0$ . Then

$$\begin{aligned} f(\alpha(z)) &= a_0 + a_1\alpha(z) + \cdots + a_n(\alpha(z))^n \\ &= \alpha(a_0) + \alpha(a_1)\alpha(z) + \cdots + \alpha(a_n)\alpha(z)^n \\ &= \alpha(a_0 + a_1z + \cdots + a_nz^n) \\ &= \alpha(0) \\ &= 0. \end{aligned}$$

# Example

- We describe the group  $\text{Gal}(\mathbb{Q}(\sqrt{2}, i\sqrt{3}) : \mathbb{Q})$  and, for each of its subgroups  $H$ , we determine  $\Phi(H)$ .

The elements of  $\mathbb{Q}(\sqrt{2}, i\sqrt{3})$  are of the form  $a + b\sqrt{2} + ci\sqrt{3} + di\sqrt{6}$ . By the theorem, if  $\alpha \in \text{Gal}(\mathbb{Q}(\sqrt{2}, i\sqrt{3}) : \mathbb{Q})$ , then  $\alpha(\sqrt{2}) = \pm\sqrt{2}$ ,  $\alpha(i\sqrt{3}) = \pm i\sqrt{3}$ . There are four elements in  $\text{Gal}(\mathbb{Q}(\sqrt{2}, i\sqrt{3}) : \mathbb{Q})$ , namely,  $\iota, \tau, \theta$  and  $\beta$ , where  $\iota$  is the identity map, and:

- $\tau(a + b\sqrt{2} + ci\sqrt{3} + di\sqrt{6}) = a - b\sqrt{2} + ci\sqrt{3} - di\sqrt{6}$ ;
- $\theta(a + b\sqrt{2} + ci\sqrt{3} + di\sqrt{6}) = a + b\sqrt{2} - ci\sqrt{3} - di\sqrt{6}$ ;
- $\beta(a + b\sqrt{2} + ci\sqrt{3} + di\sqrt{6}) = a - b\sqrt{2} - ci\sqrt{3} + di\sqrt{6}$ .

All four are  $\mathbb{Q}$ -automorphisms of  $\mathbb{Q}(\sqrt{2}, i\sqrt{3})$ .

The multiplication table is on the right.

The proper subgroups of this group are  $H_1 = \{\iota, \tau\}$ ,  $H_2 = \{\iota, \theta\}$  and  $H_3 = \{\iota, \beta\}$ .

We have  $\Phi(H_1) = \mathbb{Q}(i\sqrt{3})$ ,  $\Phi(H_2) = \mathbb{Q}(\sqrt{2})$ ,  
 $\Phi(H_3) = \mathbb{Q}(i\sqrt{6})$ .

	$\iota$	$\tau$	$\theta$	$\beta$
$\iota$	$\iota$	$\tau$	$\theta$	$\beta$
$\tau$	$\tau$	$\iota$	$\beta$	$\theta$
$\theta$	$\theta$	$\beta$	$\iota$	$\tau$
$\beta$	$\beta$	$\theta$	$\tau$	$\iota$

# Inflationarity of $\Phi\Gamma$ and $\Gamma\Phi$

- The pair  $\Phi$  and  $\Gamma$ , known as the **Galois correspondence**, need not be mutually inverse, but they do have a weaker property.

## Theorem

Let  $L$  be an extension of a field  $K$ , let  $E$  be a subfield of  $L$  containing  $K$ , and let  $H$  be a subgroup of  $\text{Gal}(L:K)$ . Then

$$E \subseteq \Phi(\Gamma(E)), \quad H \subseteq \Gamma(\Phi(H)).$$

- Let  $z \in E$ . The group  $\Gamma(E)$  is the set of all automorphisms fixing each element of  $E$ . So  $z$  is fixed by all the automorphisms in  $\Gamma(E)$ . That is,  $z \in \Phi(\Gamma(E))$ . Hence,  $E \subseteq \Phi(\Gamma(E))$ .

Let  $\alpha \in H$ . The field  $\Phi(H)$  is the set of elements of  $L$  fixed by every element of  $H$ . So  $\alpha$  fixes every element of  $\Phi(H)$ . That is,  $\alpha \in \Gamma(\Phi(H))$ . Hence,  $H \subseteq \Gamma(\Phi(H))$ .

# Linear Algebraic Deviation: Rank and Nullity

- Let  $V$  and  $W$  be finite-dimensional vector spaces over a field  $K$ , with dimensions  $m, n$ , respectively, and let  $T: V \rightarrow W$  be a linear mapping.

- The **image**  $\text{im } T$  of  $T$  is the set  $\{T(v) : v \in V\}$ .

The image  $\text{im } T$  is a subspace of  $W$ .

Its dimension  $\dim(\text{im } T)$  is called the **rank**  $\rho(T)$  of  $T$ .

- The **kernel**  $\ker T$  of  $T$  is the set  $\{v \in V : T(v) = 0\}$ .

The kernel  $\ker T$  is a subspace of  $V$ .

Its dimension  $\dim(\ker T)$  is called the **nullity**  $\nu(T)$  of  $T$ .

- A standard result in linear algebra states that

$$\rho(T) + \nu(T) = \dim V = m.$$

# Linear Algebraic Deviation: Translation into Matrices

- We know  $\rho(T) + \nu(T) = \dim V = m$ .

So, if  $n < m$ , then certainly  $\rho(T) \leq n < m$ . So  $\nu(T) > 0$ .

Thus, there exists a non-zero vector  $v$  in  $V$ , such that  $T(v) = 0$ .

- If we have an  $n \times m$  matrix  $A = [a_{ij}]_{n \times m}$ , with entries in  $K$ , and an  $m$ -dimensional column vector  $v$ , the map  $v \mapsto Av$  is a linear mapping from the vector space  $K^m$  into the vector space  $K^n$ .

So if  $n < m$ , then there exists a non-zero vector  $v$  such that  $Av = 0$ .

That is, there exist  $v_1, v_2, \dots, v_m$  in  $K$ , not all zero, such that

$$a_{1j}v_1 + a_{2j}v_2 + \cdots + a_{mj}v_m = 0, \quad j = 1, 2, \dots, n.$$



# Degree of Extension and Order of a Group

## Theorem

Let  $L$  be a finite extension of a field  $K$ , and let  $G$  be a finite subgroup of  $\text{Gal}(L : K)$ . Then  $[L : \Phi(G)] = |G|$ .

- Let  $|G| = m$  and  $[L : \Phi(G)] = n$ .

We show  $m > n$  leads to a contradiction.

Write  $G = \{\alpha_1 = \iota, \alpha_2, \dots, \alpha_m\}$ , where  $\iota$  is the identity map.

Suppose that  $\{z_1, z_2, \dots, z_n\}$  is a basis for  $L$  over  $\Phi(G)$ .

Consider the  $n \times m$  matrix

$$\begin{bmatrix} \alpha_1(z_1) & \alpha_2(z_1) & \cdots & \alpha_m(z_1) \\ \alpha_1(z_2) & \alpha_2(z_2) & \cdots & \alpha_m(z_2) \\ \vdots & \vdots & & \vdots \\ \alpha_1(z_n) & \alpha_2(z_n) & \cdots & \alpha_m(z_n) \end{bmatrix}.$$

Since  $m > n$ , there exist  $v_1, v_2, \dots, v_m$  in  $L$ , not all zero, such that

$$\alpha_1(z_j)v_1 + \alpha_2(z_j)v_2 + \cdots + \alpha_m(z_j)v_m = 0, \quad j = 1, 2, \dots, n.$$

Degree of Extension  $\not\leq$  Order of a Group

- Let  $b \in L$ . The set  $\{z_1, z_2, \dots, z_n\}$  is a basis for  $L$  over  $\Phi(G)$ . So there exist  $b_1, b_2, \dots, b_n$  in  $\Phi(G)$  such that  $b = b_1 z_1 + b_2 z_2 + \dots + b_n z_n$ .

Multiplying the  $n$  preceding equations by  $b_1, b_2, \dots, b_n$ , respectively,

$$b_j \alpha_1(z_j) v_1 + b_j \alpha_2(z_j) v_2 + \dots + b_j \alpha_m(z_j) v_m = 0, \quad j = 1, 2, \dots, n.$$

The  $b_j$  all lie in  $\Phi(G)$ . The  $\alpha_i$  all lie in  $G$ . So  $b_j = \alpha_i(b_j)$  for all  $i, j$ . Thus, we may rewrite the equations as

$$\alpha_1(b_j z_j) v_1 + \alpha_2(b_j z_j) v_2 + \dots + \alpha_m(b_j z_j) v_m = 0, \quad j = 1, 2, \dots, n.$$

If we add these  $n$  equations together, we obtain

$$v_1 \alpha_1(b) + v_2 \alpha_2(b) + \dots + v_m \alpha_m(b) = 0.$$

This holds for all  $b$  in  $L$ . So the automorphisms  $\alpha_1, \alpha_2, \dots, \alpha_m$  are linearly dependent. This is impossible.

Degree of Extension  $\not\asymp$  Order of a Group

- Suppose that  $n = [L : \Phi(G)] > m$ . Take a subset  $\{z_1, z_2, \dots, z_{m+1}\}$  of  $L$  which is linearly independent over  $\Phi(G)$ . Consider the  $m \times (m+1)$

$$\text{matrix} \begin{bmatrix} \alpha_1(z_1) & \alpha_1(z_2) & \cdots & \alpha_1(z_{m+1}) \\ \alpha_2(z_1) & \alpha_2(z_2) & \cdots & \alpha_2(z_{m+1}) \\ \vdots & \vdots & & \vdots \\ \alpha_m(z_1) & \alpha_m(z_2) & \cdots & \alpha_m(z_{m+1}) \end{bmatrix}.$$

There exist  $u_1, u_2, \dots, u_{m+1}$  in  $L$ , not all zero, such that

$$\alpha_j(z_1)u_1 + \alpha_j(z_2)u_2 + \cdots + \alpha_j(z_{m+1})u_{m+1} = 0, \quad j = 1, 2, \dots, m.$$

Suppose that the elements  $u_1, u_2, \dots, u_{m+1}$  are chosen so that *as few as possible are non-zero*. Relabel the elements so that  $u_1, u_2, \dots, u_r$  are non-zero, and  $u_{r+1} = \cdots = u_{m+1} = 0$ .

So now we have

$$\alpha_j(z_1)u_1 + \alpha_j(z_2)u_2 + \cdots + \alpha_j(z_r)u_r = 0, \quad j = 1, 2, \dots, m.$$

Degree of Extension  $\not\asymp$  Order of a Group (Cont'd)

- We have  $\alpha_j(z_1)u_1 + \alpha_j(z_2)u_2 + \cdots + \alpha_j(z_r)u_r = 0$ ,  $j = 1, 2, \dots, m$ .  
Dividing by  $u_r$  and setting  $u'_i = \frac{u_i}{u_r}$ ,  $i = 1, 2, \dots, r-1$ , we get

$$\alpha_j(z_1)u'_1 + \cdots + \alpha_j(z_{r-1})u'_{r-1} + \alpha_j(z_r) = 0, \quad j = 1, 2, \dots, m.$$

Since  $\alpha_1 = \iota$ , the first of these equations is

$$z_1 u'_1 + \cdots + z_{r-1} u'_{r-1} + z_r = 0.$$

The set  $\{z_1, z_2, \dots, z_r\}$  is not linearly dependent over  $\Phi(G)$ .

So not all of the elements  $u'_1, \dots, u'_{r-1}$  belong to  $\Phi(G)$ .

As at least one of  $u'_1, \dots, u'_{r-1}$  is not in  $\Phi(G)$ , assume  $u'_1 \notin \Phi(G)$ .

That is,  $u'_1$  is not fixed by every automorphism in  $G$ .

So there is an automorphism in  $G$ , say  $\alpha_2$ , such that  $\alpha_2(u'_1) \neq u'_1$ .

Applying  $\alpha_2$  to the preceding equations, for  $j = 1, 2, \dots, m$ ,

$$(\alpha_2 \alpha_j)(z_1) \alpha_2(u'_1) + \cdots + (\alpha_2 \alpha_j)(z_{r-1}) \alpha_2(u'_{r-1}) + (\alpha_2 \alpha_j)(z_r) = 0.$$

Degree of Extension  $\not\asymp$  Order of a Group (Cont'd)

- We obtained

$$(\alpha_2 \alpha_j)(z_1) \alpha_2(u'_1) + \cdots + (\alpha_2 \alpha_j)(z_{r-1}) \alpha_2(u'_{r-1}) + (\alpha_2 \alpha_j)(z_r) = 0.$$

$G$  is a group.

So the set  $\{\alpha_2 \alpha_1, \alpha_2 \alpha_2, \dots, \alpha_2 \alpha_m\}$  is the same as the set  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$  except for the order of the elements.

Hence, we may change the order of the listed equations and obtain

$$\alpha_j(z_1) \alpha_2(u'_1) + \cdots + \alpha_j(z_{r-1}) \alpha_2(u'_{r-1}) + \alpha_j(z_r) = 0, \quad j = 1, 2, \dots, m.$$

Subtracting these from the original gives, for  $j = 1, 2, \dots, m$ ,

$$\alpha_j(z_1)(u'_1 - \alpha_2(u'_1)) + \cdots + \alpha_j(z_{r-1})(u'_{r-1} - \alpha_2(u'_{r-1})) = 0.$$

Degree of Extension  $\not\asymp$  Order of a Group (Conclusion)

- We obtained

$$\alpha_j(z_1)(u'_1 - \alpha_2(u'_1)) + \cdots + \alpha_j(z_{r-1})(u'_{r-1} - \alpha_2(u'_{r-1})) = 0.$$

Let  $v_i = u'_i - \alpha_2(u'_i)$ ,  $i = 1, 2, \dots, r-1$ , and  $v_i = 0$ ,  $i = r, r+1, \dots, m+1$ .

Then

$$\alpha_j(z_1)v_1 + \alpha_j(z_2)v_2 + \cdots + \alpha_j(z_{m+1})v_{m+1} = 0, \quad j = 1, 2, \dots, m.$$

We know that the elements  $v_i$  are not all zero.

In this arrangement, no more than  $r-1$  of the  $v_i$  are non-zero.

This contradicts the minimality of  $r$  in the choice of the elements  $u_1, u_2, \dots, u_{m+1}$ .

We conclude that it is not possible to have  $[L : \Phi(G)] > m$ .

## Subsection 3

### Normal Extensions

# Normal Extensions

- We considered the two extensions of  $\mathbb{Q}$ ,  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt[3]{2})$ .
  - In the first case  $X^2 - 2$ , the minimum polynomial of  $\sqrt{2}$ , splits completely over  $\mathbb{Q}(\sqrt{2})$ .
  - In the second case we see that  $X^3 - 2$ , the minimum polynomial of  $\sqrt[3]{2}$ , does not split completely over  $\mathbb{Q}(\sqrt[3]{2})$ .

This is an important difference.

- Although it is convenient to consider arbitrary extensions  $L : K$ , our primary interest is with Galois groups of polynomials, when  $L$  is a splitting field over  $K$  for some polynomial.
- We call  $L : K$  a **normal extension** if every irreducible polynomial in  $K[X]$  having at least one root in  $L$  splits completely over  $L$ .



# Characterization of Normality

## Theorem

A finite extension  $L$  of a field  $K$  is normal if and only if it is a splitting field for some polynomial in  $K[X]$ .

- Suppose that  $L$  is a finite normal extension.

Let  $\{z_1, z_2, \dots, z_n\}$  be a basis for  $L$  over  $K$ .

For  $i = 1, 2, \dots, n$ , let  $m_i$  be the minimum polynomial of  $z_i$ , and let  $m = m_1 m_2 \cdots m_n$ .

- Each  $m_i$  has at least one root  $z_i$  in  $L$ . So, by hypothesis, it splits completely over  $L$ . Hence,  $m$  splits completely over  $L$ .
- But  $L$  is generated by  $z_1, z_2, \dots, z_n$ . So it is not possible for  $m$  to split completely over any proper subfield of  $L$ .

Thus,  $L$  is a splitting field for  $m$  over  $K$ .

# Characterization of Normality (Converse)

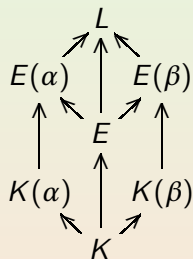
- Suppose that  $E$  is a splitting field for some polynomial  $g$  over  $K$ .

Let  $f$ , with degree at least 2, be an irreducible polynomial in  $K[X]$ , having a root  $\alpha$  in  $E$ . We must show that  $f$  splits completely over  $E$ .

The polynomial  $fg$  certainly lies in  $E[X]$ . It has a splitting field  $L$  containing  $E$ . Suppose that  $\beta$  is another root of  $f$  in  $L$ . We have subfields of  $L$  as indicated in the diagram, in which the arrows denote inclusion. We have

$$[E(\alpha) : E][E : K] = [E(\alpha) : K] = [E(\alpha) : K(\alpha)][K(\alpha) : K];$$

$$[E(\beta) : E][E : K] = [E(\beta) : K] = [E(\beta) : K(\beta)][K(\beta) : K].$$



But  $\alpha$  and  $\beta$  are roots of the same irreducible polynomial  $f$ .

So there is a  $K$ -isomorphism  $\varphi$  from  $K(\alpha)$  onto  $K(\beta)$ .

Certainly  $[K(\alpha) : K] = [K(\beta) : K]$ .

# Characterization of Normality (Converse Cont'd)

- $E$  is a splitting field for  $g$  over  $K$ .

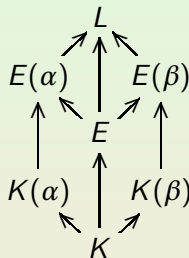
So  $E(\alpha)$  is a splitting field for  $g$  over  $K(\alpha)$   
and  $E(\beta)$  is a splitting field for  $g$  over  $K(\beta)$ .

Hence, there is an isomorphism  $\varphi^*$  from  $E(\alpha)$   
onto  $E(\beta)$ , extending the  $K$ -isomorphism  $\varphi$   
from  $K(\alpha)$  onto  $K(\beta)$ . It follows in particular  
that  $[E(\alpha) : K(\alpha)] = [E(\beta) : K(\beta)]$ .

Now  $[E(\alpha) : E] = 1$ , since  $\alpha \in E$  by assumption. Hence,

$$\begin{aligned}
 [E(\beta) : E][E : K] &= [E(\beta) : K(\beta)][K(\beta) : K] \\
 &= [E(\alpha) : K(\alpha)][K(\alpha) : K] \\
 &= [E(\alpha) : E][E : K] \\
 &= [E : K].
 \end{aligned}$$

Thus  $[E(\beta) : E] = 1$ . So  $\beta \in E$ , as required.



# Extension of $K$ -Monomorphisms

## Corollary

Let  $L$  be a normal extension of finite degree over a field  $K$ , and let  $E$  be a subfield of  $L$  containing  $K$ . Then every  $K$ -monomorphism from  $E$  into  $L$  can be extended to a  $K$ -automorphism of  $L$ .

- Let  $\varphi$  be a  $K$ -monomorphism from  $E$  into  $L$ .

By the theorem, there exists a polynomial  $f$  such that  $L$  is a splitting field for  $f$  over  $K$ .

$L$  is also a splitting field for  $f$  over each of the fields  $E$  and  $\varphi(E)$ .

By a preceding theorem, we deduce that there is a  $K$ -automorphism  $\varphi^*$  of  $L$  extending  $\varphi$ .

# Example

- Let  $K = \mathbb{Q}$ ,  $E = \mathbb{Q}(\sqrt{2})$ ,  $L = \mathbb{Q}(\sqrt{2}, \sqrt{5})$ .

Let  $\varphi: E \rightarrow L$  be defined by

$$\varphi(a + b\sqrt{2}) = a - b\sqrt{2}.$$

Then  $\varphi$  is a  $K$ -monomorphism.

So  $\varphi$  extends to a  $\mathbb{Q}$ -automorphism  $\varphi^*$  of  $L$ .

$\varphi^*$  is defined by

$$\varphi^*(a + b\sqrt{2} + c\sqrt{5} + d\sqrt{10}) = a - b\sqrt{2} + c\sqrt{5} - d\sqrt{10}.$$

# $K$ -Automorphisms Mapping Roots

## Corollary

Let  $L$  be a normal extension of finite degree over a field  $K$ . If  $z_1$  and  $z_2$  are roots in  $L$  of an irreducible polynomial in  $K[X]$ , then there exists a  $K$ -automorphism  $\theta$  of  $L$ , such that  $\theta(z_1) = z_2$ .

- By a preceding theorem, there is a  $K$ -isomorphism from  $K(z_1)$  onto  $K(z_2)$ . By the corollary, this extends to a  $K$ -automorphism  $\theta$  of  $L$ .

## Example

- Let  $K = \mathbb{Q}$  and let  $L = \mathbb{Q}(u, i\sqrt{3})$ , where  $u = \sqrt[3]{2}$ .  
 $L$  is the splitting field over  $\mathbb{Q}$  of  $X^3 - 2$  (has complex roots  $\sqrt[3]{2}$ ,  $-\frac{1}{2} \pm i\frac{\sqrt{3}}{2}$ ).  
So it is a normal extension of  $\mathbb{Q}$ .  
The set  $\{1, u, u^2, i\sqrt{3}, ui\sqrt{3}, u^2i\sqrt{3}\}$  is a basis for  $L$  over  $\mathbb{Q}$ .  
The polynomial  $X^3 - 2$  is irreducible over  $\mathbb{Q}$ .  
Consider the two roots  $u$  and  $ue^{2\pi i/3} = -\frac{1}{2}u + ui\frac{\sqrt{3}}{2}$ .  
There is a  $\mathbb{Q}$ -isomorphism  $\theta: \mathbb{Q}(u) \rightarrow \mathbb{Q}(ue^{2\pi i/3})$ .  
By the corollary, this extends to a  $\mathbb{Q}$ -automorphism  $\theta^*$  of  $L$ .

## Example (Cont'd)

- Any  $\mathbb{Q}$ -automorphism of  $L$  maps  $i\sqrt{3}$  to  $\pm i\sqrt{3}$ .

Let us choose  $\theta^*(i\sqrt{3}) = i\sqrt{3}$ .

Then, recalling that  $e^{2\pi i/3} = \frac{1}{2}(-1 + i\sqrt{3})$ , we deduce that:

$$\begin{aligned}\theta^*(u^2) &= u^2 e^{4\pi i/3} = \frac{1}{2}(-u^2 - u^2 i\sqrt{3}); \\ \theta^*(ui\sqrt{3}) &= \left(-\frac{1}{2}u + ui\frac{\sqrt{2}}{3}\right)i\sqrt{3} = \frac{1}{2}(-ui\sqrt{3} - 3u); \\ \theta^*(u^2 i\sqrt{3}) &= \left(-\frac{1}{2}u^2 - u^2 i\frac{\sqrt{2}}{3}\right)i\sqrt{3} = \frac{1}{2}(-u^2 i\sqrt{3} + 3u^2).\end{aligned}$$

So the required extension is defined by

$$\begin{aligned}\theta^*(a_1 + a_2 u + a_3 u^2 + a_4 i\sqrt{3} + a_5 ui\sqrt{3} + a_6 u^2 i\sqrt{3}) \\ &= a_1 + a_2 \frac{1}{2}(-u + ui\sqrt{3}) + a_3 \frac{1}{2}(-u^2 - u^2 i\sqrt{3}) \\ &\quad + a_4 i\sqrt{3} + a_5 \frac{1}{2}(-ui\sqrt{3} - 3u) + a_6 \frac{1}{2}(-u^2 i\sqrt{3} + 3u^2) \\ &= a_1 + \left(-\frac{1}{2}a_2 - \frac{3}{2}a_5\right)u + \left(-\frac{1}{2}a_3 + \frac{3}{2}a_6\right)u^2 + a_4 i\sqrt{3} \\ &\quad + \left(\frac{1}{2}a_2 - \frac{1}{2}a_5\right)ui\sqrt{3} + \left(-\frac{1}{2}a_3 - \frac{1}{2}a_6\right)u^2 i\sqrt{3}.\end{aligned}$$



# Normal Closure

- If  $L$  is a finite extension of a field  $K$ , a field  $N$  containing  $L$  is said to be a **normal closure of  $L$  over  $K$**  if:
  - (i) It is a normal extension of  $K$ ;
  - (ii) If  $E$  is a proper subfield of  $N$  containing  $L$ , then  $E$  is not a normal extension of  $K$ .

## Theorem

Let  $L$  be a finite extension of a field  $K$ . Then:

- (i) There exists a normal closure  $N$  of  $L$  over  $K$ ;
- (ii) If  $L'$  is a finite extension over  $K$ , such that there is a  $K$ -isomorphism  $\varphi: L \rightarrow L'$ , and if  $N'$  is a normal closure of  $L'$  over  $K$ ,

then there is a  $K$ -isomorphism  $\psi: N \rightarrow N'$ , such that the diagram (in which  $\iota$  is the identity map and unmarked maps are inclusions) commutes.

$$\begin{array}{ccccc}
 K & \longrightarrow & L & \longrightarrow & N \\
 \downarrow \iota & & \downarrow \varphi & & \downarrow \psi \\
 K & \longrightarrow & L' & \longrightarrow & N'
 \end{array}$$

# Proof of Existence of Normal Closure

(i) Let  $\{z_1, z_2, \dots, z_n\}$  be a basis for  $L$  over  $K$ .

Each  $z_j$  is algebraic over  $K$ .

Let  $m_j$  be the minimum polynomial of  $z_j$ .

Set  $m = m_1 m_2 \cdots m_n$ , and let  $N$  be a splitting field for  $m$  over  $K$ .

- By the proof of the previous theorem,  $N$  is a normal extension of  $K$ .
- $N$  contains all the roots of each of the polynomials  $m_j$ .  
So it certainly contains  $z_1, z_2, \dots, z_n$ .  
Hence,  $N$  contains  $L$ .
- Let  $E$  be a subfield of  $N$  containing  $L$ . Suppose that  $E$  is normal.  
For each  $i = 1, \dots, n$ , the field  $E$  contains one root of  $m_i$ , namely  $z_i$ .  
By normality,  $E$  contains all the roots of all the  $m_i$ .  
So  $E = N$ .

Thus,  $N$  is a normal closure.

# Proof of Uniqueness of Normal Closure

(ii) Let  $N'$  be a normal closure of  $L'$  over  $K$ . Every element of  $L$  has a unique expression  $a_1z_1 + a_2z_2 + \cdots + a_nz_n$ , where  $a_1, a_2, \dots, a_n \in K$ .

Let  $u' = \varphi(u)$  be an arbitrary element of  $L'$ .

There is a unique  $n$ -tuple  $(a_1, a_2, \dots, a_n)$  of elements of  $K$ , such that

$$u' = \varphi(u) = \varphi(a_1z_1 + a_2z_2 + \cdots + a_nz_n) = a_1\varphi(z_1) + a_2\varphi(z_2) + \cdots + a_n\varphi(z_n).$$

It is easy to see that  $\{\varphi(z_1), \varphi(z_2), \dots, \varphi(z_n)\}$  is a basis for  $L'$  over  $K$ .

The isomorphism  $\varphi$  also ensures that, for  $i = 1, 2, \dots, n$ , the minimum polynomial of  $\varphi(z_i)$  is  $\widehat{\varphi}(m_i)$  (where  $\widehat{\varphi}$  is the canonical extension of  $\varphi$  to the polynomial ring  $L[X]$ ).

Now  $N'$  is, by assumption, a normal extension of  $L'$ .

So it must contain all the roots of all of the  $\widehat{\varphi}(m_i)$ .

So it must be a splitting field of  $\widehat{\varphi}(m) = \widehat{\varphi}(m_1)\widehat{\varphi}(m_2)\cdots\widehat{\varphi}(m_n)$ .

The existence of the isomorphism  $\psi$  now follows from a previous theorem.

# Alternative Expression for Normal Closure

## Corollary

Let  $L$  be a finite extension of  $K$  and let  $N$  be a normal closure of  $L$ . Then  $N = L_1 \vee L_2 \vee \cdots \vee L_k$ , where  $L_1, L_2, \dots, L_k$  are subfields containing  $K$ , each of them isomorphic to  $L$ .

- By the theorem just proved, we may suppose that:
  - $L = K(z_1, z_2, \dots, z_n)$ ;
  - $m_1, m_2, \dots, m_n$  are the minimum polynomials of  $z_1, z_2, \dots, z_n$ ;
  - $N$  is a splitting field over  $K$  for the polynomial  $m_1 m_2 \cdots m_n$ .

Let  $i \in \{1, 2, \dots, n\}$  and let  $z'_i$  be a root of  $m_i$ .

Then, for all  $i$  and  $z'_i$ , the field  $K(z_1, \dots, z'_i, \dots, z_n)$  is isomorphic to  $L$ .

The field  $N$  is generated over  $K$  by the set  $\{\alpha_1, \alpha_2, \dots, \alpha_k\}$  of all the roots of all the polynomials  $m_1, m_2, \dots, m_n$ .

So  $N$  is generated by the fields of type  $K(z_1, \dots, z'_i, \dots, z_n)$ .

# Example

- We determine the normal closure of  $K = \mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$ .

A basis for  $K$  over  $\mathbb{Q}$  is  $\{1, u, u^2\}$ , where  $u = \sqrt[3]{2}$ .

- 1 has a minimum polynomial  $X - 1$ ;
- $u$  has minimum polynomial  $X^3 - 2$ ;
- $u^2$  has minimum polynomial  $X^3 - 4$ .

We must find the splitting field of  $(X - 1)(X^3 - 2)(X^3 - 4)$ .

Obviously the factor  $X - 1$  is irrelevant, since it already splits over  $\mathbb{Q}$ .

We know that, over the field  $\mathbb{Q}(u, i\sqrt{3})$ ,

$$X^3 - 2 = (X - u)(X - ue^{2\pi i/3})(X - ue^{-2\pi i/3}).$$

Over the same field,

$$\begin{aligned} (X - u^2)(X - u^2e^{2\pi i/3})(X - u^2e^{-2\pi i/3}) \\ &= (X - u^2)(X^2 + u^2X + u^4) \\ &= X^3 + u^2X^2 + 2uX - u^2X^2 - 2uX - 4 \\ &= X^3 - 4. \end{aligned}$$

The conclusion is that the normal closure is  $\mathbb{Q}(u, i\sqrt{3})$ .

# Normal Extensions and $K$ -Automorphisms

## Theorem

Let  $L$  be a finite normal extension of a field  $K$ , and let  $E$  be a subfield of  $L$  containing  $K$ . Then  $E$  is a normal extension of  $K$  if and only if every  $K$ -monomorphism of  $E$  into  $L$  is a  $K$ -automorphism of  $E$ .

- Suppose  $E$  is a normal extension. So  $E$  is its own normal closure. Let  $\varphi$  be a  $K$ -monomorphism from  $E$  into  $L$ , and let  $z \in E$ . Let  $m = X^n + a_{n-1}X^{n-1} + \cdots + a_1X + a_0$  be the minimum polynomial of  $z$  over  $K$ . Then  $z^n + a_{n-1}z^{n-1} + \cdots + a_1z + a_0 = 0$ . Applying  $\varphi$ ,  $(\varphi(z))^n + a_{n-1}(\varphi(z))^{n-1} + \cdots + a_1\varphi(z) + a_0 = 0$ . Thus,  $\varphi(z)$  is also a root of  $m$  in  $L$ . But  $z$ , an element of  $E$ , is a root of the irreducible polynomial  $m$ . Since  $E$  is normal,  $m$  splits completely over  $E$ . So  $\varphi(z) \in E$ . Thus,  $\varphi(E)$  is a field contained in  $E$ .

# Normal Extensions and $K$ -Automorphisms (Converse)

- We showed that  $\phi(E) \subseteq E$ . Now,

$$[\phi(E) : K] = [\phi(E) : \phi(K)] = [E : K] = [E : \phi(E)][\phi(E) : K].$$

So  $\phi(E) = E$ . Thus,  $\phi$  is a  $K$ -automorphism of  $E$ .

- Conversely, suppose that every  $K$ -monomorphism from  $E$  into  $L$  is a  $K$ -automorphism of  $E$ .

Let  $f$  be an irreducible polynomial in  $K[X]$  having a root  $z$  in  $E$ .

To establish that  $E$  is normal, we must show that  $f$  splits over  $E$ .

Certainly, since  $L$  is normal,  $f$  splits completely over  $L$ .

Let  $z'$  be another root of  $f$  in  $L$ . By a previous corollary, there is a  $K$ -automorphism  $\psi$  of  $L$ , such that  $\psi(z) = z'$ . Let  $\psi^*$  be the restriction of  $\psi$  to  $E$ . Then  $\psi^*$  is a  $K$ -monomorphism from  $E$  into  $L$ . By hypothesis,  $\psi^*$  is a  $K$ -automorphism of  $E$ . Thus, we get  $z' = \psi(z) = \psi^*(z) \in E$ . Thus,  $E$  is normal.

# Extensions Over Intermediate Fields

## Theorem

Let  $L$  be a normal extension of a field  $K$ , and let  $E$  be a subfield of  $L$  containing  $K$ . Then  $L$  is a normal extension of  $E$ .

- Let  $f(X)$  be an irreducible polynomial in  $E[X]$ .

Suppose  $f(X)$  has a root  $\alpha$  in  $L$ .

Let  $m_K(X)$  be the minimal polynomial of  $\alpha$  over  $K$ .

$m_K(X)$  in  $K[X]$  has root  $\alpha$  in  $L$  and  $L:K$  is normal.

Therefore,  $m_K(X)$  splits over  $L$ .

Since  $m_K(X)$  is in  $E[X]$  and  $m_K(\alpha) = 0$ ,  $f(X) \mid m_K(X)$ .

Since  $m_K(X)$  splits over  $L$  and  $f(X) \mid m_K(X)$ ,  $f(X)$  also splits over  $L$ .

Hence,  $L:E$  is a normal extension.



## Subsection 4

### Separable Extensions

# Separable Polynomials and Separable Extensions

- An irreducible polynomial  $f$  with coefficients in a field  $K$  is said to be **separable over  $K$**  if it has no repeated roots in a splitting field. That is, in a splitting field  $L$  of  $f$ ,

$$f = k(X - \alpha_1)(X - \alpha_2)\cdots(X - \alpha_n),$$

where the roots  $\alpha_1, \alpha_2, \dots, \alpha_n$  are all distinct.

- An arbitrary polynomial  $g$  in  $K[X]$  is called **separable over  $K$**  if all its irreducible factors are separable over  $K$ .
- An algebraic element in an extension  $L$  of  $K$  is called **separable over  $K$**  if its minimum polynomial is separable over  $K$ .
- An algebraic extension  $L$  of  $K$  is called **separable** if every  $\alpha$  in  $L$  is separable over  $K$ .
- A field  $K$  is called **perfect** if every polynomial in  $K[X]$  is separable over  $K$ .
- Separability is the second property (after normality) that will ensure that the maps  $\Phi$  and  $\Gamma$  are mutually inverse.

# Separability of Polynomials

- We know that the irreducible polynomial  $f$  has repeated roots in its splitting field if and only if  $f$  and  $Df$  have a non-trivial common factor.

## Theorem

Let  $f$  be an irreducible polynomial with coefficients in a field  $K$ .

- (i) If  $K$  has characteristic 0, then  $f$  is separable over  $K$ .
- (ii) If  $K$  has finite characteristic  $p$ , then  $f$  is separable unless it is of the form

$$b_0 + b_1X^p + b_2X^{2p} + \cdots + b_mX^{mp}.$$

- Suppose  $f = a_0 + a_1X + \cdots + a_nX^n$ , with  $\partial f = n \geq 1$ , is not separable. Then  $f$  and  $Df$  have a common factor  $d$  of degree at least 1. Since  $f$  is irreducible,  $d$  must be a constant multiple (associate) of  $f$ . This divides  $Df$  only if  $Df = a_1 + 2a_2X + \cdots + na_nX^{n-1}$  is the zero polynomial. Hence,  $a_1 = 2a_2 = \cdots = na_n = 0$ .

# Separability of Polynomials (Cont'd)

- Suppose  $K$  has characteristic 0.

The preceding equations give  $a_1 = a_2 = \cdots = a_n = 0$ .

Thus,  $f$  is the constant polynomial  $a_0$ .

This contradicts the hypothesis.

So  $f$  must be separable.

- Suppose  $\text{char} K = p$ .

Then  $ra_r = 0$  implies that  $a_r = 0$  if and only if  $p \nmid r$ .

So the only non-zero terms in  $f$  are of the form  $a_{kp}X^{kp}$ ,  $k = 0, 1, \dots$

Writing  $a_{kp}$  as  $b_k$  gives the required conclusion.

## Corollary

Every field of characteristic 0 is perfect.

# Irreducibility in Characteristic $p$

## Theorem

Let  $K$  be a field with finite characteristic  $p$ , and let

$$f(X) = g(X^p) = b_0 + b_1X^p + b_2X^{2p} + \cdots + b_mX^{mp}.$$

Then the following statements are equivalent:

- (i)  $f$  is irreducible in  $K[X]$ ;
- (ii)  $g$  is irreducible in  $K[X]$ , and not all of the coefficients  $b_i$  are  $p$ -th powers of elements of  $K$ .

(i) $\Rightarrow$ (ii): Suppose  $g$  has a non-trivial factorization  $g(X) = u(X)v(X)$ . Then  $f$  factors  $f(X) = g(X^p) = u(X^p)v(X^p)$ . This is a contradiction. Hence  $g$  is irreducible.

Suppose  $b_i = c_i^p$ , for  $i = 1, 2, \dots, m$ . Then, by a previous theorem,

$$\begin{aligned} f(X) &= g(X^p) = c_0^p + (c_1X)^p + \cdots + (c_mX^m)^p \\ &= (c_0 + c_1X + \cdots + c_mX^m)^p. \end{aligned}$$

Again a contradiction. Hence, not all of the  $b_i$ 's are  $p$ -th powers.

# Irreducibility in Characteristic $p$ (Converse Case 1)

(ii) $\Rightarrow$ (i): Suppose that  $f$  is reducible. We must prove either that  $g$  is reducible, or that all the coefficients of  $f$  are  $p$ -th powers. We have two cases:

1.  $f = u^r$ , where  $r > 1$  and  $u$  is irreducible;
2.  $f = vw$ , where  $\partial v, \partial w > 0$ , and  $v$  and  $w$  are coprime.

## Case 1:

- Suppose first that  $p \mid r$ . Then  $f = (u^{r/p})^p = h^p$  (say).

Let  $h = d_0 + d_1X + \cdots + d_sX^s$ . Then, using the same theorem,

$$f = h^p = (d_0 + d_1X + \cdots + d_sX^s)^p = d_0^p + d_1^pX^p + \cdots + d_s^pX^{sp}.$$

So all the coefficients of  $f$  are  $p$ -th powers.

- Suppose that  $p \nmid r$ . By the definition of  $f$ ,  $Df = 0$ .

Thus,  $0 = Df = r(Du)u^{r-1}$ . So  $Du = 0$ . Thus, we may write

$$u(X) = e_0 + e_1X^p + \cdots + e_tX^{tp} = v(X^p).$$

Now we get  $g(X^p) = f(X) = (u(X))^r = (v(X^p))^r$ .

Thus,  $g(X) = (v(X))^r$ . So  $g$  is not irreducible.

Irreducibility in Characteristic  $p$  (Converse Case 2)

**Case 2:**  $f = vw$ ,  $\partial v, \partial w > 0$ ,  $v, w$  are coprime.  $K[X]$  is a Euclidean domain. So there exist  $s, t$  in  $K[X]$ , such that  $sv + tw = 1$ .

By hypothesis,  $Df = 0$ . So  $(Dv)w + v(Dw) = 0$ . We now get

$$0 = (Dv)tw + tv(Dw) = (Dv)(1 - sv) + tv(Dw).$$

So  $Dv = sv(Dv) - tv(Dw)$ . Hence  $v \mid Dv$ .

But  $\partial(Dv) < \partial v$ . Hence,  $Dv = 0$ . Similarly,  $Dw = 0$ . We may write

$$\begin{aligned} v(X) &= d_0 + d_1 X^p + \cdots + d_s X^{sp}, \\ w(X) &= e_0 + e_1 X^p + \cdots + e_t X^{tp}. \end{aligned}$$

Define  $\bar{v}(X) = d_0 + d_1 X + \cdots + d_s X^s$  and  $\bar{w}(X) = e_0 + e_1 X + \cdots + e_t X^t$ .

Then

$$g(X^p) = f(X) = v(X)w(X) = \bar{v}(X^p)\bar{w}(X^p).$$

So  $g(X) = \bar{v}(X)\bar{w}(X)$ . Thus  $g$  is not irreducible.

# Finite Fields are Perfect

## Theorem

Every finite field is perfect.

- Let  $K$  be a finite field of characteristic  $p$ .

The Frobenius mapping  $a \mapsto a^p$  is an automorphism of  $K$ .

So every element of  $K$  is a  $p$ -th power.

By a previous theorem, the only candidate for an inseparable irreducible polynomial is something of the form

$$f = b_0 + b_1X^p + \cdots + b_mX^{mp}.$$

But all the coefficients are  $p$ -th powers.

By the last theorem, even polynomials of this form are reducible.

Hence  $K$  is perfect.



# An Example of an Imperfect Field

- An “imperfect” field has to be infinite and of finite characteristic.
- The most obvious example is  $K = \mathbb{Z}_p(X)$ , the field of all rational forms with coefficients in  $\mathbb{Z}_p$ .
- For polynomials with coefficients in  $K$  we must use a different letter, such as  $Y$ , for the indeterminate.
- We look at the polynomial  $f(Y) = Y^p - X$  in  $K[Y]$ .
- We show  $f(Y)$  is irreducible in  $K$  and inseparable.
  - Suppose  $f$  is reducible. By the theorem,  $-X$  is a  $p$ -th power in  $K$ . So there exists  $\frac{u(X)}{v(X)}$  in  $K$ , such that  $\left[\frac{u(X)}{v(X)}\right]^p = -X$ . Thus,  $-X[v(X)]^p = [u(X)]^p$ . But  $p \mid \partial([u(X)]^p)$  and  $p \nmid \partial(X[v(X)]^p)$ . This is a contradiction.
  - Let  $L$  be a splitting field for  $f$  over  $K$ . Let  $\alpha$  be a root of  $f$  in  $L$ . Thus,  $\alpha^p = X$ . The factorization of  $f$  in  $L$  is

$$f(Y) = Y^p - X = Y^p - \alpha^p = (Y - \alpha)^p.$$

The polynomial  $f$  is as inseparable as it is possible to be!

# Separability of Intermediate Fields

## Theorem

Let  $L$  be a finite separable extension of a field  $K$ , and let  $E$  be a subfield of  $L$  containing  $K$ . Then  $L$  is a separable extension of  $E$ .

- Let  $\alpha \in L$ , and let  $m_K, m_E$  be the minimum polynomials of  $\alpha$  over  $K$  and  $E$ , respectively. Suppose that  $m_K$  is separable. Within  $E[X]$  we can use the division algorithm  $m_K = qm_E + r$ ,  $\partial r < \partial m_E$ . We get  $r(\alpha) = m_K(\alpha) - q(\alpha)m_E(\alpha) = 0 - 0 = 0$ . This contradicts the minimality of  $m_E$  unless  $r = 0$ . Hence  $m_K = qm_E$  in the ring  $E[X]$ . Suppose  $m_E$  is not separable. Then there is a non-constant polynomial  $g$  dividing  $m_E$  and  $Dm_E$ . But  $Dm_K = qDm_E + m_EDq$ . So  $g$  divides  $m_K$  and  $Dm_K$ . This can happen only if  $m_K$  has at least one repeated root in a splitting field. So we have a contradiction. Hence,  $m_E$  is separable.

## Subsection 5

### The Galois Correspondence

# The Galois Extension

- A finite extension of a field  $K$  that is both normal and separable is called a **Galois extension**.
- We look again at  $\mathbb{Q}(\sqrt{2}, i\sqrt{3})$  and  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$ .
  - $\mathbb{Q}(\sqrt{2}, i\sqrt{3})$  is normal, since it is the splitting field of  $(X^2 - 2)(X^2 + 3)$ .  
 $\mathbb{Q}(\sqrt{2}, i\sqrt{3})$  is separable, since  $\mathbb{Q}$  is perfect.  
The order of the Galois group is equal to the degree over  $\mathbb{Q}$  of the extension.
  - $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$  is normal, since it is the splitting field of  $X^3 - 2$ .  
 $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$  is separable, since  $\mathbb{Q}$  is perfect.  
The order of the Galois group is equal to the degree over  $\mathbb{Q}$  of the extension.
- We will prove that, if  $L : K$  is a normal, separable extension of degree  $n$ , and  $G$  is the Galois group of  $L$  over  $K$ , then  $|G| = [L : K]$ .

# Monomorphisms into a Normal Closure

## Theorem

Let  $L : K$  be a separable extension of finite degree  $n$ . Then there are precisely  $n$  distinct  $K$ -monomorphisms of  $L$  into a normal closure  $N$  of  $L$  over  $K$ .

- By induction on the degree  $[L : K]$ .
- Suppose  $[L : K] = 1$ . Then  $L = K = N$ . Hence, the only  $K$ -monomorphism of  $K$  into  $N$  is the identity mapping  $\iota$ .
- Assume now that the result is established for all  $n \leq k - 1$ . Suppose that  $[L : K] = k > 1$ . Let  $z_1 \in L \setminus K$ . Let  $m$  (with  $\partial m = r \geq 2$ ) be the minimum polynomial of  $z_1$  over  $K$ . Thus,  $K \subset K(z_1) \subseteq L$ , and  $[K(z_1) : K] = r$ . But  $m$  is irreducible and has one root  $z_1$  in the normal extension  $N$ . So  $m$  splits completely over  $N$ . Since  $L$  is separable, the roots of  $m$  are all distinct. Suppose the roots are  $z_1, z_2, \dots, z_r$ . Let  $[L : K(z_1)] = s$ . Then  $1 \leq s < k$ , and  $rs = k$ .

# Monomorphisms into a Normal Closure (Cont'd)

- The field  $N$  is a normal closure of  $L$  over  $K(z_1)$ .

So, by the induction hypothesis, the number of  $K(z_1)$ -monomorphisms from  $L$  into  $N$  is precisely  $s$ . Denote them by  $\mu_1, \mu_2, \dots, \mu_s$ .

Let  $\lambda_1, \lambda_2, \dots, \lambda_r$  be  $r$  distinct  $K$ -automorphisms of  $N$ , with  $\lambda_i(z_1) = z_i$ .

Define maps  $\varphi_{ij} : L \rightarrow N$ , by

$$\varphi_{ij}(x) = \lambda_i(\mu_j(x)), \quad x \in L, \quad i = 1, 2, \dots, r, \quad j = 1, 2, \dots, s.$$

The maps are all  $K$ -monomorphisms.

**Claim:** The maps  $\varphi_{ij}$  are all distinct.

First,  $\varphi_{ij}(z_1) = \lambda_i(\mu_j(z_1)) = \lambda_i(z_1) = z_i$ . So  $\varphi_{ij} = \varphi_{pq}$  implies  $i = p$ .

Let  $\varphi_{ij} = \varphi_{iq}$ . Then, for all  $x$  in  $L$ ,  $\lambda_i(\mu_j(x)) = \lambda_i(\mu_q(x))$ . But  $\lambda_i$  is one-one. So  $\mu_j(x) = \mu_q(x)$ , for all  $x$  in  $L$ . That is,  $j = q$ .

Thus, there are at least  $k$  distinct  $K$ -monomorphisms from  $L$  into  $N$ .

# Monomorphisms into a Normal Closure (Conclusion)

**Claim:** There are no more than  $k$  distinct  $K$ -monomorphisms from  $L$  into  $N$ .

We show that every  $K$ -monomorphism  $\psi$  from  $L$  into  $N$  coincides with one of the maps  $\varphi_{ij}$ .

The map  $\psi$  must map  $z_1$  to another root  $z_i$  of  $m$  in  $N$ .

Let  $\chi: L \rightarrow N$  be defined by  $\chi(x) = \lambda_i^{-1}(\psi(x))$ .

This is certainly a  $K$ -monomorphism.

Moreover,  $\chi(z_1) = \lambda_i^{-1}(\psi(z_1)) = \lambda_i^{-1}(z_i) = z_1$ .

So  $\psi$  is a  $K(z_1)$ -monomorphism.

So it must coincide with one of  $\mu_1, \mu_2, \dots, \mu_s$ , say  $\mu_j$ .

Thus, for all  $x$  in  $L$ ,  $\mu_j(x) = \lambda_i^{-1}(\psi(x))$ .

So  $\psi(x) = \lambda_i(\mu_j(x)) = \varphi_{ij}(x)$ . Thus,  $\psi = \varphi_{ij}$ .

# Cardinality of the Galois Group of a Galois Extension

## Corollary

Let  $L$  be a Galois extension of  $K$ , and let  $G$  be the Galois group of  $L$  over  $K$ . Then  $|G| = [L : K]$ .

- Let  $L$  be a Galois extension of  $K$ .  
Then  $L$  is both normal as well as separable.  
Thus,  $L$  is its own normal closure.  
By the theorem,  $|G| = [L : K]$ .



# Galois Automorphisms and Roots

## Lemma

Let  $L$  be a finite extension of  $K$ . Suppose  $\text{Gal}(L : K) = \{\varphi_1 = \iota, \varphi_2, \dots, \varphi_n\}$ . Let  $f$  be an irreducible polynomial in  $K[X]$ , having a root  $z$  in  $L$  and set  $\varphi_i(z) = z_i$ , with the  $z_1, \dots, z_r$  distinct. Then, for all  $\varphi_j \in \text{Gal}(L : K)$ ,

$$\{z_1, z_2, \dots, z_r\} = \{\varphi_j(z_1), \varphi_j(z_2), \dots, \varphi_j(z_r)\}.$$

- We note that  $\varphi_j(z_i)$  is equal to  $(\varphi_j \varphi_i)(z)$ .  
This is equal to  $\varphi_k(z) = z_k$ , for some  $k$ , since  $\varphi_j \varphi_i \in \text{Gal}(L : K)$ .  
But  $\varphi_j$  is one-one.  
So it merely permutes the elements  $z_1, z_2, \dots, z_r$ .

# Form of the Minimum Polynomial

## Lemma

Let  $L$  be a finite extension of  $K$ . Suppose  $\text{Gal}(L : K) = \{\varphi_1 = \iota, \varphi_2, \dots, \varphi_n\}$ . Let  $f$  be an irreducible polynomial in  $K[X]$ , having a root  $z$  in  $L$  and set  $\varphi_i(z) = z_i$ , with the  $z_1, \dots, z_r$  distinct. The polynomial

$$g(X) = (X - z_1)(X - z_2) \cdots (X - z_r)$$

is the minimum polynomial of  $z$  over  $K$ .

- We must show that every polynomial in  $K[X]$  having  $z$  as a root is divisible by  $g$ . Suppose that

$$h = a_0 + a_1X + \cdots + a_mX^m,$$

with coefficients in  $K$ , is such that  $a_0 + a_1z + \cdots + a_mz^m = 0$ .

Apply  $\varphi_j$  (which fixes all the  $a_i$ 's) to obtain

$$a_0 + a_1z_j + \cdots + a_mz_j^m = 0, \quad j = 1, 2, \dots, r.$$

So  $h$  is divisible by  $X - z_1, X - z_2, \dots, X - z_r$ . Thus, it is divisible by  $g$ .

# Separability and Normality and the Map $\Phi$

## Theorem

Let  $L$  be a finite extension of  $K$ . Then  $\Phi(\text{Gal}(L:K)) = K$  if and only if  $L$  is a separable normal extension of  $K$ .

- Let  $L$  be a separable and normal extension of  $K$ , with  $[L:K] = n$ .

By the preceding corollary,  $|\text{Gal}(L:K)| = n$ .

Denote  $\Phi(\text{Gal}(L:K))$  by  $K'$ .

- We know that  $K \subseteq K'$ .
- By a preceding theorem, we have that

$$[L:K'] = [L:\Phi(\text{Gal}(L:K))] = |\text{Gal}(L:K)| = n.$$

Now  $K \subseteq K'$  and  $[L:K] = [L:K']$ .

It follows that  $K = K'$ .

# Separability and Normality and the Map $\Phi$ (Converse)

- Suppose  $K = K' = \Phi(\text{Gal}(L : K))$ .

Let  $\text{Gal}(L : K) = \{\varphi_1 = \iota, \varphi_2, \dots, \varphi_n\}$ .

Let  $f$  be an irreducible polynomial in  $K[X]$  having a root  $z$  in  $L$ .

We must show that:

- $f$  splits completely over  $L$ ;
- $f$  has distinct roots in  $L$ .

The images of  $z$  under the  $K$ -automorphisms  $\varphi_1, \varphi_2, \dots, \varphi_n$  need not all be distinct.

We have  $\varphi_1(z) = \iota(z) = z$ , and re-label the elements of  $\text{Gal}(L : K)$  so that  $\varphi_2(z), \dots, \varphi_r(z)$  are the remaining distinct images of  $z$  under the automorphisms in  $\text{Gal}(L : K)$ . Write  $\varphi_i(z) = z_i$ .

# Separability and Normality and the Map $\Phi$ (Converse)

- Let  $g$  be the polynomial

$$(X - z_1)(X - z_2) \cdots (X - z_r) = X^r - e_1 X^{r-1} + \cdots + (-1)^r e_r,$$

where the coefficients  $e_1, e_2, \dots, e_r$  are the elementary symmetric functions  $e_1 = \sum_{i=1}^r z_i, e_2 = \sum_{i \neq j} z_i z_j, \dots, e_r = z_1 z_2 \cdots z_r$ .

These coefficients are unchanged by any permutation of  $z_1, z_2, \dots, z_r$ .

By a previous lemma, they are unchanged by each  $\varphi_j$  in  $\text{Gal}(L : K)$ .

Thus,  $g$  is a polynomial with coefficients in  $\Phi(\text{Gal}(L : K)) = K$ .

$z$  is assumed to be a root in  $L$  of the irreducible polynomial  $f$  in  $K[X]$ .

By the preceding lemma,  $f$  is divisible by  $g$ .

By the irreducibility of  $f$ ,  $f$  is a constant multiple of  $g$ .

Since  $g$  splits completely over  $L$ , so does  $f$ .

Moreover, all its roots are distinct.

So  $L$  is a separable normal extension of  $K$ .

# Galois Automorphisms and Intermediate Fields

## Theorem

Let  $L$  be a Galois extension of a field  $K$ , and let  $E$  be a subfield of  $L$  containing  $K$ . If  $\delta \in \text{Gal}(L : K)$ , then  $\Gamma(\delta(E)) = \delta\Gamma(E)\delta^{-1}$ .

- Write  $\delta(E) = E'$ ,  $\Gamma(E) = H$  and  $\Gamma(E') = H'$ . We show  $H' = \delta H \delta^{-1}$ .  
Let  $\theta \in H$ . We shall show that  $\delta\theta\delta^{-1} \in H'$ .

Let  $z' \in E'$  and  $z$  be the unique element of  $E$ , such that  $\delta(z) = z'$ .  
Since  $\theta \in \Gamma(E)$ ,  $\theta$  fixes all the elements of  $E$ . Thus, we get

$$(\delta\theta\delta^{-1})(z') = (\delta\theta\delta^{-1}\delta)(z) = \delta(\theta(z)) = \delta(z) = z'.$$

So  $\delta\theta\delta^{-1} \in H'$ . Therefore,  $\delta H \delta^{-1} \subseteq H'$ .

Let  $\theta' \in H'$ , and let  $z \in E$ . Then  $\delta(z) \in E'$ . So  $\theta'(\delta(z)) = \delta(z)$ .

Hence,  $(\delta^{-1}\theta'\delta)(z) = (\delta^{-1}\delta)(z) = z$ . So  $\delta^{-1}\theta'\delta \in \Gamma(E) = H$ .

We have shown that  $\delta^{-1}H'\delta \subseteq H$ .

It follows immediately that  $H' \subseteq \delta H \delta^{-1}$ .

## Subsection 6

# The Fundamental Theorem

# Fundamental Theorem of Galois Theory

## Theorem (The Fundamental Theorem of Galois Theory)

Let  $L$  be a separable normal extension of a field  $K$ , with finite degree  $n$ .

- (i) For all subfields  $E$  of  $L$  containing  $K$ , and for all subgroups  $H$  of the Galois group  $\text{Gal}(L : K)$ ,  $\Phi(\Gamma(E)) = E$ ,  $\Gamma(\Phi(H)) = H$ .

We also have  $|\Gamma(E)| = [L : E]$  and  $\frac{|\text{Gal}(L : K)|}{|\Gamma(E)|} = [E : K]$ .

- (ii) A subfield  $E$  is a normal extension of  $K$  if and only if  $\Gamma(E)$  is a normal subgroup of  $\text{Gal}(L : K)$ . If  $E$  is a normal extension, then  $\text{Gal}(E : K)$  is isomorphic to the quotient group  $\text{Gal}(L : K)/\Gamma(E)$ .

- (i) Let  $E$  be a subfield of  $L$  containing  $K$ . By previous theorems,  $L$  is both normal and separable over  $E$ . Hence,  $|\Gamma(E)| = [L : E]$ . So  $[E : K] = \frac{[L : K]}{[L : E]} = \frac{|\text{Gal}(L : K)|}{|\Gamma(E)|}$ . But  $\Gamma(E) = \text{Gal}(L : E)$ .

So we get  $\Phi(\Gamma(E)) = \Phi(\text{Gal}(L : E)) = E$ .



## Fundamental Theorem of Galois Theory (Cont'd)

- Now let  $H$  be any subgroup of the finite group  $\text{Gal}(L:K)$ .

We know that  $H \subseteq \Gamma(\Phi(H))$ . Denote  $\Gamma(\Phi(H))$  by  $H'$ .

We have  $\Phi(H) = \Phi(\Gamma(\Phi(H))) = \Phi(H')$ .

We now obtain  $|H| = [L:\Phi(H)] = [L:\Phi(H')] = |H'|$ .

This, and the finiteness of  $\text{Gal}(L,K)$ , imply that  $H' = H$ .

- (ii) Suppose now that  $E$  is a normal extension.

Let  $\delta \in \text{Gal}(L:K)$  and  $\delta'$  the restriction of  $\delta$  to  $E$ .

Then  $\delta'$  is a monomorphism from  $E$  into  $L$ .

So, by a previous theorem,  $\delta'$  is a  $K$ -automorphism of  $E$ .

By the last theorem,  $\Gamma(E) = \Gamma(\delta(E)) = \delta\Gamma(E)\delta^{-1}$ .

Thus,  $\Gamma(E)$  is a normal subgroup of  $\text{Gal}(L:K)$ .

## Fundamental Theorem of Galois Theory (Cont'd)

- Suppose that  $\Gamma(E)$  is a normal subgroup of  $\text{Gal}(L:K)$ .

Let  $\delta_1$  be a  $K$ -monomorphism from  $E$  into  $L$ .

By a previous corollary, this extends to a  $K$ -automorphism  $\delta$  of  $L$ .

The normality of  $\Gamma(E)$  within  $\text{Gal}(L:K)$  means that  $\delta\Gamma(E)\delta^{-1} = \Gamma(E)$ .

Hence, by the preceding theorem,  $\Gamma(\delta(E)) = \Gamma(E)$ .

Since  $\Gamma$  is one-one, it follows that  $\delta(E) = \delta_1(E) = E$ .

Thus,  $\delta_1$  is a  $K$ -automorphism of  $E$ .

We have shown that every  $K$ -monomorphism of  $E$  into  $L$  is a  $K$ -automorphism of  $E$ .

By a preceding theorem,  $E$  is a normal extension of  $K$ .

# Fundamental Theorem of Galois Theory (Conclusion)

- It remains to show that, if  $E$  is a normal extension, then  $\text{Gal}(E : K) \cong \text{Gal}(L : K) / \Gamma(E)$ .

So suppose that  $E$  is normal. As above, let  $\delta'$  be the restriction to  $E$  of the  $K$ -automorphism  $\delta$  of  $L$ . We have seen that  $\delta' \in \text{Gal}(E : K)$ .

Let  $\Theta : \text{Gal}(L : K) \rightarrow \text{Gal}(E : K)$  be defined by  $\Theta(\delta) = \delta'$ .

Then  $\Theta$  is a group homomorphism. For all  $\delta_1, \delta_2$  in  $\text{Gal}(L : K)$ , with  $\Theta(\delta_1) = \delta'_1$  and  $\Theta(\delta_2) = \delta'_2$ , and all  $z$  in  $E$ ,

$$\begin{aligned} ([\Theta(\delta_1)][\Theta(\delta_2)])(z) &= (\delta'_1 \delta'_2)(z) = \delta'_1(\delta_2(z)) \\ &= \delta_1(\delta_2(z)) = (\delta_1 \delta_2)(z) \\ &= (\Theta(\delta_1 \delta_2))(z). \end{aligned}$$

Hence  $[\Theta(\delta_1)][\Theta(\delta_2)] = \Theta(\delta_1 \delta_2)$ . The kernel of  $\Theta$  is the set of all  $\delta$  in  $\text{Gal}(L : K)$ , such that  $\delta'$  is the identity map on  $E$ , i.e.,  $\Gamma(E)$ .

The Homomorphism Theorem yields  $\text{Gal}(E : K) \cong \text{Gal}(L : K) / \Gamma(E)$ .

# The Join of Two Subfields

- Let  $U$  and  $V$  be subgroups of a group  $G$ .
  - Then  $U \cap V$  is a subgroup of  $G$ .
  - In general,  $U \cup V$  is not a subgroup, but there is always a smallest subgroup containing  $U$  and  $V$ , consisting of all products  $u_1 v_1 u_2 v_2 \cdots u_n v_n$  (for all  $n$ ) with  $u_1, u_2, \dots \in U$ ,  $v_1, v_2, \dots \in V$ . We denote this by  $U \vee V$ , and call it the **join** of  $U$  and  $V$ .
- Similarly, if  $E$  and  $F$  are subfields of a field  $K$ , then:
  - $E \cap F$  is also a subfield;
  - There is a subfield  $E \vee F = E(F) = F(E)$ . It is called the **join** of  $E$  and  $F$ .

# $\Gamma$ , Meets and Joins

## Theorem

Let  $L$  be a Galois extension of finite degree over  $K$ , with Galois group  $G$ , and let  $E_1, E_2$  be subfields of  $L$  containing  $K$ . If  $\Gamma(E_1) = H_1$  and  $\Gamma(E_2) = H_2$ , then  $\Gamma(E_1 \cap E_2) = H_1 \vee H_2$ ,  $\Gamma(E_1 \vee E_2) = H_1 \cap H_2$ .

- $E_1 \subseteq E_1 \vee E_2$ . Since the Galois correspondence is order-reversing,  $\Gamma(E_1 \vee E_2) \subseteq \Gamma(E_1) = H_1$ . Similarly,  $\Gamma(E_1 \vee E_2) \subseteq \Gamma(E_2) = H_2$ . Hence,  $\Gamma(E_1 \vee E_2) \subseteq H_1 \cap H_2$ .

Let  $\alpha$  in  $H_1 \cap H_2$ . Since  $\alpha \in H_1 = \Gamma(E_1)$ ,  $\alpha(x) = x$ , for all  $x$  in  $E_1$ . Similarly,  $\alpha(y) = y$ , for all  $y$  in  $E_2$ . By a previous theorem, the elements of  $E_1 \vee E_2 = E_1(E_2)$  are quotients of finite linear combinations (with coefficients in  $E_1$ ) of finite products of elements of  $E_2$ .

So  $\alpha(z) = z$ , for all  $z$  in  $E_1 \vee E_2$ . Thus,  $\alpha \in \Gamma(E_1 \vee E_2)$ .

So the first assertion of the theorem is proved.

# $\Gamma$ , Meets and Joins

- From  $E_1 \cap E_2 \subseteq E_1$  it follows that  $H_1 = \Gamma(E_1) \subseteq \Gamma(E_1 \cap E_2)$ . Similarly,  $H_2 \subseteq \Gamma(E_1 \cap E_2)$ . So  $H_1 \vee H_2 \subseteq \Gamma(E_1 \cap E_2)$ .

Let  $x$  be an element of  $L$  not in  $E_1 \cap E_2$ . Say  $x \notin E_1$ .

We know  $E_1 = \Phi(H_1)$ .

So there exists  $\gamma$  in  $H_1 \subseteq H_1 \vee H_2$ , such that  $\gamma(x) \neq x$ .

Thus,  $x \notin E_1 \cap E_2$  implies  $x \notin \Phi(H_1 \vee H_2)$ .

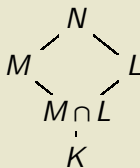
This shows that  $\Phi(H_1 \vee H_2) \subseteq E_1 \cap E_2$ .

Now, the Galois correspondence gives  $\Gamma(E_1 \cap E_2) \subseteq H_1 \vee H_2$ .

# Splitting Fields of Extensions

## Theorem

Let  $K$  be a field of characteristic zero, and let  $f \in K[X]$ . Let  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$  be a splitting field for  $f$  over  $K$ . Let  $M$  be a field containing  $K$ , and let  $N$  be a splitting field of  $f$  over  $M$ . Then, up to isomorphism,  $L$  is a subfield of  $N$ , and  $\text{Gal}(N : M) \cong \text{Gal}(L : M \cap L)$ .



- The field  $N$  is an extension of  $M$ , and hence of  $K$ , such that  $f$  splits completely in  $N[X]$ . Hence, by the definition of a splitting field,  $L$  is, up to isomorphism, a subfield of  $N$ . Write  $N$  as  $M(\alpha_1, \alpha_2, \dots, \alpha_n)$ . Let  $H = \text{Gal}(N : M)$ , and let  $\gamma \in H$ . Then the restriction  $\gamma'$  of  $\gamma$  to  $L$  is a monomorphism from  $L$  into  $N$ . Since  $\gamma$  fixes the elements of  $M$ , it certainly fixes the elements of  $K$ . Hence, so does  $\gamma'$ . Also,  $\gamma$  maps each root  $\alpha_i$  of  $f$  to another root of  $f$ . Thus, so does  $\gamma'$ . So  $\gamma'$  is a monomorphism of  $L$  into itself.

# Splitting Fields of Extensions (Cont'd)

- $\gamma$  is an automorphism of  $N = M(\alpha_1, \alpha_2, \dots, \alpha_n)$ . So every root  $\alpha_i$  of  $f$  is the image of some root of  $f$  under  $\gamma$ . Hence, also under  $\gamma'$ .

Thus  $\gamma'$  maps onto  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$ . So it is a  $K$ -automorphism.

We have a mapping  $\theta$  from  $H$  into  $G = \text{Gal}(L : K)$ , given by  $\theta(\gamma) = \gamma'$ .

- $\theta$  is one-one. Let  $\delta \in H$  such that  $\gamma' = \delta'$ . Then  $\gamma'$  and  $\delta'$  act identically on the roots  $\alpha_1, \alpha_2, \dots, \alpha_n$ . So  $\gamma = \delta$ .
- $\theta$  is a group homomorphism. The restriction of  $\gamma\delta$  to  $L$  is  $\gamma'\delta'$ .

Thus,  $H \cong \theta(H)$ . We show  $\theta(H)$  is the subgroup  $\text{Gal}(L : M \cap L)$  of  $G$ .

Each  $\gamma$  in  $H$  fixes the elements of  $M$ . So each  $\gamma'$  fixes those of  $M \cap L$ .

Thus  $M \cap L \subseteq \Phi(\theta(H))$ . By the Galois Theorem,  $\theta(H) \subseteq \text{Gal}(L : M \cap L)$ .

Let  $x$  be in  $L$  but not in  $M \cap L$ . Thus,  $x \notin M$ . But  $M$  is the field whose elements are fixed by  $H$ . So there is a  $\beta$  in  $H$  for which  $\beta(x) \neq x$ .

Then  $(\theta(\beta))(x) \neq x$ . So  $x \notin \Phi(\theta(H))$ . Thus,  $\text{Gal}(L : M \cap L) \subseteq \theta(H)$ .

Now  $\text{Gal}(L : M \cap L) = \theta(H) \cong H = \text{Gal}(N : M)$ .



## Subsection 7

### An Example

## Example

- Consider the Galois group  $G = \text{Gal}(\mathbb{Q}(v, i) : \mathbb{Q})$ , where  $v = \sqrt[4]{2}$ . The field  $\mathbb{Q}(v, i)$  is the splitting field of  $X^4 - 2$  over  $\mathbb{Q}$ . If  $\xi \in G$ , then,  $\xi(i) = \pm i$  and  $\xi(v) \in \{v, iv, -v, -iv\}$ . There are 8 elements in the group  $G$ :

$$\begin{array}{ll} \iota : v \mapsto v, i \mapsto i; & \lambda : v \mapsto v, i \mapsto -i; \\ \alpha : v \mapsto iv, i \mapsto i; & \mu : v \mapsto iv, i \mapsto -i; \\ \beta : v \mapsto -v, i \mapsto i; & \nu : v \mapsto -v, i \mapsto -i; \\ \gamma : v \mapsto -iv, i \mapsto i; & \rho : v \mapsto -iv, i \mapsto -i. \end{array}$$

# The Multiplication Table of $G$

- The multiplication in  $G$  is given by:

	$\iota$	$\alpha$	$\beta$	$\gamma$	$\lambda$	$\mu$	$\nu$	$\rho$
$\iota$	$\iota$	$\alpha$	$\beta$	$\gamma$	$\lambda$	$\mu$	$\nu$	$\rho$
$\alpha$	$\alpha$	$\beta$	$\gamma$	$\iota$	$\mu$	$\nu$	$\rho$	$\lambda$
$\beta$	$\beta$	$\gamma$	$\iota$	$\alpha$	$\nu$	$\rho$	$\lambda$	$\mu$
$\gamma$	$\gamma$	$\iota$	$\alpha$	$\beta$	$\rho$	$\lambda$	$\mu$	$\nu$
$\lambda$	$\lambda$	$\rho$	$\nu$	$\mu$	$\iota$	$\gamma$	$\beta$	$\alpha$
$\mu$	$\mu$	$\lambda$	$\rho$	$\nu$	$\alpha$	$\iota$	$\gamma$	$\beta$
$\nu$	$\nu$	$\mu$	$\lambda$	$\rho$	$\beta$	$\alpha$	$\iota$	$\gamma$
$\rho$	$\rho$	$\nu$	$\mu$	$\lambda$	$\gamma$	$\beta$	$\alpha$	$\iota$

Examples of the computation:

- $\alpha(\lambda(\nu)) = \alpha(\nu) = \nu\alpha$ ;  $\alpha(\lambda(\iota)) = \alpha(-\iota) = -\iota$ . So  $\alpha\lambda = \mu$ .
- $\lambda(\alpha(\nu)) = \lambda(\nu\alpha) = \lambda(\nu)\lambda(\alpha) = -\nu\alpha$ ;  $\lambda(\alpha(\iota)) = \lambda(\iota) = -\iota$ ; So  $\lambda\alpha = \rho$ .

# Subgroups of $G$ and Corresponding Subfields

- The group  $G = \text{Gal}(\mathbb{Q}(v, i) : \mathbb{Q})$  has three subgroups of order 4:

$$H_1 = \{t, \alpha, \beta, \gamma\}, \quad H_2 = \{t, \beta, \lambda, v\}, \quad H_3 = \{t, \beta, \mu, \rho\}.$$

It has five subgroups of order 2:

$$H_4 = \{t, \beta\}, \quad H_5 = \{t, \lambda\}, \quad H_6 = \{t, \mu\}, \quad H_7 = \{t, v\}, \quad H_8 = \{t, \rho\}.$$

We can compute the corresponding subfields of  $\mathbb{Q}(v, i)$ .

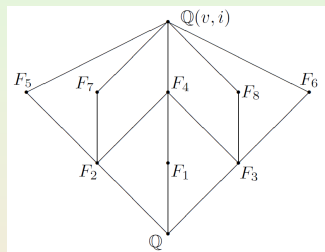
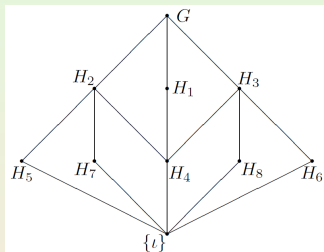
- $\Phi(H_1) = \mathbb{Q}(i)$ ;
- $\Phi(H_2) = \mathbb{Q}(v^2) = \mathbb{Q}(\sqrt{2})$ ;
- $\Phi(H_3) = \mathbb{Q}(i\sqrt{2})$ .

We also find the ones corresponding to the order 2 subgroups.

- $\Phi(H_4) = \mathbb{Q}(i, \sqrt{2})$ ;
- $\Phi(H_5) = \mathbb{Q}(v)$ ;
- $\Phi(H_6) = \mathbb{Q}((1+i)v)$ ;
- $\Phi(H_7) = \mathbb{Q}(iv)$ ;
- $\Phi(H_8) = \mathbb{Q}((1-i)v)$ .

# Lattice of Subgroups and Lattice of Subfields

- The lattice of subgroups of  $G$  is shown on the left



and the lattice of subfields  $E$ , such that  $\mathbb{Q} \subseteq E \subseteq \mathbb{Q}(v, i)$ , an upside down version of it, is shown on the right, with  $F_i := \Phi(H_i)$ .

- We look at normal subgroups and extensions.

Normal Subgroups	$H_1$	$H_2$	$H_3$	$H_4$
Normal Extensions	$\mathbb{Q}(i)$	$\mathbb{Q}(\sqrt{2})$	$\mathbb{Q}(i\sqrt{2})$	$\mathbb{Q}(i, \sqrt{2})$
Polynomials Splitting	$X^2 + 1$	$X^2 - 2$	$X^2 + 2$	$(X^2 + 1)(X^2 - 2)$

## Remarks

- Note that  $\text{Gal}(\mathbb{Q}(v, i), \mathbb{Q})$  is not abelian, although both

$$\text{Gal}(\mathbb{Q}(v, i), \mathbb{Q}(i)) = \{\iota, \alpha, \beta, \gamma\}$$

and

$$\text{Gal}(\mathbb{Q}(i), \mathbb{Q}) \cong \text{Gal}(\mathbb{Q}(v, i), \mathbb{Q}) / \text{Gal}(\mathbb{Q}(v, i), \mathbb{Q}(i))$$

are abelian.

- The example is easier than most, since we can easily factorize  $X^4 - 2$  over the complex field.

On the other hand, If we start with an irreducible polynomial such as

$$f = 2X^5 - 4X^4 + 8X^3 + 14X^2 + 7,$$

then it is by no means a trivial matter to determine the Galois group.