

Introduction to Quantum Computing

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LSSU Math 500

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Subsection 1

Classical Reduction of Factoring to Period-Finding

Order of an Integer

- The **order** of an integer a modulo M is the smallest integer $r > 0$ such that

$$a^r = 1 \pmod{M}.$$

- If no such integer exists, the order is said to be infinite.
- Two integers are **relatively prime** if they share no prime factors.
- As long as a and M are relatively prime, the order of a is finite.

Order and Period

- For a relatively prime to M , consider the function

$$f(k) = a^k \pmod{M}.$$

- Note that, for a relatively prime to M ,

$$a^k = a^{k+r} \pmod{M} \text{ if and only if } a^r = 1 \pmod{M}.$$

- So the order r of a modulo M is the period of f .

Reducing Factoring to Finding the Period

- Suppose $a^r = 1 \pmod{M}$ and r is even.
- Then we can write

$$(a^{r/2} + 1)(a^{r/2} - 1) = 0 \pmod{M}.$$

- Suppose, further, that neither $a^{r/2} + 1$ nor $a^{r/2} - 1$ is a multiple of M .
- Then both

$$a^{r/2} + 1 \quad \text{and} \quad a^{r/2} - 1$$

have nontrivial common factors with M .

- Thus, if r is even, $a^{r/2} + 1$ and $a^{r/2} - 1$ are likely to have a nontrivial common factor with M .

Factoring Strategy

- This property suggests a strategy for factoring M :
 - Randomly choose an integer a ;
 - Determine the period r of $f(k) = a^k \pmod{M}$;
 - If r is even, use the Euclidean algorithm to compute efficiently the greatest common divisor of $a^{r/2} + 1$ and M ;
 - Repeat if necessary.
- In this way, factoring M has been converted to the problem of computing the period of the function

$$f(k) = a^k \pmod{M}.$$

- Shor's quantum algorithm attacks the problem of efficiently finding the period of a function.

Subsection 2

Shor's Factoring Algorithm

Shor's Factoring Algorithm: An Overview

- Quantum computation is required only for parts 2 and 3.
 1. Randomly choose an integer a such that $0 < a < M$.
Use the Euclidean algorithm to determine whether a and M are relatively prime.
 - If not, we have found a factor of M .
 - Otherwise, apply the rest of the algorithm.
 2. Use quantum parallelism to compute $f(x) = a^x \pmod{M}$ on the superposition of inputs.
Then apply a quantum Fourier transform to the result.
We will see that it suffices to consider input values $x \in \{0, \dots, 2^n - 1\}$, where n is such that $M^2 \leq 2^n < 2M^2$.
 3. Measure. With high probability, a value v close to a multiple of $\frac{2^n}{r}$ will be obtained.
 4. Use classical methods to obtain from v a conjectured period q .
 5. When q is even, use the Euclidean algorithm to check efficiently whether $a^{q/2} + 1$ (or $a^{q/2} - 1$) has a nontrivial common factor with M .
 6. Repeat all steps if necessary.

The Quantum Core

- We use quantum parallelism to create the superposition

$$\sum_x |x, f(x)\rangle.$$

- Then Shor's algorithm applies the quantum Fourier transform.
- The values $f(x) = a^x \bmod M$ can be computed efficiently classically.
- By previous results, the transformation

$$U_f : |x\rangle|0\rangle \rightarrow |x\rangle|f(x)\rangle$$

has an efficient implementation.

- We use quantum parallelism with U_f to obtain the superposition

$$\frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} |x\rangle|f(x)\rangle.$$

- The analysis simplifies slightly if we now measure the second register.
- We will see how the measurement can be omitted without affecting the efficiency or the result of the algorithm.

The Quantum Core (Cont'd)

- Suppose we measure the second register randomly.
- Let u be the value returned for $f(x)$.
- Then the state becomes

$$C \sum_x g(x) |x\rangle |u\rangle,$$

where

$$g(x) = \begin{cases} 1, & \text{if } f(x) = u \\ 0, & \text{otherwise} \end{cases}$$

and C is the appropriate scale factor.

- Here, the value of u is of no interest.
- Moreover, the second register is no longer entangled with the first.
- So the second register can be ignored.

The Quantum Core (Cont'd)

- The function

$$f(x) = a^x \pmod{M}$$

has the property that $f(x) = f(y)$ if and only if x and y differ by a multiple of the period.

- So the values of x that remain in the sum, i.e., those with $g(x) \neq 0$, differ from each other by multiples of the period.
- Thus, the function g has the same period as the function f .

The Quantum Core (Cont'd)

- If we could somehow obtain the value of two successive terms in the sum, we would have the period.
- Unfortunately, the laws of quantum physics permit only one measurement from which we can obtain only one random value of x .
- Repeating the process does not help because we would be unlikely to measure the same value u of $f(x)$.
- So the two values of x obtained from two runs would have no relation to each other.

The Quantum Core (Cont'd)

- Apply the quantum Fourier transform to the first register of this state produces

$$U_F \left(C \sum_x g(x) |x\rangle \right) = C' \sum_c G(c) |c\rangle,$$

where

$$G(c) = \sum_x g(x) \exp\left(\frac{2\pi i c x}{2^n}\right).$$

The Quantum Core (Cont'd)

- A previous analysis tells us that when the period r of the function $g(x)$ is a power of two, $G(c) = 0$ except when c is a multiple of $\frac{2^n}{r}$.
- When the period r does not divide 2^n , the transform approximates the exact case.
- So most of the amplitude is attached to integers close to multiples of $\frac{2^n}{r}$.
- For this reason, measurement yields, with high probability, a value v close to a multiple of $\frac{2^n}{r}$.
- The quantum core of the algorithm has now been completed.
- The next section examines the classical use of v to obtain a good guess for the period.

Classical Extraction of the Period

- We sketch a purely classical algorithm for extracting the period from the measured value v obtained from the quantum core of Shor's algorithm.
- When the period r happens to be a power of 2, the quantum Fourier transform gives exact multiples of $\frac{2^n}{r}$.
- This makes the period easy to extract.
- In this case, the measured value v is equal to $j\frac{2^n}{r}$ for some j .
- Most of the time j and r will be relatively prime.
- We reduce the fraction $\frac{v}{2^n}$ to its lowest terms.
- This yields a fraction $\frac{j}{r}$ whose denominator is the period r .
- The rest of this section explains how to obtain a good guess for r when it is not a power of 2.

Classical Extraction of the Period (Cont'd)

- In general the quantum Fourier transform gives only approximate multiples of the scaled frequency.
- This complicates the extraction of the period from the measurement.
- When the period is not a power of 2, a good guess for the period can be obtained from the continued fraction expansion of $\frac{v}{2^n}$.
- Shor shows that, with high probability, v is within $\frac{1}{2}$ of some multiple of $\frac{2^n}{r}$, say $j\frac{2^n}{r}$.
- Recall that n was chosen to satisfy $M^2 \leq 2^n < 2M^2$.
- Consider the high-probability case in which $|v - j\frac{2^n}{r}| < \frac{1}{2}$, for some j .
- The left inequality $M^2 \leq 2^n$ implies that

$$\left| \frac{v}{2^n} - \frac{j}{r} \right| < \frac{1}{2 \cdot 2^n} \leq \frac{1}{2M^2}.$$

Classical Extraction of the Period (Cont'd)

- In general, the difference between two distinct fractions $\frac{p}{q}$ and $\frac{p'}{q'}$ with denominators less than M is bounded,

$$\left| \frac{p}{q} - \frac{p'}{q'} \right| = \left| \frac{pq' - p'q}{qq'} \right| > \frac{1}{M^2}.$$

- Thus, there is at most one fraction $\frac{p}{q}$ with denominator $q < M$ such that $|\frac{v}{2^n} - \frac{p}{q}| < \frac{1}{M^2}$.
- So, when v is within $\frac{1}{2}$ of $j\frac{2^n}{r}$, this fraction will be $\frac{j}{r}$.
- The fraction $\frac{p}{q}$ can be computed using a continued fraction expansion.
- We take the denominator q of the obtained fraction as our guess for the period.
- This guess will be correct whenever j and r are relatively prime.

Review of the Continued Fraction Expansion

- The unique fraction with denominator less than M that is within $\frac{1}{M^2}$ of $\frac{v}{2^n}$ can be obtained efficiently from the continued fraction expansion of $\frac{v}{2^n}$.
- Let $[x]$ be the greatest integer less than x .
- Define the following sequences:

$$a_0 = \left[\frac{v}{2^n} \right], \quad \epsilon_0 = \frac{v}{2^n} - a_0;$$

$$a_i = \left[\frac{1}{\epsilon_{i-1}} \right], \quad \epsilon_i = \frac{1}{\epsilon_{i-1}} - a_i.$$

- Moreover,

$$p_0 = a_0, \quad p_1 = a_1 a_0 + 1, \quad p_i = a_i p_{i-1} + p_{i-2};$$

$$q_0 = 1, \quad q_1 = a_1, \quad q_i = a_i q_{i-1} + q_{i-2}.$$

- The recurrences compute the first fraction $\frac{p_i}{q_i}$, with $q_i < M \leq q_{i+1}$.

Example

- Assume $M = 21$, $n = 9$, $v = 427$.

We work with

$$a_0 = \left\lfloor \frac{v}{2^n} \right\rfloor, \quad \epsilon_0 = \frac{v}{2^n} - a_0;$$

$$a_i = \left\lfloor \frac{1}{\epsilon_{i-1}} \right\rfloor, \quad \epsilon_i = \frac{1}{\epsilon_{i-1}} - a_i;$$

$$p_0 = a_0, \quad p_1 = a_1 a_0 + 1, \quad p_i = a_i p_{i-1} + p_{i-2};$$

$$q_0 = 1, \quad q_1 = a_1, \quad q_i = a_i q_{i-1} + q_{i-2}.$$

We obtain:

i	a_i	p_i	q_i	ϵ_i
0	0	0	1	$\frac{427}{512}$
1	1	1	1	$\frac{85}{427}$
2	5	5	6	$\frac{2}{85}$
3	42	211	253	$\frac{1}{2}$

Subsection 3

Example Illustrating Shor's Algorithm

The Problem

- We illustrate the operation of Shor's algorithm as it attempts to factor the integer $M = 21$.
- We compute

$$M^2 = 441 \leq 2^9 < 882 = 2M^2.$$

- So we take $n = 9$.
- As $\lceil \log M \rceil = m = 5$, the second register requires five qubits.
- Thus, the state

$$\frac{1}{\sqrt{2^9}} \sum_{x=0}^{2^9-1} |x\rangle |f(x)\rangle$$

is a 14-qubit state, with:

- Nine qubits in the first register;
- Five qubits in the second register.

Measurement

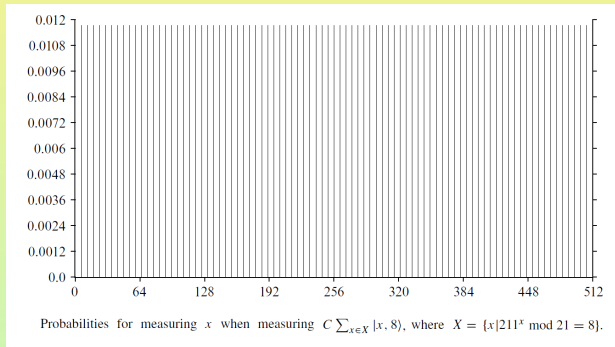
- Suppose the randomly chosen integer is $a = 11 < 21 = M$.
- a and M are relatively prime.
- We measure the second register of the superposition of equation

$$\frac{1}{\sqrt{2^9}} \sum_{x=0}^{2^9-1} |x\rangle |f(x)\rangle.$$

- Suppose the measurement produces $u = 8$.
- The state of the first register after this measurement is shown in the figure on the next slide.

State of First Register After Measurement

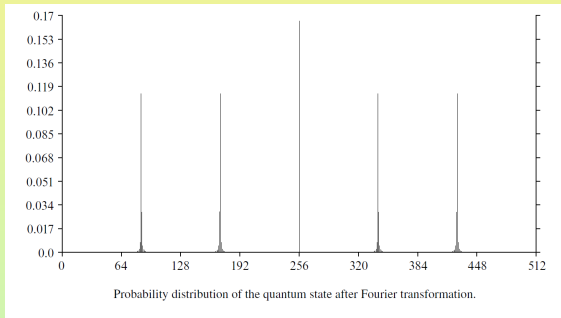
- The state of the first register after the measurement $u = 8$.



- The probabilities for measuring x when measuring the state is $C \sum_{x \in X} |x, 8\rangle$, where $X = \{x | 11^x \bmod 21 = 8\}$.
- The figure shows the periodicity of f .

Fourier Transform

- The next figure shows the result of applying the quantum Fourier transform to this state.



- It is the graph of the FFT of the function of the preceding figure.
- In this particular example, the period of f does not divide 2^n .
- So the probability distribution has some spread around multiples of $\frac{2^n}{r}$ instead of having a single spike at each of these values.

The Output

- Suppose that measurement of the state returns $v = 427$.
- Since v and 2^n are relative prime, we use the continued fraction expansion to obtain a guess q for the period.
- The following table shows a trace of the continued fraction algorithm:

i	a_i	p_i	q_i	ϵ_i
0	0	0	1	0.8339844
1	1	1	1	0.1990632
2	5	5	6	0.02352941
3	42	211	253	0.5

- The algorithm terminates with $6 = q_2 < M \leq q_3$.

The Period and the Factors

- We came up with $q = 6$ as our guess for the period of f .
- Now 6 is even.
- So the following are likely to have a common factor with M :

$$a^{6/2} - 1 = 11^3 - 1 = 1330;$$

$$a^{6/2} + 1 = 11^3 + 1 = 1332.$$

- In this particular example,

$$\gcd(21, 1330) = 7 \quad \text{and} \quad \gcd(21, 1332) = 3.$$

Subsection 4

The Efficiency of Shor's Algorithm

Number of Steps

- We consider the efficiency of Shor's algorithm, examining:
 - The efficiency of each part in terms of the number of gates or classical steps needed to implement the part;
 - The expected number of times the algorithm would need to be repeated.
- The Euclidean algorithm on integers $x > y$ needs at most $O(\log x)$ steps.

So both Parts 1 and 5 require $O(\log M) = O(m)$ steps.
- The continued fraction algorithm used in Part 4 is related to the Euclidean algorithm and also requires $O(m)$ steps.
- Part 3 is a measurement of m qubits.

In addition, as we will see, it can be omitted altogether.

Number of Steps (Cont'd)

- Part 2 consists of the computation of U_f and the computation of the quantum Fourier transform.
- We showed that the quantum Fourier transform on m qubits requires $O(m)$ steps.
- The algorithm for modular exponentiation requires $O(n^3)$ steps could be used to implement U_f .
- The transformation U_f can be implemented more efficiently using an algorithm for modular exponentiation, described by Shor, that is based on the most efficient classical method known, and runs in $O(n^2 \log n \log \log n)$ time and $O(n \log n \log \log n)$ space.
- These results show that the overall runtime of a single iteration of Shor's algorithm is dominated by the computation of U_f .
- Moreover, the overall time complexity for a single iteration of the algorithm is $O(n^2 \log n \log \log n)$.

Number of Repetitions

- To show that Shor's algorithm is efficient, we also need to show that the parts do not need to be repeated too many times.
- Four things can go wrong:
 - The period of $f(x) = a^x \pmod{M}$ could be odd.
 - Part 4 could yield M as M 's factor.
 - The value v obtained in Part 3 might not be close enough to a multiple of $\frac{2^n}{r}$.
 - A multiple $j\frac{2^n}{r}$ of $\frac{2^n}{r}$ is obtained from v , but j and r could have a common factor, in which case the denominator q is actually a factor of the period, not the period itself.

Number of Repetitions (Cont'd)

- The first two problems appear in the classical reduction.
- Standard classical arguments bound the probabilities as at most $\frac{1}{2}$.
- For the case in which the period r divides 2^n , problem 3 does not arise.
- Shor shows that, in the general case, v is within $\frac{1}{2}$ of a multiple of $\frac{2^n}{r}$ with high probability.
- As for Problem 4, when r divides 2^n , it is not hard to see that every outcome $v = j\frac{2^n}{r}$ is equally likely.

After the quantum Fourier transform the state is $C' \sum_{c=0}^{2^n-1} G(c)|c\rangle$, where

$$G(c) = \sum_{x \in X_u} \exp\left(2\pi i \frac{cx}{2^n}\right) = \sum_{y=0}^{2^n/r} \exp\left(2\pi i \frac{cry}{2^n}\right)$$

where $X_u = \{x : f(x) = u\}$.

Number of Repetitions (Cont'd)

- As we saw, the final sum is 1 when c is a multiple of $\frac{2^n}{r}$, and 0 otherwise.
- Thus, in this case, any $j \in \{0, \dots, r-1\}$ is equally likely.
- From j , we obtain the period r exactly when r and j are relatively prime, $\gcd(r, j) = 1$.
- The number of positive integers less than r that are relatively prime to r is given by the famous Euler ϕ function, which is known to satisfy $\phi(r) \geq \frac{\delta}{\log \log r}$ for some constant δ .
- Thus we need to repeat the parts only $O(\log \log r)$ times in order to achieve a high probability of success.
- The argument for the general case, in which r does not divide 2^n , is more involved but yields the same result.

Subsection 5

Omitting the Internal Measurement

Intuition

- In Part 3 of Shor's algorithm, to obtain u one measures the second register of the state in

$$\frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} |x\rangle |f(x)\rangle.$$

- This step can be skipped entirely.
- We describe the intuition for why this measurement can be omitted.
- Suppose the measurement is omitted.
- Then the state consists of a superposition of several periodic functions.
- Each function corresponds to a value of $f(x)$.
- All of these functions have the same period.

Intuition (Cont'd)

- Quantum transformations are linear.
- So applying the quantum Fourier transformation leads to a superposition of the Fourier transforms of these functions.
- Each of the functions corresponds to a different value u of the second register.
- So the different functions remain distinct parts of the superposition and do not interfere with each other.
- Measuring the first register gives a value from one of these Fourier transforms.
- As before, this will be close to $j\frac{2^n}{r}$ for some j .
- So it can be used to obtain the period in the same way as before.

Formalism

- Let $X_u = \{x : f(x) = u\}$.
- Let R be the range of $f(x)$.
- Finally, let g_u be the family of functions indexed by u , such that

$$g_u(x) = \begin{cases} 1, & \text{if } f(x) = u \\ 0, & \text{otherwise.} \end{cases}$$

- Using this notation, the state can be written as

$$\begin{aligned} \frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} |x\rangle |f(x)\rangle &= \frac{1}{\sqrt{2^n}} \sum_{u \in R} \sum_{x \in X_u} |x\rangle |u\rangle \\ &= \frac{1}{\sqrt{2^n}} \sum_{u \in R} \left(\sum_{x=0}^{2^n-1} g_u(x) |x\rangle \right) |u\rangle. \end{aligned}$$

- The amplitudes in states with different u in the second register can never interfere (add or cancel) with each other.

Formalism (Cont'd)

- The result of applying the transform $U_F \otimes I$ to the preceding state can be written

$$\begin{aligned} U_F \otimes I & \left(\frac{1}{\sqrt{2^n}} \sum_{u \in R} \left(\sum_{x=0}^{2^n-1} g_u(x) |x\rangle \right) |u\rangle \right) \\ & = \frac{1}{\sqrt{2^n}} \sum_{u \in R} (U_F \sum_x g_u(x) |x\rangle) |u\rangle \\ & = C' \sum_{u \in R} \left(\sum_{c=0}^{2^n-1} G_u(c) |c\rangle \right) |u\rangle, \end{aligned}$$

where $G_u(c)$ is the discrete Fourier transform of $g_u(x)$.

- This results is a superposition of the possible states of equation $U_F(C \sum_x g(x) |x\rangle) = C' \sum_c G(c) |c\rangle$ over all possible u .
- Now the g_u all have the same period.
- So measuring the first part of this state returns a c close to a multiple of $\frac{2^n}{r}$.
- This has the same effect as when the second register was measured.

Subsection 6

Generalizations

The Discrete Logarithm

- Let \mathbb{Z}_p^* be the group of integers $\{1, \dots, p-1\}$ under multiplication modulo p .
- Let b be a generator for this group (any b relatively prime to $p-1$ will do).
- The **discrete logarithm** of $y \in \mathbb{Z}_p^*$ with respect to base b is the element $x \in \mathbb{Z}_p^*$, such that

$$b^x = y \pmod{p}.$$

The Discrete Logarithm Problem

Discrete Logarithm Problem: Given a prime p , a base $b \in \mathbb{Z}_p^*$, and an arbitrary element $y \in \mathbb{Z}_p^*$, find an $x \in \mathbb{Z}_p^*$, such that

$$b^x = y \pmod{p}.$$

- For large p , this problem is computationally difficult to solve.
- The Discrete Logarithm Problem can be generalized to arbitrary finite cyclic groups G .
- However, for some large G , it is not difficult to solve classically.
- The Discrete Logarithm Problem is a special case of the Abelian Hidden Subgroup Problem.

Hidden Subgroup Problems

The Hidden Subgroup Problem: Let G be a group.

Suppose a subgroup $H < G$ is implicitly defined by a function f on G in that f is constant and distinct on every coset of H .

Find a set of generators for H .

- The aim is to find a polylogarithmic algorithm that computes a set of generators for H in $O((\log |G|)^k)$ steps, for some k .
- The difficulty of the problem depends not only on G and f but also on what is meant by given a group G .

Hidden Subgroup Problems (Cont'd)

- Some useful properties may be expensive to compute from certain descriptions of a group and immediate from others.
- For example, computing the size of a group from certain types of descriptions, such as a defining set of generators and relations, is known to be computationally hard.
- Also, we can hope to find a solution in poly-log time only if f itself is computable in poly-log time.
- The general hidden subgroup problem remains unsolved.
- However, a polylogarithmic bounded probability quantum algorithm for the general case of *finite Abelian groups, specified in terms of their cyclic decomposition*, exists.

Finite Abelian Hidden Subgroup Problem

Finite Abelian Hidden Subgroup Problem: Let G be a finite Abelian group, with cyclic decomposition $G = \mathbb{Z}_{n_0} \times \cdots \times \mathbb{Z}_{n_L}$.

Suppose G contains a subgroup $H < G$ that is implicitly defined by a function f on G in that f is constant and distinct on every coset of H .

Find a set of generators for H .

Example (Period-finding as a Hidden Subgroup Problem):

Period-finding can be rephrased as a hidden subgroup problem.

Let f be a periodic function on \mathbb{Z}_N , with period r that divides N .

The subgroup $H < \mathbb{Z}_N$ generated by r is the hidden subgroup.

Suppose a generator h for H has been found.

Then the period r can be found by taking the greatest common divisor of h and N ,

$$r = \gcd(h, N).$$

The Discrete Logarithm as a Hidden Subgroup Problem

- In addition to Period-finding, both Simon's Problem and the Discrete Logarithm Problem are instances of the finite Abelian Hidden Subgroup Problem.

Example (The Discrete Logarithm as a Hidden Subgroup Problem):

Recall the Discrete Logarithm Problem.

Given the group $G = \mathbb{Z}_p^*$, where p is prime, a base $b \in G$, and an arbitrary element $y \in G$, find an $x \in G$ such that

$$b^x = y \pmod{p}.$$

Consider $f : G \times G \rightarrow G$, where

$$f(g, h) = b^{-g} y^h.$$

The Discrete Logarithm as a Hidden Subgroup (Cont'd)

- Let $f : G \times G \rightarrow G$, where

$$f(g, h) = b^{-g} y^h.$$

Let the hidden subgroup H of $G \times G$ be the set of elements satisfying

$$f(g, h) = 1.$$

It consists of tuples of the form (mx, m) .

From any generator of H , the element $(x, 1)$ can be computed.

Thus, solving this Hidden Subgroup Problem yields x .

So we obtain a solution to the Discrete Logarithm Problem.